

In The Matter Of:

*APPLE COMPUTER v.
BURST.COM*

*SHEILA HEMAMI
November 14, 2006*

*FINK & CARNEY REPORTING AND VIDEO SERVICES
39 WEST 37TH STREET
NEW YORK, NY USA 10018
(212) 869-1500 or (800) 692-3465*

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[1] UNITED STATES DISTRICT COURT
 [2] NORTHERN DISTRICT OF CALIFORNIA (SAN FRANCISCO)
 [3] APPLE COMPUTER, INC.,)
 [4] Plaintiff,)
 [5] -against-) Case No.
 [6] BURST.COM, INC.,) C-06-00019
 [7] Defendant.) (MHP)

[8]
 [9] DEPOSITION of SHEILA S. HEMAMI, PH.D., an
 [10] Expert Witness, taken by Plaintiff at the offices of
 [11] Weil, Gotshal & Manges LLP, 767 Fifth Avenue, New
 [12] York, New York, on Tuesday, November 14, 2006,
 [13] commencing at 9:28 a.m., before Charleane M. Heading,
 [14] a Registered Professional Reporter and Notary Public
 [15] within and for the State of New York.
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 [23]
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[1] APPEARANCES:
 [2]
 [3] WEIL, GOTSHAL & MANGES LLP
 [4] Attorneys for Plaintiff
 [5] 201 Redwood Shores Parkway
 [6] Redwood Shores, California 94065
 [7] BY: NICHOLAS A. BROWN, Esq., of Counsel
 [8]
 [9] HEIM, PAYNE & CHORUSH, L.L.P.
 [10] Attorneys for Defendant
 [11] 6710 Chase Tower
 [12] 600 Travis Street
 [13] Houston, Texas 77002
 [14] BY: LESLIE V. PAYNE, Esq., of Counsel
 [15]

ALSO PRESENT:

[16] JOSE SANTOS, Videographer
 [17] Fink & Carney Reporting and Video
 [18]
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 [23]
 [24]
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[1] (Documents were premarked as
 [2] Deposition Exhibit No. 101, 102, 103
 [3] and 104 for identification, as of this
 [4] date.)
 [5]

[6] THE VIDEOGRAPHER: Now going
 [7] on the record. The time is 9:28 a.m.
 [8] on November 14, 2006.

[9] This is the videotaped
 [10] deposition of Sheila Hemami in the
 [11] matter of Apple Computers, Inc. versus
 [12] Burst.com, Inc. under the jurisdiction
 [13] of the United States District Court,
 [14] Northern Division of California. This
 [15] deposition is being held at 767 Fifth
 [16] Avenue, New York, New York.

[17] My name is Jose Santos and I'm
 [18] the video specialist. The court
 [19] reporter is Charleane Heading and we
 [20] both represent Fink & Carney Reporting
 [21] with offices located at 39 West
 [22] 37th Street, New York, New York.

[23] May I have an introduction
 [24] from counsel?

[25] MR. BROWN: Nick Brown

[1] representing Apple Computer.
 [2] **MR. PAYNE:** Les Payne for
 [3] Burst.
 [4] **THE VIDEOGRAPHER:** Will the
 [5] court reporter swear in the witness?
 [6] SHEILA S. H EMAMI, called as a
 [7] witness, having been first duly sworn by
 [8] Charleane M. Heading, RPR, a Notary Public
 [9] within and for the State of New York, was
 [10] examined and testified as follows:
 [11] **EXAMINATION BY**
 [12] **MR. BROWN:**
 [13] **Q:** Ms. Hemami, would you please state
 [14] your full name for the record?
 [15] **A:** Sheila Susanne Hemami.
 [16] **Q:** And what's your current address?
 [17] **A:** 1010 North Cayuga Street, Ithaca,
 [18] New York.
 [19] **Q:** And are you employed as an expert
 [20] witness in this case?
 [21] **A:** Yes.
 [22] **Q:** Who is employing you?
 [23] **A:** The bills are being paid by Susman,
 [24] Susman Godfrey.
 [25]

[1] **Hemami**
 [2] **Q:** And are you working on behalf of
 [3] Burst.com?
 [4] **A:** I am.
 [5] **Q:** Have you had your deposition taken
 [6] before?
 [7] **A:** Just once.
 [8] **Q:** And when was that?
 [9] **A:** I believe it was in February of
 [10] 2003.
 [11] **Q:** Did you prepare for the deposition
 [12] today?
 [13] **MR. PAYNE:** I caution the
 [14] witness not to go into any
 [15] communications with counsel which I
 [16] understand, according to the
 [17] protective order, are not discoverable
 [18] but if you want to answer the question
 [19] "yes" or "no," that's fine.
 [20] **MR. BROWN:** I think to
 [21] clarify, Les, at least statements made
 [22] by counsel that were relied upon in
 [23] any way by the witness —
 [24] **MR. PAYNE:** Fair enough.
 [25] **MR. BROWN:** — are

[1] **Hemami**
 [2] discoverable and I think, I don't want
 [3] to agree with your characterization of
 [4] the protective order but for the
 [5] moment I'm happy with a "yes" or "no."
 [6] **Q:** Did you prepare for your deposition
 [7] today?
 [8] **A:** Yes.
 [9] **Q:** And who did you meet with to
 [10] prepare?
 [11] **A:** I prepared myself. I reviewed the
 [12] materials in the reports. I guess that all
 [13] constitutes the materials.
 [14] **Q:** Okay. Did you meet with anyone to
 [15] prepare?
 [16] **A:** No.
 [17] **Q:** Okay. I'm going to go over the
 [18] basic ground rules of a deposition since it's been
 [19] a little while since you've last done one, but the
 [20] fundamental rule is that I ask questions, you
 [21] provide answers and the court reporter records
 [22] both the questions and the answers.
 [23] Do you understand that that's
 [24] happening?
 [25] **A:** Yes.

[1] **Hemami**
 [2] **Q:** So it's helpful to the court
 [3] reporter and the record if I try not to speak over
 [4] you when you're talking and you pay me the same
 [5] courtesy. Do you understand that?
 [6] **A:** Yes.
 [7] **Q:** If at any point you don't understand
 [8] a question I'm asking, please ask me for
 [9] clarification. Do you understand that?
 [10] **A:** Yes.
 [11] **Q:** And will you do that?
 [12] **A:** Yes.
 [13] **Q:** Okay. From time to time your, well,
 [14] Burst's attorney, who is not your attorney, may
 [15] interpose objections. Unless he instructs you not
 [16] to answer a question, you still have to answer the
 [17] question. Do you understand that?
 [18] **A:** Yes.
 [19] **Q:** If you have any questions at any
 [20] point about what's happening or the proceedings,
 [21] feel free to ask me and I will try to explain
 [22] what's happening to you. Okay?
 [23] **A:** Okay.
 [24] **Q:** Let's just talk briefly about the
 [25] work that you've done in the case so far.

[1] **Hemami**

[2] Have you met with Burst attorneys

[3] during the course of this case?

[4] **A:** Yes.

[5] **Q:** Who?

[6] **A:** I have met with Les Payne, Floyd

[7] Chorush and Michael Heim, Heim, right, with an M.

[8] **Q:** Have you met with any other Burst

[9] attorneys?

[10] **A:** I guess I'm not sure.

[11] **Q:** Okay. Have you met with other

[12] people?

[13] **A:** Yes.

[14] **Q:** Who else have you met with?

[15] **A:** Well, there was an attorney, Micah

[16] Howe at Heim Payne & Chorush. I don't know if

[17] he — I don't know what makes somebody someone's

[18] attorney.

[19] **Q:** Fair enough. Besides — do you

[20] understand that Micah Howe is an attorney?

[21] **A:** I do understand that, yes.

[22] **Q:** Okay. And you understand he works

[23] with the Heim Payne law firm?

[24] **A:** I do understand that, yes.

[25] **Q:** Okay. Have you met with anybody

[1] **Hemami**

[2] else in connection with this case?

[3] **A:** No.

[4] **Q:** In preparing the — well, you have

[5] Exhibit, I believe it's 78, in front of you?

[6] **A:** Yes.

[7] **Q:** Is that a copy of the expert report

[8] you prepared in this case?

[9] **A:** It sure looks like it, yes.

[10] **Q:** Well, why don't you flip through it

[11] and confirm that that is, in fact, the report in

[12] this case?

[13] **A:** Yes, I think it is.

[14] **Q:** Okay.

[15] **MR. PAYNE:** We'll stipulate.

[16] **THE WITNESS:** Thank you. I

[17] would not like to read it again.

[18] **MR. BROWN:** Neither would I.

[19] **Q:** Who, if anyone, did you meet with in

[20] preparing this report other than the attorneys

[21] that you've listed?

[22] **A:** I did not meet with anyone in the

[23] preparing of this report. I did not meet with

[24] anybody other than attorneys during the time

[25] period which — actually, is that true?

[1] **Hemami**

[2] I didn't have any meetings with

[3] anybody while I prepared the report.

[4] **Q:** Okay. What was the time frame

[5] during which you prepared Exhibit 78, your report

[6] in this case?

[7] **A:** It was, it was about, it was

[8] September through October 20th.

[9] **Q:** And when were you first retained in

[10] this case?

[11] **A:** Okay, I know I'm not supposed to

[12] ask you questions, but what constitutes

[13] "retained"?

[14] **Q:** Well, why don't we break it down?

[15] How did you first hear about this

[16] case?

[17] **A:** I was, I was contacted by a Susman

[18] attorney.

[19] **Q:** Do you remember approximately when?

[20] **A:** That I don't remember, no.

[21] **Q:** And in that conversation, did he

[22] tell you about the case?

[23] **MR. PAYNE:** Well, let's hold

[24] on. I'm going to object.

[25] Nick, I understand your

[1] **Hemami**

[2] interpretation of the protective

[3] order. Maybe we need to get on the

[4] same page here. I think we probably

[5] have the same understanding, but the

[6] way I read the protective order,

[7] communications between counsel and

[8] Dr. Hemami are not discoverable unless

[9] she relies on them in terms of

[10] preparing her opinion, opinions.

[11] Is that how you interpret the

[12] protective order?

[13] **MR. BROWN:** Well, I'd have to

[14] look at it again because I don't want

[15] to interpret it without —

[16] **MR. PAYNE:** Well, I've got it

[17] right here, but it seems like you're

[18] asking her, you know, about a

[19] conversation she had with an attorney

[20] for Burst and I just don't think —

[21] **MR. BROWN:** And I don't need

[22] to get into the conversation for

[23] purposes here. I'm trying to figure

[24] out when she was retained and what the

[25] timeline is.

Hemami

[1] **MR. PAYNE:** That's fine.
 [2] **MR. BROWN:** I think you agree
 [3] we can do that.
 [4] **MR. PAYNE:** That's fine.
 [5] **Q:** So —
 [6] **A:** Well, perhaps then I can provide you
 [7] with information without having all this back and
 [8] forth.
 [9] **MR. PAYNE:** Well, no. Let's
 [10] let him ask the question and you
 [11] answer the question and if I need to
 [12] object, I will object.
 [13] **MR. BROWN:** I think I have a
 [14] question that might solve the problem.
 [15] **Q:** Can you give me your understanding
 [16] of how you came to be employed by Susman or Burst
 [17] or whoever you understand yourself to be employed
 [18] by in this case?
 [19] **A:** I was contacted like the day before
 [20] I went to Greece in August and when I returned, we
 [21] executed, I guess executed the paperwork to, what,
 [22] I don't know, formally retain me. I don't know
 [23] what the right way to word that is. I signed up.
 [24] How's that?
 [25]

Hemami

[1] **Q:** Was that in August?
 [2] **A:** Yes.
 [3] **Q:** Approximately when?
 [4] **A:** Around the 14th. That was when I
 [5] was contacted. Then I went to Greece. I came
 [6] back around the 23rd.
 [7] **Q:** And you signed the paperwork around
 [8] the 23rd. Is that right?
 [9] **A:** Some time after then, yes. Yes.
 [10] **Q:** Was it within a week of the 23rd?
 [11] **A:** Oh, sure.
 [12] **Q:** If you turn to tab — there's no
 [13] tab, but I think there's an appendix A —
 [14] **A:** Yes.
 [15] **Q:** — which I think is page 66. Do you
 [16] have that?
 [17] **A:** Yes.
 [18] **Q:** And spanning pages 66 to 78 in your
 [19] expert report, which is appendix A, is what
 [20] appears to be your CV. Is that right?
 [21] **A:** Yes.
 [22] **Q:** Is that, in fact, your CV?
 [23] **A:** Yes, at the time I submitted the
 [24] report.
 [25]

Hemami

[1] **Q:** So appendix A of your, of Exhibit 78
 [2] was your CV as of October 20, 2006?
 [3] **A:** Yes.
 [4] **Q:** How regularly do you update it?
 [5] **A:** I update it very regularly because I
 [6] simply can't keep track of all my papers
 [7] otherwise.
 [8] **Q:** Is the information in your CV
 [9] accurate to the best of your ability?
 [10] **A:** Now it's not, no. Effective
 [11] sometime the week of Halloween, I was promoted to
 [12] full professor so under the "Professional
 [13] Experience," there should be, the "Associate
 [14] Professor" line would terminate with
 [15] "November 2000 to October 2006" and we would
 [16] insert a line that said "Full Professor, School of
 [17] Electrical & Computer Engineering, November 2006
 [18] to present."
 [19] **Q:** Congratulations.
 [20] **A:** Thank you.
 [21] And there may be some papers which
 [22] have changed status, but to be honest with you,
 [23] the papers are, for the vast majority, accurate.
 [24] I cannot remember if we've had any conference
 [25]

Hemami

[1] papers except — we have, between then and now.
 [2] **Q:** Let me try it slightly differently.
 [3] Was the CV that's attached as
 [4] appendix A to Exhibit 78 accurate as of the time
 [5] you submitted your report in October of 2006?
 [6] **A:** I believe it was, yes.
 [7] **Q:** Okay. And you made your best
 [8] efforts to ensure that that was true. Is that
 [9] right?
 [10] **A:** Yes, yes.
 [11] **Q:** Now, you said earlier that you've
 [12] testified in one deposition prior to now. Is that
 [13] right?
 [14] **A:** That's correct.
 [15] **Q:** Was that in connection with a patent
 [16] case?
 [17] **A:** It was not.
 [18] **Q:** What was it in connection with?
 [19] **A:** It pertained to the transmission of
 [20] audio-visual information over satellites. It
 [21] pertained to technical matters pertaining to the
 [22] transmission of that information.
 [23] **Q:** Were you a fact witness or an expert
 [24] witness in that case?
 [25]

Hemami

[1] **A:** To my understanding, I was an expert
 [2] witness.
 [3] **Q:** Well, who were the parties to that
 [4] case?
 [5] **A:** I was, I was, I guess, I don't know
 [6] what the verb is, representing, retained by
 [7] Pegasus. Let me get their name right here. It
 [8] just says "Pegasus" here. Well, I think I was
 [9] retained by Pegasus or —
 [10] **Q:** Are you looking at your CV?
 [11] **A:** Or — yes. Yes. You know, this
 [12] case was sort of a mess and I was, I think I was
 [13] retained by Pegasus. I was on the NRTC side.
 [14] **Q:** Okay. And what was the nature of
 [15] the claims in the case?
 [16] **A:** Now I have an awkward question. Can
 [17] I talk about this?
 [18] **Q:** I don't know.
 [19] **A:** I don't either.
 [20] **MR. PAYNE:** Well, are — let
 [21] me, can I just voir dire about
 [22] confidentiality or you can do it?
 [23] **MR. BROWN:** I think, why don't
 [24] I do it?
 [25]

Hemami

[1] **MR. PAYNE:** Okay.
 [2] **Q:** I can tell you that except in
 [3] extremely odd circumstances the complaints that
 [4] are filed in a court are public documents. That's
 [5] not always true in some very rare circumstances,
 [6] but what I'm asking you is what the case was
 [7] about. That's very likely to have been in the
 [8] complaint.
 [9] **A:** Okay.
 [10] **Q:** So I'm fairly confident, regardless
 [11] of the confidentiality agreement you had in the
 [12] case, you can talk about the general nature of the
 [13] case.
 [14] **A:** Okay. And I apologize for not
 [15] having a better understanding.
 [16] **Q:** But having said that, let me ask you
 [17] a question. Did you sign a confidentiality
 [18] agreement in that case?
 [19] **A:** I'm sure I did, though I don't have
 [20] a direct recollection of doing such a thing.
 [21] **Q:** Okay. Well, why don't you tell me
 [22] the general nature of the case.
 [23] **A:** The satellites were jointly owned
 [24] but operated by one of the parties. The owners
 [25]

Hemami

[1] who were not operating the satellites claimed that
 [2] they could be operated in a different manner and
 [3] the operator of the satellite claimed that they
 [4] could not be operated in that different manner.
 [5] **Q:** And the case was about whether that
 [6] was true?
 [7] **A:** Yes.
 [8] **Q:** Or at least your involvement was
 [9] about that?
 [10] **A:** Yes. I believe there were many
 [11] other pieces to the case but my involvement
 [12] pertained to how the satellites could or could not
 [13] be operated.
 [14] **Q:** Did you work in a case involving
 [15] patents on the JPEG standard?
 [16] **A:** I did.
 [17] **Q:** Who were you retained by in that
 [18] case?
 [19] **A:** In that case, I was retained by
 [20] Forgent.
 [21] **Q:** And who were the attorneys that you
 [22] worked with in that case?
 [23] **A:** Initially, the firm was Godwin
 [24] Gruber. Then their name changed to Godwin Pappas
 [25]

Hemami

[1] and perhaps one other name associated with them.
 [2] And then Susman Godfrey, I guess, took over. I'm
 [3] not sure what the — somehow assumed the case.
 [4] **Q:** Which Susman Godfrey attorneys if
 [5] any did you work with in connection with that
 [6] case?
 [7] **A:** Steve Susman, Max Tribble and Tibor
 [8] Nagy.
 [9] **Q:** Did you provide an expert report in
 [10] that case?
 [11] **A:** I did.
 [12] **Q:** What was the subject matter
 [13] addressed in the report?
 [14] **A:** The report was a, a claim
 [15] construction report.
 [16] **Q:** So you've provided a claim
 [17] construction expert report in this case and in the
 [18] Forgent case. Is that true?
 [19] **A:** That's true.
 [20] **Q:** Have you provided a claim
 [21] construction report in any other case?
 [22] **A:** I have not.
 [23] **Q:** Have you provided an expert
 [24] report — well, let me ask you about the Pegasus
 [25]

Hemami

[1] case listed on your CV.
[2] Did you provide an expert report in
[3] that case?
[4] **A:** Yes.
[5] **Q:** Were you deposed in that case?
[6] **A:** Yes.
[7] **Q:** I take it you were not deposed in
[8] the Forgent case. Is that correct?
[9] **A:** That's correct.
[10] **Q:** Other than this case, the Pegasus
[11] case and the Forgent case, have you provided
[12] expert reports in any cases?
[13] **A:** No.
[14] **Q:** Have you been retained in any cases
[15] other than those three cases?
[16] **A:** Yes.
[17] **Q:** Which cases?
[18] **A:** I was retained in a case, I was
[19] retained by RealNetworks in a case where some
[20] individuals were alleging patent infringement
[21] against RealNetworks and I was also retained in a
[22] case, another case involving Forgent and I was
[23] retained by Forgent.
[24] **Q:** Was the other case involving Forgent
[25]

Hemami

[1] a patent case?
[2] **A:** Yes.
[3] **Q:** Do you remember who the other
[4] parties to that case were?
[5] **A:** The only one I remember definitively
[6] is — and now that I say that I have to question.
[7] It could be EchoStar but let me say could be. I
[8] don't want to rely on my memory right now for
[9] that.
[10] **Q:** Okay. Do you keep a record of the
[11] expert witness work that you have done?
[12] **A:** Do I keep a record?
[13] **Q:** Right.
[14] **A:** No.
[15] **Q:** You said you didn't want to rely on
[16] your memory. Was there something else that you
[17] could rely on?
[18] **A:** For that case, no. No.
[19] **Q:** So we now have Pegasus, two cases
[20] for Forgent, RealNetworks and the current case.
[21] **A:** Yes.
[22] **Q:** Are there any other cases in which
[23] you've been retained as an expert witness?
[24] **A:** No.
[25]

Hemami

[1] **Q:** And all of those five cases except
[2] the Pegasus case are patent cases. Is that right?
[3] **A:** Yes.
[4] **Q:** Why is it that in your CV when you
[5] have the heading, "Other Expert Witness Consulting
[6] in the Past 4 Years," you only list the Pegasus
[7] case and not those other cases that we just
[8] discussed?
[9] **A:** The RealNetworks case was not in the
[10] past four years and my understanding of this
[11] category was it involved giving testimony and
[12] that's the only case in which I gave any
[13] testimony.
[14] **Q:** So the, what you meant by this
[15] heading was other expert witness testimony in the
[16] past four years. Is that right?
[17] **A:** I think that what you have stated is
[18] more correct.
[19] **Q:** Do you have a copy of the expert
[20] report that you provided in the Forgent case?
[21] **A:** Yes.
[22] **MR. BROWN:** Les, I'd like to
[23] get a copy of that report if that's
[24] possible.
[25]

Hemami

[1] **MR. PAYNE:** Let me check into
[2] confidentiality issues and I'll ask
[3] the same of Mr. Halpern's reports —
[4] **MR. BROWN:** That's fine.
[5] **MR. PAYNE:** — to get those.
[6] **MR. BROWN:** We'll check the
[7] same thing.
[8] **Q:** I'd like to ask you to turn to page
[9] 26 of your expert report. There's a heading there
[10] which reads, "The Level of Ordinary Skill in the
[11] Art." Do you see that?
[12] **A:** Yes.
[13] **Q:** Actually, before we keep going, I
[14] want you, if you need to in the course of
[15] answering my questions, to read whatever you need
[16] to read to answer the question. I'll try to
[17] direct you to the part that I'm asking you
[18] questions about and right now, I'm going to ask
[19] you some questions about ordinary skill.
[20] So please feel free to read whatever
[21] you need to read in order to answer my questions
[22] as accurately as possible. Will you do that?
[23] **A:** Okay. Do you want me to do that now
[24] or whenever?
[25]

[1] **Hemami**

[2] **Q:** That's completely up to you. All

[3] right?

[4] **A:** Okay.

[5] **Q:** What I'd like you to do is listen to

[6] my question and if you think you need to read

[7] something, go ahead and read it. Okay?

[8] Do you understand — well, if you

[9] turn to the next page, there's a section 4, "The

[10] Meaning of Specific Claim Terms." Do you see

[11] that?

[12] **A:** Yes.

[13] **Q:** And then following that you provide

[14] opinions about the meaning of claim terms in the

[15] Burst patents. Is that right?

[16] **A:** Yes.

[17] **Q:** And when I say, "the Burst patents,"

[18] you understand those are Exhibits 1 through 4

[19] which are in front of you?

[20] **A:** Yes.

[21] **Q:** So you've provided opinions of the

[22] meaning of certain claim terms at least in the

[23] Burst patents. Is that right?

[24] **A:** Yes.

[25] **Q:** And when you did that, did you

[1] **Hemami**

[2] understand that you were providing an opinion

[3] about how those terms would be understood by a

[4] person of ordinary skill in the art?

[5] **A:** Yes.

[6] **Q:** In your expert report, in section 3,

[7] which is titled, "The Level of Ordinary Skill in

[8] the Art," did you describe your understanding of a

[9] person of ordinary skill in the art that pertains

[10] to the Burst patents?

[11] **A:** Yes.

[12] **Q:** Was that the understanding that you

[13] used when you were providing the opinions in

[14] section 4 of your report?

[15] **A:** Yes.

[16] **Q:** The second paragraph — well,

[17] actually, let's start with the first paragraph.

[18] You state in the first paragraph

[19] that, "A person of ordinary skill in the art at

[20] the time of the patent application leading to the

[21] '995 patent was filed would have had an

[22] understanding of, one, digital communication

[23] technologies and their available bandwidths and,

[24] two, audio and/or video compression techniques."

[25] Do you see that?

[1] **Hemami**

[2] **A:** Yes.

[3] **Q:** Are those the two areas that you

[4] believe the Burst patents relate to?

[5] **A:** Yes.

[6] **Q:** In the second paragraph, you state

[7] that, "A person of ordinary skill in the art would

[8] work in the area of digital communication of

[9] audio/video source information." Do you see that?

[10] **A:** Yes.

[11] **Q:** Is that an accurate description of

[12] the area of the Burst patents in your opinion?

[13] **A:** Yes.

[14] **Q:** And you then say, "A person in this

[15] area could be specialized in digital

[16] communications having a familiarity with

[17] compression technology, or such a person could be

[18] specialized in compression technology having a

[19] familiarity with digital communications."

[20] Do you see that?

[21] **A:** Yes.

[22] **Q:** Are you one of those people?

[23] **A:** Yes.

[24] **Q:** Which one?

[25] **A:** I fall in the second category.

[1] **Hemami**

[2] **Q:** Is it fair to say, then, that you

[3] are specialized in compression technology and that

[4] you have a familiarity with digital

[5] communications?

[6] **A:** Yes.

[7] **Q:** You then in the next two paragraphs

[8] provide what appears to me to be a sliding scale

[9] of education and experience as the minimum level

[10] for ordinary skill, skill in the art. So with a

[11] Bachelor's degree, you state that you would need

[12] two to three years of experience. Do you see

[13] that?

[14] **A:** Yes.

[15] **Q:** And then if you have a Ph.D., it

[16] appears to be your opinion that you, at that

[17] point, don't need any actual work experience in

[18] that area. Is that right?

[19] **A:** I would disagree with your

[20] characterization of "work experience."

[21] **Q:** Please explain.

[22] **A:** Perhaps you can clarify "work

[23] experience."

[24] **Q:** Sure.

[25] **A:** Because I interpreted it in some

Hemami

[1] manner.

[2] **Q:** Well, you write here that if you —

[3] you give two paragraphs at the end of this section

[4] which describe what level of education and

[5] experience you need to be a person of ordinary

[6] skill in the art. Is that true?

[7] **A:** That is true.

[8] **Q:** And one of the examples you provide

[9] is someone with a Bachelor's degree in electrical

[10] engineering with at least — I think there's a

[11] typo there — two to three years of experience

[12] working on digital communication of audio/video

[13] source information. Is that right?

[14] **A:** Yes.

[15] **Q:** And then you provide another

[16] alternative which is a Master's degree with one

[17] year of experience working. Do you see that?

[18] **A:** Yes.

[19] **Q:** And then you provide another

[20] alternative which is a person with a Ph.D. degree

[21] and you don't say anything about experience

[22] working. Do you see that?

[23] **A:** Yes.

[24] **Q:** It seems that you're trying to

Hemami

[1] describe a sliding scale where as your educational

[2] level increases, your number of years working

[3] experience decreases. Is that right?

[4] **A:** Well, so I guess I didn't use the

[5] word "working" here.

[6] **Q:** Well, you actually did. You said,

[7] "One year of experience working on digital

[8] communication of audio/video source information."

[9] **A:** Yes, okay.

[10] **Q:** Right?

[11] **A:** I suppose working —

[12] **Q:** And then above —

[13] **A:** I believe your initial question was

[14] "work experience."

[15] My characterization —

[16] **MR. PAYNE:** Is there a

[17] question on the table?

[18] **MR. BROWN:** I think there is.

[19] **MR. PAYNE:** Okay.

[20] **Q:** Which is did you use a sliding scale

[21] balancing experience working against educational

[22] level?

[23] **A:** In fact, I would say this is a, sort

[24] of a constant scale in that having a Ph.D. in

[25]

Hemami

[1] electrical engineering in the area of digital

[2] communication of audio/video information

[3] essentially includes years of experience working

[4] on exactly doing that. So, whereas somebody with

[5] a Bachelor's degree having had four years of

[6] education, if I simply state Bachelor's degree,

[7] that does not include any experience working.

[8] The Ph.D. includes the working

[9] experience. So perhaps you could view it as a

[10] constant level where the delineation between the

[11] terminal degree and the current level simply

[12] moves.

[13] **Q:** So in your view, there needs, one

[14] needs to have experience working on digital

[15] communication of audio/video source information.

[16] Is that right?

[17] It's just that in the case of a

[18] Ph.D., you get that during the course of your

[19] Ph.D.?

[20] **A:** One would have experience with

[21] digital communication technologies and some amount

[22] of audio and/or video compression techniques.

[23] **Q:** Would it be possible, in your view,

[24] to have ordinary skill in the art of the Burst

[25]

Hemami

[1] patents without a formal technical degree if one

[2] had sufficient experience?

[3] **A:** Yes.

[4] **Q:** Can you describe the nature of the

[5] experience that you think would be required in

[6] that case?

[7] **A:** So I have in mind a, somebody of the

[8] nature of experience in, I guess, what we would

[9] generally call video engineering, so perhaps

[10] somebody who's experienced in broadcasting or

[11] developing, say, videoconferencing material.

[12] Another example might be worked at

[13] NASA. NASA did a tremendous amount of

[14] transmitting, not audio — well, let me not say

[15] not audio, I don't know that, but certainly visual

[16] information over great distances. And you know,

[17] clearly in 1988 the, shall we say the digital

[18] revolution was, was coming and had arrived for

[19] much of certainly audio and video and visual

[20] information.

[21] So for the technically curious, as a

[22] word for nerdy or geeky video engineer, they would

[23] certainly stay on top of these developments and be

[24] following them with great interest.

[25]

Hemami

[1] *Hemami*

[2] **Q:** Can you give me a rough number about

[3] the number of years of experience that you think

[4] you would need if you didn't have a formal

[5] technical degree?

[6] **A:** No, because that depends on, to some

[7] extent the, how quickly an individual can pick up

[8] material, how interested they are, you know,

[9] whether it's a, they spend two hours a day on it

[10] or they immerse themselves in an immersive

[11] environment. I don't think that there's a, I

[12] don't think there's a recipe that, at the point

[13] after which we award them the label of, of having

[14] tremendous expertise.

[15] **Q:** Okay. Let's change the focus to you

[16] for just a moment.

[17] I think you said before that you

[18] viewed yourself as specialized in compression

[19] technology but also having a familiarity with

[20] digital communications. Is that right?

[21] **A:** That's correct.

[22] **Q:** And you have a Ph.D. in electrical

[23] engineering, correct?

[24] **A:** I do.

[25] **Q:** So you, yourself, qualify as a

Hemami

[1] *Hemami*

[2] person of ordinary skill in the art or perhaps I

[3] should say at least ordinary skill in the art

[4] under the definition you provided here. Is that

[5] right?

[6] **A:** Yes.

[7] **Q:** Okay. You were present at the

[8] deposition of Mr. Halpern yesterday, correct?

[9] **A:** Yes.

[10] **Q:** And you were present when he

[11] testified about his experience and qualifications,

[12] correct?

[13] **A:** Yes.

[14] **Q:** And you'll recall that he has a

[15] Bachelor's degree in mathematics, correct?

[16] **A:** Yes.

[17] **Q:** And then he has work experience

[18] following that, correct?

[19] **A:** Yes.

[20] **Q:** If you — would you agree that he

[21] has a specialty in digital communications?

[22] **A:** No.

[23] **Q:** Okay. Why not?

[24] **A:** Based on what he described — or how

[25] can I put this? Based on the responses and

Hemami

[1] *Hemami*

[2] explanations that I heard him state yesterday, as

[3] well as what is in his report, I believe that I

[4] would label him as more of a networking expert as

[5] opposed to a digital communications expert.

[6] **Q:** And what's the difference in your

[7] mind between networking and digital

[8] communications?

[9] **A:** Digital communications classically

[10] is, it's a larger topic and includes what we would

[11] say, in communications parlance, the physical

[12] layer and multiple access techniques.

[13] The physical layer includes, for

[14] example, if one is posed with the problem of

[15] wanting to get digital information from your

[16] office here to your office in Palo Alto, how would

[17] you physically do that. You know, one option is

[18] for you to carry a CD-ROM back with you on the

[19] airplane but there are other mechanisms by which

[20] you could transmit over a certain frequency range

[21] to a satellite. You could transmit over specific

[22] cables, electrical versus electromagnetic

[23] signalling.

[24] What are the characteristics of

[25] those various signalling media? If we choose to

Hemami

[1] *Hemami*

[2] transmit your information by relaying it through a

[3] satellite, how error-prone is it going to be, what

[4] are the delays going to be, is the propagation

[5] delay an issue.

[6] I view networking as sitting up from

[7] the physical layer. The networking does not

[8] necessarily care how the bits get from point A to

[9] point B, whether you routed them through a

[10] satellite or through a copper wire.

[11] What it does care about is what

[12] protocols were used to enable that communication,

[13] how did you deal with the delays, was there

[14] traffic. A network is generally interconnection

[15] of entities, perhaps even interconnection of many

[16] networks and networking addresses how the

[17] operation of that unit, above the physical layer,

[18] not so much what particular circuit is toggling

[19] whatever signal on the, the channel is being

[20] sensed at the other end. It's beyond that.

[21] It doesn't care how the bit got from

[22] point A to point B. It just needs to know that

[23] the bit got there with its, whatever assorted

[24] information it had to come with.

[25] **Q:** So as I understand what you said,

Hemami

[1] there's a physical layer and there's a networking
[2] layer at a higher level of abstraction than the
[3] physical layer. Is that right?

[4] **A:** I think that's an accurate
[5] description of what I had just said.

[6] **Q:** Okay. You used the phrase "digital
[7] communications" here.

[8] **A:** Yes.

[9] **Q:** Does digital communications, as you
[10] used it, include both the physical layer and the
[11] networking layer or one or what?

[12] **A:** That depends on whose perspective we
[13] are —

[14] **Q:** Okay. I want to — I don't mean to
[15] interrupt you, but I want to try to keep us on
[16] track.

[17] So what I'm concerned is the area of
[18] the Burst patents and you've testified that the
[19] areas of the Burst patents is, as you've set forth
[20] here, digital communication of audio/video source
[21] information.

[22] So the perspective I'm interested in
[23] is what's included in digital communication when
[24] you're using that phrase to describe the field of
[25]

Hemami

[1] the Burst patents?

[2] **A:** Okay. Excellent question and I
[3] understand your question.

[4] With respect to the field of the
[5] Burst patents, digital communications and
[6] networking can simply be lumped into the — let me
[7] select my word here — the mechanism by which the
[8] material is transmitted. So the Burst patents, we
[9] see transmission away, discussion of transmission
[10] between units or relayed through, through a
[11] satellite.

[12] As far as the Burst patents are
[13] concerned, I guess going back to my layered
[14] hierarchy, this unit sits very high up in the view
[15] of what's — it doesn't care so much what's going
[16] on, the mechanisms, the nitty gritty issues of
[17] what's going on underneath it.

[18] As far as the unit is concerned, the
[19] bits are just bits that it can transmit out and
[20] receive in. How they got from point A to point B,
[21] in terms of the physical layer, the network,
[22] whether there was a multiple access technique
[23] involved, is irrelevant.

[24] **Q:** You wrote here in your report, "A
[25]

Hemami

[1] person in this area could be specialized in
[2] digital communications, having a familiarity with
[3] compression technology."

[4] When you wrote that, did you, were
[5] you trying to exclude someone with a specialty in
[6] only networking or exclude someone with a
[7] specialty in only the physical layer?

[8] **A:** I don't believe so.

[9] **Q:** So if you have experience in at
[10] least networking or at least the physical layer
[11] for digital communication, that would qualify,
[12] either one of those would qualify under what you
[13] wrote here as digital communications. Is that
[14] right?

[15] **A:** Well, in conjunction with the
[16] compression knowledge —

[17] **Q:** Sure.

[18] **A:** — yes.

[19] **Q:** So the next part of your sentence
[20] says, "having a familiarity with compression
[21] technology," right?

[22] **A:** Yes.

[23] **Q:** And that's what you were referring
[24] to?
[25]

Hemami

[1] **A:** Yes.

[2] **Q:** But for the part where you say
[3] "specialized in digital communications," that
[4] would include either what you described as the
[5] networking layer or the physical layer?

[6] **A:** Right. A digital communications
[7] person understands that bits go from point A to
[8] point B and they deal with one aspect of the bits,
[9] what we would consider a lower layer than the
[10] networking person.

[11] The networking person also deals
[12] with getting information from one point to
[13] another, also bits from one point to another,
[14] typically in larger chunks than an individual bit
[15] but in both cases, they have an understanding that
[16] they live in the area where they are simply
[17] delivering information around and with the
[18] understanding that they are delivering
[19] information, they are then to some extent enabled
[20] to question, well, what can I do with the
[21] delivery.

[22] **Q:** Having said all that, do you believe
[23] that Mr. Halpern is a person of ordinary skill in
[24] the art within your definition?
[25]

Hemami

[1] *Hemami*

[2] **A:** He's certainly, we could — we could

[3] pair up his expertise as he described it with

[4] respect to the, his, his networking understanding.

[5] I believe that his level of

[6] familiarity with compression is perhaps a bit on

[7] the low side but I, I didn't get to ask questions

[8] so I can't make a judgment as to my comfort with

[9] his combined knowledge on the two topics.

[10] **Q:** Okay. If I understood you

[11] correctly, you were saying, you said that his

[12] level of knowledge of the networking piece of

[13] digital communications was sufficient but that you

[14] weren't sure about whether he had sufficient

[15] familiarity with the compression technology piece.

[16] Is that correct?

[17] **A:** Yes, although I guess I would like

[18] to add something —

[19] **Q:** Go ahead.

[20] **A:** — which is perhaps I felt that,

[21] perhaps his networking understanding was a bit

[22] narrow within the networking layer.

[23] Now, given his background, I can

[24] understand that. He certainly didn't study

[25] physical layer communication with, with a math

Hemami

[1] *Hemami*

[2] degree and that's fine. We don't expect people to

[3] do that.

[4] **Q:** You said that you think his

[5] experience was narrow. What do you mean by

[6] "narrow"?

[7] **A:** So I have described without giving a

[8] very formal definition of what I mean by these

[9] layers and we have the physical layer which simply

[10] sends material, digital material from one point to

[11] another. We have the networking layer which

[12] includes, it doesn't care about the physical

[13] transmission media but addresses how the bits get

[14] from point A to point B.

[15] I guess my understanding of such a

[16] person as I described is that the physical person

[17] would have some knowledge of the networking layer

[18] and the networking person would also have some

[19] knowledge of the physical layer and simply because

[20] I most commonly interact with people who know both

[21] layers, as an electrical engineer. And with that,

[22] I suppose I would have to rethink or think, think

[23] through in greater detail if somebody solely

[24] focuses on the network issue, the networking layer

[25] alone, without any consideration of the physical

Hemami

[1] *Hemami*

[2] layer, the implications of that with respect to

[3] interpreting the patents.

[4] **Q:** So you don't have an opinion about

[5] that one way or the other?

[6] **A:** Could you restate that?

[7] **Q:** Sure. I —

[8] **A:** Just remind me of what "that" is.

[9] **Q:** You just said that — all right,

[10] well, maybe not "just" since you've been

[11] explaining something for a while but at one point,

[12] we talked about the networking layer and the

[13] physical layer and you said that it was your view

[14] that the familiarity with digital communications

[15] that you require in your report could be satisfied

[16] by either.

[17] It sounds like you just said that

[18] you might want to rethink that. Is that right?

[19] **A:** I, I did just say that, however, I,

[20] I think what I would like to say is I would really

[21] have to reconsider the entire issue.

[22] I have always understood networking

[23] people to understand something about the physical

[24] layer and physical layer people to understand

[25] something about the network layer.

Hemami

[1] *Hemami*

[2] I guess what I'm really looking for

[3] is somebody who's able to think flexibly and

[4] understand that they are delivering digital

[5] information and that there are multiple layers and

[6] that they may sit at one layer but other things go

[7] on at other layers.

[8] **Q:** Are you capable of making a judgment

[9] right now about whether Mr. Halpern has ordinary

[10] skill in the art under your definition?

[11] **A:** Ordinary skill in the art here, you

[12] are referring to both items, the digital

[13] communication technology and the compression

[14] techniques?

[15] **Q:** Correct.

[16] **A:** And, again, I would like to go back

[17] to what I said.

[18] I am, based on what I read in his

[19] report and what I heard during the deposition, I

[20] am unsure of the depth of his knowledge on the

[21] compression techniques.

[22] **Q:** So does that mean that your, the

[23] answer to my question is yes, you're not capable

[24] of making a judgment right now?

[25] **A:** I think that's accurate.

Hemami

[1] **Q:** You think that's accurate?

[2] **A:** Yes.

[3] **Q:** "Yes," that's accurate?

[4] **A:** Yes.

[5] **Q:** "Yes," you are not capable of making

[6] a judgment right now?

[7] **A:** Based on what I know, yes, I am not

[8] capable of making a judgment.

[9] **MR. BROWN:** Okay. Let's mark

[10] as Exhibit 79 a document with

[11] production numbers APBU159385 through

[12] 393.

[13] (Documents bearing Bates Nos.

[14] APBU00159385 through 393 was marked as

[15] Deposition Exhibit No. 79 for

[16] identification, as of this date.)

[17] **Q:** Exhibit 79 is now in front of you.

[18] Have you seen this paper before?

[19] **A:** I have.

[20] **Q:** This paper is called, "Scene

[21] Adaptive Coders." Is that right?

[22] **A:** Yes.

[23] **Q:** Are you familiar with this paper?

[24] **A:** I am.

Hemami

[1] **Q:** Can you describe this paper briefly?

[2] **A:** This paper describes a still image

[3] lossy compression algorithm. I guess I'll put a

[4] period at the end of that sentence.

[5] **Q:** It describes using that algorithm

[6] for coding real-time color television transmission

[7] over a 1.5 megabit per second channel, correct?

[8] **A:** No. It does not describe that.

[9] That is a sentence in the abstract. The paper

[10] does not describe that.

[11] **Q:** Can you turn to the conclusion of

[12] the paper?

[13] **A:** I don't see a conclusion.

[14] **Q:** I'm sorry. The summary at the very

[15] end.

[16] **A:** Yes.

[17] **Q:** The final sentence of that summary

[18] reads, "At Compression Labs, Inc., the coder has

[19] been implemented with real-time hardware to code

[20] NTSC color video at a channel rate of 1.5 megabits

[21] per second." Do you see that?

[22] **A:** I do.

[23] **Q:** Doesn't that state that the

[24] technique described in this paper has been used in

Hemami

[1] real-time hardware to code color television at 1.5

[2] megabits per second?

[3] **A:** That sentence does state that they

[4] built or they implemented real-time hardware at

[5] CLI to do that but the statement that you asked me

[6] previously was not that.

[7] **Q:** Why is it that you think this paper

[8] does not describe coding color television at a 1.5

[9] megabits per second data rate?

[10] **A:** This paper describes a still image

[11] compression algorithm. It starts off on the first

[12] page with section 2, describes a cosine transform

[13] and then goes through some statistical analysis of

[14] how those cosine transform coefficients would

[15] behave.

[16] It then describes what it calls the

[17] scene adaptive coder by going through the steps on

[18] the second page, a cosine transform, a

[19] thresholding operation, normalization and

[20] quantization.

[21] These are all operations that are

[22] done on a still image and the coding operation

[23] where coding here is referring to a lossless

[24] coding.

Hemami

[1] It then goes through and describes a

[2] rate buffer. The rate buffer has to do with

[3] making sure that you hit the right size —

[4] **Q:** I'm not sure that I asked you for a

[5] complete description of every part of this paper.

[6] In fact, I'm confident that I didn't.

[7] Do you recall the question that I

[8] asked you?

[9] **A:** The question that you asked me is

[10] what does the paper describe.

[11] **Q:** No.

[12] **MR. PAYNE:** I'm going to

[13] object because I think that she was

[14] still trying to complete her answer

[15] but go ahead.

[16] **Q:** I think it's clear that you're not

[17] answering the question that I asked you but if you

[18] want to finish saying something, go ahead.

[19] **A:** Well, my understanding of the

[20] question was why does this not represent coding

[21] video and everything I have described to one of

[22] ordinary skill in the art is describing

[23] compression, lossy compression of a single array

[24] of pixels representing an image.

Hemami

[1] [2] Were the paper to describe video
[3] coding using this coder, I would expect the paper
[4] to describe things that are not here.

[5] **Q:** Like what?

[6] **A:** So the hardware configuration, what
[7] did they use, how did they interconnect things,
[8] did they design custom circuitry, was the
[9] circuitry discrete or integrated, how long did it
[10] take, how many man-hours, did they do subjective
[11] tests on the video.

[12] Furthermore, the entire results
[13] section presents results for still images. All
[14] they have done with this sentence is applied the
[15] number, 0.4 bits per pixel, to the digitized pixel
[16] rate of NTSC video. Video has so many pixels per
[17] second.

[18] They state in the abstract that they
[19] get good results at .4 bits per pixel. So it's a
[20] simple matter to multiply .4 bits per pixel times
[21] the number of pixels per second that one gets for
[22] digitizing NTSC TV to compute that 1.5 megabits
[23] per channel.

[24] **Q:** Is it accurate to say that in your
[25] view this article does not contain sufficient

Hemami

[1] disclosure of video coding to tell one of ordinary
[2] skill in the art that this is how to do video
[3] coding? Is that right?

[4] **A:** One of ordinary skill in the art
[5] would understand that any technique that could be
[6] applied to a single image could be applied to
[7] digitized images which were obtained from NTSC
[8] video or PAL or any other format of video. So to
[9] some extent, there's nothing to disclose there.

[10] This is a coder that operates on a
[11] single image and we can certainly consider video
[12] to be a very special case of particular sequence
[13] of images. And that sentence in the summary is
[14] essentially doing that, saying, well, if we take
[15] images as produced by digitizing a standard video
[16] signal, the resulting data rate is the following.

[17] **Q:** Okay. But what I'm trying to
[18] understand is whether this paper, in your view,
[19] discloses video compression to a person of
[20] ordinary skill in the art?

[21] **A:** This paper describes an intra-frame
[22] coding technique, sorry, a single frame or image
[23] compression technique which one of ordinary skill
[24] would understand could be applied to frames in
[25]

Hemami

[1] sequence from digitizing a standard analog video
[2] signal.

[3] **Q:** And this paper says expressly that
[4] that can be done, correct?

[5] **A:** It, it states that, that it computes
[6] a number based on the assumption. I mean, they do
[7] not state in order to apply this to video, first
[8] digitize the video, then take each frame."

[9] They don't give us a block diagram,
[10] for example, but one of the ordinary skill would
[11] understand even without John's paper that coding
[12] individual frames independently is a valid
[13] technique for compressing or coding video.

[14] **Q:** You said before that this paper
[15] didn't disclose things that you would expect it to
[16] disclose if it was going to actually describe
[17] video compression and you listed a variety of
[18] things that you would expect to be disclosed,
[19] including the hardware configuration and the
[20] circuitry that they used.

[21] **A:** Based on the sentence in the
[22] summary, that is what I stated.

[23] **Q:** Now, so your view is that this paper
[24] doesn't disclose the hardware configuration and it
[25]

Hemami

[1] doesn't disclose the circuitry and, therefore, it
[2] doesn't disclose video compression to a person of
[3] ordinary skill in the art. Is that right?

[4] **MR. PAYNE:** Objection. Form.

[5] **Q:** And if that's not right, explain
[6] why.

[7] **MR. PAYNE:** Objection to form.

[8] **A:** So my objection with calling this a
[9] video compression paper is that it describes a
[10] still image compression technique and simply gives
[11] a computation of the resulting bit rate that would
[12] occur if one applied this to video.

[13] **Q:** And in your mind, that's not enough
[14] to make it a paper about video compression. Is
[15] that right?

[16] **A:** I think, I would say that is correct
[17] in that when I look, when a person of ordinary
[18] skill looks for a paper about video compression,
[19] it's not enough to simply look for the word
[20] "video" to appear because just because "video"
[21] appears doesn't necessarily mean it is about video
[22] to start with.

[23] And secondly, to take an intra, a
[24] still image coder and simply provide the rate that
[25]

Hemami

[1] one would achieve at a particular input rate,
[2] intra-frame coding was well understood so there's
[3] nothing new here.

[4] **Q:** In your view, there's nothing new in
[5] this paper, "Scene Adaptive Coder," as Exhibit 79?

[6] **A:** If we consider video compression —
[7] the still image compression technique in this
[8] paper was clearly judged worthy of publication by
[9] the IEEE but due to, presumably, its novel
[10] technical content.

[11] The technical content of the paper
[12] involves coding still images. They simply state
[13] that when applied at a certain rate, this could be
[14] applied to video. That was not the novel part of
[15] the paper.

[16] **Q:** When did you first see this paper?

[17] **A:** Oh, when I was in graduate school,
[18] many years ago.

[19] **Q:** In what context did you see it in
[20] graduate school?

[21] **A:** Well, I studied image and video
[22] compression and transmission in graduate school
[23] and to the extent that this was a, I wouldn't say
[24] it was classical at the time because it was not

Hemami

[1] that old at the time, but this was a well-known,
[2] well-written paper by the time I got around to
[3] reading it.

[4] **Q:** When was that?

[5] **A:** It was on its way to being
[6] classical. How's that?

[7] **Q:** As of today, would you call this a
[8] classical paper?

[9] **A:** I would.

[10] **Q:** What do you mean by a classical
[11] paper?

[12] **A:** A classical paper is a paper that
[13] is, that we sort of cite frequently as the
[14] beginning of perhaps a — there are going to be
[15] several items here so don't take the order in
[16] which I give them as necessarily indicative of how
[17] important something is.

[18] A classical paper either indicates,
[19] perhaps, a paradigm shift in how problems were
[20] addressed. They may, typically are well written.
[21] Obviously, if a paper is not well written, even if
[22] the ideas are new, dissemination doesn't work very
[23] well.

[24] Also, sometimes I think we can say

Hemami

[1] that classical papers are sort of the, the paper
[2] on which many, many subsequent incremental but
[3] important improvements or modifications are made.

[4] **Q:** And this paper qualifies under that
[5] standard?

[6] **A:** I believe that if you talk to an
[7] image compression person and ask them if this were
[8] a classical paper, they would say yes.

[9] **Q:** Are you an image compression person?

[10] **A:** I am.

[11] **Q:** And you think this is a classical
[12] paper?

[13] **A:** I do.

[14] **MR. PAYNE:** Nick, are you at a
[15] good stopping point or do you have
[16] some questions on something else?

[17] **MR. BROWN:** I would be happy
[18] to take a break now if that's what you
[19] would like to do.

[20] **MR. PAYNE:** Five minutes?

[21] **MR. BROWN:** That's fine.

[22] **THE VIDEOGRAPHER:** The time is
[23] now 10:25. Off the record.

[24] (Recess taken)

Hemami

[1] **THE VIDEOGRAPHER:** The time is
[2] now 10:32. On the record.

[3] **BY MR. BROWN:**

[4] **Q:** Dr. Hemami, can you take your expert
[5] report and turn to the materials considered list
[6] at the end? Do you have that?

[7] **A:** Yes.

[8] **Q:** Will you turn and look at number
[9] 26, please?

[10] **A:** Yes.

[11] **Q:** Do you see it says there, "The claim
[12] construction disclosures of Burst and those of
[13] Apple as well as the references cited in each"?
[14] Do you see that?

[15] **A:** Yes.

[16] **Q:** If you turn to the binder that's in
[17] front of you, which is Exhibit 71 and go to tab U,
[18] please.

[19] **A:** Okay.

[20] **Q:** If you turn past the page that has
[21] the U on it, do you see that this is a document
[22] filed with the court entitled, "Patent Local Rule
[23] 4-3 Claim Construction and Pre-Statement"? Do you
[24] see that?

Hemami

[1] *Hemami*

[2] **A:** Yes.

[3] **Q:** Why don't you flip through that

[4] document until you get to the tables that follow

[5] it.

[6] **A:** Okay.

[7] **Q:** Have you seen those tables before?

[8] **A:** I don't know if I've seen them from

[9] this document, but I certainly have seen claim

[10] construction tables which are rectangular and long

[11] like the ones that Les has.

[12] **Q:** Okay. Going back to your report,

[13] number 26 which reads, "The claim construction

[14] disclosures of Burst and Apple," does that include

[15] the tables that are rectangular and long that you

[16] are referring to?

[17] **A:** Yes.

[18] **Q:** You state in your expert report the

[19] references cited in each. Do you see that?

[20] **A:** Yes.

[21] **Q:** Do you see how there are two columns

[22] in there? One is labeled "Burst's Evidence" and

[23] one is labeled "Apple's Evidence." Do you see

[24] that?

[25] **A:** Yes.

Hemami

[1] *Hemami*

[2] **Q:** And do you see that in those columns

[3] there are various references cited at various

[4] places?

[5] For example, on the second page in

[6] the "Burst's Evidence" column, The Modern

[7] Dictionary of Electronics, 6th Edition, 1984 is

[8] cited. Do you see that?

[9] **A:** Yes.

[10] **Q:** Are those the references, is that an

[11] example of one of the references that you were

[12] referring to?

[13] **A:** Yes.

[14] **Q:** When you wrote in your expert report

[15] number 26, "the references cited in each," did you

[16] mean anything other than what is cited in the

[17] "Burst's Evidence" and "Apple's Evidence" columns?

[18] **A:** That item in the reference list

[19] refers to the materials that were listed in these

[20] columns so I don't remember if your question was

[21] phrased as a positive or a negative, but —

[22] **Q:** Okay. When you wrote in your expert

[23] report, "the references cited in each," what you

[24] meant is the references contained in the "Burst's

[25] Evidence" and "Apple's Evidence" columns. Is that

Hemami

[1] *Hemami*

[2] right?

[3] **A:** That's right.

[4] **Q:** And did you review each of the

[5] references listed in those two columns?

[6] **A:** I tried my very best to do every

[7] single one.

[8] **Q:** So, for example, you looked in the

[9] Modern Dictionary of Electronics. Is that true?

[10] **A:** I did.

[11] **MR. BROWN:** I'm going to mark

[12] as Exhibit 80 a copy of a few pages of

[13] The Modern Dictionary of Electronics.

[14] It's only got one page from the actual

[15] content of the dictionary, production

[16] numbers APBU414957 through 959.

[17] (Documents bearing Bates Nos.

[18] APBU00414957 through 959 was marked as

[19] Deposition Exhibit No. 80 for

[20] identification, as of this date.)

[21] **Q:** And you see on the face of

[22] Exhibit 80 is The Modern Dictionary of

[23] Electronics, 6th Edition. Do you see that?

[24] **A:** Yes.

[25] **Q:** That's the dictionary that's

Hemami

[1] *Hemami*

[2] referred to in the "Burst's Evidence" column of

[3] the chart that you were looking at, correct?

[4] **A:** Yes.

[5] **Q:** I'd like you to look at the third

[6] page of Exhibit 80, which is one page from the

[7] dictionary.

[8] **A:** Yes.

[9] **Q:** On the right-hand side there's a

[10] definition of "burst transmission." Do you see

[11] that?

[12] **A:** Yes.

[13] **Q:** I don't believe that this dictionary

[14] was cited by Burst in relationship with the term

[15] "burst transmission" in the chart.

[16] Did you read or consider this

[17] definition before writing your expert report?

[18] **A:** I did.

[19] **Q:** Were you familiar with this

[20] definition prior to seeing it in this dictionary?

[21] **A:** No.

[22] **Q:** Do you believe this definition is

[23] accurate?

[24] **A:** In the context of the way that

[25] "burst transmission" is used in the Burst patents,

Hemami

[1] *Hemami*

[2] no.

[3] **Q:** This definition expressly refers to

[4] radio transmissions, correct?

[5] **A:** It does.

[6] **Q:** Do you believe that this definition

[7] is accurate in the context of radio transmissions?

[8] **A:** I believe that this definition

[9] describes analog radio transmissions.

[10] **Q:** Is the reason that you believe this

[11] is not accurate in the context of the Burst

[12] patents that in your view, the Burst patents

[13] pertain to digital data transmissions?

[14] **A:** Yes.

[15] **Q:** Is there another reason?

[16] **A:** Not that I can think of now but

[17] that's certainly the largest one that looms in my

[18] mind and that is exactly what my reaction was when

[19] I read this definition the first time.

[20] I should say this is transmission of

[21] analog information.

[22] **Q:** How is that different in your mind

[23] from what you said before?

[24] **A:** Well, I said analog radio

[25] transmission which to me means transmission of

Hemami

[1] *Hemami*

[2] analog signals but I wanted to clarify because,

[3] forgive me, I don't think you're an electrical

[4] engineer. I wanted to clarify that it was the

[5] delineation between digital signals being

[6] transmitted and analog signals being transmitted.

[7] **Q:** Earlier you were talking about the

[8] physical layer. Do you recall that?

[9] **A:** I do.

[10] **Q:** Typically that physical layer uses

[11] analog transmission to convey digital information,

[12] correct?

[13] **A:** Yes.

[14] **Q:** In fact, I believe that's always the

[15] case, correct?

[16] **A:** One could make an argument

[17] differently for switching bits on a copper wire

[18] but I think what, generally speaking, what you've

[19] said, yes.

[20] **Q:** So, generally, digital information

[21] is conveyed using analog signals?

[22] **A:** Yes.

[23] **MR. PAYNE:** Objection to form.

[24] **A:** Perhaps — yes, let me correct

[25] myself.

Hemami

[1] *Hemami*

[2] Digital signals are transmitted

[3] using analog modulation techniques.

[4] **Q:** Analog modulation techniques of an

[5] analog signal?

[6] **A:** No. The signal that is being

[7] modulated is, can be digital, can be digital.

[8] **Q:** You're modulating an analog

[9] waveform, correct?

[10] **A:** Yes.

[11] **Q:** And you're doing that in order to

[12] convey digital information, correct?

[13] **A:** Yes.

[14] **Q:** I think the word "signal" may be

[15] confusing things but if you want to transmit

[16] digital information from one point to another,

[17] generally you need to do that by modulating an

[18] analog waveform. Is that true?

[19] **A:** That is true.

[20] **Q:** One type of analog waveform that can

[21] be modulated in order to convey digital

[22] information is a radio wave, correct?

[23] **A:** That is correct.

[24] **Q:** You can also modulate a microwave,

[25] correct?

Hemami

[1] *Hemami*

[2] **A:** A microwave is a radio wave.

[3] **Q:** It's a subcategory of a radio wave,

[4] correct?

[5] **A:** Yes, I would agree with that.

[6] **Q:** Let's talk briefly about microwaves

[7] since we're on the topic.

[8] Microwave transmission is described

[9] in the Burst patents, correct?

[10] **A:** Yes.

[11] **Q:** And the Burst patents describe using

[12] satellites, correct?

[13] **A:** Yes.

[14] **Q:** And they also describe using

[15] point-to-point microwave transmitters, correct?

[16] **A:** Yes.

[17] **Q:** Are those two the same thing?

[18] **A:** Are they the same thing? Can you be

[19] more specific in your question?

[20] **Q:** Sure.

[21] Both microwave, point-to-point

[22] microwave transmitters and satellites use the

[23] microwave band to transmit information, correct?

[24] **A:** Yes.

[25] **Q:** They both modulate microwaves,

[1] *Hemami*

[2] correct?

[3] **A:** Yes.

[4] **Q:** What are the differences at a high

[5] level between point-to-point microwave

[6] transmission and satellite transmission?

[7] Actually, let me withdraw that question. I'm

[8] going to ask it slightly differently.

[9] Satellite transmission is generally

[10] not point to point, correct?

[11] **A:** The satellite is used as a relay

[12] between the two points. So, yes, we would not

[13] call satellite, we would not call satellite

[14] transmission point to point.

[15] **Q:** Are the structures that are used to

[16] do the transmission the same in point-to-point

[17] microwave transmission and satellite microwave

[18] transmission?

[19] **A:** Which structures are you referring

[20] to?

[21] **Q:** Let's start with the structure which

[22] actually transmits the signal.

[23] Is it true that in a satellite, the

[24] structure that transmits the signal transmits it

[25] over a wide directional area?

[1] *Hemami*

[2] **A:** The statement that you just made is

[3] not correct.

[4] **Q:** Okay. I believe, and please correct

[5] me if I'm wrong, that one significant distinction

[6] between a satellite transmission and a

[7] point-to-point microwave transmission is that in

[8] point-to-point microwave transmission, the

[9] microwave beam from the microwave transmitter is

[10] relatively narrow when compared to the beam from

[11] the satellite and has to be specifically directed

[12] at the receiver in contrast to the satellite.

[13] Is that generally true?

[14] **A:** What you have stated with respect to

[15] the, the point to point using a very narrow beam

[16] is accurate. Clearly the narrower the beam, the

[17] more energy is concentrated in, in a region.

[18] As far as satellite relay is

[19] concerned, I have to say I do not know — this

[20] depends if we're using the satellite to broadcast

[21] or to essentially target a particular individual

[22] reception point.

[23] **Q:** Well, let's talk about that.

[24] Are you familiar with the DirecTV

[25] satellite system?

[1] *Hemami*

[2] **A:** I am.

[3] **Q:** In fact, you tendered an expert

[4] report on satellites that were part of that

[5] system, right?

[6] **A:** That's correct.

[7] **Q:** Those satellites are capable of

[8] sending signals in a broadcast fashion, right?

[9] **A:** They do.

[10] **Q:** And that's not a point-to-point

[11] microwave transmission, right?

[12] **A:** Certainly from the satellite down is

[13] not point to point. That is a broadcast delivery.

[14] **Q:** The antenna that creates the

[15] broadcast signal is a different kind of antenna

[16] than the one that is used for point-to-point

[17] microwave transmission, correct?

[18] **A:** I do not know if that is correct.

[19] **Q:** Okay. What level of knowledge do

[20] you have about the structure used to send

[21] microwave signals either from satellites or in

[22] point-to-point transmissions?

[23] **A:** My level of knowledge pertains to or

[24] is at the level of being able to describe the

[25] sequence of events that has to occur, say at the

[1] *Hemami*

[2] level of a block diagram such that I can point to

[3] what occurs in each block of the diagram, this

[4] level of abstraction.

[5] What I do not have knowledge in is

[6] antenna design and beam forming.

[7] **Q:** Do you — let's put this in context.

[8] Can you pull out the '839 patent

[9] which is in front of you? I believe it is Exhibit

[10] 3 and if you could look at column 12 at line 10.

[11] **A:** Yes.

[12] **Q:** The '839 patent says that, "Both

[13] point-to-point microwave transceivers and

[14] satellite transceivers may be used."

[15] Do you see that?

[16] **A:** Yes.

[17] **Q:** It appears that the Burst patent is

[18] drawing a distinction between a microwave

[19] transceiver and a satellite transceiver, correct?

[20] (Telephone interruption)

[21] **Q:** Sorry about that. Go ahead.

[22] **A:** Nice ring.

[23] **Q:** Do you want me to repeat the

[24] question?

[25] **A:** No. I understand your question and

Hemami

[1] I would have to say I'm not sure about that.

[2] **Q:** It certainly describes them as two

[3] different things in this sentence, correct?

[4] **A:** There are two items in the sentence,

[5] yes.

[6] **Q:** And one of them is a microwave

[7] transceiver, correct?

[8] **A:** Yes.

[9] **Q:** And the other one is a satellite

[10] transceiver, correct?

[11] **A:** Yes.

[12] **Q:** A satellite transceiver uses

[13] microwaves, correct?

[14] **A:** It does.

[15] **Q:** But at least some of the time, as

[16] we've just described, it uses a broadcast signal

[17] as opposed to a point-to-point signal, correct?

[18] **A:** A satellite would do such a thing,

[19] yes.

[20] **Q:** So one can distinguish between

[21] point-to-point microwave transceivers and

[22] satellite transceivers on the grounds that one is

[23] a point-to-point signal and one is a broadcast

[24] signal, correct?

[25]

Hemami

[1] **A:** I, again, I, I'm not —

[2] **Q:** I don't mean to ask you specifically

[3] about that, this sentence yet.

[4] **A:** No, no, I understand that. I

[5] understand that. I'll tell you my hesitancy.

[6] I believe that satellites are used

[7] to relay specific signals in a narrow band

[8] fashion. I believe that can be and is done.

[9] **Q:** And that would be a point-to-point

[10] microwave transmission, right?

[11] **A:** No. No. Point-to — in the

[12] context — what I'm disagreeing with in your

[13] characterization is that satellites always

[14] broadcast and what I'm saying is —

[15] **Q:** Sure.

[16] **A:** — I'm not sure that is correct.

[17] Now, in what you just — why don't

[18] you repeat what you just said about, "and that

[19] would be a point to point," just so I'm sure I —

[20] **Q:** Okay. You're saying that satellite

[21] transceivers can send point-to-point signals or

[22] broadcast signals, correct?

[23] **A:** No. So point-to-point microwave is

[24] a term of art, okay? This is my issue with your

[25]

Hemami

[1] phrasing here.

[2] **Q:** Can I ask you about that?

[3] **A:** Yes.

[4] **Q:** The term of art, "point-to-point

[5] microwave transceivers," can that include a

[6] satellite transceiver?

[7] **A:** My understanding of — now, a

[8] satellite, a satellite that would serve as a relay

[9] between two earth stations, certainly from a

[10] communication perspective, we would characterize

[11] the uplink as a point to point because there's no

[12] relay in between and the downlink as a point to

[13] point also because there's no relay. The two

[14] ground units are clearly not point to point

[15] because there is a, the satellite is relaying,

[16] right, there's something in the middle.

[17] Now, having said that, the

[18] point-to-point microwave transmission, sorry,

[19] point-to-point microwave transceiver which we have

[20] here, there is a term which I've used in my

[21] report, "point-to-point terrestrial microwave,"

[22] which indicates that the "terrestrial" modifies

[23] that the microwave is not going into space.

[24] Now, I think that it is fair that

[25]

Hemami

[1] certainly we would call from the ground station to

[2] the satellite, that is indeed a point-to-point

[3] link. I don't believe we would characterize the

[4] transmission via relay as point to point even

[5] though the individual constituent links were

[6] point-to-point microwave links, point-to-point

[7] links that used the microwave frequency band.

[8] **Q:** Is it fair to say, based on what

[9] you've just said, that the point-to-point

[10] microwave transceiver described in lines 10 and 11

[11] of column 12 of the Burst patent can't be

[12] referring to a link through a satellite between

[13] two of the transceivers described in the Burst

[14] patents?

[15] **A:** I don't think I would be comfortable

[16] saying "can't." You know, my reading of this is

[17] that a microwave terrestrial antenna and a

[18] satellite terrestrial antenna are different

[19] beasts. And the, the user or the person who's

[20] going to purchase the device, shall we say, you

[21] know, maybe wants to understand what the form

[22] factor is, you know, what the orientation is.

[23] If they don't have a line of sight

[24] on the ground to the, the other point, then they

[25]

[1] **Hemami**

[2] must consider satellites. If they live in a

[3] forest, unless they can get the satellite

[4] antenna — well, if they live in a forest, they're

[5] sort of out of luck, but if they live in an area

[6] with overhanging trees where they can't get line

[7] of sight to the satellite then they need to

[8] consider perhaps the terrestrial.

[9] **Q:** I thought you said that you would

[10] not consider a link between two places on the

[11] ground through a satellite to be a point-to-point

[12] link?

[13] **A:** That is not, we would not call that

[14] a point-to-point link. We would say that that

[15] constituted two point-to-point links involving the

[16] satellite.

[17] **Q:** Okay. I'd like to — we'll come

[18] back to the '839 patent. I want to talk about the

[19] '995 patent for a second and specifically I want

[20] to ask you some questions about the fax chip

[21] that's described in column 5 of the '995 patent.

[22] Do you see in line 5 and 6 it

[23] describes the A.M.D. 7971 chip?

[24] **A:** Yes.

[25] **Q:** Are you familiar with that chip?

[1] **Hemami**

[2] **A:** I'm familiar with the chip to the

[3] extent that I read the data sheets that were part

[4] of the material that I reviewed.

[5] **Q:** Okay. Do you have any familiarity

[6] with that chip other than through the data sheet

[7] that you reviewed?

[8] **A:** With the chip itself? No.

[9] **Q:** Have you ever used the chip?

[10] **A:** I don't know. I mean, I may have

[11] used a fax machine that had the chip in it.

[12] **Q:** Fair enough. Have you ever

[13] knowingly used that chip?

[14] **A:** I can neither confirm nor deny that

[15] statement. No, most fax machines do not have a

[16] stamp on them as to what their internal engine is.

[17] **Q:** So it's fair to say that you have

[18] never knowingly used that particular chip. Is

[19] that right?

[20] **A:** I have never knowingly used that

[21] chip but, you know, I don't know how many

[22] manufacturers made those chips. It may well be

[23] that if 90 percent of the fax chips were made by

[24] A.M.D. and I sent faxes in 1988, then I probably

[25] used one.

[1] **Hemami**

[2] **Q:** Fair enough. Do you have any

[3] opinion about whether that chip can be used to do

[4] video compression?

[5] **A:** I do.

[6] **Q:** And what's your opinion?

[7] **A:** It can.

[8] **Q:** And why do you think that?

[9] **A:** Because one can, one can use the

[10] chip to compress color video frames as described

[11] in the specification.

[12] **Q:** Do you recall what the — well, I

[13] use the term "data rate." Does that — another

[14] word might be "throughput."

[15] Do you know how much data the A.M.D.

[16] 7971 chip can handle in a particular amount of

[17] time?

[18] **A:** So what are you calling "data"?

[19] **Q:** Well, as I understand it, this is a

[20] fax chip, correct?

[21] **A:** Yes.

[22] **Q:** And it will receive information, I

[23] would assume, from a scanner, correct?

[24] **A:** That, that is certainly one way we

[25] can certainly assume — well, the chip actually

[1] **Hemami**

[2] gets its data from memory. It's not really our

[3] business where, how the data got to memory.

[4] **Q:** Fine. So the chip gets data from

[5] somewhere, potentially memory, correct?

[6] **A:** Yes.

[7] **Q:** And what does it do to that data?

[8] **A:** It takes the data and it puts it

[9] through the CCITT Group IV algorithm that it

[10] implements and then it outputs compressed data.

[11] So here, when we say "data," to be

[12] clear, we are referring to a sequence of bits.

[13] **Q:** So the 7971 chip implements a

[14] specific CCITT Group IV algorithm, correct?

[15] **A:** Yes.

[16] **Q:** The CCITT Group IV contains a number

[17] of different algorithms, correct?

[18] **A:** I don't think so.

[19] **Q:** Okay.

[20] **A:** Well, what type of algorithm are we

[21] discussing? Can you be more specific?

[22] **Q:** Can you give me a general

[23] description of what you understand the algorithm

[24] or algorithms in the CCITT Group IV to be?

[25] **A:** My understanding of the CCITT Group

Hemami

[1]
[2] IV compression algorithms is that they are
[3] compression algorithms for binary images, where a
[4] binary image is — I use the term “image” here
[5] sort of as a ray when I think of a rectangle —
[6] and in each position we have a zero or a 1. So it
[7] can only take on two values, zero or a 1. Hence,
[8] the word “binary.”

[9] **Q:** So this, the algorithm — I think
[10] you used the plural when you, in your description
[11] — the algorithms in CCITT Group IV process what
[12] you call binary images. Is that right?

[13] **A:** Well, let’s say the chip runs an
[14] algorithm. “Algorithm” is sort of a nebulous word
[15] but it processes binary data.

[16] **Q:** What do you mean when you say
[17] “algorithm” is a nebulous word?

[18] **A:** Well, algorithms have
[19] sub-algorithms. It depends on what level we’re
[20] talking about.

[21] You know, we could draw a block
[22] diaphragm, for example, of JPEG and talk about the
[23] entire JPEG algorithm or the cosine algorithm or
[24] various pieces. So it’s, it’s, it can refer to
[25] many levels of a particular task that we are

Hemami

[1] trying to implement.

[2] **Q:** If one builds an integrated circuit
[3] to implement an algorithm, one cannot later change
[4] that algorithm, correct?

[5] **A:** That depends on how you build your
[6] integrated circuit.

[7] **Q:** So you can build a programmable
[8] integrated circuit?

[9] **A:** Sure.

[10] **Q:** Is the CCITT Group IV algorithm or
[11] any of the algorithms within it capable of
[12] processing images that are not binary images?

[13] **A:** A single pass-through the — well,
[14] how am I going to put this?

[15] The algorithm could be used, can be
[16] used to process color images but we have to run it
[17] in parallel —

[18] **Q:** So you need —

[19] **A:** — in some manner.

[20] **Q:** You need more than one chip, in
[21] other words?

[22] **A:** Not necessarily.

[23] **Q:** You could feed the data in serial
[24] fashion through the same chip?
[25]

Hemami

[1]
[2] **A:** Yes.

[3] **Q:** But the data that you need to feed
[4] through the chip has to be a binary image, as you
[5] put it?

[6] **A:** That is correct.

[7] **Q:** So if you broke a color image into a
[8] series of binary images, you could then feed those
[9] images in succession through the chip. Is that
[10] right?

[11] **A:** That is correct.

[12] **Q:** Or alternatively, you could feed
[13] those binary images in parallel through a number
[14] of different chips?

[15] **A:** Or even in parallel through the same
[16] chip.

[17] **Q:** Is the 7971 A.M.D. chip that’s
[18] described here capable of processing data in
[19] parallel?

[20] **A:** For the, the data, the color image
[21] data as it is described here, and as we would
[22] expect color video data to be, yes.

[23] **Q:** What do you mean by that?

[24] **A:** So what’s given in the specification
[25] is, the example that’s given is a frame that is of

Hemami

[1] size 300 by 300 with each pixel defined by 21
[2] bits. And this 300 by 300 is a reasonable frame
[3] size for digitized video, you know, as opposed to
[4] say, 10,000 by 10,000 or 3 by 3. This is a
[5] reasonable number. That is completely what one
[6] would expect.
[7]

[8] **Q:** Here it says that each pixel is
[9] defined by 21 bits, correct?

[10] **A:** Yes.

[11] **Q:** Could you break that into 21
[12] different 300 by 300 binary images?

[13] **A:** Yes.

[14] **Q:** And at that point, you could feed
[15] those 21 binary images through the 7971 chip, is
[16] that right?

[17] **A:** That’s correct.

[18] **Q:** Or through a series of parallel
[19] chips?

[20] **A:** Or in parallel through one chip,
[21] yes.

[22] **Q:** Okay.

[23] **A:** You could feed some number of them
[24] in paralegal through one chip.

[25] **Q:** Is the A.M.D. 791, I’m sorry, 7971

[1] **Hemami**

[2] chip capable of processing nonbinary images?

[3] **A:** Well, it's a bit of a —

[4] **Q:** You're right. Let me try it

[5] differently.

[6] Is it, is the chip, this A.M.D. 7971

[7] chip capable of directly processing nonbinary

[8] images?

[9] **A:** What does —

[10] **MR. PAYNE:** Objection to form.

[11] **A:** What does "directly processing"

[12] mean?

[13] **Q:** Sure. I think we just described how

[14] you can use this chip to process a 21 bit color

[15] image, correct?

[16] **A:** Well, you described it.

[17] **Q:** Fair enough. It's accurate to say

[18] that the A.M.D. 7971 chip can process a 21 bit per

[19] pixel color image by breaking it into 21 separate

[20] binary images, correct?

[21] **A:** Yes, that is an accurate statement.

[22] **Q:** Okay. Would it be possible to send

[23] a 300 by 300 frame with 21 bits for each pixel

[24] through the A.M.D. processor without breaking it

[25] into 21 separate frames?

[1] **Hemami**

[2] **A:** Well, in fact — now, let me think.

[3] Okay. I would have to look at the

[4] data sheet to — I don't want to rely on doing

[5] math in my head or remembering the data sheet but

[6] as far as that A.M.D. chip is concerned, remember,

[7] it doesn't know that the bits that we are pushing

[8] into it, whether they came from our 21 bit color

[9] image or whether they came from a scanner or

[10] whether somebody accidentally unplugged the

[11] machine prior to turning it back in and those bits

[12] that it's going to process are just whatever state

[13] the memory powered back up in.

[14] So we can feed it any bits we want.

[15] It will not, it will not explode. It will not

[16] raise a red flag saying, you know, this data

[17] doesn't —

[18] **Q:** Understood. You can feed any bits

[19] you want into the chip is what you're saying, is

[20] that right?

[21] **A:** Yes.

[22] **Q:** Okay.

[23] **THE VIDEOGRAPHER:** I need to

[24] change the tape.

[25] **MR. BROWN:** Do I have five

[1] **Hemami**

[2] minutes, three minutes?

[3] **THE VIDEOGRAPHER:** You have

[4] about one minute.

[5] **MR. BROWN:** Let's change the

[6] tape.

[7] **THE VIDEOGRAPHER:** The time is

[8] now 11:04. This marks the ending of

[9] tape number one. Off the record.

[10] (Recess taken)

[11] **THE VIDEOGRAPHER:** The time is

[12] now 11:05. This marks the beginning

[13] of tape number two. On the record.

[14] **BY MR. BROWN:**

[15] **Q:** Before we took that brief break, I

[16] think you said that the algorithm or algorithms in

[17] the CCITT Group IV processed binary images,

[18] correct?

[19] **A:** They're intended to process binary

[20] images. The, the chip was designed with binary

[21] images in mind.

[22] **Q:** That's exactly where I was going.

[23] You've anticipated me perfectly.

[24] So even though they're designed to

[25] process binary images, you can feed other data

[1] **Hemami**

[2] into them, right?

[3] **A:** Well —

[4] **Q:** There's nothing to stop you?

[5] **A:** First off, you can feed any data

[6] into it.

[7] **Q:** Right.

[8] **A:** Now, we would hope that we would

[9] feed data that had something in common with a

[10] binary image if we wanted to use the chip in such

[11] a manner to achieve compression.

[12] **Q:** Let's talk generally about

[13] compression and I think that the reason for what

[14] you just said is that different kinds of data have

[15] different patterns of bits in them that make

[16] different algorithms more and less effective at

[17] compressing them. Is that true?

[18] **A:** I think that's a laymen's

[19] understanding, which if I were explaining it to

[20] somebody on a bus, that is probably what I would

[21] say to them.

[22] **Q:** Excellent. Let's suppose you were

[23] explaining this to a Federal judge who is an

[24] extremely intelligent and accomplished woman.

[25] How would you clarify and deepen

Hemami

[1] that explanation?

[2] **A:** I would state that compression

[3] algorithms, some compression algorithms are

[4] designed for specific statistical characteristics

[5] of input data. A compression person or a signal

[6] processing person would describe one important

[7] statistical characteristic as correlation.

[8] I like to explain correlation to

[9] nonstatistically-oriented people as if I gave you,

[10] say, if I drew a signal on a white board or I gave

[11] you an image or I gave you the Dow Jones

[12] Industrial Average closing over a series of days,

[13] and I went in and removed or erased a pixel from

[14] the image or a sample from the audio or a closing

[15] from the Dow Jones sequence and handed it to you

[16] and said, "What do you think goes there?" You

[17] would be able to make a fairly accurate — and

[18] when I say "accurate," I mean if we repeated this

[19] many, many, many times and computed some error

[20] measure, most of the time you would pick something

[21] that is very correct.

[22] Now, obviously, September 11th

[23] something strange happens, you know. There are

[24] things we cannot predict from surrounding data but

[25]

Hemami

[1] correlation is a characteristic of data that

[2] somehow data close together in space or time or

[3] however we are presenting the data is related to

[4] each other in a manner that you, as a human, might

[5] be able to understand what it is.

[6] Even if you can't, there are

[7] mathematical techniques that we can employ to

[8] repeat and maybe even do better than, certainly

[9] sometimes definitely do better than your educated

[10] guess as a human.

[11] **Q:** So let's use an example. The patent

[12] talks about, in the context of video, comparing

[13] the pixels that have changed between successive

[14] frames of a video, correct?

[15] **A:** Yes.

[16] **Q:** And the reasoning there is that in

[17] video, not all pixels will change between two

[18] successive frames, correct?

[19] **A:** Yes.

[20] **Q:** So for example, if you have the

[21] video of a talking head on CNN, the pixels in the

[22] background are unlikely to change very much

[23] between frames. Is that right?

[24] **A:** That's correct.

[25]

Hemami

[1] **Q:** And that is an example of

[2] correlation as you've just described, correct?

[3] **A:** Yes.

[4] **Q:** So what would be correlated is the

[5] pixels between successive frames. Is that right?

[6] **A:** Yes. We would expect a large number

[7] in which you've described of the pixels between

[8] correlated, I'm sorry, between nearby frames.

[9] **Q:** Sure. Let's take another example.

[10] If someone were to take a digital

[11] picture of you sitting here right now, it would

[12] include your jacket, right? And the color in your

[13] jacket, while quite nice and subtly different in

[14] various places —

[15] **A:** Oh, yes.

[16] **Q:** — it's generally the same

[17] throughout your jacket. Is that right?

[18] **A:** Well, I'll go with your example,

[19] yes.

[20] **Q:** I mean I recognize that maybe the

[21] jacket isn't ideal because there's green and red.

[22] It's a very nice jacket.

[23] **A:** I would actually use the jacket in

[24] my class as an example of perhaps you can't

[25]

Hemami

[1] predict so well from one point to another.

[2] **Q:** Well, then, you know what? Let's

[3] not use your jacket.

[4] **A:** You could use the lovely background.

[5] **Q:** That might not work very well

[6] either.

[7] **A:** How about the wall?

[8] **Q:** Let's suppose that you picked up a

[9] blank white piece of paper and you held it up to

[10] the camera —

[11] **A:** Here we go.

[12] **Q:** — and then you took a picture.

[13] There would be, I think you'd agree, a high amount

[14] of correlation between the pixels within the area

[15] that represents the blank white piece of paper, is

[16] that right?

[17] **A:** Absolutely.

[18] **Q:** And generally in images, it's

[19] possible to find areas where there is correlation

[20] between pixels that are located in similar places.

[21] Is that right?

[22] **A:** Yes. I mean, but the fact that we

[23] can see is sort of evidence that in most images,

[24] you know, adjacent things tend to be very

[25]

Hemami

[1] correlated.

[2] **Q:** And image compression takes

[3] advantage of that fact, correct?

[4] **A:** Yes.

[5] **Q:** Or at least it can?

[6] **A:** Yes. Yes. I would say general

[7] image compression algorithms, for example, that we

[8] can't predict what every single picture that

[9] someone is going to take is. JPEG, for example,

[10] exploits this fact.

[11] **Q:** Okay. Now, let's take a very

[12] different example. Let's talk about a database

[13] file that's a binary file on a computer.

[14] That file will also have correlation

[15] within it, correct?

[16] **A:** Maybe, maybe not. It depends how

[17] it's stored and what the file is and what format

[18] it is.

[19] **Q:** Fair enough. We don't necessarily

[20] need that for the, for the case.

[21] What I wanted to ask you is it true

[22] that the efficiency and performance of any given

[23] compression algorithm depends on whether the data

[24] that's provided actually has the type of

[25]

Hemami

[1] correlation that it was designed to handle?

[2] So, for example, if you have a video

[3] compression algorithm which one would expect to

[4] look for, so to speak, correlation between pixels

[5] and successive frames and you created, using some

[6] video authoring tool, a bizarre kaleidoscope where

[7] no pixels remain the same between successive

[8] frames, the performance of that algorithm would

[9] degrade immensely, right?

[10] **A:** If the algorithm is designed for

[11] what we would call natural images, then if you

[12] gave it an arbitrary collection of frames

[13] consisting of an arbitrary collection of pixels,

[14] we would expect that the compression would not be

[15] as good as if you fed it, say, footage of a

[16] football game.

[17] **Q:** Right. So is it generally true in

[18] compression that the performance of the algorithm

[19] is related to whether the data it is receiving

[20] contains the types of correlations that the

[21] algorithm is designed to handle?

[22] **A:** Well, what type of compression are

[23] you talking about?

[24] **Q:** Let's use video compression.

[25]

Hemami

[1] **A:** There are some compression

[2] algorithms for which what you said is not true.

[3] And for video compression, video compression

[4] algorithms designed for natural video, we would

[5] expect that if we fed it video with different

[6] statistical characteristics, it would not do as

[7] well.

[8] **Q:** Let's take the specific example of

[9] the 7971 chip here.

[10] Would you expect that chip to

[11] perform better for black and white fax images than

[12] for color video images?

[13] **A:** How do you define "better"?

[14] **Q:** Well, I'm probably not very good at

[15] that. You're a compression expert, true?

[16] **A:** Yes.

[17] **Q:** How would you define a "better

[18] compression" performance?

[19] **A:** Well, the question that you have

[20] asked is, is a little bit awkward and I will

[21] oblige you by telling you why.

[22] The CCITT Group IV algorithm is a

[23] lossless algorithm for binary images. So the

[24] array that you send in of 1's and zeroes arranged

[25]

Hemami

[1] in an image format will come out as exactly the

[2] same array of 1's and zeroes, modulo the options

[3] and the chip. You know, you can set the, you can

[4] set up the border if you would like.

[5] I think there's some, there's some

[6] options for, shall we say, presentation of the

[7] output of the fax on the page, okay? But if we're

[8] talking about just the bits that refer to the

[9] single array, the array of binary images that went

[10] in, those bits will come out exactly the same.

[11] Now, a video compression algorithm,

[12] we don't need the output video or the decompressed

[13] video to be pixel for pixel equivalent to the

[14] original. Now, you could argue maybe for fax.

[15] You don't need it. We're all able to read lousy

[16] faxes, right? You know, that's clearly not a

[17] pixel for pixel equivalent. But as far as the

[18] chip is concerned, it produces the same binary

[19] image decompressed as it decompressed.

[20] **Q:** I don't mean to interrupt you. I

[21] was trying to understand how you would define

[22] "better compression."

[23] **A:** Okay. Okay. So with respect to the

[24] fax, okay, we're talking about a lossless — so

[25]

Hemami

[1] with respect to binary, single binary image, we're

[2] talking about lossless compression. So the only

[3] metric that we have is the size.

[4] **Q:** The size of what?

[5] **A:** The size of the compressed file,

[6] okay?

[7] Now, with respect to the video, we

[8] can produce output video of varying qualities and

[9] the varying qualities correspond to varying sizes

[10] of the compressed file. And the designer of the

[11] system or whoever, you know, however this is being

[12] used, can make design choices as to tradeoffs

[13] involved on what size of file we want and what

[14] quality of output video we want.

[15] **Q:** Is it possible to use the A.M.D.

[16] 7971 chip to do lossy compression?

[17] **A:** Yes.

[18] **Q:** How do you do that?

[19] **A:** We simply do not feed it all of the

[20] 21 bit planes.

[21] **Q:** So it's possible to use the chip

[22] within a work flow that produces a lossy

[23] compression. Is that right?

[24] **A:** Work flow?

Hemami

[1] **Q:** Okay. We'll avoid that word if you

[2] don't like it.

[3] Is it true that the loss, in the

[4] example you just gave, is injected by something

[5] other than the A.M.D. chip?

[6] **A:** That is accurate.

[7] **Q:** Is the A.M.D. chip itself capable of

[8] implementing a lossy compression?

[9] **A:** That I don't know. I'd have to

[10] reread the, the chip description.

[11] **Q:** But your understanding sitting here

[12] today of the algorithm it runs is that it's a

[13] lossless algorithm. Is that right?

[14] **A:** My understanding of the algorithm is

[15] based on the standard document. So I, when I

[16] discuss this chip, I am — it has options, it does

[17] things that allow for, I think what CCITT Group IV

[18] maybe considered not falling within the standard

[19] but the engine is a CCITT Group IV standard. So,

[20] you know, I don't know if CCITT Group IV allows

[21] for putting borders on pages or some of the other

[22] options that the chip has.

[23] **Q:** Okay. So when you say that the chip

[24] performs a lossless algorithm, what you meant is

[25]

Hemami

[1] that it performs a CCITT Group IV algorithm which

[2] are generally lossless algorithms?

[3] **A:** Yes.

[4] **Q:** Are there any lossy CCITT Group IV

[5] algorithms?

[6] **A:** To my knowledge, no.

[7] **Q:** All right. Let's talk about the

[8] next paragraph which says that, "The compression

[9] algorithm can simply record data corresponding to

[10] only those pixels which change color from one

[11] frame to the next."

[12] Do you see that?

[13] **A:** Yes.

[14] **Q:** Is that a lossy or lossless

[15] algorithm or both? I shouldn't put it that way.

[16] Does that describe a lossless

[17] algorithm, does that describe a lossy algorithm or

[18] could it be describing either?

[19] **A:** At that point of the sentence, it

[20] could be describing either.

[21] **Q:** Okay. In the context of the

[22] paragraph as a whole, is the answer any different?

[23] **A:** So the question is — can you put

[24] the whole question together for me?

[25]

Hemami

[1] **Q:** Sure. You said that at that point

[2] in the sentence, it could be describing either a

[3] lossy algorithm or a lossless algorithm.

[4] The paragraph that runs from column

[5] 5, line 9 through — actually, I don't mean to ask

[6] you about the entire paragraph.

[7] From column 5, line 9 through column

[8] 5 line 18, the sentence that ends with,

[9] "90 percent." Do you see that?

[10] **A:** "90," yes.

[11] **Q:** Does that portion of the '995 patent

[12] describe a lossy compression process, a lossless

[13] compression process or could it be describing

[14] either?

[15] **A:** I read this as it could be

[16] describing either. It's, it's up to the system

[17] designer.

[18] There is a general approach to video

[19] compression described here. The system designer

[20] would make specific decisions based on their

[21] requirements.

[22] **Q:** Okay. Now, is it true that — well,

[23] there's only one thing that's actually described

[24] here which is recording data corresponding to

[25]

Hemami

[1] those, only those pixels which change color from
 [2] one frame to the next, right?
 [3] **A:** Yes.
 [4] **Q:** Now, that could be done in different
 [5] ways. Is that right?
 [6] **A:** Yes.
 [7] **Q:** There are multiple different ways of
 [8] encoding which pixels change color from one frame
 [9] to the next in a video compression algorithm. Is
 [10] that true?
 [11] **A:** That's true.
 [12] **Q:** Okay. And this patent doesn't
 [13] differentiate between any of those ways. Is that
 [14] true?
 [15] **A:** No. No. I don't think, I don't
 [16] think it does. I mean, again, these are sort of,
 [17] these are design issues, how one chooses to follow
 [18] through on the suggestion.
 [19] **Q:** Right. All this patent says is that
 [20] what you should do in the algorithm is record data
 [21] corresponding to only those pixels which change
 [22] color from one frame to the next. Is that right?
 [23] **A:** Well, I think that the broader
 [24] suggestion — I don't think it says that's what
 [25]

Hemami

[1] you should do. It says that, the broader — this
 [2] is suggesting in sort of jargon inter-frame
 [3] coding, that rather than dealing with each
 [4] individual frame as a standalone entity, for
 [5] example, as the scene adaptive coder did, let us
 [6] instead consider multiple frames at a time and see
 [7] what we can do with respect to exploiting temporal
 [8] correlation. So this 10 percent of the pixels
 [9] changing from one frame to the next, this is an
 [10] approximation, right?
 [11] You know, you could have frames
 [12] where you have more. You can have frames where
 [13] you have less. How a designer chooses to address
 [14] changing pixels is, you know, this is a class of
 [15] compression algorithms and there are many ways to
 [16] implement specifics.
 [17] **Q:** Is the terminology that you would
 [18] use to describe the class of compression
 [19] algorithms that's referred to in lines 9 through
 [20] 17 of column 5 inter-frame compression algorithms?
 [21] **A:** Yes. "Inter" as opposed to "intra."
 [22] **Q:** And the CCITT Group IV compression
 [23] algorithm or algorithms that we were describing
 [24] before are intra-frame compression algorithms,
 [25]

Hemami

[1] correct?
 [2] **A:** Were we to use it for our color
 [3] image as we described, yes, we would call that an
 [4] intra-frame compression algorithm.
 [5] **Q:** And what would you call it in the
 [6] context it was originally designed for, the fax
 [7] compression context?
 [8] **A:** I, I would still call it intra-frame
 [9] from the standpoint it's, it's — clearly a fax is
 [10] not a video, you know. What we consider a fax, a
 [11] binary image, per se, could have come from a video
 [12] frame but it is not itself a video frame unless
 [13] you happen to be recording with a binary camera,
 [14] some type of artistic video.
 [15] It operates on a single plane of
 [16] data. Perhaps that's a good way to differentiate
 [17] it.
 [18] **Q:** Earlier you said that the 7971 fax
 [19] chip doesn't know where the bits that it receives
 [20] came from. Do you remember that?
 [21] **A:** Yes.
 [22] **Q:** Do you know the rate at which that
 [23] chip can process bits?
 [24] **A:** The data sheet gives a rate of 1 to
 [25]

Hemami

[1] 12 megahertz for pixel processing rates.
 [2] **Q:** And by "pixel" in this case, because
 [3] we're talking about a binary image, that's a
 [4] single bit, is that right?
 [5] **A:** That's correct. That's correct.
 [6] **Q:** So it can process 1 to 12, you said
 [7] megahertz?
 [8] **A:** That's the word they use. I mean I
 [9] take that to mean megapixels, mega binary pixels
 [10] per second.
 [11] **Q:** Which means millions of pixels per
 [12] second. Is that right?
 [13] **A:** That's right.
 [14] **Q:** And I believe you said that in order
 [15] to process the video that's described in the
 [16] column 4 of the '995 patent, one would need to
 [17] break that, the 21 bits representing the color in
 [18] the images into 21 separate —
 [19] **A:** Planes.
 [20] **Q:** — planes.
 [21] And there's a calculation here which
 [22] multiplies the number of pixels in a frame by the
 [23] number of bits to give you 1.89 megabits per
 [24] frame. Do you see that?
 [25]

Hemami

[1] *Hemami*

[2] **A:** Yes.

[3] **Q:** Did you check that calculation?

[4] **A:** Yes, I did.

[5] **Q:** And is it accurate?

[6] **A:** I — well, you know, I don't

[7] remember that I checked the 1.89. I certainly

[8] checked the 51 gigahertz. So if this is

[9] consistent with — sorry, gigabyte storage size.

[10] If this is consistent with the 51 gigabyte storage

[11] size, then I believe that it's correct.

[12] **Q:** Let's assume that it's correct.

[13] **A:** Okay.

[14] **Q:** All right. Whether this data is in

[15] the form of 21 separate frames or a single frame

[16] with 21 bits of depth for each pixel, it's going

[17] to have the same amount of data, is that right?

[18] **A:** Yes.

[19] **Q:** So let's assume the amount of data

[20] is 1.89 megabits. Is that right?

[21] **A:** Uh-huh.

[22] **Q:** Okay. That means that the A.M.D.

[23] chip that's described here would be capable of

[24] processing that data in real time given the

[25] processing rate that you described. Is that

Hemami

[1] *Hemami*

[2] right?

[3] **A:** What you have just stated is

[4] inaccurate at several levels.

[5] **Q:** Okay. Please explain.

[6] **A:** So first off, one of ordinary skill

[7] would understand that we don't need 7 bits per

[8] color for good quality, NTSC quality video.

[9] **Q:** Okay. You know what? That's fair

[10] and I'm not, I don't mean to ask you about what

[11] you could do in between because I understand it's

[12] possible to do things to the bits in between

[13] having 21 bits per pixel representing the color

[14] and feeding it into the fax chip. Okay? But bear

[15] with me for a second.

[16] Let's assume that you were going to

[17] take the frames with 21 bits per pixel without

[18] doing any compression on the color and feed it

[19] into the fax chip. Are you with me?

[20] **A:** Yes.

[21] **Q:** Okay. At that point, assuming the

[22] math in the patent is correct, you would need 1.89

[23] megabits per frame, right?

[24] **A:** Yes.

[25] **Q:** And the patent describes 30 frames

Hemami

[1] *Hemami*

[2] per second, right?

[3] **A:** Yes.

[4] **Q:** So that would be in excess of 50 and

[5] less than 60 megabits per second, right?

[6] **A:** You're taking — yes, yes, 1.89

[7] times 30.

[8] **Q:** Which is somewhere between 50 and

[9] 60?

[10] **A:** Some large number, yes.

[11] **Q:** That's higher than the data rate of

[12] the fax chip that you just described?

[13] **A:** Indeed, it is.

[14] **Q:** So before the data was fed into that

[15] fax chip, it would need to be compressed to make

[16] it possible for the fax chip to process it in real

[17] time. Is that right?

[18] **A:** No.

[19] **Q:** Why not?

[20] **A:** Well, no. We wouldn't compress it

[21] first. We would simply run several chips in

[22] parallel if we wanted to do lossless video

[23] compression which no one of ordinary skill would

[24] implement and I don't believe is described in the

[25] specification either.

Hemami

[1] *Hemami*

[2] **Q:** Okay. Does the specification ever

[3] describe using multiple A.M.D. chips in parallel?

[4] **A:** Well, the specification states,

[5] "Various algorithms may be employed in the

[6] compression process," to which I'll just put a

[7] little asterisk and say knowing that one would

[8] throw away some lower bit planes, right, would

[9] fall in the category of "various," and then as an

[10] example, compression algorithms like CCITT Group

[11] IV.

[12] Now, that doesn't say run it once.

[13] It is simply — just as a discrete cosine

[14] transform can be described as an algorithmic part

[15] of JPEG, there's no suggestion that we run it once

[16] per image. It's a block that's in there that we

[17] are going to run as part of the algorithm.

[18] **Q:** Sure. So the algorithm —

[19] **A:** So I don't read this to suggest that

[20] one is limited to a single chip.

[21] **Q:** And that's because, like you said,

[22] the algorithm referred to could require using

[23] multiple chips in parallel. Is that right?

[24] **A:** The compression algorithm for video,

[25] you know, cannot simply be, we can't just slap

Hemami

[1] down the 7971 chip and say, there, we're done,
 [2] right? This requires design.
 [3] So as I, you know, as I mentioned, a
 [4] designer or a video person would know that we
 [5] didn't need lower bit planes and could, would make
 [6] an intelligent design decision about not
 [7] processing those because the visual impact will be
 [8] negligible or zero. And similarly, the designer
 [9] sees a suggestion here for a compression algorithm
 [10] in conjunction with the hardware chip and this is
 [11] a, you know, they can use it. But to say that
 [12] one, we have to have only one, I don't read it
 [13] that way.
 [14] **Q:** What you've said so far is that the
 [15] specification doesn't say you have to only use one
 [16] chip, right?
 [17] **A:** Yes.
 [18] **Q:** My question was does it ever say
 [19] expressly that you can use more than one chip?
 [20] **A:** It says nothing about any numbers of
 [21] chips. Neither that you can use only one or that
 [22] you must use more than one.
 [23] **Q:** Okay. What it does say is that the
 [24] CCITT Group IV compression algorithms are
 [25]

Hemami

[1] available on a single integrated circuit and it
 [2] provides the example of the A.M.D. 7971, correct?
 [3] **A:** Yes.
 [4] **Q:** And doesn't provide any other
 [5] examples of chips that can do compression,
 [6] correct?
 [7] **A:** Well, there are other chips that are
 [8] referred to in the specification. The chip
 [9] itself, certainly we could program it to do
 [10] compression. In the context of the Burst patent,
 [11] no.
 [12] **Q:** Okay. So you're referring to the
 [13] CPU. Is that right?
 [14] **A:** Yes.
 [15] **Q:** And so it refers to microprocessors
 [16] and I believe at the bottom of column 5 around
 [17] line 50 through 55 it gives examples of
 [18] microprocessors, right?
 [19] **A:** Right.
 [20] **Q:** And it would be possible to program
 [21] those chips to do compression, correct?
 [22] **A:** Yes.
 [23] **Q:** But the Burst patent makes clear
 [24] that the compression is not done by the CPU,
 [25]

Hemami

[1] correct?
 [2] **A:** The compression is done by the
 [3] compressor/decompressor, yes.
 [4] **Q:** And are any examples of the hardware
 [5] for the compressor/decompressor given in the Burst
 [6] patents other than this A.M.D. 7971 chip?
 [7] **A:** There are no other examples of
 [8] specific hardware for the compressor/decompressor
 [9] given in the patent.
 [10] **Q:** Does the — strike that.
 [11] Do the Burst patents describe any
 [12] intra-frame compression algorithm other than the
 [13] CCITT Group IV algorithm or algorithms?
 [14] **A:** The compression as described at the
 [15] bottom of page 4, the general statement which
 [16] starts on line 65 says, "Various algorithms may be
 [17] employed in the compression process which enable
 [18] the representation of a series of numbers by a
 [19] reduced number of digits." The CCITT Group IV
 [20] material follows after as an example.
 [21] So to one of ordinary skill, that
 [22] "various algorithms" sentence suggests that there
 [23] are things out there and you can go pick something
 [24] but if you're too lazy to do so or you would like
 [25]

Hemami

[1] a kick in the rear, I'm going to provide you with
 [2] just one example to get you thinking.
 [3] **Q:** Fair enough. So there's a statement
 [4] in here that various algorithms can be used.
 [5] Is it true that the only example of
 [6] an intra-frame compression algorithm that's
 [7] provided in the Burst patents is the CCITT Group
 [8] IV algorithm or class of algorithms?
 [9] **A:** That, that is the only example.
 [10] **Q:** Okay. We've talked before about the
 [11] text between lines 9 and about 18 in column 5 as
 [12] describing the, a class of inter-frame compression
 [13] algorithms. Is that right?
 [14] **A:** Yes.
 [15] **Q:** So that text — well, other than
 [16] that text, does the Burst patents provide any
 [17] other examples of inter-frame compression
 [18] algorithms?
 [19] **A:** That text represents the suggestion
 [20] or the reference to the class, the class I would
 [21] say, not a class, but the class of inter-frame
 [22] compression algorithms.
 [23] **Q:** The distinction you drew between
 [24] "the class" and "a class," does that mean that you
 [25]

Hemami

[1] view that paragraph that we just — well, it's not
[2] a paragraph — that text between lines 9 and 18 in
[3] column 5, as referring to the entire class of
[4] inter-frame compression algorithms?

[5] **A:** So the class of inter-frame
[6] compression algorithms for video is anything that
[7] uses more than one frame, okay? So it's a very
[8] broad term.

[9] **Q:** Fair enough. I'm just trying to
[10] understand why you drew a distinction between "a
[11] class" and "the class."

[12] **A:** Because in your previous sentence,
[13] you said "a class" and I wanted to make it clear
[14] that inter-frame — you know, you're either using
[15] multiple frames or you're not.

[16] **Q:** Okay. And in your view — well,
[17] let's back up.

[18] This text describes a compression
[19] algorithm that can simply record data
[20] corresponding to only those pixels which change
[21] color from one frame to the next.

[22] Is that true of all inter-frame
[23] compression algorithms?

[24] **A:** No. I can think of one example
[25]

Hemami

[1] where it's not but we are still using multiple
[2] frames.

[3] **Q:** Okay. So the text in column 5 of
[4] the '995 patent refers to a very large sub-class
[5] of inter-frame compression algorithms that doesn't
[6] include all inter-frame algorithms but includes
[7] most of them. Is that right?

[8] **A:** Well, let's also be clear that, you
[9] know, every single human on earth could design a
[10] compression algorithm and then we could design
[11] another one and another one and there's sort of an
[12] infinite number of compression algorithms.

[13] There are a lot of hypothetical
[14] things that you could do that may or may not work
[15] better or worse that would still qualify as
[16] compression algorithms, but may not be anything
[17] that anybody would really care about so I would
[18] say, let's — from the standpoint of any type of
[19] video compression algorithm that somebody would
[20] attempt to use in a commercial product.

[21] So this somehow suggests that it
[22] looks good enough and provides performance good
[23] enough that somebody somewhere would be willing to
[24] fork over money for it, okay? From that
[25]

Hemami

[1] perspective, I think we can say the inter-frame
[2] compression algorithms are going to do something
[3] that compares pixels across one or more frames.

[4] **Q:** Okay. Is it fair to say that by
[5] referring to algorithms that record data
[6] corresponding to only those pixels which change
[7] color from one frame to the next, the '995 patent
[8] is referring to all, I think you used the phrase
[9] "commercially viable" or at least this idea of
[10] commercially viable compression.

[11] **A:** Let's go with the idea of
[12] "commercially viable." I think people have
[13] different opinions as to what is commercially
[14] viable and I'm certainly not a marketing expert
[15] but —

[16] **Q:** Fair enough. Let's try it a little
[17] bit differently then.

[18] Is it true that the text in column 5
[19] that we've been discussing between lines 9 and 18
[20] refers to any of the algorithms that could
[21] feasibly be used commercially for inter-frame
[22] video compression?

[23] **A:** Any of the algorithms — let's say
[24] — "any" is a very all encompassing but —
[25]

Hemami

[1] **Q:** That, that's fine. I'll ask it
[2] slightly differently. Would "most" work?

[3] **A:** Let's go with "most."

[4] **Q:** Okay. Is it true that the text in
[5] column 5 between lines 9 and 18 that describes —
[6] well, let me back up because I think you've
[7] already said that.

[8] Is it true that the text in column 5
[9] between lines 9 and 18 is referring to a class of
[10] inter-frame compression algorithms that would
[11] include most of the commercially viable video
[12] inter-frame compression algorithms?

[13] **MR. PAYNE:** Object to form.

[14] **A:** And you say "the commercially
[15] available," which suggests to me that you have
[16] something in mind.

[17] **Q:** Maybe I better reframe the question.
[18] I might have misspoken.

[19] Is it true that the text in column 5
[20] between lines 9 and 18 would be understood by a
[21] person of ordinary skill in the art to refer to
[22] any one of a class which includes most of the
[23] commercially viable video compression algorithms
[24] that use inter-frame compression?
[25]

[1] *Hemami*

[2] **A:** I think that mischaracterizes my

[3] intended, my intended description of

[4] "commercially viable."

[5] **Q:** Okay.

[6] **A:** What I meant by "commercially

[7] viable" — let's see if I can come up with a

[8] better description — something that provides

[9] reasonable quality and reasonable performance

[10] where reasonable art design parameters.

[11] I didn't mean to suggest that this

[12] was written in a way to encompass some algorithms

[13] that existed such that the patentee would be able

[14] to immediately go out and jump on people. That is

[15] not what I meant to suggest when I went toward the

[16] "commercially viable."

[17] I was trying to exclude crazy things

[18] that somebody might put together for a paper in

[19] Hawaii because they wanted to go but one would

[20] never actually consider such an algorithm were one

[21] to attempt to build a system that could be used

[22] outside of the laboratory and the lecture

[23] presentation room in Hawaii.

[24] **Q:** Okay. So let's try it one more

[25] time.

[1] *Hemami*

[2] Excluding unreasonable compression

[3] algorithms, is it true that the text between lines

[4] 9 and 18 of column 5 in the '995 patent describes

[5] the class of inter-frame compression algorithms

[6] for video?

[7] **A:** I think that's fair.

[8] **MR. PAYNE:** Are you at a good

[9] stopping point?

[10] **MR. BROWN:** If you want to

[11] take a break, I'm happy to take a

[12] break. I could keep going but let's

[13] take a break. I certainly don't want

[14] to —

[15] **THE VIDEOGRAPHER:** The time is

[16] now 11:44. Off the record.

[17] (Recess taken)

[18] **THE VIDEOGRAPHER:** The time is

[19] now 11:53. On the record.

[20] **THE WITNESS:** Oh, can we go

[21] off the record?

[22] **MR. BROWN:** Sure. Let's go

[23] off the record for a second.

[24] (Discussion off the record)

[25] **MR. BROWN:** Okay. Back on.

[1] *Hemami*

[2] **THE VIDEOGRAPHER:** Well, I

[3] didn't actually get a chance to go off

[4] the record.

[5] **MR. BROWN:** Well, that's fine.

[6] We'll keep going.

[7] **BY MR. BROWN:**

[8] **Q:** If you look at the '995 patent,

[9] between lines 28 and 35, there's a paragraph

[10] beginning, "In one embodiment."

[11] Do you see that?

[12] **A:** Yes.

[13] **Q:** That paragraph describes sampling

[14] the audio portion of the program, correct?

[15] **A:** Yes.

[16] **Q:** And it describes digitizing the

[17] audio. Is that right?

[18] **A:** Yes.

[19] **Q:** Sampling is part of the digitizing

[20] process, correct?

[21] **A:** Yes.

[22] **Q:** It says in the patent that it is

[23] digitized by digital to analog conversion. Do you

[24] see that?

[25] **A:** Yes.

[1] *Hemami*

[2] **Q:** That's backwards, right? It should

[3] be analog to digital conversion?

[4] **A:** Good, good catch. Yes.

[5] **Q:** But in any event, after the analog

[6] to digital conversion which it describes as

[7] occurring at a sampling rate of 88,000 per second.

[8] Do you see that?

[9] **A:** Yes.

[10] **Q:** Presumably that means samples per

[11] second. Is that right?

[12] **A:** Well, it says sample rate. Yes,

[13] samples per second.

[14] **Q:** Well, do you think that means 88,000

[15] of something other than samples per second?

[16] **A:** No, no, but, that, that's fine.

[17] **Q:** Well, I want to make sure I

[18] understand what you think that means.

[19] **A:** No. No. I was —

[20] **Q:** What does 88,000 per second mean?

[21] **A:** No, it's, it's exactly samples per

[22] second. I mean, I read that as a replacement for

[23] hertz. A hertz is one over a second and instead

[24] of writing hertz, he wrote over second. It is

[25] 88,000 samples per second.

Hemami

[1]

[2] **Q:** And he writes one byte per sample.

[3] Do you see that?

[4] **A:** Yes.

[5] **Q:** That's 8 bits, right?

[6] **A:** Yes.

[7] **Q:** He says that yields CD quality

[8] sound. Do you see that?

[9] **A:** Yes.

[10] **Q:** CD quality sound is actually 16 bits

[11] per sample, correct?

[12] **A:** What is colloquially referred to as

[13] CD quality sound currently represents 16 bits per

[14] sample, yes.

[15] **Q:** And there's a, a new format out.

[16] I'm not exactly sure what it's called but it uses

[17] 24 bits per second, right?

[18] **A:** It's per sample, I think.

[19] **Q:** I'm sorry.

[20] **A:** And if you say so because I am not

[21] aware of that format.

[22] **Q:** So are you aware of, I believe it's

[23] called the SACD format? Are you aware of that

[24] format?

[25] **A:** No.

Hemami

[1]

[2] **Q:** Fair enough.

[3] The sampling rate for CDs is 44,100

[4] samples per second, correct?

[5] **A:** That's right.

[6] **Q:** So here he has double, slightly less

[7] than double that rate and slightly, and exactly

[8] half of the bits per sample. Is that right?

[9] **A:** That's right.

[10] **Q:** So this is, it's, in fact, true that

[11] the total number of bits described here is

[12] approximately the same as in CD quality sound. Is

[13] that right?

[14] **A:** Approximately, because of the, it

[15] would be 88,200 if it were exactly, yes.

[16] **Q:** Okay. The next sentence states,

[17] "The sampling rate could be dropped to reduce

[18] memory requirements." Do you see that?

[19] **A:** Yes.

[20] **Q:** Would you consider dropping the

[21] sampling rate as described there to be

[22] compression?

[23] **A:** Generally, we do not — well, I

[24] think it, that perhaps describes — excuse me.

[25] That perhaps depends on the system

Hemami

[1]

[2] description. I think generally I would not

[3] consider that to be compression but I can

[4] certainly imagine contexts in which it was

[5] described as such in that it would produce less

[6] data, smaller file sizes than without reducing the

[7] sampling rate.

[8] **Q:** Here it's certainly described,

[9] implicitly at least, as reducing file sizes,

[10] right?

[11] **A:** Well, it says memory requirements.

[12] **Q:** So it reduces the amount of memory

[13] needed to store the audio data, right?

[14] **A:** Required to store the, the raw

[15] samples, the raw digitized samples, yes.

[16] **Q:** But you wouldn't consider that —

[17] well, I'm going to make sure I understand.

[18] Would you or would you not consider

[19] that sentence, in the context of the Burst

[20] patents, to be describing compression?

[21] **A:** I don't believe I would describe

[22] dropping a sampling rate as compression because

[23] this is actually oversampled. So from very solid,

[24] a very solid signal processing argument, one can

[25] reduce the sampling rate and still exactly

Hemami

[1]

[2] represent the data.

[3] **Q:** The next sentence says, "The audio

[4] data can be compressed with conventional

[5] algorithms, e.g., a Fibonacci delta compression

[6] algorithm." Do you see that?

[7] **A:** Yes.

[8] **Q:** The Fibonacci delta compression

[9] algorithm indeed compresses audio data, correct?

[10] **A:** It does.

[11] **Q:** Does — strike that.

[12] We've now talked about four specific

[13] places where compression algorithms are referred

[14] to in the '995 patent. One is column 4 at around

[15] line 66 where it says, "Various algorithms may be

[16] employed."

[17] **A:** Yes.

[18] **Q:** Another is the CCITT Group IV

[19] compression algorithm at the top of column 5,

[20] correct?

[21] **A:** Yes.

[22] **Q:** And within that, the example of the

[23] 7971 chip is provided. Is that right?

[24] **A:** Yes.

[25] **Q:** Another class of algorithms is

Hemami

[1] identified in column 5 between lines 9 and 18,
 [2] correct?
 [3] **A:** Yes.
 [4] **Q:** And then down at line 35, the
 [5] Fibonacci delta compression algorithm is
 [6] described?
 [7] **A:** As well as in the previous line,
 [8] "compressed with conventional algorithms."
 [9] **Q:** So the Burst patent we've just seen
 [10] describes various algorithms at the bottom of
 [11] column 4, the CCITT Group IV algorithm at the top
 [12] of column 5, a class of intra —
 [13] **A:** Inter.
 [14] **Q:** "Inter," excuse me. A class of
 [15] inter-frame algorithms in column 5 between lines 9
 [16] and 18 and then both various, various conventional
 [17] algorithms and the Fibonacci delta compression
 [18] algorithm at lines 34 and 35, correct?
 [19] **A:** Yes.
 [20] **Q:** Besides those, does the Burst
 [21] patents describe any other compression algorithms?
 [22] **A:** Well, in the context of mentioning
 [23] compression, it appears in the objectives. With
 [24] respect to describing algorithms, you have
 [25]

Hemami

[1] identified the sections of the specification that
 [2] solely concentrate on the data compression
 [3] algorithms.
 [4] **Q:** So in terms of identifying
 [5] algorithms that can be used, the sections I just
 [6] identified is a complete list of what is contained
 [7] in the Burst patents, correct?
 [8] **A:** Well, I guess I would like to go
 [9] back and check and make sure that the word
 [10] "algorithm" in the context of compression doesn't
 [11] appear earlier, unless you would like to represent
 [12] to me that it does not.
 [13] **Q:** Why don't you check? I will run a
 [14] word search on "algorithm" and we will see what
 [15] happens.
 [16] **A:** Now, do you trust your OCR?
 [17] **Q:** No, and nor should you but I will
 [18] tell what you it provides. So please go check.
 [19] **A:** All right.
 [20] (Pause)
 [21] **A:** How are you doing?
 [22] **Q:** I'm about to start running the
 [23] search. How are you doing?
 [24] **A:** I asked you first.
 [25]

Hemami

[1] **Q:** According to my search, which as you
 [2] point out depends on the validity of the OCR, the
 [3] first appearance of the word "algorithm" is in
 [4] column 4 at line 66.
 [5] **A:** Okay. So if we are tracking the
 [6] word "algorithm," you know, taking into account
 [7] that I'm not as fast as your machine and I don't
 [8] want to sit here and go through every single word
 [9] in my head, the, I would suggest that the
 [10] objectives we refer to, sorry, the specification
 [11] refers to utilizing a data compression technique.
 [12] Now, granted, the word "algorithm"
 [13] is not there, but utilizing a data compression
 [14] technique would suggest to one of ordinary skill
 [15] that there was a compression algorithm in use in
 [16] some manner.
 [17] Similarly, I think references to
 [18] decompression in the context of, if those appear
 [19] in the context of editing, that also suggests that
 [20] obviously you can't decompress if you haven't
 [21] compressed.
 [22] **Q:** Sure. And maybe the way to do this
 [23] since you're talking about places that suggest an
 [24] algorithm might be in use, that was not the intent
 [25]

Hemami

[1] of my question.
 [2] What I really want to get at is —
 [3] and I do want to take the time that we need to
 [4] make sure that we have a complete list but what I
 [5] want is a complete list of the algorithms and
 [6] classes of algorithms that are disclosed for doing
 [7] compression in the Burst patents. And so if you
 [8] can take the time you need to give me that
 [9] complete list?
 [10] **A:** I believe that what you have said is
 [11] accurate. I think that sort of from a standpoint
 [12] of being consistent between the audio and the
 [13] video, we may want to include the paragraph that
 [14] includes the digitization of the video.
 [15] You clearly cannot compress video
 [16] unless it has been digitized. So to the extent
 [17] that the audio paragraph discusses A to D
 [18] conversion, you know, the paragraph that precedes
 [19] the, "if each frame contains." Also, it describes
 [20] an equivalent process.
 [21] **Q:** Let's make sure we're clear. You're
 [22] talking about the paragraph in column 4 between
 [23] lines, approximately 47 and 62. Is that right?
 [24] **A:** Okay. I am talking about not only
 [25]

Hemami

[1] that paragraph, but also the paragraph above that

[2] discusses the format for the video.

[3] **Q:** The paragraph that begins at

[4] approximately line 32?

[5] **A:** Yes, "The video signals defining."

[6] **Q:** Is it accurate to say that the

[7] paragraphs between lines 32 and 62 in column 4 of

[8] the '995 patent describe the digitization of

[9] video?

[10] **A:** Yes.

[11] **Q:** I believe, going back to audio, that

[12] you told me that the digitization of the audio was

[13] not something that you would call compression. Is

[14] that true?

[15] **A:** It is not something that I would

[16] call compression, but it does define what it is

[17] that we will compress.

[18] If we're going to take one sample

[19] per second or 88,000 samples per second, that

[20] impacts decisions that will be made about what

[21] algorithms will be used and how fast things need

[22] to be, how many chips one would need, for example.

[23] **Q:** Is it similarly true for video that

[24] you believe that the digitization of video that's

[25]

Hemami

[1] described in paragraph, I'm sorry, lines 32

[2] through 62 in column 4 is not itself compression

[3] of the video?

[4] **A:** Digitization itself is not

[5] compression.

[6] **Q:** So is it accurate to say that what

[7] is described between lines 32 and 62 in column 4

[8] is not describing compression of video?

[9] **A:** It does not describe compression but

[10] "it makes implications about" is almost too weak.

[11] It has strong implications.

[12] What is performed in those two

[13] paragraphs has strong implications for specific

[14] design decisions and performance of the

[15] algorithms. So from the standpoint — you know,

[16] if you just said, "Can you compress video for me,"

[17] my first question might be what is the frame rate,

[18] what is the bit depth, what is the format. That's

[19] integral in describing what is, what is done.

[20] **Q:** So in the case of both audio and

[21] video, the digitization process is not itself

[22] compression but it has strong implications on the

[23] choices that one makes when one subsequently does

[24] the compression, is that accurate?

[25]

Hemami

[1] **A:** Yes. It can have strong

[2] implications and it certainly informs a designer

[3] as to which choices he or she may make or may

[4] choose to ignore.

[5] **Q:** Okay. What led us down that tangent

[6] was an attempt to get a complete list of the

[7] places where compression algorithms are described

[8] in the '995 patent and I had given you a list,

[9] which I believe you told me was accurate and I

[10] just want to confirm that. So here's the

[11] question.

[12] Is it accurate that the complete

[13] list of compression algorithms that's described in

[14] the '995 patent is, one, the various algorithms at

[15] lines 65 and 66 on column 4, two, the CCITT Group

[16] IV compression algorithms at the top of column 5,

[17] three, the class of inter-frame compression

[18] algorithms described between lines 9 and 18 in

[19] column 5 and, four, the conventional algorithms

[20] described as being used with audio data at line 34

[21] of column 5 and the Fibonacci delta compression

[22] algorithm in line 35 of column 5?

[23] **A:** Yes.

[24] **MR. BROWN:** Les, what time is

[25]

Hemami

[1] it?

[2] **MR. PAYNE:** It's about 12

[3] after. Are you at a good place?

[4] **MR. BROWN:** This is a good

[5] place to stop for lunch. It's close

[6] to 12:15. I think we should do that.

[7] **THE VIDEOGRAPHER:** The time is

[8] now 12:11. This marks the ending of

[9] tape number two. Off the record.

[10] (Luncheon recess: 12:11 p.m.)

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AFTERNOON SESSION

THE VIDEOGRAPHER: The time is

now 12:51. This marks the beginning of tape number three. On the record.

SHEILA S. H EMAMI, having been previously sworn, resumed and testified further as follows:

EXAMINATION (Cont'd)

BY MR. BROWN:

Q: I want to go back to your CV for a moment. On page 69, there is a heading there which we discussed earlier, "Other Expert Witness Consulting," which I believe you said would be more accurately phrased as, "Other Expert Witness Testimony," correct?

A: Yes.

Q: Was that section of your CV added particularly for the purpose of presenting your CV in the context of this expert report?

A: Yes.

Q: Did you do anything else to your CV particularly for the purpose of including it as an attachment to your expert report?

A: I have multiple CVs. I have one for

Hemami

the department which includes every single committee I've ever sat on in my life.

I have another one which doesn't include departmental level but which includes all of the invited lectures I've given around the world and I think it would be fair to say I synthesized this CV by removing things that I didn't feel would be relevant, like departmental committees and also the very, I don't think I included my list of lecture, you know, invited presentations which is quite lengthy and not, I didn't feel was relevant.

Let me see if there's anything else that's — I also, I think they — material gets listed in different orders depending on who the, who's consuming the CV so I may have, this may be a rearranged order of headings that are common relative to say the CV I give to my department.

Q: Okay. But is it true that the only material that was added as opposed to rearranged is the section "Other Expert Witness Consulting"?

A: That is accurate.

Q: Okay. Let's go to page 16 of your expert report.

Hemami

There's a paragraph, it's the last paragraph on this page which begins, "Any compression procedure is described by an algorithm." Do you see that?

A: Yes.

Q: And you go on to give a definition of "algorithm" in that sentence, correct?

A: Yes.

Q: Is that the sense in which you've been using the word "algorithm" in the testimony you've given so far today?

A: So here I say it is "broadly defined as a procedure for solving a problem or accomplishing some end."

So I believe that in the context of a compression algorithm as we have used it to refer to putting something in and getting something out which is smaller, the first definition, "procedure for solving a problem" is appropriate.

"Accomplishing some end" is accurate, I think, for, I think, I maybe described a sub-algorithm or an algorithm can consist of sub-parts, each individually, which we could say

Hemami

was an algorithm or implemented an algorithm. So I think that this is accurate.

Q: Okay. Is it true that this sentence in your expert report accurately defines the meaning of "algorithm" to you in the context of this case and the Burst patents?

A: Well, when I wrote it, I was writing it for the, for the paragraph, you know. I put it in because I wanted to discuss algorithm, excuse me, implementations. So, therefore, I wanted to, since "algorithm" is potentially a technical term, I wanted to provide some context for what I meant by "algorithm."

Q: And what you mean by "algorithm" is a broad concept that includes a procedure for solving a problem or accomplishing some end. Is that right?

A: Yes, where the problem or end may be at a very large scale or at a very minute scale, say, to rearrange numbers in a sequence or along a grand path of doing some broader exercise.

Q: The paragraph goes on to describe ways in which algorithms can be implemented, correct?