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I. INTRODUCTION¹

Overture's Opening Brief demonstrates a slavish devotion to selected dictionary definitions, while ignoring the fact that such definitions are only one part of the claim construction inquiry. Overture overlooks the fact that well-established precedent – including *Texas Digital Systems, Inc. v. Telegenix, Inc.*, 308 F.3d 1193 (Fed. Cir. 2002), the case it cites repeatedly – also requires that claims be construed in view of the patent specification and file history.

Overture's failure to address the patent specification and file history leads to two fundamental problems. *First*, Overture frequently proposes that the Court simply replace each of the disputed words with synonyms found in dictionaries. These purported definitions may be accurate as far as they go, but they fail to shed any light on the crucial interpretive disputes that must be resolved in order for the court (or a jury) to address the ultimate issues of invalidity and non-infringement.

Second, by ignoring its own description of the purported invention, Overture seeks to enlarge the scope of some of the patent's claim terms in ways that are fundamentally inconsistent with the stated purpose of the invention. At the same time, Overture recognizes that the meaning of *other* claim terms is constrained by the invention that is described in the specification.

Overture has thus failed to follow the "predictable claim construction analysis [that] is essential to the patent system." *Athletic Alternatives, Inc v. Prince Mfg., Inc.*, 73 F.3d 1573, 1581 (Fed. Cir. 1998).

For the reasons expressed below, Google submits that principles of claim construction –

¹ We recognize that brevity is the soul of wit. This brief may not be witty, but we believe that it is as brief as it reasonably can be. Google notes that the Honorable Jeffrey S. White's Standing Order provides that briefs "in support of, or opposition to, any motion, with the exception of summary judgment motions, may not exceed fifteen pages." Standing Order ¶ 7. It is Google's understanding that claim construction proceedings, which are mandated by the Patent Local Rules and which are not based on the filing of any notice of motion or motion pursuant to the Federal Rules of Civil Procedure or Civil Local Rule 7-2, are not "motions," and that the Court's page limits therefore do not apply. If the Court concludes that this brief is subject to a page limit, then Google respectfully requests leave to file this brief as an oversized brief. Google will, of course, file a shorter brief should the Court so direct, but respectfully submits that good cause exists for the filing of this brief in its present form.

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construing the claim language in light of the ordinary meaning of the terms, read in light of the specification – support its constructions, and yield interpretations that are faithful to the purpose of the invention, as explained by the inventors themselves.

I. BACKGROUND

A. Overview of the '361 Patent²

1. Search engines "prioritize results in accordance with consumers' preferences"

The '361 patent claims a purportedly novel method of doing what search engines are supposed to do – "prioritize results in accordance with consumers' preferences." Patent at 2:65-67. The results delivered by search engines are based on queries entered by searchers. *Id.* at 2:42-46. "[S]earch services . . . enable consumers to search the Internet for a listing of web sites based on a specific topic, product, or service of interest." *Id.* at 2:32-35. The *raison d'être* of a search engine is to "deliver relevant information . . . to interested parties." *Id.* at 3:43-44.

2. The problems the '361 inventors set out to solve: bad search results, and ineffective advertisements

According to the '361 inventors, pre-existing search engines were ill-equipped to achieve their goals for two reasons. First, these prior art search engines, which "rel[ied] in large part on complex, mathematics-based database search algorithms that select and rank web pages based on multiple criteria such as keyword density and keyword location," *id.* at 2:48-53, sometimes returned "random and even irrelevant" search results, *id.* at 2:55, and failed properly to prioritize

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² Patent claims are written for the hypothetical person skilled in the relevant art. *See, e.g., Generation II Orthotics Inc. v. Medical Tech. Inc.,* 263 F.3d 1356, 1366-67 (Fed. Cir. 2001) ("the viewing glass through which the claims are construed is that of a person skilled in the art"). Based on the '361 specification's description of the claimed invention, a person skilled in the art is knowledgeable about Internet search services and Internet advertising.

Because Overture's Opening Brief fails to propose a definition of a person skilled in the art, Google's definition is uncontested.

³ Citations herein to the "Patent" are citations to the '361 patent, a copy of which appears as Overture *Markman* Exh. 1. Citations to specific columns and lines in a patent are in the form ___:___, where the number before a colon indicates the column number, and the number or numbers after a colon indicate the line number or numbers.

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search results according to the searcher's preferences, id. at 2:65-67.

Second, traditional Internet advertising methods, such as "banner" advertisements, often generated little consumer interest. *Id.* at 3:16-33. Even banner advertisements that were targeted to search terms, id. at 3:28-30, often failed to deliver a good return on investment. Interest in such traditional advertisements was low, because "visitors to a web site seek specific information and may not be interested in the information announced in the banner." *Id.* at 3:36-38.

In their patent, the '361 inventors propose a single solution to both these problems: "[W]eb site promoters should be able to control their placement in search result listings so that their listings are prominent in searches that are relevant to the content of their web site." *Id.* at 3:51-54. According to the inventors, this would make search results more relevant, because advertisers would "have an incentive to select and bid on those search keyword that are most relevant to their web site offerings." *Id.* at 4:1-2. Moreover, by allowing advertisers to place their advertisements in the search results themselves, advertisers would be provided with a "costeffective way to target consumers." *Id.* at 3:58.

The basics of the "pay for performance" model described in the '361 3. specification: you get for what you pay for

The '361 abstract succinctly describes the inventors' claimed invention. Advertisers submit "search listings" having a description, at least one search term, and a bid amount. See id., Abstract. Advertisers bid on search terms "through a continuous online competitive bidding process." *Id.* The inventors later describe this process as a "pay-for-performance" process that "applies market principles to advertising on the Internet." *Id.* at 5:1-5. "A higher bid . . . will result in a higher rank value and a more advantageous placement." *Id.* The described invention thus embodies an old adage: you get what you pay for.

Overture's inventors do not claim to have invented any of the elements that make up their purported invention. The idea of keyword-triggered advertisements was well-known, and the inventors concede that others had done it before. *Id.* at 3:28-30. Selling placement in search engine results had also been tried before, by a company called Open Text. Declaration of

Michael S. Kwun ("Kwun Decl."), Exh. 1 at OVG 1371 (of record) (1996 article noting that
"Open Text Index search engine recently began selling Web site 'preferred' status in its index").
The pricing model chosen by the inventors – cost-per-click rather than per-impression pricing,
see, e.g., Patent at 5:22-27 – was also someone else's idea; Proctor & Gamble had bargained for
cost-per-click advertisements on Yahoo! as early as 1996. See Kwun Decl., Exh. 2 at OVG 1216
(of record). Finally, the notion of selling advertisement placements through an auction had also
been tried by others. See Kwun Decl., Exh. 3 at OVG 1124 (of record) (citing articles from 1997)
that described advertising auctions). At best, the '361 patent represents a narrow improvement in
a crowded field. See Augustine Med., Inc. v. Gaymar Indus., Inc., 181 F.3d 1291, 1301 (Fed.
Cir. 1999) ("non-pioneers must craft narrow claims to evade the strictures of a crowded art
field").

B. Overview of Google AdWords Select

Claims should be construed objectively; the meaning of a claim term does not depend on the method or device accused of infringement. *See Vivid Techs., Inc. v. American Sci. & Eng'g, Inc.,* 200 F.3d 795, 803 (Fed. Cir. 1999). However, because claim construction is for "resolution of disputed meanings," *see id.* (quoting *United States Surgical Corp. v. Ethicon, Inc.,* 103 F.3d 1554, 1568 (Fed. Cir. 1997)), some familiarity with the defendant's method or device may be useful in order to allow the court better to understand the areas of dispute. *Id.*

Google has long offered a "traditional" search engine that ranks search results on the basis of their relevance using a complex "PageRank" algorithm. In February 2002, Google introduced a new service, AdWords Select ("AWS"), see < http://www.searchenginewatch.com/searchday/article.php/2159301, which Overture accuses of infringing the '361 patent.

⁴ Articles cited during the prosecution of a patent application are intrinsic evidence. *Tate Access Floors, Inc. v. Interface Architectural Resources, Inc.*, 279 F.3d 1357, 1372 n.4 (Fed. Cir. 2002). In this brief, Google will include "of record" parenthetical references when citing articles that were cited during prosecution. The first four pages of the '361 patent list articles and patents that were cited during prosecution.

⁵ See < http://www.google.com/technology/index.html > (describing PageRank).

⁶ SearchEngineWatch.com is a site that is well known as a source of independent analysis in the Internet search industry.

1	"AdWords advertisements appear on search result pages when a query matches the keywords
2	purchased by advertisers. The advertisements appear to the right of search results, in small
3	boxes labeled 'sponsored links.'" <i>Id</i> .
4	AWS advertisements are priced using a cost-per-click model. <i>Id.</i> "However, unlike
5	other programs where the highest bidder takes the top placement, Google measures clickthrough
6	rates, or popularity, to help determine the position of an ad." <i>Id.</i> "In essence, this means that if
7	one ad is twice as effective as another ad, Google will rank the first ad as if its maximum cost-
8	per-click were double what the advertiser actually set " <i>Id</i> .
9	AWS also incorporates a feature called the "AdWords Discounter." <i>Id.</i> The AdWords
10	Discounter "monitors all bids placed for keywords, constantly on the lookout for changes. If a
11	competitor's bid drops on a keyword, the discounter automatically lowers your bid" <i>Id</i> .
12	III. LEGAL STANDARD
13	A. Claim Interpretation Begins With a Review of the Intrinsic Evidence: The Language of the Claims, the Patent Specification, and the File History
14	The interpretation of patent claims is a question of law to be decided by the Court. <i>Cybor</i>
15	Corp. v. FAS Techs. Inc., 138 F.3d 1448, 1454-56 (Fed. Cir. 1998) (en banc). Overture
16	repeatedly cites <i>Texas Digital</i> in support of its assertion that claim terms ought to be construed
17	based on their dictionary definitions. But <i>Texas Digital</i> cautions that one must consult the
18	intrinsic evidence:
1920	By examining relevant dictionaries, encyclopedias, and treatises to ascertain possible meanings that would have been attributed to the words of the claims by
21 22	those skilled in the art, and by further utilizing the intrinsic record to select from those possible meanings the one or ones most consistent with the use of the words by the inventor, the full breadth of the limitations intended by the inventor will be more accurately determined and the improper importation of unintended

ain ims by ect from he words r will be more accurately determined and the improper importation of unintended limitations from the written description into the claims will be more easily avoided.

Id. at 1205 (emphasis added). Thus *Texas Digital* makes clear that dictionary definitions of claim terms, alone, are insufficient. The *Texas Digital* approach is consistent with numerous decisions of the Federal Circuit, both before and after Texas Digital, that make clear that the process of interpreting claims is more sophisticated than Overture suggests.

In construing the claims of a patent, courts first consider three sources: the language of

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the claims, the patent specification and the prosecution history. *Markman v. Westview Instrs.*, *Inc.*, 52 F.3d 967, 979 (Fed. Cir. 1995) (en banc), *aff'd*, 517 U.S. 370 (1996). Collectively, the claims, specification, and prosecution history, which are the intrinsic evidence, are "the most significant source of the legally operative meaning of disputed claim language." *Teleflex, Inc. v. Ficosa N. Am. Corp.*, 299 F.3d 1313, 1325 (Fed. Cir. 2002) (quotation marks and citation omitted).

"We begin our claim construction analysis, as always, with the words of the claim." *Id.* at 1324. Words in the claim are given their ordinary meaning unless the patentee gives special meaning to them in the specification or prosecution history. *Kraft Foods, Inc. v. International Trading Co.*, 203 F.3d 1362, 1366 (Fed. Cir. 2000). Dictionaries and treatises are often helpful sources in determining the ordinary meaning of claim language. *Texas Digital*, 308 F.3d at 1203. "The specification acts as a dictionary when it expressly defines terms used in the claims or when it defines terms by implication." *Guttman, Inc. v. Kopykake Enters., Inc.*, 302 F.3d 1352, 1360 (Fed. Cir. 2002) (quotation marks and citation omitted). In addition, the prosecution history "is often of critical significance in determining the meaning of the claims." *Allen Eng'g Corp. v. Bartell Indus.*, 299 F.3d 1336, 1345 (Fed. Cir. 2002) (quotation marks and citation omitted).

If the intrinsic evidence fails to resolve all ambiguities about the meaning of the claim language, the Court may rely upon extrinsic evidence. *Storage Tech. Corp. v. Cisco Sys., Inc.,* 329 F.3d 823, 832 (Fed. Cir. 2002). Extrinsic evidence may be used to educate the Court about the technology or to provide definitions of terms of art, but may not be used to contradict the terms of the claims. *Markman,* 52 F.3d at 980.

B. Dictionary Definitions, Although Often Useful, Are Never Alone Determinative

Dictionaries and treatises "are always available to the court to aid in the task of determining meanings that would have been attributed by those of skill in the relevant art to any disputed terms used by the inventor in the claims." *Texas Digital*, 308 F.3d at 1208. Consulting dictionaries and treatises is particularly helpful "to ensure that [the Court's] understanding of the *technical* aspects of the patent is not entirely at variance with the understanding of one skilled in

the art." *Pitney Bowes, Inc. v. Hewlett-Packard Co.*, 182 F.3d 1298, 1309 (Fed. Cir. 1999) (emphasis added).

However, as the Federal Circuit cautioned as recently as June of this year, "precedent referencing the use of dictionaries should not be read to suggest that abstract dictionary definitions are alone determinative." *Brookhill-Wilk 1, LLC v. Intuitive Surgical, Inc.*, 334 F.3d 1294, 1300 (Fed. Cir. 2003). To the contrary, "a common meaning, such as one expressed in a relevant dictionary, that flies in the face of the patent disclosure is undeserving of fealty." *Renishaw PLC v. Marposs SpA*, 158 F.3d 1243, 1250 (Fed. Cir. 1998). "While dictionaries and treatises are useful resources in determining the ordinary and customary meaning or meanings of disputed claim terms, the correct meaning of a word or phrase is informed only by considering the surrounding text." *Brookhill Wilk 1*, 334 F.3d at 1300.

Dictionary definitions must be applied with care. "Courts must exercise caution lest dictionary definitions . . . be converted into technical terms of art having legal, not linguistic, significance." *Multiform Desiccants, Inc. v. Medzam Ltd.,* 133 F.3d 1473, 1478 (Fed. Cir. 1998); *see also Renishaw,* 158 F.3d at 1250 ("Indiscriminate reliance on definitions found in dictionaries can often produce absurd results.") (quoting *Liebscher v. Boothroyd,* 258 F.2d 948, 951 (C.C.P.A. 1958)). The Court should reject dictionary definitions that "hav[e] no relation to the claimed invention." *Texas Digital,* 308 F.3d at 1203. Instead, the Court should look for the definition that is "is most consistent with the use of the words by the inventor." *Id.* Only if there are multiple definitions that are "most consistent" with the usage in the specification should the claims be construed to encompass all of those meanings. *See id.; see also id.* at 1205 (claim construction requires selecting from among the ordinary meanings for the claim terms "the one or ones *most* consistent with the use of the words by the inventor" (emphasis added)).

Regardless of the dictionary definitions proffered by the parties, "if the inventor has disavowed or disclaimed scope of coverage, by using words or expressions of manifest exclusion or restriction," the inventor's description of his invention will control. *Id.* Even where there is no expression of "manifest" exclusion, if the specification "uses the words in a manner clearly inconsistent with . . . a dictionary definition," that definition should be rejected. *Id.* Thus, "the

1	construction that stays true to the claim language and most naturally aligns with the patent's
2	description of the invention will be, in the end, the correct construction." Renishaw, 158 F.3d at
3	1250.
4	C. The Specification Is Crucial to Defining the Meaning of a Disputed Term
5	"Claims must be read in view of the specification, of which they are a part." <i>Markman</i> ,
6	52 F.3d at 979. The specification "is the single best guide to the meaning of a disputed term."
7	Guttman, 302 F.3d at 1360 (quotation marks and citation omitted). The Court must "look to the
8	specification to ascertain the meaning of the claim term as it is used by the inventor in the
9	context of the entirety of his invention." Interactive Gift Express, Inc. v. Compuserve Inc., 256
10	F.3d 1323, 1332 (Fed. Cir. 2001) (internal quotation marks and citation omitted). An inventor's
11	description of his or her invention in the patent's abstract is particularly relevant to determine th
12	invention's scope. See Pandrol USA, LP v. Airboss Ry. Prods., Inc., 320 F.3d 1354, 1363 (Fed.
13	Cir. 2003) (relying on "[b]oth the abstract and the preferred embodiment" to construe claim
14	language). The Federal Circuit has "frequently looked to the abstract to determine the scope of
15	the invention." Hill-Rom Co. v. Kinetic Concepts, Inc., 209 F.3d 1337, 1341 n.* (Fed. Cir.
16	2000); see also Biogen, Inc. v. Berlex Labs., Inc., 318 F.3d 1132, 1136 (Fed. Cir. 2003)
17	(interpreting claims in light of the abstract). ⁷
18	The Court should examine the specification "to determine if the patentee has limited the
19	scope of the claims." Watts v. XL Sys., Inc., 232 F.3d 877, 882 (Fed. Cir. 2000). For example,
20	where the specification describes a feature as being part of the "present invention," that is
21	"strong evidence" that the scope does not extend to features contrary to the one described.
22	SciMed Life Sys. v. Advanced Cardiovascular Sys., Inc., 242 F.3d 1337, 1343 (Fed. Cir. 2001).
23	As the Federal Circuit has explained:
242526	Where the specification makes clear that the invention does not include a particular feature, that feature is deemed to be outside the reach of the claims of the patent, even though the language of the claims, read without reference to the specification, might be considered broad enough to encompass the feature in
2627	Patent Examiners are not allowed to use the abstract to interpret claims, 37 C.F.R. § 1.72(b), but that is "a rule of the Patent and Trademark Office that governs the conduct of patent

examiners in examining patent applications; it does not address the process by which courts construe claims in infringement actions." *Hill-Rom*, 209 F.3d at 1341 n.*.

question.

Id. at 1341.

In *Watts*, the court interpreted the term "sealingly connected" to mean sealingly connecting *using a varying taper angle*, based on the specification's description of the invention as using that feature. 232 F.3d at 883. Similarly, although the parties in *Wang Labs., Inc. v. America Online, Inc.*, 197 F.3d 1377 (Fed. Cir. 1999), agreed that the claim term "frame" could, considered in isolation, apply both to bit-mapped and character-based display systems, because only the latter type of system was both described and enabled by the specification, the court concluded that bit-mapped systems were outside the scope of the patent. *Id.* at 1164. And in *SciMed*, the court construed claim language requiring an "inflation lumen" separate from a "guide wire lumen" to mean coaxial lumens (one in which the inflation lumen surrounds the guide wire lumen) and not to mean side-by-side lumens, because the repeated descriptions in the specification of coaxial lumens "[r]ead together . . . lead to the inescapable conclusion" that the claim language, though otherwise subject to a broader reading, had to be construed narrowly.

Claims "must be interpreted in light of the teachings of the written description and purpose of the invention described therein." *Apple Computer, Inc. v. Articulate Sys., Inc.,* 234 F.3d 14, 25 (Fed. Cir. 2000) (relying on description "in great detail" in the specification in construing the claim term "help access window"). Courts also may properly reject proposed constructions that encompass subject matter outside the stated purpose of the invention.

⁸ See also Cultor Corp. v. A.E. Staley Mfg. Co., 224 F.3d 1328, 1331 (Fed. Cir. 2000) (explicit description in the specification requiring the use of a citric acid catalyst was a disclaimer of methods using other acid catalysts); O.I. Corp. v. Tekmar Co., 115 F.3d 1576, 1581 (Fed. Cir. 1997) (district court properly construed claim term "passage" to mean the specific type of passage disclosed in a preferred embodiment); Toro Co. v. White Consolidated Indus., Inc., 199 F.3d 1295, 1302 (Fed. Cir. 1999) (limitation of "including" a restriction ring mean permanent attachment of a restriction ring, where the specification described a unitary structure as being important to the invention); Bell Atlantic Network Servs., Inc. v. Covad Comms. Group, Inc., 262 F.3d 1258, 1271-73 (Fed. Cir. 2001) (construing "mode" narrowly, where the specification consistently implied a narrow meaning for that term). Describing an embodiment as a "preferred" embodiment does not necessarily change this principle. Where an embodiment is described as the invention itself, the scope of the claims should be construed with reference to that description. Modine Mfg. Co. v. United States Int'l Trade Comm'n, 75 F.3d 1545, 1551 (Fed. Cir. 1996).

1	Innovad, Inc. v. Microsoft Corp., 260 F.3d 1326, 1332 (Fed. Cir. 2001) (excluding feature from
2	scope of claim that contradicted stated purpose of invention); Crystal Semiconductor Corp. v.
3	Tritech Microelectronics Int'l, Inc., 246 F.3d 1336, 1349 (Fed. Cir. 2001) (rejecting construction
4	that "would contradict the clear purpose of the invention"); Purdue Pharma L.P. v. Boehringer
5	Ingelheim GmbH, 237 F.3d 1359, 1364 (Fed. Cir. 2001) (district court properly relied on the
6	"fundamental purpose and significance" of the invention in construing claims); CVI/Beta
7	Ventures v. Tura LP, 112 F.3d 1146, 1159 (Fed. Cir. 1997) (adopting construction that "is
8	consistent with and furthers the purpose of the invention").
9	Reliance on the specification to clarify claim terms should not be confused with the
10	prohibited practice of simply reading limitations from the specification into a claim. See
11	Renishaw, 158 F.3d at 1248. The claim construction inquiry is limited to defining words that
12	actually appear in the claims; statements in the specification that do not relate to words that
13	actually appear in a claim cannot be used to the limit the claim. See id. ("a party wishing to use
14	statements in the written description to confine or otherwise affect a patent's scope must, at the
15	very least, point to a term or terms in the claim with which to draw in those statements").
16	However, where the specification clarifies the meaning of a claim term, for example by
17	providing a "patent disclosure of singular purpose," courts are entitled to rely upon the context
18	provided by the specification to define the scope of the claims. <i>Id.</i> at 1251-53 (construing
19	"when" narrowly in light of the specification).
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IV. ARGUMENT

"search listing" and "search result list" Α.

1. The parties' proposed constructions

"search listing" a.

Google's Proposed Construction	Overture's Proposed Construction
an entry in (or intended to be in) a search result list	a collection of information that includes at least one search term and that can be included in a search result list

"search result list" b.

Google's Proposed Construction	Overture's Proposed Construction
the series of entries, selected from the database being searched by a searcher, arranged one after the other, containing the information responsive to the searcher's search	a set of search listings that is obtained by calculation

2. **Summary of dispute**

Google has defined a search listing as an entry that is or is intended to be in a search result list, and a "search result list" as a series of such entries arranged one after the other (i.e. a "list"). Overture's definitions are both artificially narrower (requiring that search listings include at least one search term), and potentially broader than the scope of the invention disclosed (search listings in a search result list merely must be a "set" of search listings that is "obtained by calculation").

The parties also dispute whether the search result list must be responsive to the searcher's inquiry. Google contends that the search result list must be in fulfillment of the consumer's request for information, whereas Overture's proposed construction includes no analogous limitation. This dispute is relevant to infringement because Google contends that the list generated by AWS is not in response to the search request. Instead, a searcher using Google's site requests and receives a list generated by a Google "web search" server – that is, search listings from Google's neutral and unpaid database of web sites, ordered using Google's PageRank algorithm. The searcher also receives a list of advertisements displayed next to the

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PageRank results, which is *not* the information that the searcher has requested. Thus, if the Court adopts Google's construction, the list generated by AWS will not be a "search result list" as claimed by the '361 patent.9

- A "search listing" is an entry in (or intended to be in) a search result list
 - The definition of "search listing" should not include the phrase a. "search term"

In their brief summary of their purported invention, the inventors describe three distinct aspects of the invention, which "enable a web site promoter to [1] define a search listing for a search result list, [2] select a search term relevant to the promoter's web site, and [3] influence a search result position for the search listing on an Internet search engine." Patent at 4:55-60. Those three aspects correspond to (1) the search listing; (2) the search term; and (3) the bid amount. Overture's proposed definition for "search listing," however, already includes a search term. If Overture's definition were correct, the act of defining a search listing would necessarily include choosing a search term, and thus there would be no need further to state that the web site promoter "select[s] a search term." *Id.* at 4:58.

If every "search listing" by definition includes at least one search term, then there would be no need expressly to require that the search listings recited in the claims must be associated with at least one search term, see, e.g., id. at 22:64-67 (claim 1), because that requirement would already be implicit in the requirement of a search listing. See Telemac Cellular Corp. v. Topp Telecom, Inc., 247 F.3d 1316, 1325 (Fed. Cir. 2001) (rejecting claim construction that would render other express claim language mere surplusage); Unique Concepts, Inc. v. Brown, 939 F.2d 1558, 1562 (Fed. Cir. 1991) (claims should generally be interpreted to render all the limitations in the claim meaningful).

⁹ Overture's Opening Brief emphasizes another point: the fact that search listings exist independent of whether they are included in a search result list. See, e.g., Opening Brf. at 8:9-11 (arguing that a search listing "exists on its own, regardless of whether it has been aggregated with other listings"). This, however, is not disputed. Indeed, during the meet-and-confer process that led to the filing of the joint claim construction statement, and after reading Overture's preliminary construction, Google amended its construction to include the parenthetical phrase 'or intended to be in" in recognition of the fact that a search listing is a listing even before it is included in a search result – and, indeed, even if it is never included in a search result list.

Overture's approach also suffers from a lack of internal consistency. Every claim also requires that each search listing be associated with, include, or have a bid amount. Overture's definition arbitrarily incorporates some extraneous claim language (search term) but not other such language (bid amount). Overture offers no reason to incorporate one limitation, but not the other, into its definition of a search listing.

Finally, Overture's approach is inconsistent with the specification, which describes prior art search systems in which the listings were not necessarily tied to search terms. *See* Patent at 2:42-67. In these prior art systems, "search algorithms select and rank web pages based on multiple criteria such as keyword density and keyword location." *Id.* at 2:48-53. In fact, the specification makes clear that unpaid listings, which will not include bid amount-search term pairings, can be included in a search result list. *Id.* at 10:27-35.

b. Google's construction is consistent with the ordinary meaning of the claim language, read in light of the specification

Google simply defines a "search listing" as an entry in a search result list (or, because listings exist prior to actually being in search results, an entry that is intended to be in a search result list). Coupled with Google's definition of "search result list," discussed below, this definition is consistent with both the ordinary meaning of the claim language and the specification.

4. Google's construction of "search result list" is consistent with the ordinary meaning of the claim terms, as used in the specification

The Court should begin by considering the ordinary meaning of the claim terms, read in light of the specification. Because a "search result list" is a *list* of *search results*, Google will begin by addressing the word "list."

a. A "list" is a series of entries, arranged one after the other

A "list" is, as Google proposes, an ordered series of entries, and not merely a "collection of information" or a "set" of search listings. Google's definition is consistent with the ordinary meaning of the word "list." American Heritage defines a "list" as "a series of names, words, or other items written, printed, or imagined one after the other[.]" Kwun Decl., Exh. 4 at 1021. The New Oxford Dictionary of English similarly defines a list as "a number of connected items

or names written or printed consecutively, typically one below the other[.]" Kwun Decl., Exh. 5 at 1076. The word "set" does not imply any sort of order, while "list" does.

Google's definition is also consistent with the language of the claims, each of which indicates that a "list" is what one gets after placing search listings *in an order*. Patent at 23:11-12 (claim 1, "ordering the identified search listings into a search result list"); *id.* at 24:1 (claim 11, same); *id.* at 24:27-28 (claim 13, same); *id.* at 25:33-35 (claim 14, "the search result list arranged in an order determined using the bid amounts"); *id.* at 27:2-3 (claim 30, "the search result list arranged in an order corresponding to the bid amounts"); *id.* at 28:50-51 (claim 52, same).

The context supplied by the patent specification also supports Google's definition. The specification consistently uses the term "search result list" to refer to an *ordered* set of search listings. The first mention of a "search result list" appears in the '361 abstract, which states that the invention relates to a system and method allowing one to "influence a position for a search listing within search result list." Patent, Abstract. The abstract goes on to explain that position is determined by "rank," which is in turn determined by the "bid amounts" of the search listings. *Id.* In the background section, the inventors note that "[t]he higher an advertiser's position on a search result list, the higher likelihood of a 'referral'." *Id.* at 4:3-4. Simply put, the disclosed purpose of the invention – allowing advertisers "to pinpoint the placement of their web site description within the search results," *id.* at 5:11-12 – cannot be achieved unless a "search result list" is an *ordered* series of entries. If a list is merely a "set," none of this makes sense.

- b. A "search result" is something obtained in response to a search submitted by a consumer using an Internet search engine
 - (i) Overture's definition does not provide a meaningful definition of "result," and does not provide any definition of "search"

Overture's definition of "search result" – "search listings . . . obtained by calculation" – is simply unhelpful. Overture asserts that "[t]he parties generally agree that a search result list includes a collection of information that is obtained or selected as the result of some type of action, *such as* a search and/or *a calculation*." Opening Brf. at 9:21-22 (emphases added). Google agrees that a "search result" should be the result of a search, but Overture's attempt to

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equate "search" with "calculation" is inapposite. Indeed, Overture's inclusion of the phrase "obtained by calculation" derives from a dictionary definition for the word "result." See Overture Markman Exh. 6 (Merriam Webster Collegiate Dictionary (Tenth ed. 1995); Merriam-Webster Unabridged (Online ed. 2003)). Replacing "search" with "calculation" is tantamount to defining "search result" as the "result of a result," which is circular. Coupled with Overture's failure to explain what it means by "calculation," this renders Overture's definition unsatisfactory.

Moreover, even Overture's reference to "search listing" fails to give meaning to the word "search," because, as noted above, Overture's attempt to include "search term" in its definition of "search listing" is improper. And without "search term," Overture's definitions for both "search listing" and "search result" lack any reference to the concept of "search." Given that the '361 patent claims a system and method that relates to search result lists generated by search engines, see, e.g., Patent, Title, any proper definition of these claim terms must be firmly rooted in the notion of a "search."

Overture's attempts to dodge this central concept are unexplained in its Opening Brief, but it may be that Overture is hoping to argue that Google's PageRank search results – the main attraction at Google's web site – are not "search results" or "search listings" at all. In proving infringement, Overture would then focus exclusively on AWS's advertisements at the side of the page – thus avoiding thorny questions regarding which list in the Google system is the list of "search results." Because most jurors will instantly associate the word "search" with Google's PageRank search listings, rather than with AWS advertisements, Overture wants to downplay the significance of the term "search." The specification, however, describes an invention that is emphatically concerned with searches, and any definition of claim terms that include the word "search" should reflect that reality.

Google's definition is consistent with the intrinsic evidence (ii)

The term "search result" is best understood as a term of art. The title of the patent refers to a "search result list" generated by a "search engine." See Patent, Title. Internet search engines address a problem that, although not entirely unique, is particularly prevalent on the

World Wide Web, which "is composed of a seemingly limitless number of web pages dispersed across millions of different computer systems all over the world in no discernable organization." *Id.* at 2:26-29. Internet search engines represent one solution – well-known at the time the '361 patent application was filed – allowing Internet users to find information they are looking for. *Id.* at 2:29-32. At the time the '361 patent application was filed, search services were already second only to electronic mail among Internet tools. *Id.* at 2:36-41. When a person skilled in the art reads the '361 patent application, he or she will understand "search" to refer to Internet search services.

A "search result," then, is the result of an Internet search – which is designed, as best as possible, to "prioritize results in accordance with consumers' preferences." *Id.* at 2:65-67. When using the described invention, a searcher is supposed to receive "quick, easy and relevant search results," *id.* at 3:56-58, thus allowing him or her to "find companies or businesses that offer the products, services, or information that the consumer is seeking." *Id.* at 3:58-62. The searchers are "seeking specific information on the web," *id.* at 10:8, and the search engine described in the patent is trying to provide them with search results in response. While the phrase "obtained by calculation" aptly describes the "results" one obtains when completing a mathematics assignment, the context supplied by the '361 specification points to a more useful and precise definition for the word "result": search listings, selected from the search engine database being searched by a searcher, containing the information responsive to the searcher's search.

Moreover, a "search result," properly construed, should *not* include "banner" or "tile" advertisements. Nothing in Overture's definition of "search result list" precludes a banner advertisement from being included in a search result list. The specification, however, specifically excludes "banners" from the scope of the term "search result." The inventors distinguished their purported invention from "current paradigms for generating web site traffic, such as banner advertising." *Id.* at 3:16-17. The advertising system championed by the inventors instead focuses on placing advertisements *in search results*. *Id.* at 3:51-54 ("Ideally, web site promoters should be able to control their placement *in* search result listings"); *id.* at

1	5:54-56 (search listings are placed "within a search result list") (emphasis added). In contrast,
2	pre-existing "paradigms for generating web site traffic, such as banner advertising, follow
3	traditional advertising paradigms and fail to utilize the unique attributes of the Internet." <i>Id.</i> at
4	3:16-19. The inventors note that banner advertisements can be linked to search terms on search
5	engines, id. at 3:38-30, but nonetheless may not reach interested parties, because "most visitors
6	to a web site seek specific information and may not be interested in the information announced in
7	the banner." See id. at 3:38-41. Thus, while banners and tiles may be triggered by a user's
8	search, they are not <i>responsive</i> to the searcher's search, because they are not the thing for which
9	the searcher is searching. Google's proposed construction, which requires that a search result be
10	responsive to a searcher's search, captures this distinction, while Overture's does not.
11	(iii) The extrinsic evidence confirms that a search result is different from prior art Internet advertisements that appeared
12	alongside or above search results
13	To the extent that the Court concludes that any ambiguity remains after considering the
14	intrinsic evidence, it should look to extrinsic evidence. Storage Tech., 329 F.3d at 832. And the
15	extrinsic evidence confirms the distinction between search results (or search listings) and other
16	informational displays (such as banner advertisements and advertising "tiles").
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Google's AWS takes a different approach. Instead of including advertisers' listings in search results, AWS places advertisements *next to* search results. "The main difference between the two programs is the way in which their ads are listed – Google's are highlighted alongside the regular Google search results, and Overture's appear AS regular search results." Kwun Decl., Exh. 6 at GOG 32243 (capitalized emphasis in the original). Industry usage, and Overture's own usage, confirms that "search results" do *not* include banner and tile advertisements.

5. Google does not contend that a search result list must be displayed

Overture devotes considerable space disputing a point that Google has not made. Overture contends that Google is taking the position that a search result list *must* be displayed. Google's definition, however, does not anywhere use the word "display." This is a non-issue.

В. "[modifiable] bid amount"

1. The parties' proposed constructions

Google's Proposed Construction	Overture's Proposed Construction
the price the website promoter will pay upon occurrence of a triggering event [changes to which can be controlled by the website promoter]	a quantity of money [which can be changed] that a customer or client is willing to pay per click

2. **Summary of dispute**

This claim term is central to the parties' dispute. Every claim requires that paid listings have modifiable bid amounts. There are two important differences between the parties' proposed constructions.

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First, Google contends that a "bid amount" is the amount the advertiser will pay. Overture argues that a "bid amount" is the amount an advertiser is willing to pay. The real dispute between the parties is whether "bid amount" means an amount that an advertiser will actually pay (Google's position) or whether it also encompasses the maximum amount the advertiser is willing to pay (Overture's position). This is significant because AWS advertisers set a maximum bid, but the actual bid amount they are charged depends on a variety of other factors. In other words, the maximum bid is a *ceiling* on the bid amount rather than the bid amount itself. If the Court adopts Overture's definition, the "bid amount" could be a maximum bid, even though the advertiser typically will *not* pay that amount for a click-through.

Second, the parties disagree about the meaning of "modifiable." Google contends this refers to the *advertiser's* control over its bid amount. Overture argues that it merely means that the bid amount can be changed – by anyone.

3. Googles agrees that the triggering event required by the '361 patent is a click-through

Google's construction of "bid amount" is stated in terms of a "triggering event," while Overture's is stated in terms of a "click." Google agrees that the '361 patent requires that the bid amount be a price per click. Notably, this conclusion finds no support in the language of the claims, considered in isolation. Nor is it derived from dictionary definitions. Instead, the *only* way one knows that a bid amount is measured on a cost-per-click basis is the specification's repeated and consistent explanation that the invention is based on a cost-per-click pricing model.

Here, where it apparently suits its purposes, Overture readily proposes a construction that can only be supported by reading the claims in light of the specification, with an eye toward ascertaining the purpose of the invention. 10 Elsewhere, however, Overture refuses to apply this claim construction principle consistently, and instead steadfastly ignores the teachings of the specification while relying almost exclusively on dictionary definitions. Based on the disclosure in the specification, Google agrees that the Court can construe "bid amounts" to be limited to

Overture presumably intends to argue that prior art systems which did not charge on a costper-click basis cannot anticipate the claims of the '361 patent.

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cost-per-click bids. But doing so requires Overture (and the Court) to adopt a consistent methodology in construing the other disputed terms and phrases.

4. A bid amount is the amount a successful bidder will pay

The Court should adopt Google's definition of "bid amount" for several reasons. Most important, both the ordinary meaning of the term as well as explicit statements in the specification make very clear that bid amount means the amount one will actually pay upon the occurrence of some event. The Examiner's expressly stated reasons for allowance make clear that bid amount means the amount one will actually pay. Overture's own party admissions made in describing a "pay for performance" advertising system in another patent application make clear that bid amount means the amount one will actually pay. Even extrinsic evidence makes clear that bid amount means the amount one will actually pay.

a. The ordinary meaning of "bid amount," read in light of the specification, is the amount the advertiser *will* pay¹¹

The dictionary definitions cited by the parties overwhelmingly favor Google. Random House defines bid, in its verb form, to mean "to offer (a certain sum) as the price one will pay or

Alternatively, Overture may mean that the "bid amount" is the most the advertiser is willing to pay. That is problematic, because it would seem to mean a subjective value that may not be known by the search system at all. In the foregoing example, the advertiser is *willing* to pay a maximum of \$1 per click-through, but *never enters that figure into the system*. In order to modify the advertiser's "bid amount," one would need actually to change the advertiser's mind.

Finally, it could be that Overture intends "bid amount" to mean the maximum amount that an advertiser has told the search system it is willing to pay. This third possibility will be the focus of our discussion.

¹¹ Prior to evaluating the evidence and deciding which party's construction is more persuasive, the Court must first determine what the parties' respective positions are. Unfortunately, Overture's definition is, on its face, ambiguous, and its Opening Brief does little to dispel this ambiguity.

Overture may be arguing that the "bid amount" is *any* amount that the advertiser is willing to pay. For example, if an advertiser is willing to pay up to \$1 per click-through for the search term "San Francisco," but that advertiser knows that none of its competitors are willing to pay more than 25 cents for that search term, presumably the advertiser will choose to list its search listing at 26 cents – just high enough to ensure top billing. But if "bid amount" simply means any amount the advertiser is willing to pay, then *every price between 0 and 100 cents* would *simultaneously* be the advertiser's bid amount, because every amount in that range is an amount the advertiser is "willing" to pay. Indeed, even if the advertiser changes the listed cost per click to 50 cents, its bid amount would still be every price between 0 and 100 cents. This definition, under which there is never a single bid amount for a search listing, should be rejected (assuming Overture is even advancing it) because it defies common sense.

charge: They bid \$25,000 and got the contract." Kwun Decl., Exh. 7 at 204 (Random House's	
italics). Webster's similarly defines bid (again in the verb form) to mean "to offer (a certain	
sum) as the price or fee that one will pay or accept." Kwun Decl., Exh. 8 at 136. Merriam-	
Webster's definition is also in accord. Kwun Decl., Exh. 9 at 111 ("a statement of what one will	
give or take for something"). The New Oxford Dictionary also defines "bid" in terms of a price	
one will actually pay, rather than a price one would be willing to pay. Kwun Decl., Exh. 10 at	
170 ("an offer of a price"). For example, if a collector sends an buyer to a Sotheby's auction	
with instructions to bid no more than \$10 million for a Picasso, and the buyer submits a winning	
bid of \$5 million, no one would argue that the collector's "bid amount" was \$10 million rather	
than \$5 million. ¹²	
The inventors unequivocally state that the cost of a search listing is the bid amount	
multiplied by the number of click-throughs, which necessarily means that the bid amount is the	
actual cost per click (i.e. the amount the advertiser will pay for each click through):	
The system calculates the projections based on a cost projection algorithm using lany of a number of different algorithms known in the art. However since	

The system calculates the projections based on a cost projection algorithm . . . using [any of] a number of different algorithms known in the art. However, since the cost of a search listing is calculated by multiplying the bid amount by the total number of clicks received by the search listing at that bid amount during a specified time period, every cost projection algorithm must generally determine an estimated number of clicks per month (or other specified time period) for a

Patent at 21:4-13 (emphasis added). If the bid amount were anything other than the actual cost per click, the cost of a search listing would *not* be the bid amount multiplied by the number of clicks. In short, the italicized assertion is *only* true if Google's proposed construction is correct.

The '361 specification consistently uses "bid amount" to mean the amount the advertiser actually *will* pay, rather than an amount it is *willing* to pay. *Id.* at 5:22-26 (summary of invention) (advertiser's bid is "a money amount the advertiser *will* pay . . . each time a searcher clicks on the advertiser's hyperlinked listing" (emphasis added)); *id.* at 9:46-49 (detailed

search listing.

¹² Overture argues that Google's definition is contrary to the ordinary meaning of "bid," in which only the winning bidder pays the amount bid. Opening Brf. at 14:5-11. Google, however, has defined "bid amount" to mean the amount the advertiser will pay *upon a triggering event*. If that triggering event (a click-through) occurs, the advertiser necessarily has "won," and thus "will" pay the bid amount.

description) (bid amount is "a money amount that *is* deducted from the account of the advertiser for each time the advertiser's web site is accessed via a hyperlink on the search result list page" (emphasis added)); *id.* at 13:3-8 (detailed description) (bid amount is the money amount that "*is* deducted from the advertiser's prepaid account or is recorded for advertiser accounts that are invoiced for each time . . . the search result list hyperlink is used to refer the searcher to the advertiser's web site" (emphasis added)).

The specification also repeatedly equates the "cost to advertiser" with the "bid amount." *Id.* at 4:6-9 ("The openness of this advertising marketplace is further facilitated by publicly displaying, to consumers and other advertisers, the price bid by an advertiser on a particular search result listing."); *id.* at 5:42-43 ("Most preferably, the bid amount is included on the identification [of the listing as a paid listing]."); *id.* at fig. 7 (displaying the "Cost to advertiser" for each search listing); *id.* at 18:19-23 (explaining that the listings in figure 7 "display the advertiser's bid amount").

Finally, the microfiche appendix to the patent application, *see* Patent at 1:6-13, includes a file called "rates.html" that is in a "mediakit" subdirectory. *See* Kwun Decl., Exh. 11. This file defines "bids" as "the price you agree to pay per click-through for each search term." *See id.* at 2:

b. The prosecution history confirms the meaning of "bid amount"

At the conclusion of the prosecution of the '361 patent application, the Examiner filed a statement of reasons for allowance as part of the Notice of Allowability. Kwun Decl., Exh. 12. In support of his conclusion that *all* of the pending claims were allowable, the Examiner stated that "the bid amount correspond[s] to a money amount that is deducted from an account . . . upon receipt of a retrieval request for the network location." *Id.* at 3. Overture did not file a response

¹³ Overture's citation to a different statement in the microfiche appendix is not to the contrary. *See* Overture *Markman* Exh. 9 ("the bid price is the amount you're willing to pay"). In Overture's original system, the amount an advertiser was charged per click was always the same as the amount that the advertiser told the system it would be "willing" to pay. However, as the rest of the evidence makes clear, although the bid amount in the original Overture system happened to be the same as the amount the advertiser indicated it was willing to pay, the thing that made that amount the "bid amount" was the fact that the advertiser *was in fact* charged that amount per click.

to the Examiner's statement. *See* 37 C.F.R. § 1.104(e) (when an Examiner files a statement of reasons for allowance, the applicant or patent owner "may file a statement on the reasons for allowance within such time as may be specified by the examiner").

Overture's failure to respond to the Examiner's statement of reasons for allowance gives rise to an inference that Overture agreed with the Examiner's explanation. *See Elkay Mfg. Co. v. Ebco Mfg. Co.*, 192 F.3d 973, 979 (Fed. Cir. 1999) (construing patent claim narrowly, relying, in part, on the applicant's failure to respond to the examiner's statement of reasons for allowance); *Biogen, Inc. v. Berlex Labs, Inc.*, 318 F.3d 1132, 1138-40 (Fed. Cir. 2003) (construing claim narrowly in part because the examiner construed it narrowly, as evidenced by, among other things, a statement of reasons for allowance). ¹⁴ In the face of evidence that the Examiner understood "bid amount" to mean the amount that an advertiser actually will pay for a click-through, the public is entitled to assume that bid amount does, in fact, mean the amount an advertiser *will* pay for a click-through. By failing to respond to the Examiner's statement of reasons for allowance, Overture acquiesced in the Examiner's understanding.

c. In a patent application it has described as "related" to the '361 patent application, Overture has confirmed that "bid amount" refers to the actual price that will be paid

In a patent application that is "related" to the '361 patent application, Overture was faced with the need separately to describe the minimum, maximum, and actual cost per click, and chose to call the *actual cost per click* the "bid amount." Kwun Decl., Exh. 13 ¶¶ 1, 35-40 (hereinafter, "Cheung et al."). Darren J. Davis, the first-named inventor on the '361 patent, is listed as a co-inventor on Cheung et al., and this application is being prosecuted by attorneys at

The regulation allowing the filing of a response to a statement of reasons for allowance *previously* provided, "Failure to file such a statement shall not give rise to any implication that the applicant or patent owner agrees with or acquiesces in the reasoning of the examiner." 37 C.F.R. § 1.109 (former version, now recodified and amended as section 1.104(e)). However, this sentence was deleted, effective November 1, 2000 (the Examiner's reason for allowance is dated March 23, 2001), because "[t]his statement of the rule is inconsistent with recent decisions by the United States Supreme Court (Supreme Court) and the United States Court of Appeals for the Federal Circuit (Federal Circuit), which highlight the crucial role a prosecution history plays in determining the validity and scope of a patent." 65 F.R. 54604, 54633 (Sept. 8, 2000) (citations omitted). Thus, the failure to file a response to a statement of reasons for allowance *is* relevant in construing the claims.

Brinks Hofer, the same firm that prosecuted the '361 patent application. *Id.* (face page).

Cheung et al. describes an embodiment in which the minimum, maximum, and actual cost per click are all distinct parameters. *Id.* ¶¶ 35-40. The advertiser chooses a "bid cap," which is "the maximum dollar amount at which a bid may be set by the system." *Id.* ¶ 40. The system then sets "[b]id amounts" that are "less than or equal to the bid cap." *Id.* The bid, however, will "never be lower than the minimum bid of \$0.05." *Id.* In Cheung et al., Overture described the advertiser's *actual cost* as the "bid amount," and the *cap* on the advertiser's cost the "bid cap." In short, until it decided to sue Google, Overture understood "bid amount" to mean the *actual* cost per click. Overture should not be allowed to switch course now to satisfy the exigencies of the current litigation.

d. The extrinsic evidence supports Google's construction

To the extent that the Court concludes that ambiguities remain after consideration of all of the intrinsic evidence, the extrinsic evidence offers still further support for Google's definition of "bid amount."

(i) Google's definition is supported by extrinsic evidence concerning Overture's "pay for performance" system

When Overture introduced a Google-style bidding mechanism (in which advertisers can set a ceiling on a cost per click rather than directly setting the actual cost per click), it *named* and *described* that new system in a manner that implicitly adopted Google's proposed definition of "bid amount." Google introduced AWS in February 2002. *See* Kwun Decl., Exh. 14 at GOG 32256. That summer, Overture added a new feature to its system, called "Auto Bidding," that borrowed heavily from the AWS bidding system. *See id.* In the Google bidding system, the advertiser sets a maximum cost per click, and the system automatically discounts the actual cost (i.e. the amount the advertiser will pay) as much as possible without sacrificing positional ranking – or, to borrow the explanation offered by one industry report, AWS includes "an automatic discounter, which lowers your bid amount every time there's a gap." Kwun Decl., Exh. 15 at GOG 32255; *see also* Kwun Decl., Exh. 6 at GOG 32244 (AWS "automatically keeps your bid one penny ahead of the competition, up to your stated maximum amount").

1	Overture's Auto Bidding feature, when introduced in the summer of 2002, was	
2	recognized as being similar to AWS. "Overture has taken the hint and has implemented a similar	
3	tool, referred to as its 'Auto Bidding' tool." <i>Id.</i> at GOG 32245. Another industry report	
4	described Overture's Auto Bidding system like this:	
5	Under the old system, you simply put what you would pay for a paid link and your result was ranked accordingly. Under the new system, you put in the very	
6	most you're willing to pay, and the actual price you are charged raises and lowers depending on what the competition is.	
7	This new system is similar to the way bidding works on eBay. When you bid on	
8	eBay you put in the most you're willing to pay. The actual bid is the most necessary to be the leader in the auction. If someone bids higher than your	
9	revealed bid, <i>your bid is adjusted</i> to maintain your winning position. Ebay will continue doing that until someone bids higher than the highest bid you've put in. (Ebay calls this proxy bidding.)	
11	Kwun Decl., Exh. 14 at GOG 32256 (emphases added). This explanation equates the "actual	
12	bid" with the amount one will pay, distinct from the "maximum bid." See also Kwun Decl., Exh.	
13	17 at GOG 32231 (when using Auto Bidding, "[t]he max bid is not always the actual bid price").	
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15	REDACTED	
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17	Instead, Overture does the bidding for you – hence the	
18	name of the new feature, Auto Bidding. See Kwun Decl., Exh. 16 at GOG 32231 (explanation,	
19	by a third party, that "Auto Bidding will change your bid price for you automatically up to a Max	
20	Bid amount you have selected").	
21		
22	REDACTED	
23		
24	(ii) Google's definition is consistent with eBay's description of an analogous system	
25	Google's definition is also supported by industry custom and usage, as evidenced by	
26 27	eBay's description of its own Internet bidding system. The eBay online auction system – which	
2728	was already quite popular at the time the '361 patent application was filed – is one of the best	

known examples of an Internet system in which the maximum cost (i.e. the cost one is *willing* to bear) and the actual cost (i.e. the cost one *will* bear) are distinct. And, consistent with Google's proposed construction, eBay calls a buyer's maximum cost the "maximum bid," and the buyer's actual cost (assuming the buyer wins the auction) the "bid" or the "current bid." *See* Kwun Decl., Exh. 17 at GOG 32225.

5. "Modifiable" means the bid amount can be changed by the web site promoter

Overture again exhorts the Court to construe "modifiable" in isolation, without reference to the contextual cues that make clear that it is the *advertiser* who must be in control of changes made to the bid amount. The parties agree that "modifiable," considered in isolation, means "changeable." That is not in dispute. The context provided by the specification, however, makes it clear that the claims are referring to changes *under the control of the advertiser*.

First, Overture's definitions are internally inconsistent. According to Overture, a "bid amount" is the amount an advertiser is *willing* to pay, while "modifiable" means that the bid amount is changeable *by anyone*. That makes no sense. The amount an advertiser is *willing* to pay cannot be changed *by someone other than the advertiser*.

Moreover, the context supplied by the specification demonstrates that the *purpose* of the claimed invention is to allow advertisers precisely to control placement of their advertisements, which can be achieved only if changes to bid amounts are controlled by the advertisers. In describing the impetus for the patent, the inventors explain, "Ideally, web site promoters should be able to control their placement" Patent at 3:51-52. The method of achieving this control is to provide an "on-line marketplace[in which] companies selling products, services, or information bid in an open auction environment for positions on a search result list" *Id.* at 3:62-64. In summarizing their invention, the inventors further explain, "The bidding process occurs when an advertiser enters a new bid Preferably the promotor's bid is processed in real time." *Id.* at 5:62-65. The inventors' failure to describe the act of the *advertiser* entering a new bid as merely preferable – in contrast with real-time processing, which is expressly identified as preferable – is illuminating. Toward the end of their summary of their invention,

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the inventors again highlight that it is the advertiser who controls changes to its bid amount, noting that "the promotor may . . . modify . . . the bid amount of a search listing" *Id.* at 6:28-31.

Placing control over changes in the bid amount with the advertiser is crucial to achieving the objective of the invention, which is to allow advertisers "to pinpoint the placement of their web site description within the search results" *Id.* at 5:11-12. Here, the inventors' consistent explanation of their invention as one in which the *advertiser* controls the bid amount, coupled with the importance of this feature in achieving the stated goal of allowing "pinpoint" placement of search listings, is dispositive.

C. "a modifiable bid amount that is *independent of* other components of the search listing"

1. The parties' proposed constructions

Google's Proposed Construction	Overture's Proposed Construction
a modifiable bid amount that is unconstrained by other components of the search listing	a modifiable bid amount that is not dependent or contingent upon other components of the search listing

2. Summary of dispute

The parties' dispute over the term "independent of" concerns the degree to which other components of the search listing affect the bid amount. Google's definition clarifies that other components of the search listing have *no* effect on the bid amount. Overture's proposed construction is a non-definition; it provides no more clarity on this issue than does the phrase to be construed.

The Court's construction is relevant to infringement. For example, in AWS, the actual cost per click (which Google contends is the "bid amount") for a given listing is a function of the maximum cost per click for that listing, the expected click-through rate for that listing, and the maximum cost per click for other listings that are associated with the same search term. It is thus based on several other components of the search listing, and is not independent of them.

3. The ordinary meaning of "independent of" is "unconstrained by"

Overture's proposed construction well illustrates the problem with excessive reliance on

dictionary definitions. It is hard to argue that independent does not mean "not dependent." But this definition is unhelpful. If a jury cannot understand what it means to be "independent," it will not help to be told that it means to be "not dependent." The meaning of the other half of Overture's definition – "not contingent" – is obscured by its pairing with the circular definition "not dependent."

In the context of the '361 patent, Google believes that "unconstrained by" concisely conveys the meaning of "independent of." This definition is consistent with the ordinary meaning of the word "independent." One of the definitions for "independent" offered by Random House equates "independent" with "unconstrained." Kwun Decl., Exh. 18 at 970. Other Random House definitions include "not influenced or controlled by others," and "not influenced by the thought or action of others." *Id.* Webster's New World Collegiate Dictionary similarly focuses on freedom from influence or control by others. Kwun Decl., Exh. 19 at 686. Overture has advanced no reason why this definition is incorrect, nor suggested that it differs in any way from the second part of its definition, "not contingent."

4. The specification supports Google's interpretation

Google's definition best captures the invention described by the inventors. The specification criticizes the results generated by prior art systems, which relied on multiple criteria to order results, and explains the benefits of the method and system claimed by the inventors, in which bid amount determines placement. Google's definition correctly highlights that the bid amount must be freely determinable by the advertiser – unconstrained by other factors, such as keyword density, or algorithmic determinations of the relevance of a web site to a search term. Were this not the case, the "free market" bidding system advocated by the inventors would, in fact, be saddled with the same problems for which they criticize prior art search systems.

According to the inventors, one problem with prior search systems was that their ranking of search listings was based on "multiple criteria such as keyword density and keyword location," which could yield "random and even irrelevant" results. Patent at 2:52-55. The specification establishes that the disclosed invention represents a clean break from the prior art, which relied on multiple criteria derived from the websites themselves, in favor of a market-

based approach that looks to the amount each advertiser will pay for a click-through. As explained in the '361 abstract, "A higher bid by a network information provider *will* result in . . . more advantageous placement." *Id.*, Abstract (emphasis added). This point is confirmed in the summary of the invention. *Id.* at 5:35-37 ("The higher the bid, the more advantageous the placement"). Although the inventors often preface statements with the word "preferably," which appears in the specification no less than forty-nine times, the foregoing statement is offered without reservation.

Articles about Overture's system, submitted during prosecution of the '361 patent application, confirm that the "bid amount" must be free from constraint by other aspects of the search listing. In an article published more than fifteen months before the patent application was filed, an industry reporter explained that the new Overture search engine would be different, because "it ranks Web sites based on how much the sites are willing to pay . . . rather than based on keyword density or some other mathematical formula." Kwun Decl., Exh. 20 at OVG 1222 (of record). The bid amount would be determined by "[s]upply and demand." Kwun Decl., Exh. 21 at OVG 1226 (of record). Instead of relying on an algorithm or editorial constraints, Overture's bids are based on "the free market." Kwun Decl., Exh. 22 at OVG 1232 (of record). "Those willing to pay more can appear higher in the search results." Kwun Decl., Exh. 23 at OVG 1366 (of record).

Unless the "bid amount" is unaffected by other components of the search listing, these repeated statements, all of which are intrinsic evidence, fail properly to describe the claimed invention. If one's bid amount is constrained or affected by the popularity of one's search listing, that is not the "open auction" the specification speaks of. Patent at 3:63-64. If an advertiser's ability to choose a bid amount is constrained by other aspects of its listing, it cannot "pinpoint" its placement. *Id.* at 5:11. If the bid amount is itself a function, even in part, of factors such as keyword density, then the inventors' criticisms of the prior art also apply to the system itself. The intrinsic evidence therefore compels the conclusion that the bid amount, in order to be "independent of" other components of a search listing, must be unconstrained by those other components.

D. The Ordering Limitations

1. The parties' proposed constructions

a. "ordering . . . in accordance with the values of the respective bid amounts"

Google's Proposed Construction	Overture's Proposed Construction
ordering in conformance with the values of the respective bid amounts	ordering in agreement with the values of the respective bid amounts

b. "arranged in an order determined using the bid amounts"

Google's Proposed Construction	Overture's Proposed Construction
arranged in an order established by the bid amounts	arranged in an order ascertained by an analysis that utilizes the bid amounts

c. "arranged in an order corresponding to the bid amounts"

Google's Proposed Construction	Overture's Proposed Construction
arranged in an order conforming to the bid amounts	arranged in an order similar to the order of the bid amounts

2. Summary of dispute

For each of the three ordering limitations, the parties' central dispute is the same: Does a search listing order that does not match the bid amount order fall within the scope of the claims? This issue is potentially dispositive, because in the accused system and method, search listings are ordered by Google's expected revenue. Google's expected revenue for each search listing depends not only on the bid amount for the listing, but also on the likelihood of a searcher clicking on that listing (i.e. the estimated click-through rate), which itself depends on many factors. This means that a popular advertisement (i.e. one that searchers are likely to click on) may be displayed above an unpopular advertisement, even if the bid amount for the latter advertisement is higher than the bid amount for the former advertisement.

3. The dictionary definitions fail to resolve all ambiguities about the definitions of the ordering terms

As is true for most of its claim construction arguments, Overture's support for its constructions of the ordering limitations comes primarily from a rote stringing together of

dictionary definitions of single words considered in isolation. The problem with this approach is that the key words at issue – "accordance," "determined," and "corresponding" – are susceptible to widely varying meanings. One cannot breathe life into them without examining the context in which they appear.

The definitions variously use the words "agreement," "conformity," or "harmony" to define this word. Google has selected "conformance" as the word best suited to define "accordance," in the context of the '361 patent, while Overture points to "agreement." The key issue, from Google's perspective, is that an order is not in "accordance" with the bid amounts unless it is *in the same order* as the bid amounts. For "determined," the parties again look to different parts of the cited dictionary definitions. Google borrows from definitions that use the word "establish," while Overture looks to the word "ascertain." Finally, for "corresponding," Google relies on dictionary definitions that require conformity, while Overture asserts that mere similarity suffices.

Google believes that the ordinary meaning of the words "accordance" and "corresponding," even considered in isolation, strongly favors Google's position. Google also recognizes that the phrase "determined using," again considered in isolation, is susceptible to the broader meaning suggested by Overture. Dictionaries, however, do not define claims, and "a common meaning, such as one expressed in a relevant dictionary, that flies in the face of the patent disclosure is undeserving of fealty." *Renishaw*, 158 F.3d at 1250. Although dictionaries can easily serve as an interpretive crutch, "the correct meaning of a word or phrase is informed only by considering the surrounding text." *Brookhill Wilk 1*, 334 F.3d at 1300.

As explained below, the intrinsic evidence demonstrates that this is not a situation in

Overture argues that Google's definition improperly replaces "using" with "by." The requirement of strict ordering, however, derives from the word "determined" itself, which Google defines as "established." When an appellate court instructs that an issue be "determined using" a three-part test, surely it does not contemplate that the trial court might consider the three prongs of that test, but then conclude that some fourth factor (never mentioned by the appellate court) outweighs the result compelled by the first three. There may be other possible definitions for "determined using," when it is considered in isolation, but Google's definition is the one that "is most consistent with the use of the words by the inventor." *Texas Digital*, 308 F.3d at 1203.

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For example, alphabetical ordering is one such scheme. An alphabetically ordered list orders primarily by the first letter, secondarily by the second letter, and so on.

which merely "utilizing" a bid amount could be enough. Mere "similarity" will not suffice. The intrinsic evidence establishes that an order that fails to match the order of the bid amounts does not fall within the scope of the claims.

4. Overture has misstated Google's position

Overture repeatedly suggests that, under Google's proposed constructions, embodiments of the '361 patent cannot rely on any factor other than bid amount when ordering search listings. This is incorrect. For example, one could order search listings by relying on bid amounts, the size of the advertisement, and the time at which the advertisement was placed, and this would fall within the scope of the patent, *so long as the ordering algorithm never resulted in an order that was contrary to the order of the bid amounts.* An algorithm that used the following rules, for example, would fall within the scope of the ordering limitations:

- (1) Arrange the search listings in order of their bid amounts;
- (2) If two search listings have identical bid amounts, position the smaller advertisement first; and
- (3) If two search listings have identical bid amounts and are the same size, position the earlier advertisement first.

Examples of multi-factor ordering schemes of this sort – in which the resulting orders are always consistent with the order demanded by one primary factor – are commonplace¹⁶ and are described in the specification. *See, e.g.*, Patent at 18:23-26 (describing a preferred embodiment where, in the event of a bidding tie, the search listing for which the bid was first received is placed first); *see also id.* at 26:8-18 (claim 19) (claims with the same bid amount are ordered by creation time).

5. The specification supports Google's constructions

The *only* passage from the specification that Overture relies upon states, "When an Internet user enters the search terms in a search engine query, the search engine will generate a search result list with the web site promoter's position influenced by *one or more parameters* defined by the promoter." *Id.* at 4:60-64 (Overture's emphasis). However, as explained above,

nothing in Google's definition precludes reliance on multiple factors, so long as the resulting order conforms with the order of the bid amounts.

The only way to achieve the stated purpose of the invention is to arrange the order of the search listings in the same order as their bid amounts. This is the only way to ensure that the advertiser can "control" (*id.* at 3:51), "easily predict" (*id.* at 5:7), and "pinpoint" (*id.* at 5:11) the placement of its search listing. An ordering scheme in which a higher bid amount merely means that an advertiser's search listing *might* be placed higher is inconsistent with the '361 abstract's bold assertion that "[a] higher bid by a network information provider *will result* in a higher rank value and a more advantageous placement." *Id.*, Abstract (emphasis added). The abstract does not purport to explain only an *embodiment* of the invention, but rather describes the "present invention" itself. *Id.; SciMed*, 242 F.3d at 1343 (specification's description of the "present invention" is "strong evidence" for purposes of claim construction).

Again and again, the specification explains that the order of the search listings *must* conform to the order of the bid amounts. The inventors' summary of their invention could not be more clear:

The higher the bid, the more advantageous the placement in the search result list that is generated when the bidded search term is entered by a searcher using the search engine. The search result list is arranged in order of decreasing bid amount, with the search listing corresponding to the highest bids displayed first to the searcher.

Id. at 5:35-40 (emphasis added). Indeed, while the very next sentence after the above quote notes that "[p]referably" the search listings should be identified to the searcher as paid listings, no such fudge word is inserted when describing the ordering requirement. *Compare id.* at 5:40-42 *with id.* at 5:35-40. A similarly definitive statement appears in the detailed description, which states that "higher bids receive more advantageous placement." *Id.* at 9:42-45. Again, the *following* sentence is described as applying to a "preferred embodiment," but the explanation of the method of ordering is not so limited. *Id.* at 9:45-49.¹⁷

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¹⁷ The Federal Circuit requires district courts to walk a fine line in construing claims, reading the specification to understand and breathe life into claim terms but not importing new limitations from the specification into the claims. Overture would have the Court avoid reading the specification at all to avoid improper importation of limitations. This is error. Similarly, it

6. Google's construction is not in conflict with the principle of claim differentiation

Contrary to Overture's arguments, claims 52, 63 and 64 all vary in scope under Google's constructions, as do claims 30, 46 and 47. Every claim, including claims 30 and 52, requires that the search listings be positioned in the same order as their respective bid amounts. Claims 46 and 63, as Overture notes, require that the claims be ordered *from highest to lowest* bid amounts. Arranging listings from *lowest to highest* bid amounts therefore falls within the scope of the ordering limitations in claims 30 and 52, but not claims 46 and 63. This reverse ordering scheme is not inconsistent with the specification's repeated admonition that higher bid amounts will result in more advantageous placement, because in some (admittedly rare) situations the most "advantageous" position may be the last position.¹⁸

Claims 47 and 64 require that each search listing be assigned a "rank value," and that the rank value order correspond to the bid amount order. Thus, if the search listing with the *highest* bid amount is assigned the *lowest* rank value, and so on, that is outside the scope of claims 47 and 64, while it may be within the scope of claims 30, 46, 52 and 63.

In short, Google's constructions are fully consonant with the principle of claim differentiation. In any event, claim differentiation is an interpretative guideline, not a rigid rule. *See, e.g., Bristol-Myers Squibb Co. v. Ben Venue Labs., Inc.,* 246 F.3d 1368, 1376 (Fed. Cir. 2001). "[T]he dependent claim tail cannot wag the independent claim dog." *North Am. Vaccine, Inc. v. American Cyanamid Co.,* 7 F.3d 1571, 1577 (Fed. Cir. 1994). Although there is a

would be error to read every nuance of the specification into the claims. Google's claim interpretation properly takes a middle ground between these two extremes. Google looks to the specification to see how the inventors contemplated using bid amounts to determine the ordering of search results. The answer is given in the language of the specification: "The higher the bid, the more advantageous the placement." Patent at 5:35-40. By contrast, Google's approach avoids reading in limitations not necessary to understand how the inventors used the bid amounts to determine ordering. For example, while the specification states that "the search result list is arranged in order of decreasing bid amount, with the search listing corresponding to the highest bids displayed first to the searcher," *id.*, Google does not argue that "determined using" should be construed to require that the order be increasing or decreasing. Whether the order is increasing or decreasing is not an issue addressed in the language of the claims, and it would be error to read such a limitation into the ordering claim terms.

¹⁸ For example, a search result list might be presented as a "top ten" list, with the tenth "best" search listing presented first, followed by the ninth, and so on, with the "best" search listing presented last.

1	presumption that different claims should have different scope, that presumption may be rebutted
2	by a showing that the specification indicates otherwise. Kraft Foods, 203 F.3d at 1368; see also
3	Hormone Res. Found., Inc. v. Genentech, Inc., 904 F.2d 1558, 1567 n.15 (Fed. Cir. 1990) (the
4	presumption of claim differentiation "cannot overshadow the express and contrary intentions of
5	the patent draftsman"); Tandon Corp. v. United States Int'l Trade Comm'n, 831 F.2d 1017,
6	1023-24 (Fed. Cir. 1987) ("Whether or not claims differ from each other, one can not interpret a
7	claim to be broader than what is contained in the specification and claims as filed."). As the
8	Federal Circuit has explained,
9	That the patentee chose several words in drafting a particular limitation of one claim, but fewer (though similar) words in drafting the corresponding limitation in
10	another, does not mandate different interpretations of the two limitations, since defining a state of affairs with multiple terms should help, rather than hinder,
11	understanding.
12	Kraft Foods, 203 F.3d 1362, 1368 (Fed. Cir. 2000). Here, the specification demonstrates that

Kraft Foods, 203 F.3d 1362, 1368 (Fed. Cir. 2000). Here, the specification demonstrates that the only ordering method the inventors intended to claim was one in which the order of the search listings conforms to the order of the bid amounts.

7. Overture's definitions would render the claims invalid

"[C]laim language should generally be construed to preserve validity, if possible." *Tate Access Floors, Inc. v. Interface Architectural Res., Inc.*, 279 F.3d 1357, 1367 (Fed. Cir. 2002); *see also Wang Labs.*, 197 F.3d at 1383 ("claims are not properly construed to have a meaning or scope that would lead to their invalidity for failure to satisfy the requirement of patentability"). If Overture's definitions are adopted, the claims fail to satisfy at least two requirements of the Patent Act. The Court should therefore reject Overture's proposed constructions.

a. Overure's definitions are indefinite

Overture's definitions are indefinite. 35 U.S.C. § 112, ¶ 2 (claims must "particularly point[] out and distinctly claim[] the subject matter which the applicant regards as his invention"). Indefiniteness is "a legal conclusion that is drawn from the court's performance of

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¹⁹ See also Multiform Desiccants, 133 F.3d at 1480 ("claims that are written in different words may ultimately cover substantially the same subject matter."); *Tandon Corp*, 831 F.2d at 1023 ("two claims which read differently can cover the same subject matter").

its duty as the construer of patent claims." *Personalized Media Comms., L.L.C. v. International Trade Comm'n,* 161 F.3d 696, 705 (1998). "The primary purpose of the definiteness requirement is to ensure that the claims are written in such a way that they give notice to the public of the extent of the legal protection afforded by the patent, so that interested members of the public . . . can determine whether or not they infringe." *All Dental Prodx, LLC v. Advantage Dental Prods., Inc.,* 309 F.3d 774, 779 (Fed. Cir. 2002).

"[A]t some point a lack of clarity may result in a conclusion that the claim is too indefinite to be valid." *Digital Biometrics, Inc. v. Indentix, Inc.*, 149 F.3d 1335, 1344 n.4 (Fed. Cir. 1998); *see also Morton Int'l v. Cardinal Chem. Co.*, 5 F.3d 1464, 1470 (Fed. Cir. 1993) (claims indefinite, because they "are not sufficiently precise to permit a potential competitor to determine whether or not he is infringing"); *Standard Oil Co. v. American Cyanamid Co.*, 774 F.2d 448, 453 (Fed. Cir. 1985) ("partially soluble" indefinite). Claims are indefinite if they are not stated with "sufficient clarity that the metes and bounds thereof can be determined." *Kemode Mfg. Co. v. United States*, 347 F.2d 315, 319 (Ct. Cl. 1965).

Although limitations may sometimes be defined using words of degree (such as "about" or "substantially") that do not convey numerical specificity, "[d]efiniteness problems often arise when words of degree are used in a claim." *Seattle Box Co. v. Industrial Crating & Packing, Inc.*, 731 F.2d 818, 826 (Fed. Cir. 1984). In that event, the Court must "determine whether the patent's specification provides some standard for measuring that degree." *Id.* For example, in *Amgen, Inc. v. Chugai Pharm. Co.*, 927 F.2d 1200 (Fed. Cir. 1991), the court concluded that claims using the phrase "at least about" were indefinite, because "nothing in the specification, prosecution history, or prior art provides any indication as to what range of specific activity is covered by the term 'about." *Id.* at 1218.

(i) "corresponding to"

Overture's definition of "corresponding to" flunks the test for definiteness. According to Overture, the order of the search listings must be "similar" to that of the bid amounts. How similar? The specification is silent, as is Overture's Opening Brief, except to note that it must be more similar than would be mandated by "determined using," but it need not be as similar as "in

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accordance with" requires. The threshold clarity required by paragraph 2 of section 112 cannot have been met if Overture's standardless definition is adopted, because Overture has provided no guideposts that would explain what Overture might mean by "similar."

(ii) "determined using"

Overture's definition of "determined using" is also indefinite. How much "utilization" is enough? Is it enough to order search listings by keyword density, using the bid amount to break ties? Can a prior art relevance algorithm contributes 99% of a listing's ranking score, with the bid amount contributing the remaining 1%? Neither of these ordering schemes functions in a manner remotely similar to the invention described in the '361 specification, and thus neither can fall within the scope of the "determined using" limitation. Neither of these systems offers advertisers the ability to "pinpoint" the placement of their search listings, Patent at 5:11, while also ensuring that "[a] higher bid . . . will result in a higher rank value and a more advantageous placement," id., Abstract.

But why is it that those examples would not fall within the scope of the limitation, as defined by Overture? What is the test? How is the public to know whether a given ordering scheme infringes? If Overture's definition is adopted, neither the claims nor the specification identifies the metes and bounds of the claims.

(iii) "in accordance with"

It appears that Overture is conceding that "in accordance with" means that the search listings must be in the same order as the bid amounts. If so, the parties are in substantive agreement, though they disagree over the language that best expresses this meaning. However, if Overture is advocating some broader meaning for "in accordance with," then the indefiniteness arguments stated above apply with equal force to its definition for "in accordance with."

If construed as Overture proposes, the claims would be invalid for b. lack of a supporting written description

Paragraph 1 of section 112 requires that the specification "contain a written description of the invention " 35 U.S.C. § 112, ¶ 1. The written description must convey that the inventors were in actual possession of the invention at the time the application was filed. See Tronzo v.

Biomet, 156 F.3d 1154, 1158 (Fed. Cir. 1998). While the exact language of the claims need not be found in the specification, "one skilled in the art, reading the original disclosure, must immediately discern the limitation at issue in the claims." *Purdue Pharma*, 230 F.3d at 1323 (internal quotation marks and citation omitted); *see also Regents of the Univ. of Cal. v. Eli Lilly & Co.*, 119 F.3d 1559, 1566-67 (Fed. Cir. 1997).

The written description requirement is distinct from the requirement that the specification enable one skilled in the art to make use of the invention. *Vas-Cath v. Mahurkar*, 935 F.2d 1555, 1563-64 (Fed. Cir. 1991) (citing *In re Wilder*, 736 F.2d 1516, 1520 (Fed. Cir. 1984) ("The description requirement is found in 35 U.S.C. § 112 and is separate from the enablement requirement of that provision.")). It is not enough that the claimed invention is obvious in light of the invention described in the specification; "the disclosure must describe the claimed invention with all its limitations." *See Tronzo*, 156 F.3d at 1158.²⁰

A claim that is generic as to a given limitation (a "genus" claim) will not always be supported by a written description that is limited to a single species of the claimed genus. *See Wilder*, 736 F.2d at 1520 (rejecting claims that were generic as to the method of indicating location on a recording tape where the specification described only the species of indicating location using synchronous scanning). The question is whether the "specification adequately describe[s] the full breadth of the claims." *Amgen Inc. v. Hoechst Marion Roussel*, 314 F.3d 1313, 1330 (Fed. Cir. 2003); *see also Enzo Biochem, Inc. v. Gen-Probe Inc.*, 296 F.3d 1316, 1327 (Fed. Cir. 2002) (considering whether the disclosure's description was "representative of the scope of the genus claims").

For example, in *Tronzo*, the patent-in-suit related to artificial hip sockets with cup implants. 156 F.3d at 1156. The patentee sought to enforce a claim that was generic as to the type of cup used. *Id.* at 1158. The written description upon which the patentee relied described

²⁰ See also Lockwood v. American Airlines, 107 F.3d 1565, 1572 (Fed. Cir. 1989) ("The question is not whether a claimed invention is an obvious variant of that which is disclosed in the specification."); Martin v. Mayer, 823 F.2d 500, 504 (Fed. Cir. 1987) (it is "not a question of whether one skilled in the art might be able to construct the patentee's device from the teachings of the disclosure Rather, it is a question whether the application necessarily discloses that particular device.") (internal quotation marks and citations omitted).

only a conical cup. *Id*. The defendant's device did not use a conical cup, but incorporated a hemispherical cup. *Id*. Not only did the specification fail expressly to disclose a hemispherical cup, it also specifically touted the advantages of using a cup that had a conical form. *Id*. at 1159. The specification therefore failed to provide a written description adequate to support claims that were generic as to the cup limitation. *Id*. at 1160.

If the Court adopts constructions broader than those proposed by Google, the same reasoning would invalidate the claims for lack of a supporting written description. As in *Tronzo*, the '361 specification actually describes only *one* method of ordering – ordering the search listings in the same order as the bid amounts. As in *Tronzo*, the specification actively promotes the advantages of the disclosed ordering method, harping on the benefits of allowing advertisers not only to "control" (Patent at 3:51) and "easily predict" (*id.* at 5:7) the placement of their search listings, but indeed to "pinpoint" (*id.* at 5:11) the placement of their search listings.

By describing a single species of ordering in the '361 specification – a species the inventors argued was superior to prior methods that depended on "multiple criteria such as keyword density and keyword location," *id.* at 2:48-53 – the inventors did not thereby demonstrate a right to claim a broader genus of ordering methods, in which the order of the search listings is merely "similar" to the order of the bid amounts, or perhaps merely bears some distant relation to the bid amounts. Simply put, if the claims extend to ordering methods other than strict ordering by bid amount, the '361 specification fails to allow a person skilled in the art to "clearly conclude that the inventor invented the claimed invention." *Lockwood v. American Airlines*, 107 F.3d 1565, 1572 (Fed. Cir. 1989). Thus, because the Court should, if possible, avoid claim constructions that would invalidate the claims, the Court should reject Overture's constructions. *Wang Labs.*, 197 F.3d at 1383; *Tate Access Floors*, 279 F.3d at 1367.

E. "in response to"

1. The parties' proposed constructions

Google's Proposed Construction	Overture's Proposed Construction
in fulfillment of	in reaction to

2. Summary of dispute

As described in the '361 specification, individual consumers use search engines because they are looking for specific and relevant information on the Internet. Accordingly, Google has proposed a definition that makes clear that the search result list generated by the bidding system claimed in the patent is intended to fulfill a searcher's request for specific information.

Overture's proposed definition again ignores the crucial context supplied by the specification, which repeatedly and consistently describes the invention as an Internet search application – i.e. a method and system that "prioritize[s] results in accordance with consumers' preferences."

Patent at 2:65-67. Under Overture's construction, anything that is generated "in reaction to" a request – even if it is, to use a legal term of art, entirely non-responsive – is a "response" to that request.

The Court's determination on this issue will answer the question of whether advertisements delivered by AWS – which appear alongside Google's PageRank search results – are "in response to" a searcher's search. When a searcher uses Google's search engine, he or she is seeking Google's PageRank search results. AWS delivers advertisements that Google hopes the searcher will be interested in – but those advertisements are decidedly *not* what the searcher asked for. Thus, should the Court adopt Google's definition, the results generated by AWS would not be "in response" to the searcher's inquiry.

3. The ordinary meaning of "in response to," read in the context of the specification, is "in fulfillment of"

Google assumes the Court is familiar with the ordinary meaning of the word "response." The key question is whether a response is designed to include the search results the searcher is looking for. The answer to that question, upon consideration of the context supplied by the specification, is "yes." The claims require that a search result list be generated "in response to" a searcher's search. *See*, *e.g.*, Patent at 22:60-62 (claim 1). As the specification explains, that means the search engine should "prioritize results in accordance with *consumers' preferences*." *Id.* at 2:65-67 (emphasis added). The result? The searcher "find[s] companies or businesses that offer the products, services, or information *that the consumer is seeking*." *Id.* at 3:58-62

(emphasis added).

Overture's definition fails to acknowledge the context of the claimed invention.

According to Overture, *anything* delivered in reaction to a searcher's search request is "in response to" that request. For example, if the search engine crashes, presumably the standard "This page cannot be found" error message²¹ would fall within the scope of Overture's definition of a "response to" a searcher's request. Overture's definition is inconsistent with the description of the invention in the specification.

F. "database"

1. The parties' proposed constructions²²

Google's Proposed Construction	Overture's Proposed Construction
a computer based system for recording and maintaining information	a collection of related data, organized in such a way that its contents can be accessed, managed and updated by a computer

2. Summary of dispute

Overture's definition, with its reference to "related data," and its requirement that data be "organized in such a way that its contents can be accessed, managed and updated by a computer," is too narrow. Google's first concern is that Overture may be attempting to limit the term "database" to certain specific, high-end databases called "relational databases." In a relational database, data concerning the same subject matter may be stored in two or more different "tables," and queries that depend on the "relations" between the data in the tables can be made using an appropriate query language (such as, commonly, "structured query language," or "SQL").²³ If, in fact, this is what Overture is advocating, it presumably is taking this position

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²¹ See < http://www.cand.uscourts.gov/foo.html > (the web page this link points to does not exist, and thus will lead to a "not found" error message).

²² Overture proposes a longer definition for the term "account database." Neither party identified "account database" as a disputed term; Overture added its definition of "account database" during the meet-and-confer process that led to the filing of the Joint Claim Construction Statement. Google does not believe that a special definition for "account database" is necessary. An account database is a database of accounts.

²³ See generally < http://hotwired.lycos.com/webmonkey/99/13/index1a_page2.html? tw=backend> (tutorial on relational databases).

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in an effort to distinguish the invention claimed by the '361 patent from prior art bid-forplacement systems, including Overture's own pre-critical date system, which may have used non-relational databases as a means for recording and maintaining account information.

Second, Overture may be arguing that advertisers must be able to access, manage, and update their information in an "account database" by computer. If so, Overture's position is inconsistent with the ordinary meaning of the word "database," and unsupported by the specification.

The ordinary meaning of "database," read in the context of the specification, 3. is broad

The term "database" is a technical term, and thus Federal Circuit cases encourage the Court to refer to treatises or textbooks to better understand its ordinary meaning. *Pitney Bowes*, 182 F.3d at 1309. The third edition of the classic database textbook by C.J. Date explains that a "database system" is "nothing more than a computer-based recordkeeping system." Kwun Decl., Exh. 24 at 3. The Chamber Science and Technology Dictionary defines a database as a "[c]ollection of structured data independent of any particular application." Kwun Decl., Exh. 25 at 228. Even the Elmasri and Navathe textbook cited by Overture explains that a database can take many forms:

You may have recorded this data in an indexed address book, or you may have stored it on a diskette using a personal computer and software such as DBASE III or Lotus 1-2-3. This is a collection of related data with an implicit meaning and hence is a database.

Kwun Decl., Exh. 26 at 3. At root, "a database has some source from which data are derived, some degree of interaction with events in the real world, and an audience that is actively interested in the contents of the database." *Id.* at 4. None of these definitions limits "database" to any particular class of databases, such as relational databases.

The specification confirms that the inventors intended a broad meaning for the term "database." The inventors describe the World Wide Web as "a unique distributed database designed to give wide access to a large universe of documents." Patent at 1:44-45. As the inventors note, documents on the web are "dispersed across countless individual computer systems" and the database that is the web "has no recognizable organization or morphology." *Id.*

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at 1:48-51. More often than not, web pages are *not* stored in relational databases.²⁴

Moreover, Overture's definition inappropriately defines a database using language that describes a database management system. See Kwun Decl., Exh. 26 at 4 ("[a] database management system (DBMS) is a collection of programs that enables users to create and maintain a database"). A "database" need not be designed to be manageable or updateable – indeed, one database described in the specification, the World Wide Web, has "no recognizable organization or morphology," and thus cannot readily be "managed."

In sum, the ordinary meaning of the term "database," as informed by the '361 specification, is simply "a computer based system for recording and maintaining information."

G. "deducted from an account"

1. The parties' proposed constructions

Google's Proposed Construction	Overture's Proposed Construction
subtracted from a prepaid account	taken away from a record of financial transactions

2. **Summary of dispute**

The Court's construction of this term is relevant to the infringement analysis because Google does not require that AWS advertisers use prepaid accounts. Instead, AWS generally keeps track of click-throughs, and generally charges advertisers when they have exceeded their credit limit.

3. The ordinary meaning of "deduct" is to "subtract" from a total; read in view of the specification, this requires a prepaid account

The New Oxford Dictionary of English defines "deduct" to mean to "subtract or take away (an amount or part) from a total: tax has been deducted from the payments." Kwun Decl., Exh. 27 at 480 (italics in original). Webster's New World Collegiate Dictionary offers a similar definition. Kwun Decl., Exh. 28 at 360 ("to take away or subtract (a quantity)"). Merriam-Webster's Unabridged Dictionary focuses on the same two points as the New Oxford definition –

²⁴ See < http://ourworld.compuserve.com/homepages/ken_north/dsud_ddw.htm (describing a relational database that can be used to store web pages, in contrast to the "traditional method of organizing Web pages" in "individual files managed by the operating system").

subtracting from a total. Kwun Decl, Exh. 29.

Read in isolation, these definitions fail to clarify whether the "total" from which one subtracts must be positive – i.e. whether one can "deduct" an amount from a credit-based account. The inventors, however, use "deduct" specifically to refer to prepaid accounts:

The bid amount **358** preferably is a money amount bid by an advertiser for a listing. This money amount is *deducted from the advertiser's prepaid account* <u>or</u> *is recorded for advertiser accounts that are invoiced* for each time a search is executed by a user on the corresponding search term and the search result list hyperlink is used to refer the searcher to the advertiser's web site.

Patent at 13:4-9 (emphases added). Thus, while bid amounts are "deducted" from prepaid accounts," they are "recorded" for credit-based accounts.²⁵

Extrinsic evidence, such as dictionary definitions, cannot be used "to vary claim terms from how they are defined, even implicitly, in the specification." *Guttman*, 302 F.3d at 1360. The specification's careful distinction between prepaid accounts and invoiced accounts therefore controls. The word "deduct," as used in the claims of the '361 patent, requires use of a prepaid account.

H. "account record"

Google's Proposed Construction	Overture's Proposed Construction
a record of information pertaining to an account	a collection of data that is part of a database, where the data relates to a customer or client

Google believes that the terms "account" and "record," as used in the '361 patent, have no special meaning beyond their ordinary meanings. Nothing in the specification suggests otherwise, and indeed Overture's Opening Brief fails to cite anything from the specification.

Google's main concern with Overture's definition was whether Overture was perhaps attempting implicitly to alter the meaning of the claim term, thereby artificially narrowing the term. Having reviewed Overture's Opening Brief, Google now believes that the parties' dispute

Overture argues that this portion of the specification supports its construction, because Google's construction fails to include the disclosed idea of invoiced, non-prepaid accounts. However, many of the claims do not include the "deduct" limitation. *See, e.g.,* Patent at 28:10-52 (claim 52). Thus, the fact that, according to Google, claims that *do* include the "deduct" limitation exclude the possibility of invoiced accounts does not counsel against Google's construction.

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over the term "account record" amounts to no more than a difference of opinion concerning the best words to express a simple idea.

Google believes that its proposed construction better captures the meaning of the term "account record," but does not specifically take issue with Overture's construction. Overture appears likewise merely to prefer its own choice of words. Google respectfully suggests that the proper course of action for the Court may simply be to declare that no construction is necessary for this term.

I. "from a/the searcher"

Google's Proposed Construction	Overture's Proposed Construction
input by the individual using the search engine to perform a search	originated by the user who is seeking information

Overture correctly frames the dispute: When a "searcher" submits a query, does that imply that the searcher is using a search engine? Overture is also correct when it notes that none of the dictionaries refer to search engines. But, as Google has noted many times, claim language must be read in light of the specification. And, as explained above while discussing the claim term "search result list," the purported invention of the '361 patent relates to *searches* conducted with a *search engine*. *See supra*, Part IV.A.4.b(ii). The '361 patent is concerned with and describes only one type of search – a search using a search engine. In this context, a "searcher" is one who uses a search engine.

The patent consistently describes a "searcher" as one who uses a search engine. Patent at 5:25-27 ("each time search clicks on the . . . listing in the search result list generated by the search engine"); *id.* at 5:37 ("searcher using the search engine"); *id.* at 6:4-5 ("when the search term is entered into the query box on the search engine by the searcher"); *id.* at 10:9-10 ("The searchers may access, through their browsers **16**, a search engine web page **36** residing on web server **24**."); *id.* at 10:13-14 ("the searcher may query the search engine web server"); *id.* at 10:17-18 ("searcher may transmit the query to the search engine web server"); *id.* at 17:19-20 ("a remote searcher accesses the search query page on the search engine web server").

Overture's only argument to the contrary is that the dictionary definitions do not refer to