

Exhibit A

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION
APPLE COMPUTER, INC.,
Plaintiff/Counterdefendant,
vs. Case No. C06-00019 MHP
BURST.COM, INC.,
Defendant/Counterclaimant.

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A P P E A R A N C E S
(Continued)

ALSO PRESENT:
DAN MOTTAZ VIDEO PRODUCTIONS, LLC
BY: CHRISTOPH GEMES
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JAYNA WHITT, PATENT COUNSEL, APPLE COMPUTER
(Via Telephone)

DEPOSITION OF ALLEN GERSHO
Tuesday, September 11, 2007
VOLUME I
Pages 1 - 222

REPORTED BY: VALERIE J. EAMES, CSR NO. 9021, RPR, CRR

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1 I N D E X
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3 WITNESS EXAMINATION
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9 EXHIBITS: PAGE
10 251 Declaration of Allen Gersho in 54
11 Opposition to Apple's Second Motion for
12 Summary Judgment of Invalidity
13 252 Declaration of Allen Gersho in Support 57
14 of Burst's Opposition to Apple's Motion
15 for Summary Judgment of Invalidity
16 Based on Kramer and Kepley Patents
17 253 CompuSonics document 97
18 254 CompuSonics Corporation document with a 101
19 "received" stamp date of 6/17/87
20
21
22 EXHIBITS MARKED PREVIOUSLY AND APPENDED
23 Exhibit No. 109, 364
24
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1 I N D E X
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SECTION OF TRANSCRIPT REQUESTED MARKED BY MR. STEPHENS

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QUESTIONS NOT ANSWERED BY THE WITNESS

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1 BE IT REMEMBERED that pursuant to Notice, and on
2 Tuesday, September 11, 2007, commencing at the hour of
3 8:50 a.m., thereof, at 1901 Avenue of the Stars,
4 Suite 950, Los Angeles, California, before me, VALERIE J.
5 EAMES, a Certified Shorthand Reporter, the following
6 proceedings were had:

P R O C E E D I N G S

7
8 THE VIDEOGRAPHER: Good morning. This marks the
9 beginning of Volume I, videotape number 1 in the
10 deposition of Mr.-- of Dr. Allen Gersho in the matter of
11 Apple Computer, Incorporated versus Burst.com. And the
12 case has been filed with the United States District Court
13 Northern California of District -- Northern California
14 District Court of San Francisco Division, Case
15 No. C06-00019 MHP.

16 Today's date, September 11th, year 2007, and the
17 time on the video monitor is approximately 8:50 a.m. The
18 location of the deposition is 1901 Avenue of the Stars,
19 here -- Suite 950, Los Angeles, California. And we're at
20 the law offices of Susman & Godfrey.

21 And if we could please ask the attorneys to
22 introduce themselves for the record.

23 MR. STEPHENS: Garland Stephens of Weil, Gotshal
24 & Manges representing Apple.

25 MR. PAYNE: Les Payne for defendant Burst.com.

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QUESTIONS NOT ANSWERED BY THE WITNESS

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1 THE VIDEOGRAPHER: My name is Christoph Gemes.
2 I am a video specialist, and I am employed by Dan Mottaz
3 Video Productions, LLC, 182 Second Street, Suite 202,
4 San Francisco, California 94105.

5 And if we could please ask the court reporter to
6 state her name for the record.

7 THE REPORTER: Valerie Eames.

8 THE VIDEOGRAPHER: Very well. And at this time
9 if we could please ask you to swear in the witness.

10 ALLEN GERSHO,

11 called as a witness by the Plaintiff/Counterdefendant,
12 and who, having been administered the oath by me, was
13 examined and testified as hereinafter set forth:

14 THE VIDEOGRAPHER: Okay. At this time,
15 Counselor.

EXAMINATION

17 BY MR. STEPHENS:

18 Q. Good morning, Dr. Gersho.

19 A. Good morning.

20 Q. Could you please state and spell your name for
21 the record.

22 A. Allen Gersho, A-l-l-e-n G-e-r-s-h-o.

23 Q. And what's your home address?

24 A. 4604 Via Gennita, Santa Barbara, California.

25 Q. You've submitted a couple of declarations in

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1 A. No. I -- nothing in my personal life would be
2 connected.
3 Q. How about a particular graduate student? Can
4 you recall a particular graduate student that worked with
5 the Sun workstations and the time roughly when they were
6 under your supervision?
7 A. No. I had many students and visiting
8 researchers. It's difficult to remember the time periods
9 when each was there.
10 Q. Sun workstations were available by the
11 mid-1980s, though. Right?
12 A. I don't know that.
13 Q. You're just not sure.
14 Okay. Well, let's talk a little bit about what
15 you did with the PDP11-24. How did you use that in the
16 laboratory in connection with the development and
17 research on speech, audio, and video compression?
18 A. The students would write software to evaluate
19 algorithms using this computer.
20 Q. Did it include hardware that could digitize
21 audio?
22 A. I think so. I think so.
23 Q. Would you agree that by the mid-1980s, that
24 people of skill in the art in this case -- you understand
25 what I mean by that -- right? -- people of skill in the

1 A. In some context some might say so, but I'm just
2 speculating. I can't be specific here.
3 Q. Okay. So you think that it did have hardware
4 for digitizing speech. Is that right?
5 A. Yes.
6 Q. Did you ever have hardware for digitizing audio
7 with a wider bandwidth than just speech?
8 A. At some time we -- I believe we may have had
9 such a capability in my laboratory.
10 Q. How about with the PDP11-24?
11 A. No.
12 Q. Do you recall when you got the capability of
13 digitizing speech on a PDP11-24?
14 A. I can't say with certainty. I think it came
15 with -- we may have bought the audio equipment together
16 with the computer at the same time.
17 Q. Okay. So that would have been in roughly 1981?
18 A. If I'm correct about that recollection, yes.
19 Q. Did the PDP11-24 have magnetic disk storage?
20 A. I assume it had some form of storage. I don't
21 remember.
22 Q. Can you think of any other kind it might have
23 had instead of disk storage?
24 A. It had floppy disk drives.
25 Q. And floppies are a form of magnetic disk.

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1 relevant art to this case?
2 A. Yes.
3 Q. Would you agree that by the mid-1980s people of
4 skill in the relevant art to this case were quite
5 familiar with hardware for digitizing audio and storing
6 it in computer systems?
7 A. Some were and some weren't. I think there's a
8 variety of backgrounds of people that still qualify as
9 skilled in the art.
10 I'd like to correct something I said before --
11 Q. Okay.
12 A. -- that our equipment may have had the ability
13 to digitize voice. When you said "digitize audio," I
14 don't mean -- I wasn't agreeing to digitizing any form of
15 audio, such as wideband audio.
16 Q. But speech is a form of audio. Right?
17 A. It depends --
18 MR. PAYNE: Object as to form.
19 Go ahead. You can answer.
20 THE WITNESS: The term "audio" does not have a
21 precise definition out of context. Some will say it does
22 not include speech, depending on the context.
23 BY MR. STEPHENS:
24 Q. But some would say it does include speech,
25 again, depending on the context. Right?

1 Right?
2 A. That's true.
3 Q. Did it have a high-speed magnetic disk?
4 A. I don't recall.
5 Q. It had the random access memory. Right?
6 A. I had no awareness of the internal design of the
7 computer. Now, I can make an assumption, but I don't
8 specifically know that.
9 Q. Well, virtually all general purpose computers in
10 the '80s had random access memory. Right?
11 A. I'm not an expert on computers. I know it
12 was -- it's common to have random access memory in
13 digital computers.
14 Q. And it was common in the mid-'80s for digital
15 computers to have random access memory. Right?
16 A. I suppose so.
17 Q. And it was common for them to have magnetic disk
18 drives in the mid-1980s as well. Right? By "them," I
19 mean digital computers.
20 A. I think that's probably correct.
21 Q. Would you agree, then, that it's likely that
22 your PDP11-24 had a magnetic disk drive?
23 MR. PAYNE: Objection. Form.
24 BY MR. STEPHENS:
25 Q. By that I mean a high-speed one, not a floppy.

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1 MR. PAYNE: Same objection.
 2 THE WITNESS: I'm not sure what kind of storage
 3 it had.
 4 BY MR. STEPHENS:
 5 Q. Okay. Now, you said that your graduate students
 6 used the PDP11 to write software to evaluate algorithms.
 7 Is that right?
 8 A. Yes.
 9 Q. And those algorithms included audio compression
 10 algorithms. Is that right?
 11 A. Where audio means voice.
 12 Q. Okay. Now, the -- during your tenure from 1980
 13 to 1998, you also worked on wideband audio compression as
 14 well. Is that right?
 15 A. I did.
 16 Q. Was there work in the signal compression
 17 laboratory in the '80s on wideband audio compression as
 18 well?
 19 A. I'm not certain, but my best recollection is --
 20 began -- it may have begun in the early '90s.
 21 Q. Was the PDP11 connected to any other computers?
 22 A. No.
 23 Q. Was it connected to external storage of any
 24 kind?
 25 A. I don't think so. I don't recall, but I don't

1 Q. Yes. Thank you.
 2 A. I don't recall if we really digitized our own
 3 source material. If so it was -- it would have been very
 4 infrequent. Mostly it was -- there was interest in
 5 listening to speech files.
 6 Q. But your system certainly had the capability of
 7 recording your own source material. Right?
 8 A. I believe it had the capability of recording
 9 speech from a microphone input.
 10 Q. And you're just not sure whether people used
 11 that in their research. Is that right?
 12 A. That's right.
 13 Q. Now, it certainly would have been within the
 14 normal skills of a graduate student working in your
 15 laboratory to record some speech using the
 16 analog-to-digital facility, and then compress it using a
 17 compression algorithm on the PDP11. Right?
 18 A. A couple things here. The -- certainly some --
 19 some, perhaps not all, of my students would have known
 20 how to digitize speech from -- coming in from a
 21 microphone and use it as input to a speech compression
 22 algorithm.
 23 Q. Okay. In fact, that was one of the main reasons
 24 for developing speech compression algorithms is to
 25 compress speech that you encode using an

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1 think so.
 2 Q. Now, in the process of evaluating algorithms for
 3 speech compression, did your graduate students in the
 4 mid-'80s use speech compression algorithms to compress
 5 speech that was digitized into the PDP11?
 6 A. As I recall, most of the source material for
 7 experimenting with speech compression may have been
 8 provided to us by an outside organization.
 9 Q. What was the name of that outside organization?
 10 A. In different time periods, I've had some
 11 relationships with a few companies, and one or another
 12 company may have -- you know, may have provided us with
 13 some files that are used for demonstrating speech
 14 compression. And so I'm not sure which company at which
 15 time. But Bell Laboratories definitely at some time gave
 16 me some source files.
 17 Q. Any others that you're aware of?
 18 A. I don't recall specifically.
 19 Q. Did your graduate students ever use the speech
 20 digitization facility that your PDP11 had?
 21 A. They used the digital-to-analog facility
 22 frequently.
 23 Q. What about the analog to "dility" -- excuse
 24 me --
 25 A. Analog to digital?

1 analog-to-digital encoder. Right?
 2 A. No.
 3 MR. PAYNE: Objection. Form.
 4 THE WITNESS: No. The main reason was to study
 5 speech algorithms and evaluate how they work, not the --
 6 the purpose was not to compress speech files. It was to
 7 study compression algorithms.
 8 BY MR. STEPHENS:
 9 Q. But the reason to study compression algorithms
 10 is to compress speech that has been encoded using an
 11 analog-to-digital encoder. Correct?
 12 A. No.
 13 Q. How would you do it, then?
 14 A. No. The reason for studying compression of
 15 speech was help students get Ph.D.s to publish papers,
 16 and perhaps to achieve results that might be useful in
 17 the real world.
 18 Q. How would those results be useful in the real
 19 world?
 20 A. Engineers in industry reading our publications
 21 may find it useful to apply one of the algorithms to some
 22 real world product.
 23 Q. And what kind of real world product might they
 24 apply it to?
 25 A. One of the main applications of voice

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1 MR. PAYNE: Objection. Form.
 2 THE WITNESS: It seems there are many components
 3 here. I want to be sure I give a correct answer as I
 4 don't want to make a sweeping statement.
 5 BY MR. STEPHENS:
 6 Q. Okay.
 7 A. You put in too many pieces here for me to be
 8 clear.
 9 Q. Okay. Well, I want to make sure you're clear.
 10 So if there's -- you need some clarification, just let me
 11 know, and I'm happy to add some clarification.
 12 A. I've already said that you can have -- it's
 13 possible to have improved efficiency using some form of
 14 DPCM compared to just using a PCM. Okay?
 15 So I'm not sure beyond that what you're trying
 16 to find out.
 17 Q. I'm trying to find out if it's possible to use a
 18 digital DPCM encoder with an analog-to-digital encoder in
 19 a way that's substantially equivalent to using analog
 20 DPCM. That's my question. Is it possible to use --
 21 MR. PAYNE: Objection. Form.
 22 THE REPORTER: And I didn't get -- "I'm trying
 23 to find out if it's possible to use a digital DPCM
 24 encoder" --
 25 ///

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1 BY MR. STEPHENS:
 2 Q. -- to get substantially equivalent results to
 3 using an analog DPCM encoder --
 4 MR. PAYNE: Objection. Form.
 5 BY MR. STEPHENS:
 6 Q. Using an appropriate analog-to-digital converter
 7 with the DPCM encoder.
 8 MR. PAYNE: Objection. Form.
 9 THE WITNESS: The term "substantially equivalent
 10 results" is a little vague here. There may -- the
 11 results may -- if the results include the complexity, the
 12 practicality of implementing it --
 13 BY MR. STEPHENS:
 14 Q. Specifically excluding the complexity and
 15 practicality of implementing it. I'm only asking you to
 16 focus on the output.
 17 MR. PAYNE: Is there a question?
 18 MR. STEPHENS: Yes.
 19 MR. PAYNE: I don't know the question.
 20 BY MR. STEPHENS:
 21 Q. Go ahead and answer, sir. If you don't
 22 understand it, please let me know, and I'll be happy to
 23 clarify it.
 24 A. It might help if you repeat the question so I
 25 make sure I'm answering the correct question.

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1 Q. Okay. Is it possible to use a digital-to-analog
 2 encoder and a digital DPCM encoder to get the same output
 3 substantially -- from substantially the same input as an
 4 analog DPCM encoder without regard to the complexity of
 5 the circuitry or cost?
 6 MR. PAYNE: Objection. Form.
 7 THE WITNESS: You said "the same output," and
 8 the answer would be no to the same output.
 9 BY MR. STEPHENS:
 10 Q. But I said "substantially."
 11 A. Well, you said -- "substantially the same
 12 input," you said.
 13 Q. Well, I meant to say both substantially the same
 14 input and substantially the same output. I think that's
 15 what I said. But that's fine if it wasn't clear.
 16 So with that in mind, would you please answer
 17 the question.
 18 MR. PAYNE: Objection. Form.
 19 THE WITNESS: I believe that it is possible to
 20 get a similar quality audio output -- sorry -- a similar
 21 quality digital representation of audio by the two
 22 alternative methods: one of analog-to-digital
 23 conversion, followed by a digital DPCM circuit, as with
 24 a -- a DPCM encoding system, depending on the -- if one
 25 suitably chooses the number of bits and assigns these

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1 correctly.
 2 BY MR. STEPHENS:
 3 Q. So the audio quality would be equivalent. Is
 4 that right?
 5 MR. PAYNE: Objection. Form.
 6 THE WITNESS: The audio quality represented by
 7 the bit stream coming from either of the two options
 8 might be similar.
 9 BY MR. STEPHENS:
 10 Q. And what about the number of bits required to
 11 represent that audio signal?
 12 A. It is possible to have fewer bits coming from
 13 the circuit with DPCM. Oh, sorry. Wait a minute. I
 14 withdraw that.
 15 Both cases we're talking about -- about starting
 16 with an analog input.
 17 Q. Yeah. In fact, we can say it's the identical
 18 analog input.
 19 A. Okay. It is possible to have some saving in
 20 bits by using DPCM rather than not using DPCM. But
 21 that's not the issue right now. You're just saying
 22 that -- oh, the bit rate of the output is what you're
 23 trying to get.
 24 Q. So what I'm after is whether or not a person
 25 having the appropriate knowledge of analog and digital

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1 computer to computer. I have done some copying of files
2 to a flash drive and then from the flash drive to another
3 computer.

4 Q. Okay. And you typically have to wait while the
5 copy to the flash drive is made. Right?

6 A. Yes.

7 Q. And all other things being equal, it's desirable
8 for that process to happen faster rather than slower.
9 Right?

10 MR. PAYNE: Objection. Form.

11 THE WITNESS: I usually have other things to do
12 and -- after I give a copy order. So it doesn't bother
13 me. But I suppose some people may be impatient.

14 BY MR. STEPHENS:

15 Q. Did you ever use UNIX to UNIX Copy protocol,
16 UUCP?

17 A. I've heard the term, but I don't recall ever
18 using it.

19 Q. Were your Sun workstations that you used at your
20 research center networked?

21 A. Certainly in the '90s. I don't recall when
22 they -- when we started to have network workstations.

23 Q. And those Sun workstations are UNIX
24 workstations. Right?

25 A. They were a version of UNIX offered by Sun

1 1990s."

2 Q. What did you mean by that sentence?

3 A. I think it's pretty clear. I'm not sure how to
4 answer.

5 Q. I guess what I'm asking is is that sentence
6 intended to mean only that it wouldn't have been obvious
7 to send audio in a burst mode in view of Kramer or
8 something more general?

9 A. At the time I was thinking about Kramer. So I
10 don't know if -- I don't remember if -- at the time I
11 wrote this, if I was thinking more broadly or not.

12 Q. Would it have been obvious to a person of
13 ordinary skill in the art to transmit audio in a burst
14 mode if they had the Kepley patent in their possession in
15 1987?

16 MR. PAYNE: Same objection. Don't answer that.
17 Beyond the scope of the declaration.

18 BY MR. STEPHENS:

19 Q. So your declaration is not intended to convey
20 the view that Mr. Lang's alleged inventions are not
21 obvious in view of Kepley. Is that right?

22 A. I need to think about it. I don't recall right
23 now what my intent was, if it was broader or not.

24 MR. PAYNE: Can you read back the question,
25 please.

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1 Microsystems.

2 Q. Do you know if they supported UUCP?

3 A. I don't want to speculate. I don't know for
4 sure.

5 Q. Okay. Was compression used in -- well, I have
6 something else.

7 If you could mark this, please. I think the
8 next exhibit number is 251.

9 (Exhibit No. 251 was marked.)

10 BY MR. STEPHENS:

11 Q. Do you recognize your declaration?

12 A. Yes.

13 Q. And this is, to be clear, your Declaration in
14 Opposition to Apple's Second Motion for Summary Judgment
15 of Invalidity. Right?

16 A. Yes.

17 Q. If you turn to paragraph 38. Could you just
18 read the first sentence out loud?

19 A. "Not only does Kramer not suggest faster
20 than realtime transmission, it would have
21 been entirely surprising and unexpected for
22 anyone to conceive of or propose the idea of
23 transmitting audio in a burst mode given the
24 statement of technology and the consumer
25 product market in the late 1980s and early

1 (The record was read as follows:

2 "Q So your declaration is not intended to
3 convey the view that Mr. Lang's alleged
4 inventions are not obvious in view of Kepley.
5 Is that right?")

6 THE WITNESS: I do not believe I was thinking
7 about Kepley in this context --

8 BY MR. STEPHENS:

9 Q. Okay.

10 A. -- one way or the other.

11 Q. Have you expressed a view in connection with
12 this litigation whether or not Mr. Lang's alleged
13 inventions are obvious in view of Kepley?

14 A. I don't recall. You know, I've had a number of
15 phone conversations --

16 MR. PAYNE: Don't talk about phone
17 conversations. If you're going to limit it to the
18 declaration --

19 BY MR. STEPHENS:

20 Q. My intention is to ask you about your
21 declarations. That's what I meant.

22 A. I don't -- no, I don't recall right now.

23 Q. So you're not sure whether you did or not.
24 You're not saying you didn't.

25 A. That's correct.

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1 Q. Can you think of any reason other than
2 forwarding it?
3 MR. PAYNE: Objection. Form. I'm going to
4 instruct him not to answer. This has nothing to do with
5 compression.

6 I'm instructing you not to answer.
7 BY MR. STEPHENS:

8 Q. If you'll turn now to column 12. Line 47,
9 there's a section that begins "Voice Mail Message
10 Forwarding." Do you see that?

11 A. Yes.

12 Q. That shows that the system is designed for
13 forwarding voice mails. Right?

14 MR. PAYNE: You're beyond the scope of his
15 declaration. You're getting into issues of transmission.
16 I instruct him not to answer this line of questioning,
17 unless you can explain to me why it's tied to
18 compression.

19 MR. STEPHENS: I've already explained the
20 relevance to his declaration, and I'm not going to
21 continue. You can make your instructions, and we'll just
22 take it up with the judge.

23 MR. PAYNE: Okay.

24 BY MR. STEPHENS:

25 Q. Now, on the next column, 13, there's a

1 Q. Including the Audix system. Right?

2 A. I understand that that was developed by AT&T.

3 Q. And the next sentence there says,
4 "Advantageously, the transmission facilities are
5 high-speed digital facilities of the type used for
6 computer data file transfers." Right?

7 A. That's what it says.

8 Q. Then it goes on to say, "The use of digital
9 high-speed transmission facilities of speed greater than
10 9.6 kilobits per second enables the exchange of digitally
11 encoded and compressed voice mail messages faster than
12 realtime speech." Do you see that?

13 A. Yes, I see it.

14 Q. Do you have any reason to disagree with that?

15 MR. PAYNE: I'm going to object and instruct him
16 not to answer. It's beyond the scope of his declaration.
17 It's in transmission areas.

18 BY MR. STEPHENS:

19 Q. In column 14, around line 5, there's a sentence
20 that begins, "At step 706, the destination voice mail
21 service system transmit protocol identifiers to
22 originating voice mail service system to indicate the
23 signaling format." Do you see that?

24 A. Yes.

25 Q. Is that something that's referred to as a

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1 discussion of the data call connection to transmit voice
2 mails. Right?

3 A. I don't know where -- where is it?

4 Q. So, for example, starting at line 29.

5 A. Yes, there's the discussion of data call
6 connections in this patent.

7 Q. And it says there at line 29, that "This data
8 call connection can be established using various types of
9 transmission facilities." Do you see that?

10 A. Yes.

11 Q. And in the 1980s, AT&T had various types of
12 transmission facilities that it made available to its
13 business customers. Right?

14 A. I don't know that. I mean I know that they had
15 various transmission facilities, but I don't know what's
16 made available to customers other than the operating
17 companies which it owned at the time. It wasn't -- they
18 weren't customers.

19 Q. So you don't know whether what's described in
20 here about making data call facilities of various types
21 available to support voice mail systems is an accurate
22 description of AT&T's business at the time. Is that
23 right?

24 A. I believe that voice mail systems was a part of
25 AT&T's business.

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1 protocol negotiation?

2 A. I don't know.

3 Q. You don't know about protocol negotiation?

4 A. No.

5 Q. Do you know if the Kepley system would support
6 more than one protocol for transmission of voice mail
7 messages?

8 A. I don't know.

9 Q. In column 14, around line 58, there's a sentence
10 that says, "This voice mail message illustrated in
11 Figure 4 can be of any length." Do you see that?

12 A. Yes.

13 Q. Is there any inherent limit on the length of a
14 voice mail message that can be stored using voice mail
15 compression mechanisms of the kind that you're familiar
16 with that existed in the mid-'80s?

17 A. Well, there has to be a length limitation.
18 There's a limited -- disk storage space was expensive in
19 those days. It was -- they had a limited disk storage.
20 They're not going to let someone talk forever and leave a
21 message of three hours.

22 Q. Okay. But other than the limit imposed by the
23 amount of storage available, was there any other limit?

24 A. There may be technical limits involving with
25 the -- involving the implementation of the compression.

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1 I can't -- I don't know -- not just the compression. I
2 mean the interfacing and the circuitry and so on. I
3 don't know enough about the hardware to -- and how things
4 are implemented in circuits to say one way or the other.

5 Q. So you don't have any reason to disagree with
6 that statement -- is that right? -- that the voice mail
7 message can be of any length, other than there has to be
8 some limit to the amount of storage available?

9 MR. PAYNE: Objection. Form.
10 THE WITNESS: At the moment I can't think of
11 specific reasons other than the ones I've already
12 referred to that -- for putting a limit on the length of
13 the message.

14 BY MR. STEPHENS:
15 Q. Which ones did you refer to other than the disk
16 drive?

17 A. One, the disk storage space. And the other is
18 that implementation constraints for interfacing
19 circuitry, which I don't understand well enough that
20 there could be issues there.

21 Q. So you don't know of any, but there could be
22 some?

23 A. That's true.

24 Q. Okay.

25 A. I can think of another limitation.

1 whole -- the sign of the system, the engineering would be
2 very different if it was limited to one user.

3 Q. Okay.

4 A. You could use an answering machine.

5 Q. If you'll look at column 16 now of the Kepley
6 patent -- actually, before we go there, at the bottom of
7 column 15, at line 65, there's a sentence that says, "The
8 transmission of the digitally encoded, compressed voice."
9 Do you see that?

10 A. Column 15, line 65?

11 Q. Yes. And that sentence carries onto the top of
12 the next column. Could you just read that sentence out
13 loud.

14 A. "The transmission of the digitally
15 encoded, compressed voice mail message over
16 high-speed digital facilities also is
17 timewise efficient compared to transmitting
18 the analog version of the voice mail
19 message."

20 Q. Could you explain that, please?

21 MR. PAYNE: Same objection. Same instruction,
22 beyond the scope of his declaration.

23 BY MR. STEPHENS:

24 Q. In column 16 there, at line 11, there's a
25 sentence that says, "Destination voice mail service

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1 Q. Okay.

2 A. That the number of calls coming in -- the
3 computer processor has limited capability, and it just
4 can't keep processing one call forever when there's -- it
5 can be overloaded and it can only handle so many
6 simultaneous calls.

7 Q. But that's true regardless of how long a
8 particular message is. Right?

9 A. Well, it's just --

10 Q. How many are happening at the same time.

11 A. No. The longer it is, the more it ties up some
12 of the resources of the system, and therefore it reduces
13 the ability of the system to handle as many other
14 messages as it could.

15 Q. So that's a reason to make things happen quickly
16 and short. Right?

17 A. That would be a reason to put a limit on the
18 duration of the message.

19 Q. Okay. But that's not an engineering limitation.
20 That's just a decision about how to manage a bunch of
21 simultaneous calls. Is that right?

22 A. It is an engineering limitation, I think.

23 Q. If there was only one user for the system, it
24 wouldn't be a limitation. Right?

25 A. The system would be defined differently. The

1 system receives each 128 byte segment, and it goes on
2 from there." Do you see that?

3 A. Yes.

4 Q. That's talking about the destination voice mail
5 system receiving the digitally encoded, compressed voice
6 mail message. Right?

7 A. Perhaps I could read the context a bit.

8 Q. Sure. Go ahead.

9 A. Yes.

10 Q. So that's a description of the destination voice
11 mail saving -- voice mail service system receiving the
12 digitally encoded, compressed mail message and then
13 storing it on a magnetic disk. Right?

14 MR. PAYNE: Objection. Form.

15 THE WITNESS: It's -- it doesn't mention
16 compressed, but it is -- it's receiving a segment of the
17 message. So presumably that's the digital compressed
18 voice.

19 BY MR. STEPHENS:

20 Q. Then it stores it in the database processor.
21 Right?

22 A. That's what it says.

23 Q. And that database processor we saw had a
24 magnetic disk for storage. Right?

25 A. I don't know.

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1 Q. Look back at Figure 2.

2 MR. PAYNE: Objection. Form.

3 THE WITNESS: Figure 2 shows an element number

4 203 that looks like a symbol for a magnetic disk storage.

5 BY MR. STEPHENS:

6 Q. So just to be clear, then, what's happening in

7 the patent, with reference to Figure 1, we have the

8 system 110 receiving a voice mail message from a handset

9 where somebody's leaving a voice mail message. Are you

10 with me now?

11 A. Figure 2?

12 Q. Figure 1. So you have --

13 A. Oh, yes.

14 Q. -- in Figure 1 the voice mail service system 110

15 receives a voice mail message from somebody using a

16 telephone handset. Right?

17 A. Yes, that's one option here.

18 Q. Okay. And then the voice storage processor

19 digitally compresses it and stores it in the database

20 processor. Right?

21 A. The voice storage processor does compress it

22 and -- yes, I believe it's stored in the database

23 processor.

24 Q. Then the database processor transmits it over

25 high-speed digital facilities in a manner that's timewise

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1 efficient, compared to sending the analog version to the

2 voice mail system 150. Right?

3 MR. PAYNE: I'm going to object. It's beyond

4 the scope of the declaration. Instruct him not to

5 answer.

6 BY MR. STEPHENS:

7 Q. So then the database processor transmits that to

8 the voice mail service system 150. Right?

9 MR. PAYNE: Same objection. Same instruction.

10 BY MR. STEPHENS:

11 Q. Somehow the voice mail gets from database

12 processor 113 to the database processor 153. Right?

13 A. Where's 153?

14 Q. That's in the voice mail service system 150.

15 A. Yes, I believe so.

16 Q. And then there, that digitally compressed voice

17 mail file is stored in database processor 153 on a

18 magnetic disk. Right?

19 A. Yes.

20 MR. STEPHENS: Why don't we take a break to

21 change the tape.

22 THE VIDEOGRAPHER: We're off the record at

23 approximately 1:48 a.m. That concludes tape 1.

24 THE WITNESS: Did you say 1:48? 10:48.

25 THE VIDEOGRAPHER: I'm sorry. 10:48.

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1 (Recess taken.)

2 THE VIDEOGRAPHER: We're back on the record.

3 This is the beginning of tape 2. The time is

4 approximately 10:58 a.m.

5 Counselor.

6 BY MR. STEPHENS:

7 Q. Dr. Gersho, looking back at your second

8 declaration, which I think was 251, if I remember right.

9 That's the Declaration of Allen Gersho in Opposition to

10 Apple's Second Motion. Do you have that?

11 A. Yes.

12 Q. Do I have the number right, 251, on the exhibit?

13 A. Yes.

14 Q. In paragraph 38 -- actually, before we get

15 there, let me ask another question or two about Kramer --

16 excuse me -- about Kepley.

17 Now, you worked for AT&T for a number of years.

18 Right?

19 A. Yes, I did.

20 Q. Did you file any patent applications while you

21 were working for AT&T?

22 A. Yes.

23 Q. So there are some AT&T-issued patents that

24 you're named as an inventor on?

25 A. That's correct.

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1 Q. Is it your experience that when AT&T filed for a

2 patent, AT&T as a company did so because they believed

3 there was an invention there worth patenting?

4 A. I couldn't say that. I think they wanted to

5 patent everything they could. That was my impression.

6 Q. Okay. But it -- was it your view or your

7 understanding that AT&T's policy was to fulfill all the

8 requirements of the patent office, laws and regulations,

9 in filing an application?

10 A. I don't know their policy. They never discussed

11 it with me.

12 Q. Do you think AT&T would file patent applications

13 for things that didn't work?

14 MR. PAYNE: Objection. Form.

15 THE WITNESS: I don't think they filed any

16 perpetual motion machines, but I know one patent that

17 they filed -- that was filed and issued of mine that was

18 totally useless.

19 BY MR. STEPHENS:

20 Q. "Useless" is different than "not working."

21 Do you think they filed patent applications for

22 things that didn't work?

23 A. I have no reason to assume that, but -- no, I

24 shouldn't speculate. I don't know. Who knows. There

25 may be a reason.

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1 Q. Okay. Well, looking at the Kepley patent, that
 2 was assigned to AT&T. Right?
 3 A. Uh-huh. Yeah.
 4 Q. And it was published no later than
 5 December 1988. Right? That's the issue date on the
 6 patent?
 7 A. If you say so.
 8 Q. That's the issue date anyway?
 9 A. Uh-huh. Yeah.
 10 Q. Would you agree that that reflects the state of
 11 the art in voice mail systems at the time?
 12 A. I don't have any opinion on that, because I
 13 don't know the field. I don't know how many other
 14 patents were filed prior to this.
 15 And to clarify my previous answer, they may
 16 indeed have filed for patents that don't work. Because
 17 sometimes patents are drawing-board things without
 18 actually implementing and it may turn out it doesn't
 19 work, and we may not know until later.
 20 Q. Okay. But they didn't do it intentionally.
 21 Right?
 22 A. I have no reason to believe they would do it
 23 intentionally.
 24 Q. AT&T was pretty good at making things work.
 25 Right?

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1 A. We had a lot of talented engineers at Bell
 2 Laboratories, AT&T Bell Laboratories.
 3 Q. And they were obviously very good at high-speed
 4 data transmission. Right?
 5 A. Most of the areas that I was involved in were
 6 not high-speed data transmission. So I don't know
 7 specifically, you know. There were some -- I know there
 8 were some notable screwups. They put in a lot of money
 9 into something that -- a lot of time in developing
 10 something that turned out not to be useful in digital
 11 transmission. That was in the research area.
 12 Q. Okay. And they were good at compression.
 13 Right?
 14 A. Yes. There was a lot of expertise in the area
 15 of voice compression.
 16 Q. Now, looking back at your declaration, we
 17 started to look at paragraph 38. And this is, again, the
 18 paragraph where in the first sentence you say that "it
 19 would have been entirely surprising and unexpected for
 20 anyone to conceive of or propose the idea of transmitting
 21 audio in a burst mode given the state of the technology
 22 and the consumer product market in the late '80s and
 23 early '90s." Right?
 24 A. Yes, I said that.
 25 Q. But Kepley did conceive of it. Right?

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1 MR. PAYNE: Objection. Form. I'm going to
 2 instruct him not to answer. It's beyond the scope.
 3 THE WITNESS: May I answer?
 4 MR. PAYNE: He's asking a specific question
 5 about Kepley. So that's beyond the scope of your
 6 declaration. So I'm going to instruct you not to answer.
 7 BY MR. STEPHENS:
 8 Q. Well, Kepley was part of the state of the
 9 technology at the time. Right?
 10 A. I gather Kepley was involved in digital
 11 switching. I have no reason to believe he was involved
 12 in compression.
 13 Q. Well, the device described in there uses
 14 compression. Right?
 15 A. I believe he just assumed that the people who
 16 know compression would provide him with a compression
 17 algorithm to fit into their box.
 18 Q. And that was perfectly possible. Right?
 19 A. I don't know. Depends what the constraints are
 20 and what the objectives are.
 21 Q. Well, there were many voice codecs, as you point
 22 out, that were known to people of skill in the art at the
 23 time. Right?
 24 A. Yes, there were.
 25 Q. Okay. And some of them would have been suitable

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1 for use in a voice mail system described by Kepley.
 2 Right?
 3 A. I'm not sure -- I'm not sure I could make a
 4 sweeping statement about -- depends what his constraints
 5 and objectives were.
 6 Q. Is it your testimony that there was no codec
 7 known to people of skill in the art that could have been
 8 used in Kepley in 1988?
 9 A. No, that's not my testimony.
 10 Q. Was there any?
 11 A. I can't say, unless we specifically define the
 12 constraints of what they need to achieve in that context.
 13 Q. I'm just asking about what's describe in the
 14 patent. So if a person of ordinary skill read the patent
 15 in 1988, would they have been able to find a compression
 16 algorithm that would work in that system that's described
 17 in there?
 18 A. See, if I recollect -- recollect correctly, that
 19 he was describing a 16-kilobit-per-second rate or -- is
 20 that -- I don't remember. But I'm trying to define this
 21 question more precisely.
 22 If he was seeking a compression method that
 23 produced 16-kilobit-per-second speech, I will definitely
 24 agree that there were compression algorithms for voice
 25 speech that could achieve 16 kilobit rate of --

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1 Q. Okay. And could have been used in the system
 2 that's described there. Right?
 3 A. Again, that depends on the whole family of
 4 constraints, engineering constraints, but whether -- what
 5 would be suitable. It's not sufficient that it had the
 6 right bit rate.
 7 Q. I'm just asking about the constraints that are
 8 described in the patent. Is there anything in there that
 9 you can point to that says, no, there's no codec that
 10 would allow you to build the system that's described
 11 here?

12 A. Well, I don't know the patent well enough to
 13 have the totality --

14 Q. You have it right there. Take a look.

15 A. I don't know if you want me to read the whole
 16 patent.

17 Q. I want you to do what you need to satisfy
 18 yourself. Tell me if there's a reason in there that you
 19 couldn't build the system that's described.

20 MR. PAYNE: I'm going to object to form. You're
 21 talking about the compression or the whole system?

22 MR. STEPHENS: I'm talking about whether there's
 23 any reason that he knows of that there was -- that you
 24 could not use a known compression algorithm to build the
 25 system that's described.

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1 THE WITNESS: If you say that I know of, if
 2 there's any reason I know of, at this point I don't know
 3 of a specific reason why you cannot find some algorithm
 4 that would be suitable for this application.

5 BY MR. STEPHENS:

6 Q. So, now, would you agree that if Kepley was
 7 considered to be part of the state of the technology at
 8 the time, that your statement in paragraph 38 about it
 9 being entirely surprising and unexpected for anyone to
 10 conceive of or propose the idea of transmitting audio in
 11 a burst mode is wrong?

12 A. You know, I don't know Kepley. I never met him,
 13 and I don't know if he was part of this -- how -- to what
 14 extent he represents the state of the technology, you
 15 know.

16 Q. Okay. So you don't know whether Kepley would be
 17 part of the state of the technology. Right? And I mean
 18 by -- when I say "Kepley," I mean the patent.

19 A. I assume the patent examiners found the patent
 20 worthy of issuance. So under that assumption, the patent
 21 was presumed to have -- to have validity.

22 Q. So if you assume that what's described in Kepley
 23 is part of the state of the technology in the late '80s
 24 and early '90s, then your statement that it would be
 25 entirely surprising and unexpected for anyone to conceive

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1 of or propose the idea of transmitting audio in a burst
 2 mode is wrong. Correct?
 3 A. Could you give me the first part of that
 4 question again?
 5 Q. Yes. If you assume that Kepley, the patent, was
 6 part of the state of the technology in the late '80s,
 7 then your statement that it is -- it would have been
 8 entirely surprising and unexpected for anyone to conceive
 9 of or propose the idea of transmitting audio in a burst
 10 mode is wrong?

11 A. I can't say that. First of all, you want to
 12 assume that Kepley was part of the state of technology.
 13 That's -- under that hypothesis -- hypothetically,
 14 because I don't know for sure what role he played,
 15 whether he was -- you know, a significant role there,
 16 whether he was --

17 Q. I'm asking about a person of ordinary skill in
 18 the art, not about Kepley as a man. I'm not asking about
 19 his role in the state of the art.

20 I'm saying if a person of skill in the art knew
 21 about Kepley. Right? And by "Kepley," I'm referring to
 22 the patent, not the man.

23 A. Yeah.

24 Q. If they had in their hands Kepley --

25 A. Right.

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1 Q. -- so that that's part of the state of the
 2 technology -- this is known technology in 1988 -- then
 3 your statement that it would have been entirely
 4 surprising and unexpected for anyone to conceive of or
 5 propose the idea of transmitting audio in a burst mode is
 6 wrong, because Kepley, the document, proposes that.
 7 Right?

8 MR. PAYNE: Objection. Form.

9 THE WITNESS: No, I don't agree with that.
 10 First of all, my statement refers to audio. And I have
 11 been assuming for -- in the context of Kramer
 12 specifically, that audio refers to wideband music for
 13 entertaining the consumer.

14 BY MR. STEPHENS:

15 Q. So you weren't suggesting that it would not have
 16 been -- that it would have been entirely surprising and
 17 unexpected for anyone to conceive of or propose the idea
 18 of transmitting voice/audio in a burst mode. Right?

19 A. My statement was not addressing voice
 20 specifically. So I'm not -- I didn't give an opinion
 21 about that in the statement.

22 Q. Do you have an opinion about whether it would
 23 have been obvious to conceive of or propose the idea of
 24 transmitting voice/audio in a burst mode in the late
 25 '80s?

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1 MR. PAYNE: That's beyond the scope of his
2 declaration as stated.
3 MR. STEPHENS: Are you going to instruct him not
4 to answer?
5 MR. PAYNE: What's the question again?
6 BY MR. STEPHENS:
7 Q. Do you have an opinion about whether or not it
8 would have been surprising or unexpected for anyone to
9 conceive of or propose the idea of transmitting voice
10 audio in a burst mode in the late '80s?
11 MR. PAYNE: Yeah, I'm going to instruct him not
12 to answer.
13 MR. STEPHENS: If you could mark this, please.
14 (Exhibit No. 253 was marked.)
15 BY MR. STEPHENS:
16 Q. Dr. Gersho, the court reporter has handed you
17 Exhibit 253. This is a CompuSonics document. Have you
18 seen this before?
19 A. Yes, I believe I've seen it.
20 Q. What's the system described in it?
21 A. Some kind of audio processing workstation.
22 Q. And it's an audio editing system. Right?
23 A. I --
24 MR. PAYNE: Go ahead.
25 THE WITNESS: I believe they do mention that it

1 "Say goodbye to endless loading or
2 unloading of tape reels or carts. With the
3 DSP-2002's disk-based storage system, your sound
4 effects or music can now be located by
5 high-speed random access."
6 A. Yes.
7 Q. That shows that the digitally encoded wideband
8 audio is stored on a high-speed random access disk.
9 Right?
10 MR. PAYNE: Objection. Form.
11 THE WITNESS: It shows that high-speed random
12 access storage is being used for the audio. I have to
13 put the pieces together to see if it's -- that the
14 encoded version is so stored, in fact.
15 BY MR. STEPHENS:
16 Q. Well, it has to be digitally encoded in order to
17 be stored on a disk. Right?
18 A. It has to be in digital form, yes.
19 Q. And then if you'll look at the end of the
20 document, there's a sort of specification section. I
21 think that's the name of it. And then near the bottom,
22 there's a section "Encoding Format." Do you see that?
23 A. I see "Audio Storage and CSX Encoding." Is that
24 the section you mean?
25 Q. Yes, that's the section I'm talking about.

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1 does editing. As I recall -- I haven't seen this in a
2 while, but it -- I shouldn't speculate. I don't know for
3 sure, but I --
4 BY MR. STEPHENS:
5 Q. Okay. And it stores digitally compressed
6 wideband audio in random access storage. Right?
7 MR. PAYNE: Objection. Form.
8 THE WITNESS: I have to refresh my memory.
9 BY MR. STEPHENS:
10 Q. Take a moment to look it over, if you need to.
11 A. The system does store audio in digital form that
12 has been encoded.
13 Q. And that's wideband audio. Right?
14 A. I believe so.
15 Q. And it stores it in random access memory?
16 MR. PAYNE: Objection. Form.
17 THE WITNESS: I believe they do mention random
18 access memory, but I don't know if that's the storage
19 means.
20 BY MR. STEPHENS:
21 Q. Just, for example, if you look at the page with
22 production number ending 699, there's a section entitled
23 "Instant-Access Storage." Do you see that?
24 A. Yes.
25 Q. And it says:

1 And that shows a variety of sample rates.
2 Right?
3 A. Yes.
4 Q. And those sample rates are sufficient to store
5 wideband audio. Right?
6 A. Depending on the quality that you want. There
7 are different versions of audio.
8 Q. Well, 50 kilohertz is wide enough to store the
9 entire frequency band that human hearing is capable of
10 sensing. Right?
11 A. Probably, yes.
12 Q. It's not probable; it's certain.
13 A. Well, there's some people that believe that --
14 that they're useful -- people with good hearing may
15 believe they can hear beyond the usual range that we
16 assume.
17 Q. But the usual range that's assumed by
18 professionals working in the field is 20 Hertz to
19 20 kilohertz. Right?
20 A. Something like that.
21 Q. And 50-kilohertz sampling rate is plenty to
22 store that full range. Right?
23 A. Yes.
24 Q. Okay. So the system was capable of storing
25 wideband audio by any normal definition. Right?

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1 And let's just try and do this as quickly as we can so I
2 can avoid Dr. Gersho having to make his car.
3 THE VIDEOGRAPHER: We are off the record at
4 approximately 11:57 a.m., tape 2.
5 (Whereupon, a lunch recess was taken.)
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1 not fresh in my mind, though. It's been awhile.
2 Q. What is your understanding of what Mr. Lang
3 invented?
4 A. Well, first of all, I guess every claim is a
5 separate invention. So I don't know if I could say in
6 general, but I could address specific -- like claim 1 of
7 '995 is the one that comes to mind most.
8 Q. Okay. Let's go with that one.
9 A. And his invention has four major components:
10 the input means -- let's see -- random access storage
11 means, and compression means, and output means, if I
12 recall. Those are --
13 Q. Okay. And is it your testimony that Mr. Lang is
14 the first person ever to have combined those?
15 A. I didn't comment on that in my depositions. I
16 don't recall testifying to that specifically.
17 Q. Do you have a view?
18 MR. PAYNE: This is beyond the scope of his
19 declaration. I guess, yeah, you can answer in the
20 context of your declarations.
21 THE WITNESS: I believe so, yes.
22 BY MR. STEPHENS:
23 Q. And what's the basis for that belief?
24 A. Well, I haven't seen -- I haven't seen these
25 four elements combined in -- in any of the prior art

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1 AFTERNOON PROCEEDINGS
2 THE VIDEOGRAPHER: We are back on the record.
3 The time is approximately 12:45 p.m., tape 2.
4 Counselor.
5 BY MR. STEPHENS:
6 Q. Dr. Gersho, we've looked at a number of
7 different documents today, and those are all documents
8 you had reviewed and understood in preparation for
9 executing your declarations. Right?
10 A. To varying degrees. Not all of them have I
11 studied all parts.
12 Q. How did you determine which parts to study when
13 you were reviewing them?
14 A. I guess I looked for the parts that was most
15 relevant to my area of expertise. And knowing that there
16 was another expert that was covering a lot of material
17 related to the transmission communication, and I mainly
18 oriented to compression.
19 Q. Okay. So your area of expertise primarily is
20 compression. Is that right?
21 A. Yeah.
22 Q. You also read and understood Mr. Lang's patents.
23 Right?
24 A. I did read all four patents at the very
25 beginning, and I believe I understood them. But they're

1 references that I've seen before.
2 Q. Of course you did see them combined in Kopley.
3 Right?
4 MR. PAYNE: Objection. Form.
5 MR. STEPHENS: Are you going to instruct him not
6 to answer?
7 MR. PAYNE: Yeah. It's beyond the scope of the
8 declaration.
9 BY MR. STEPHENS:
10 Q. Now, going back to your declaration, No. 251,
11 Exhibit 251, paragraph 38, you say:
12 "It would have been entirely surprising and
13 unexpected for anyone to conceive of or propose
14 the idea of transmitting audio in a burst mode
15 given the state of technology and the consumer
16 product market in the late 1980s and early
17 1990s."
18 Do you see that?
19 A. Yes.
20 Q. What is the idea of transmitting audio in a
21 burst mode?
22 A. Well, the idea is focused on a specific quantity
23 of audio that is in digital form and sending it in a
24 faster time than realtime. The faster than the playback
25 time, the time it takes to get it from one place to

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1 A. I bought CDs at some point, but I didn't --
 2 Q. No professional involvement?
 3 A. -- get the -- in professional involvement in the
 4 CD industry.
 5 Q. Okay. Do you know how, for example, audio
 6 cassettes were manufactured?
 7 A. No, I don't.
 8 Q. So you don't know whether people used high-speed
 9 tape duplicators to make copies of audio tapes to
 10 distribute and sell?
 11 A. I -- this is all analog.
 12 Q. For audio tapes, that's right?
 13 A. I mean the phrase "high-speed tape duplicator"
 14 makes sense to me, but I don't have experience with it.
 15 I never -- I never looked at what goes into such devices.
 16 Q. But you would agree that when you're making
 17 copies and you're not actually listening to the copy that
 18 you're making, if you're doing it to distribute music, it
 19 makes sense to do that quickly. Right?
 20 A. I'm not sure. I could see pros and cons.
 21 Q. Well, all other things being equal, faster is
 22 better. Right?
 23 A. Well, I don't think all other things are equal.
 24 Q. But clearly if you're trying to make a million
 25 CDs, then you want to be able to manufacture them

1 location.
 2 Q. And the way you do that is by transmitting the
 3 electronic data representing the file from one disk to
 4 another. Right?
 5 A. I suppose so. I don't see anything wrong with
 6 that.
 7 Q. And the computer industry, including the
 8 personal computer industry and other parts of it, have
 9 spent huge sums of money over the last four or five
 10 decades to make that process happen faster. Right?
 11 MR. PAYNE: Objection. Form. You're way beyond
 12 the scope of his declaration.
 13 MR. STEPHENS: Are you going to direct him not
 14 to answer?
 15 MR. PAYNE: Yeah.
 16 BY MR. STEPHENS:
 17 Q. If you have an audio file on a computer --
 18 computer work -- a Sun workstation's hard drive and you
 19 copy that audio file to an external hard drive, would
 20 that copy happen faster than realtime?
 21 MR. PAYNE: Objection. Form.
 22 THE WITNESS: I'm not an expert on the insides
 23 of what goes on in computer processing or computer
 24 communication. But, first of all, the realtime issue
 25 would only come up if the file happened -- is audio. Is

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1 quickly. Right?
 2 MR. PAYNE: Objection. Form.
 3 THE WITNESS: Yeah. But, I mean, if a printing
 4 press can print thousands of pages per minute, it's just
 5 stamping out material. I don't know if it has anything
 6 to do with sending data faster than realtime.
 7 BY MR. STEPHENS:
 8 Q. Well, of course it does. Because if you're
 9 sending data faster than realtime from one disk to
 10 another, you're doing it to make a copy. Right?
 11 MR. PAYNE: Objection. Form.
 12 THE WITNESS: In your hypothetical situation, if
 13 you are sending data from one disk to another and if that
 14 is needed to make a copy, then digital transmission is
 15 being involved internally here.
 16 BY MR. STEPHENS:
 17 Q. Okay. And that's how you make a copy of a file
 18 from one disk to another. Right?
 19 A. Well, not with audio cassette.
 20 Q. I'm not asking about audio cassettes now. I'm
 21 asking about a file on a disk.
 22 A. Well, there are many ways of creating a file,
 23 but if you're -- if you're transferring a file from one
 24 place to another, a digital file from one place to
 25 another, then it would get stored on the destination

1 that part of your question?
 2 BY MR. STEPHENS:
 3 Q. Let's say it was an audio file.
 4 A. Yeah. So if it's an audio file, I guess it
 5 depends -- I can't say it's not possible that it would be
 6 faster than realtime.
 7 Q. Okay. In fact, let's assume that it's a PCM
 8 encoded 44.1 kilohertz 16-bit stereo file -- let's say
 9 mono file, and you copy that from one hard drive to
 10 another on the Sun workstations that your laboratory used
 11 in the mid to late '80s, would that copy happen faster
 12 than realtime?
 13 MR. PAYNE: Don't answer that question. Assumes
 14 facts not in evidence. Garland, come on.
 15 MR. STEPHENS: This man has put out a statement
 16 about technology that he's admitted depends on the
 17 workstations that were in his office. And I'm trying to
 18 figure out how they work. Direct him not to answer and
 19 we'll go on, if that's what you're intending to do.
 20 MR. PAYNE: Okay.
 21 MR. STEPHENS: Are you directing him not to
 22 answer?
 23 MR. PAYNE: Yeah, yeah, because you've been
 24 critical --
 25 MR. STEPHENS: You don't have to explain it.

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1 times. And on the next pass, you take the next --
2 A. Yeah.
3 Q. -- the second bit for that sub-band.
4 A. Yeah.
5 Q. What's wrong with that?
6 A. But as I noted, that it seems to either
7 repeatedly send the entire data from the memory to feed
8 it out to the demultiplexer and decoders a hundred times
9 repeatedly is -- doesn't make any sense and isn't
10 feasible.
11 Q. If you did it, though, the numbers work out.
12 Right?
13 A. I don't know, because I -- to talk about
14 reproduction of sound, I don't think it would reproduce
15 intelligible sound. So I don't know if the -- if it
16 makes sense to assess -- the hundred times reproduction
17 of what sound? Of garbage?
18 Q. So you're basing your rejection, even of
19 exploring whether the arithmetic works out, on your
20 conclusion that it wouldn't result in an audible signal.
21 Is that right?
22 A. That question is a little confusing.
23 Q. I'm just trying to understand why, when you do
24 your counting, r1, b1, g1, et cetera --
25 A. Right.

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1 Q. -- in an attempt to show that the numbers don't
2 work out, you're actually counting from the multiplexer
3 rejecting 99 out of a hundred and not the decoder, as the
4 reference actually says.
5 A. What the reference says is the decoder is
6 taking. It doesn't say reject --
7 Q. Taking one out of a hundred bits presented to --
8 A. Yeah, taking one out of a hundred. And it is,
9 but not by choice. Because the demultiplexer is only
10 letting it take one out of a hundred.
11 Q. The demultiplexer is what does the presenting.
12 Right? That's how the circuits show.
13 A. Well, it's -- and it's only -- but it is doing
14 the one out of a hundred. In order to deinterleave, it
15 has to -- it makes sense the demultiplexer has to be
16 deinterleaving.
17 Q. Well, is it true, sir, that the counting that
18 you did in an attempt to show that the numbers don't work
19 out as the signal is presented to the decoders is based
20 on the demultiplexer doing the rejecting of 99 out of a
21 hundred bits? That's right, isn't it?
22 A. Well, it's equivalent to the decoder taking one
23 out of a hundred. The demultiplexer is --
24 Q. I just want a clear answer.
25 A. Yeah.

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1 Q. So when we're talking about the -- taking only
2 one out of a hundred bits, you're talking about the
3 hundred bits being on the line leading into the
4 demultiplexer. Right?
5 A. Yes.
6 Q. Okay. And that's what you were using for all of
7 the counting that appears in your declaration. Right?
8 A. In that illustrative example, yes. I could
9 construct another example based on your hypothesis that
10 would also lead to nonsensical results.
11 Q. Right now I'm only asking about what's actually
12 in your declaration. And that's based on the
13 demultiplexer seeing the 100 bits, not the decoder.
14 Right?
15 A. Well --
16 Q. Let me be just be a little clearer. "Seeing" is
17 the wrong word.
18 The 100 bits you're talking about are on the
19 line leading into the demultiplexer, 24, in Figure 1.
20 Right?
21 A. Yes.
22 Q. And they're not 100 bits on the line leading
23 from the demultiplexer to the decoder. Right?
24 A. Yes.
25 Q. And you have not worked out an example where the

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1 100 bits, of which only one is taken by the decoder, are
2 present on the line leading from the demultiplexer to the
3 decoder. Is that right?
4 A. I think -- I have worked out that example. I
5 think it's just the taking. It's just a matter of how
6 you interpret the statement. The way I understand
7 Kramer, this is not the same thing.
8 Q. I'm not asking about the interpretation now of
9 the language in Kramer. I'm asking about how you
10 actually worked it out.
11 So the 100 bits, though, were not present on the
12 line leading from the demultiplexer to, for example, the
13 rightmost decoder, 26. Right?
14 A. The hundred bits is what is coming in, yes.
15 Q. Into the demultiplexer?
16 A. Into the demultiplexer.
17 Q. And they're not present on the line leading from
18 the demultiplexer to the decoder. Right?
19 A. To one specific decoder, right.
20 Q. Okay. So if you were going to correct the
21 language in column 4 that we were looking at, about the
22 demultiplexer starting line 45, what would you have it
23 say?
24 A. Well, there are a lot of ways to correct it.
25 One way would be by taking "e.g. only one out of every

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1 hundred bits of information presented to the decoder at a
2 time" -- sorry -- "presented to the demultiplexer." So
3 it would be --

4 Q. So just to be clear, the way you would correct
5 it --

6 A. Yeah. "Presented to" -- instead of "presented
7 to it," I would say "presented to the demultiplexer."

8 Q. Now, looking at your declaration in Exhibit 251,
9 in column -- excuse me -- in paragraph 19, you're talking
10 about the black box argument?

11 A. Yes.

12 Q. And you put that in both your declarations?

13 A. Uh-huh.

14 Q. And you make the argument that if the data is
15 sent a hundred times faster than the time it takes to
16 play it back, that you'd have to store it; otherwise, you
17 wouldn't be able to play it back.

18 And the way you put that is "as long as the data
19 is transmitted only once from memory to the box." Right?

20 A. Yes. Under that condition, it's impossible
21 to -- to have -- it would not make sense of
22 faster-than-realtime input if the output is realtime.

23 Q. Okay. But if you did transmit it a hundred
24 times and only took 1/100 of the data each time it was
25 sent, then that wouldn't be an issue. Right?

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1 A. Well, I think it would be a practical
2 impossibility to transmit it a hundred times.

3 Q. I understand you have reasons that you think
4 that you couldn't transmit it a hundred times. But if
5 you did, the black box argument that you make does not
6 work. Right?

7 A. Yes. The same -- the black box argument, as I
8 worded it, would not apply under your hypothetical
9 scenario.

10 Q. Okay.

11 A. It would require pretty tricky -- actually, I'm
12 not even sure that's right. I'm just trying to think of
13 what could be inside the black box without memory so that
14 you could actually organize the data.

15 Q. It might have a very small amount of memory, but
16 it wouldn't -- you wouldn't need anything like the amount
17 of memory to store the entire --

18 A. I would have to think about that, actually. My
19 argument that it's an impossibility is limited to only
20 one pass of memory. But under your hypothesis, suppose
21 that it was a hundred times. I have to think a little
22 bit how to --

23 Q. Just have a counter?

24 A. -- what could be done inside the box that
25 would --

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1 Q. Just have a counter that counts up to a hundred
2 and then takes the next bit. When the counter reaches a
3 hundred, then it starts over again. Wouldn't that work?

4 A. No.

5 Q. Why not?

6 A. Because it's not counting up to a hundred.
7 You'd have to wait for the entire -- the entire data to
8 pass through, and then -- in other words --

9 Q. You could interleave it on the multiple
10 sub-bands. And it does get somewhat more complicated
11 there. But if you look at it as if it was only one band,
12 it's actually quite simple. Right?

13 A. If there's only one band, it would be much
14 simpler. But you really have to hold data to the right
15 time in order to get the right -- the right bit for the
16 right sub-band. If you don't get one pass, you get it
17 the next pass.

18 Q. You could do this --

19 MR. PAYNE: Whoa, whoa.

20 THE WITNESS: It's kind of tricky. I'd have to
21 spend a little bit of time thinking about whether or not
22 it is possible. Although what I am acknowledging is --
23 and that you are correct, that the argument I gave by
24 itself does not apply and does not show the impossibility
25 of faster-than-realtime input with a realtime output if

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1 you had more than one pass.

2 BY MR. STEPHENS:

3 Q. Okay. And you could arrange the kind of pass,
4 again, if we restrict ourselves to a single band, by a
5 rotating shift register that simply -- that holds a
6 hundred bits and outputs those hundred bits over and over
7 and over again at a speed of a hundred times faster than
8 realtime, and at each point at which the decoder reads
9 one bit, you take that bit out of the recirculating shift
10 register and add the next bit from the song into the
11 recirculating shift register at that point. Right? So
12 you'd have --

13 A. You don't have the next bit from the song if
14 you're throwing away 99.

15 Q. No. I'm talking about what you're sending from
16 the memory. So part of the memory circuit would be this
17 recirculating shift register I'm talking about.

18 A. So this is a hypothetical not involving sub-band
19 coding?

20 Q. That's right. I'm hypothesizing for a single
21 band in order just to avoid the complexity of the
22 interleaving sub-bands.

23 A. Well, I -- it bothers me to make such a
24 hypothesis because it completely changes the situation.
25 It doesn't simply avoid complexity. It becomes

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A. Okay.

THE VIDEOGRAPHER: We are off the record at approximately 3:02 p.m. This concludes Volume I of the deposition and tape 3.

(WHEREUPON, the September 11, 2007, deposition of ALLEN GERSHO was adjourned at 3:02 p.m.)

ALLEN GERSHO

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I, VALERIE J. EAMES, Certified Shorthand Reporter, No. 9021, hereby certify that the foregoing deposition of ALLEN GERSHO, VOLUME I, was taken by me at the time and place herein set forth, at which time the witness was put under oath by me;

That the said deposition was taken down by me in shorthand and thereafter transcribed under my direction and supervision, and I hereby certify the foregoing deposition is a full, true, and correct transcript of my shorthand notes so taken; and that the witness was given an opportunity to read and correct said deposition and to subscribe the same.

Should the signature of the witness not be affixed to the deposition, the witness shall not have availed himself or herself of the opportunity to sign or the signature has been waived.

I further certify that I am neither counsel for nor related to any party to said action, nor am I in anywise interested in the outcome thereof.

IN WITNESS WHEREOF, I have subscribed my name this 14TH day of SEPTEMBER, 2007.

VALERIE J. EAMES, CSR

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