



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	03/28/96	FILED DATE	LANG	FIRST NAMED APPLICANT	R	ATTORNEY DOCKET NO.
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E3M1/0903

RICHARD A. LANG  
 C/O INSTANT VIDEO TECHNOLOGIES, INC.,  
 500 SANSOME STREET, SUITE 503  
 SAN FRANCISCO, CALFORINA 94111

NGUYEN  
 EXAMINER

APR 14 PAPER NUMBER

08/03/97

DATE MAILED:

**NOTICE OF ABANDONMENT**

This application is abandoned in view of:

- Applicant's failure to timely file a proper response to the Office letter mailed on \_\_\_\_\_.
- A response (with a Certificate of Mailing or Transmission of \_\_\_\_\_) was received on \_\_\_\_\_, which is after the expiration of the period for response (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.
- A proposed response was received on \_\_\_\_\_, but it does not constitute a proper response to the final rejection.  
 (A proper response to a final rejection consists only of: a timely filed amendment which places the application in condition for allowance; a Notice of Appeal; or the filing of a continuing application under 37 CFR 1.62 (FWC).
- No response has been received.
- Applicant's failure to timely pay the required issue fee within the statutory period of three months from the mailing date of the Notice of Allowance.
  - The issue fee (with a Certificate of Mailing or Transmission of \_\_\_\_\_) was received on \_\_\_\_\_.
  - The submitted issue fee of \$ \_\_\_\_\_ is insufficient. The issue fee required by 37 CFR 1.18 is \$ \_\_\_\_\_.
  - The issue fee has not been received.
- Applicant's failure to timely file new formal drawings as required in the Notice of Allowability.
  - Proposed new formal drawings (with a Certificate of Mailing or Transmission of \_\_\_\_\_) were received on \_\_\_\_\_.
  - The proposed new formal drawings filed \_\_\_\_\_ are not acceptable.
  - No proposed new formal drawings have been received.
- The express abandonment under 37 CFR 1.62(g) in favor of the FWC application filed on July 18, 1997.
- The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
- The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity) under 37 CFR 1.34(a) upon the filing of a continuing application.
- The decision by the Board of Patent Appeals and Interferences rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
- The reason(s) below:

attached Interview Summary

FORM PTO-1432 (REV. 10-95)

*Thai Tran*  
 THAI TRAN  
 PRIMARY EXAMINER  
 GROUP 2600

APBU-0000592

37 MON 15:07 FAX 703 306 189

USPTO GROUP 280

002

<b>Interview Summary</b>	Application No. <b>08/624,958</b>	Applicant(s) <b>Leng</b>
	Examiner <b>Huy Nguyen</b>	Group Art Unit <b>2804</b>

All participants (applicant, applicant's representative, PTO personnel):

(1) Marc A. Sockol (3) \_\_\_\_\_

(2) Huy Nguyen (4) \_\_\_\_\_

Date of Interview Jun 30, 1997

Type:  Telephonic  Personal (copy is given to  applicant  applicant's representative).

Exhibit shown or demonstration conducted:  Yes  No. If yes, brief description:

---

Agreement  was reached.  was not reached.

Claim(s) discussed: 27

Identification of prior art discussed:  
Izeki (4,974,178), Eggers (4,920,432) and Muramoto (4,941,054), the applied art

Description of the general nature of what was agreed to if an agreement was reached, or any other comments:  
Adding in claim 27 new limitation " a receiver", the amended claim 27 would overcome the applied art. However, the new limitation raises new issue that require a further search and consideration and will not be entered.

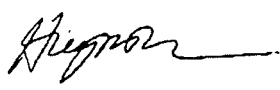
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(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

1.  It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph above has been checked to indicate to the contrary, A FORMAL WRITTEN RESPONSE TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a response to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW.

2.  Since the Examiner's interview summary above (including any attachments) reflects a complete response to each of the objections, rejections and requirements that may be present in the last Office action, and since the claims are now allowable, this completed form is considered to fulfill the response requirements of the last Office action. Applicant is not relieved from providing a separate record of the interview unless box 1 above is also checked.



Examiner Note: You must sign and stamp this form unless it is an attachment to a signed Office action.

67814 U.S. PTO  
08/896727  
07/18/97  
386  
Class  
Subclass  
ISSUE CLASSIFICATION

UTILITY SERIAL NUMBER	PATENT DATE NOV 30 1999	PATENT NUMBER 5995705
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SERIAL NUMBER 08/896,727	FILING DATE 07/18/97	CLASS 386	SUBCLASS 46	GROUP 2712	ISSUE NUMBER 5995705
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APPLICANTS RICHARD A. LANG, MENDOCINO, CA.

**\*\*CONTINUING DATA\*\***  
 VERIFIED THIS APPLN IS A CON OF 08/624,958 03/28/96 ABN  
 WHICH IS A CON OF 07/976,542 11/16/92 ABN  
 WHICH IS A DIV OF 07/775,182 10/11/91 PAT 5,164,839  
 WHICH IS A CIP OF 07/289,776 12/27/88 PAT 4,963,995

**\*\*FOREIGN/PCT APPLICATIONS\*\***  
 VERIFIED

**CERTIFICATE  
 APR 30 2002  
 OF CORRECTION**

**NOTE-DISCLAIMER**  
 The term of this patent shall not extend beyond the expiration date of Pat. No. 4,963,995.

FOREIGN FILING LICENSE GRANTED 09/11/97 \*\*\*\*\* SMALL ENTITY \*\*\*\*\*

Foreign priority claimed 35 USC 119 conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	AS FILED	STATE OR COUNTRY CA	SHEETS DRWGS. 4	TOTAL CLAIMS 164	INDEP. CLAIMS 11	FILING FEE RECEIVED \$2,271.00	ATTORNEY'S DOCKET NO. \$39. US
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MARK A SOKOL  
 2225 EAST BAYSHORE ROAD STE 200  
 PALO ALTO CA 94303

TITLE BURST TRANSMISSION APPARATUS AND METHOD FOR AUDIO/VISUAL  
 VIDEO INFORMATION

PARTS OF APPLICATION FILED SEPARATELY		Applications Examiner	
NOTICE OF ALLOWANCE MAILED		CLAIMS ALLOWED	
6-21-99		Total Claims 24	Print Claim 1
ISSUE FEE		DRAWING	
Amount Due 605. <sup>00</sup>	Date Paid 9-13-99	Sheets Drwg. 4	Figs. Drwg. 4
Label Area		Print Fig. 2	ISSUE BATCH NUMBER U-7
Assistant Examiner		PRIMARY EXAMINER	
Primary Examiner		PREPARED FOR ISSUE	
WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code, Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.			

Form PTO-436A (Rev. 8/92)  
 SCAN 3  
 CC  
 DW

Issue Fee In File

ISSUE FEE IN FILE

(FACE)



PATENT APPLICATION  
08896727

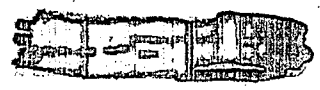
APPROVED FOR LICENSE

INITIALS \_\_\_\_\_

Date Entered or Counted

### CONTENTS

Date Received or Mailed



Date Entered or Counted	1. Application _____ papers.	Date Received or Mailed
	31. Pre G	7-18-97
	32. Rec-A	8-7-97
11-24-97	33. Rej (3 mos)	11-25-97 emd
	34. Power to inspect	6-4-98
7-6-98	35. Notice of Aband.	7-7-98
	36. Ext. of Time (3)	6-1-98
	37. Amndt II	6-1-98
9/28/98	38. Rej (3 mos)	9/28/98
	39. Assoc PIA	3-30-98
	40. Ext of Time 3mos.	3-30-99
	41. Req for Recon	3-30-99
	42. Terminal Disclaimer	7/30/99
6/21/99	43. allowance	6-21-99 @
11-8-99	44. Formal Drawings (1 sheet)	9-13-99
	45. CLR.	2-12-02
	46. Req. for C of C	2-12-02
	47. Director's Report	3-20-02

(FRONT)

INDEX OF CLAIMS

Claim		Date		
Final	Original			
65	101	✓	✓	✓
67	102			
68	103			
69	104			
70	105			
71	106			
72	107			
73	108			
74	109			
75	110			
76	111			
77	112			
78	113	✓	✓	✓
79	114			
80	115		✓	✓
81	116			
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94	129		✓	✓
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115	150	✓	✓	✓

Claim		Date		
Final	Original			
126	151	✓	✓	✓
127	152			
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169	194	✓	✓	✓
170	195			
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175	200			

SYMBOLS  
 ✓ ..... Rejected  
 - ..... Allowed  
 + (Through numerals) ..... Restricted  
 N ..... Non-elected  
 I ..... Interference  
 A ..... Appeal  
 O ..... Objected



POSITION	ID NO.	DATE
CLASSIFIER		
EXAMINER	335	9/7/97
TYPIST		
VERIFIER		
CORPS CORR.		
SPEC. HAND		
FILE MAINT.		
DRAFTING		

INDEX OF CLAIMS

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100	199

SYMBOLS  
 ✓ ..... Rejected  
 - ..... Allowed  
 - (Through numbers) Canceled  
 + ..... Restricted  
 N ..... Non-elected  
 I ..... Interference  
 A ..... Appeal  
 O ..... Objected

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POSITION	ID NO.	DATE
CLASSIFIER		
EXAMINER		
TYPIST		
VERIFIER		
CORPS CORR.		
SPEC. HAND		
FILE MAINT.		
DRAFTING		

INDEX OF CLAIMS

	Claim		Date			
	Final	Original				
7	20	1				
8	20	2				
9	20	3				
10	20	4				
11	20	5				
12	20	6				
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	Claim		Date			
	Final	Original				
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	2	80				

SYMBOLS Deleted



SEARCHED			
Class	Sub.	Date	Exmr.
386	46	11/17/97	HTW
↓	52	↓	↓
	101		
	109		
	96		
	106		
112	↓	↓	
update	all the above	9/22/98	HTW
348	384	9/22/98	HTW
update	MARK'S ABOVE	6/15/99	HTW
H04W	5176	6/15/99	HTW

SEARCH NOTES		
	Date	Exmr.

INTERFERENCE SEARCHED			
Class	Sub.	Date	Exmr.
386	46	6/17/99	HTW
↓	109	↓	↓

(RIGHT OUTSIDE)



PATENT APPLICATION FEE DETERMINATION RECORD					Application or Docket Number							
Effective October 1, 1996					08/896727							
<b>CLAIMS AS FILED - PART I</b>												
(Column 1)			(Column 2)			SMALL ENTITY		OR		OTHER THAN SMALL ENTITY		
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE			RATE	FEE		
BASIC FEE				385.00		770.00						
TOTAL CLAIMS	164	minus 20 = 144	x\$11=		x\$22=							
INDEPENDENT CLAIMS	11	minus 3 = 8	x40=		x80=							
MULTIPLE DEPENDENT CLAIM PRESENT			+130=		+260=							
			TOTAL		TOTAL							
* If the difference in column 1 is less than zero, enter "0" in column 2												
<b>CLAIMS AS AMENDED - PART II</b>												
(Column 1)			(Column 2)		(Column 3)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE			RATE	ADDITIONAL FEE	
	Total	Minus	**	=	x\$11=					x\$22=		
	Independent	Minus	***	=	x40=					x80=		
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+130=					+260=		
			TOTAL	ADDITIONAL FEE	TOTAL	ADDITIONAL FEE			TOTAL	ADDITIONAL FEE		
(Column 1)			(Column 2)		(Column 3)							
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE			RATE	ADDITIONAL FEE	
	Total	Minus	**	=	x\$11=					x\$22=		
	Independent	Minus	***	=	x40=					x80=		
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+130=					+260=		
			TOTAL	ADDITIONAL FEE	TOTAL	ADDITIONAL FEE			TOTAL	ADDITIONAL FEE		
(Column 1)			(Column 2)		(Column 3)							
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE			RATE	ADDITIONAL FEE	
	Total	Minus	**	=	x\$11=					x\$22=		
	Independent	Minus	***	=	x40=					x80=		
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+130=					+260=		
			TOTAL	ADDITIONAL FEE	TOTAL	ADDITIONAL FEE			TOTAL	ADDITIONAL FEE		
(Column 1)			(Column 2)		(Column 3)							

FORM PTO-875 (Rev. 10-1995) U.S. Government Printing Office: 1996-343-288-4919 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

STAPLE AREA

U.S. GOVERNMENT PRINTING OFFICE 1997-430-220

PATENT NUMBER		ORIGINAL CLASSIFICATION	
		CLASS 386	SUBCLASS 46
APPLICATION SERIAL NUMBER 081896727		CROSS REFERENCE(S)	
APPLICANT'S NAME (PLEASE PRINT) LANG		CLASS 386	SUBCLASS 109
IF REISSUE, ORIGINAL PATENT NUMBER			
INTERNATIONAL CLASSIFICATION			
4040	5176		
GROUP	ART. UNIT	ASSISTANT EXAMINER (PLEASE STAMP OR PRINT FULL NAME)	
		PRIMARY EXAMINER (PLEASE STAMP OR PRINT FULL NAME)	
		HOY NGUYEN	
PTO 270 (REV. 5-91)		ISSUE CLASSIFICATION SLIP	
		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Form PTO 1130 (REV 2/94)

57814 U.S. PTO  
08/896727  
07/18/97

**PACE DATA ENTRY CODING SHEET**

1ST EXAMINER: [ ] DATE: [ ]  
2ND EXAMINER: [ ] DATE: [ ]

APPLICATION NUMBER: [ ] CLASS: [358] SHEETS OF DRAWING: [004]

TYPE APPL: [2] SPECIAL HANDLING: [0] GROUP ACT UNIT: [2804]

FILING DATE: MONTH [07] DAY [18] YEAR [97] FOREIGN LICENSE: [Y]

SMALL ENTITY?: [1] FILING FEE: [2271] ATTORNEY DOCKET NUMBER: [639.43]

TOTAL CLAIMS: [164] INDEPENDENT CLAIMS: [011]

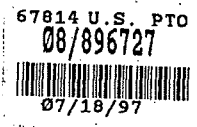
**CONTINUITY DATA**

CONT STATUS CODE	CODE	PARENT APPLICATION SERIAL NUMBER	PCT APPLICATION SERIAL NUMBER	PARENT FILING DATE MONTH	PARENT FILING DATE DAY	PARENT FILING DATE YEAR
02	3	08624958		03	28	96
12	3	07976542		11	16	92
11	1	07775182		10	11	91
11	1	07347629		05	05	89
13	1	07289776		12	27	88

**PCT/FOREIGN APPLICATION DATA**

FOREIGN PRIORITY CLAIMED	COUNTRY CODE	PCT/FOREIGN APPLICATION SERIAL NUMBER	FOREIGN FILING DATE MONTH	FOREIGN FILING DATE DAY	FOREIGN FILING DATE YEAR
2					

Case 3:06-cv-00078-MHT Document 79-7 Filed 12/09/2006 Page 11 of 40



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

# Prl G  
8/23/97  
#31 Coley

Prior Application:

SERIAL NO.: 08/624,958  
FILING DATE: March 28, 1996

TITLE: Burst Transmission Apparatus And Method For Audio/Video

EXAMINER: Huy Nguyen  
GROUP ART UNIT: 2604  
ATTY.DKT.NO.: 639 US

Anticipated Classification of this application:

Class: 358 Subclass:

BOX FWC  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FILE WRAPPER CONTINUING APPLICATION (FWC)

Sir:

I. This is a request for a filing under the file wrapper continuing application procedure, 37 CFR 1.62, for a

- continuation
- divisional
- continuation-in-part (for oath or declaration see III below)
- attached is an amendment for added subject matter
- continuing application to permit consideration if an

08086727.071097



information disclosure statement under 37 CFR 1.97

**PARTICULARS OF PRIOR APPLICATION**

- A. Application Serial No. 08/624,958 filed March 28, 1996.
- B. Title (as originally filed Audio/Video Recorder Transceiver and as last amended) Burst Transmission Apparatus And Method For Audio/Video Information.
- C. Name of applicant(s) (as originally filed and as last amended) and current correspondence address of applicant(s):

1. FULL NAME OF INVENTOR	(LD) FAMILY NAME <u>Lang</u>	FIRST GIVEN NAME <u>Richard</u>	SECOND GIVEN NAME <u>A.</u>
RESIDENCE & CITIZENSHIP	CITY <u>Mendocino</u>	STATE OR FOREIGN COUNTRY <u>CA</u>	COUNTRY OF CITIZENSHIP <u>USA</u>
POST OFFICE ADDRESS 9301 No. Pacific Coast Highway 1	CITY <u>Mendocino</u>	STATE & ZIP CODE/ COUNTRY <u>CA, 95460 USA</u>	

The above identified application, in which no payment of issue fee, abandonment of, (other than where the above identified application was abandoned under 37 CFR 1.313(b)(5) to permit consideration of an information disclosure statement under 37 CFR (1.97)), or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.62 application, be it either this application or a prior application in the same file wrapper, the U.S. Patent and Trademark Office may provide similar information or access to all the other applications in the same file wrapper.



II. INVENTORSHIP STATEMENT

(a)  This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are

the same

less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:

\_\_\_\_\_  
(Type name(s) of inventor(s) to be deleted)

(b)  This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application whose particulars are set out above the inventor(s) in this application are

the same

add the following additional inventor(s)

\_\_\_\_\_  
(Type name(s) of inventor(s) to be deleted)

(c) The inventorship for all the claims in this application is

the same

not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted herewith.

III. DECLARATION OR OATH

A. Continuation or divisional

none required

B. Continuation-in-part

- attached, executed by (check applicable items)
  - inventor(s).
  - legal representative of inventor(s) 37 CFR 1.42 or 1.43.
  - joint inventor or person showing a proprietary interest for inventor who refused to sign or cannot be reached. 37 CFR 1.47;
  - This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached.
  - not attached
  - Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named applicant(s).
  - showing that the filing is authorized.

00005797 071009

IV. IDENTIFICATION OF CLAIMS FOR FURTHER PROSECUTION

- The fees to be charged are to be based on the number of claims remaining as a result of the
  - attached preliminary amendment
  - the unentered amendment filed under 37 CFR 1.116 in the prior application, which is now repeated.
  - claims as on file in the prior application

**V. FEE CALCULATION**

	Number Filed	Number Extra	Rate	Basic Fee \$ 750.00
Total Claims	164 -20=	144 X	\$ 22.00	\$3168.00
Independent Claims	11 -3=	8 X	\$ 78.00	\$ 624.00
Multiple dependent claim(s), if any			\$250.00	

The fee for extra claims in not being paid at this time.

Filing Fee calculation      \$ 4542.00

08/20/2007 07:18:00

**VI. SMALL ENTITY STATEMENT**

A verified statement that this is a filing by a small entity is attached.

The small entity statement was filed in the parent application Serial No. 08/624,958, filed on March 28, 1996 and this status is still proper and its benefit under 37 CFR 1.28(a) is hereby claimed.

Filing fee calculation (50% of above) \$ 2271.00

**VII. FEE PAYMENT BEING MADE AT THIS TIME**

Not attached

No filing fee is submitted.

Attached

filing fee      \$ 2271.00

recording assignment (\$40.00; 37 CFR 1.21(h)(i)).      \$ \_\_\_\_\_

petition fee for filing by  
other than all the inventors  
or person not the inventor  
where inventor refused to sign  
or cannot be reached (\$130.00;  
37 CFR 1.47 and 1.17(h)) \$ \_\_\_\_\_

processing and retention fee  
(\$100.00; 37 CFR 1.53(d) and  
1.21(l)) \$ \_\_\_\_\_

TOTAL FEES ENCLOSED \$ 2271.00

VIII. METHOD OF PAYMENT OF FEES

attached is check in the amount of \$ 2271.00

charge Account No. 06-0600 \_\_\_\_\_  
in the amount of \$ \_\_\_\_\_

A duplicate of this request is attached.

IX. AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to charge the following  
additional fees which may be required by this paper and during the entire pendency of this  
application to Account No. 06-0600:

37 CFR 1.16 (filing fees)

37 CFR 1.16 (presentation of extra claims)

37 CFR 1.16(e) (surcharge for filing the basic filing fee  
and/or declaration on a date later than the filing date of  
the application)

37 CFR 1.17 (application processing fees)

37 CFR 1.18 (issue fee at or before mailing of Notice of  
Allowance, pursuant to 37 CFR 1.311(b)).

X. INSTRUCTIONS AS TO OVERPAYMENT

- credit Account No. 06-0600
- refund

XI. PRIORITY-35 U.S.C. 119

- Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119. (country)
- The certified copy has been filed on \_\_\_\_\_ in prior U.S. application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.
- certified copy will follow

XII. RELATE BACK-35 U.S.C. 120

Amend the specification by inserting after the title and before the first line the paragraph:

"Related Applications"

This is a

- continuation
- divisional
- continuation-in-part

of co-pending application(s)

- serial number 08/624958 filed on March 28, 1996, abandoned, which
- International Application \_\_\_\_\_ filed on \_\_\_\_\_ and which designated the U.S.

*G'*  
*A*

*is a continuation of Ser. No. 07/976,542 filed Nov. 16, 1992, abandoned, which is a division of Ser. No. 07/775,182 filed Oct. 11, 1991, Pat. No. 5,164,839, which is a continuation-in-part of Ser. No. 07/289,776 filed Dec 27, 1988, Pat. No. 4,963,995.*

7



XIII. ASSIGNMENT

- the prior application is assigned of record to Instant Video Technology, Inc.
- an assignment of the invention to \_\_\_\_\_ is attached.

\_\_\_\_\_  
Type name of assignee

XIV. POWER OF ATTORNEY

The power of attorney in the prior application is to William Hein.

- a.  The power appears in the original papers in the prior application.
- b.  The power does not appear in the original papers, but was filed on \_\_\_\_\_.
- c.  A new power has been executed and is attached.
- d.  Address all future communications to:

MC Marc A. Sockol, Reg. No. 40,823 (1)  
Name

Carr, DeFilippo & Ferrell LLP  
2225 East Bayshore Road, Suite 200  
Address

Palo Alto, California 94303 (415) 812-3407

XV. MAINTENANCE OF COPENDENCY OF PRIOR APPLICATION

A petition, fee and response has been filed to extend the term in the pending prior application until July 21, 1997.

A copy of the petition for extension of time in the prior application is attached.

XVI. CONDITIONAL PETITIONS FOR EXTENSION OF TIME IN PRIOR APPLICATION

A conditional petition for extension of time is being filed in the pending prior application.

A copy of the conditional petition for extension of time in the prior application is attached.

XVII. ABANDONMENT OF PRIOR APPLICATION

Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application. At the same time please add the words "now abandoned" to the amendment to the specification set forth in XII above.

Marc A. Sockol

Name of person signing

*Marc A. Sockol*

Signature

7/18/97

Date

Marc A. Sockol  
Carr, DeFilippo & Ferrell LLP  
2225 East Bayshore Road, Suite 200  
Palo Alto, CA 94303  
TEL: (415) 812-3407  
FAX: (415) 812-3444

- Inventor
- Assignee of complete interest
- Person authorized to sign on behalf of assignee
- Attorney or agent of record
- Filed under Rule 34(a)

XIX. INFORMATION DISCLOSURE STATEMENT

Submitted herewith is an Information Disclosure Statement

Type Name of Assignee

Address of Assignee

Title of person authorized to sign on behalf of assignee

Assignment recorded in PTO on January 24, 1997  
Reel 8321 Frame 0817

67814 U.S. PTO

08/896727



07/18/97

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

08/21/1997 WILLIAM 00000140 DA#:060600 08896727  
01 FC:201 385.00 OP  
02 FC:202 320.00 OP  
03 FC:203 18.00 CH 1566.00 OP

PTO-1556  
(5/87)

APBU-00000612



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Parent application:

APPLICANT: Richard Lang  
SERIAL NO.: 08/624,958  
FILING DATE: 3/28/96  
TITLE: Burst Transmission Apparatus and Method for  
Audio/Video Information  
EXAMINER: Huy Nguyen  
ART UNIT: 2604  
ATTY. DKT. NO: 639

#32/H  
L. McLaughlin  
10-1-97

RECEIVED  
AUG 11 1997  
PATENT & TRADEMARK OFFICE

Continuation Application:

APPLICANT: Richard Lang  
TITLE: Burst Transmission Apparatus and Method for  
Audio/Video Information  
FILING DATE: July 18, 1997  
ATTY. DKT. NO: 816

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date printed below:

Date: 8-4-97

Marc A. Sockol  
Marc A. Sockol

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**PRELIMINARY AMENDMENT**

Applicant respectfully requests that the Examiner enter the following amendments and consider the following remarks before examining the application. Most importantly, Applicant would like to thank the Examiner for his time and consideration during the numerous telephone conversations with Applicant's attorney. This preliminary amendment and response is being submitted to address the rejections made in the Office Action mailed 2/20/97.

IN THE CLAIMS:

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27. (three times amended) An audio/video transceiver apparatus comprising:

input means for receiving audio/video source information, said audio/video information comprising a multiplicity of video frames in the form of one or more full motion video programs;

compression means, coupled to said input means, for compressing said audio/video source information into a time compressed representation thereof, said time compressed representation having an associated burst time period that is shorter than a time period associated with real time viewing by a receiver of said audio/video source information;

storage means, coupled to said compression means, for storing the time compressed representation of said audio/video source information;

H<sub>1</sub>  
output means, coupled to said storage means, [for receiving the time compressed representation of said audio/video source information stored in said storage means and] for [serially] transmitting said time compressed representation of said audio/video source information away from said audio/video transceiver apparatus in said burst time period that is shorter than said time period associated with real time viewing by the receiver of said audio/video source information; and

editing means, coupled to said storage means, for editing the time compressed representation of said audio/video source information stored in said storage means and for storing the edited time compressed representation of said audio/video source information in said storage means;

said output means being operative for receiving the edited time compressed representation of said audio/video source information stored in said storage means for transmission away from said audio/video transceiver apparatus in a burst time period that is shorter than a time period associated with real time viewing by the receiver of said edited time compressed representation of said audio/video source information.

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43. (three times amended) An audio/video transceiver apparatus comprising:

H<sub>2</sub>  
input means for receiving audio/video source information as a time compressed representation thereof, said audio/video source information comprising a multiplicity of video frames in the form of one or more full motion video programs, said time compressed representation of said audio/video source information being received over an associated burst time period that is shorter than a time period associated with real time viewing by a receiver of said audio/video source information;



storage means, coupled to said input means, for storing the time compressed representation of said audio/video source information received by said input means; and output means, coupled to said storage means, [for receiving the time compressed representation of said audio/video source information stored in said storage means and] for [serially] transmitting said time compressed representation of said audio/video source information away from said audio/video transceiver apparatus;

said input means being coupled, via a communication link, to a remotely located video library, said video library storing a multiplicity of programs, each of said programs comprising a multiplicity of video frames in the form of a full motion video program, each of said programs being stored in said time compressed representation for selective retrieval, in said associated burst time period over said communication link[, by the user].

55. An audio/video information transfer network comprising:  
 a plurality of audio/video transceivers, coupled via one or more communications links, each of said audio/video transceivers [comprising:] including  
 input means for receiving audio/video source information, said audio/video source information comprising a multiplicity of video frames in the form of one or more full motion video programs;  
 compression means, coupled to said input means, for compressing said audio/video source information into a time compressed representation thereof having an associated burst time period that is shorter than a time period associated with real time viewing of said audio/video source information;  
 storage means, coupled to said compression means, for storing the time compressed representation of said audio/video source information; and  
 output means, coupled to said storage means and to one of said one or more communications links, [for receiving the time compressed representation of said audio/video source information stored in said storage means and] for [serially] transmitting said time compressed representation of said audio/video source information in said burst time period to another one of said plurality of audio/video transceivers.

H3

85. (once amended) An audio/video transceiver apparatus comprising:  
 input means for receiving analog and/or digital audio/video source information, said analog and/or digital audio/video source information comprising a multiplicity of video frames in the form of one or more full motion video programs;  
 analog to digital converter means for converting analog audio/video source

H4

information received at said input means to corresponding digital audio/video source information;

digital to analog converter means for converting digital audio/video source information received at said input means to corresponding analog audio/video source information;

compressor/decompressor means for compressing digital audio/video source information received at said input means or said corresponding digital audio/video source information received from said analog to digital converter means into a time compressed representation of said digital or corresponding digital audio/video source information, said time compressed representation having an associated burst time period that is shorter than a time period associated with real time viewing by a receiver of said digital or corresponding digital audio/video source information, said compressor/decompressor means being further operative for decompressing said time compressed representation into a decompressed real time representation of said digital or corresponding digital audio/video source information;

A<sub>4</sub>

central processing unit means for controlling operation of [of] said compressor/decompressor means;

storage means for storing said time compressed representation of said digital or corresponding digital audio/video source information and for storing said decompressed real time representation of said digital or corresponding digital audio/video source information;

controller means for enabling communication between said compressor/decompressor means, said central processing unit means, and said memory means; and

output means for [receiving said time compressed representation of said digital or corresponding digital audio/video source information stored in said storage means and] for [serially] transmitting said time compressed representation away from said audio/video transceiver apparatus in said burst time period.

---

115. (twice amended) A method for handling audio/video source information, the method comprising the steps of:

receiving audio/video source information;

compressing the received audio/video source information into a time compressed representation thereof;

A<sub>5</sub>

storing the time compressed representation of said audio/video source information;

H5

[serially] transmitting said stored time compressed representation of said audio/video source information in a burst time period that is shorter than a time period associated with real time viewing by a receiver of said audio/video source information;

editing the stored time compressed representation of said audio/video source information;

storing the edited time compressed representation of said audio/video source information; and

receiving the stored edited time compressed representation of said audio/video source information for transmission away from said audio/video transceiver apparatus.

---

131. (twice amended) A method for handling audio/video source information, the method comprising:

receiving audio/video source information as a time compressed representation thereof, said audio/video source information comprising a multiplicity of video frames in the form of one or more full motion video programs, said time compressed representation of said audio/video source information being received over an associated burst time period that is shorter than a time period associated with real time viewing by a receiver of said audio/video source information;

storing the time compressed representation of said audio/video source information received by said input means; and

H6

[serially] transmitting said stored time compressed representation of said audio/video source information away from said audio/video transceiver apparatus;

said audio/video source information comprising information received from a video library, said video library storing a multiplicity of programs, each of said programs comprising a multiplicity of video frames in the form of a full motion video program, each of said programs being stored in said time compressed representation for selective retrieval, in said associated burst time period, over a fiber optic transmission line[, by the user].

---

132. (twice amended) A method for handling audio/video source information, the method comprising:

receiving audio/video source information as a time compressed representation thereof, said audio/video source information comprising a multiplicity of video frames in the form of one or more full motion video programs, said time compressed representation of said audio/video source information being received over an associated burst time period that is shorter than a time period associated with real time viewing by a receiver of

said audio/video source information;  
 storing the time compressed representation of said audio/video source information received by said input means; and  
 [serially] transmitting said stored time compressed representation of said audio/video source information away from said audio/video transceiver apparatus;  
 said audio/video source information comprising information received from a video library, said video library storing a multiplicity of programs, each of said programs comprising a multiplicity of video frames in the form of a full motion video program, each of said programs being stored in said time compressed representation for selective retrieval, in said associated burst time period, over a communication link.

He

143. (once amended) A method for handling audio/video source information, the method comprising:  
 providing a network that includes a plurality of audio/video transceivers, coupled via one or more communications links;  
 receiving audio/video source information at one or more of said plurality of audio/video transceivers, said audio/video source information comprising a multiplicity of video frames in the form of one or more full motion video programs;  
 compressing said audio/video source information into a time compressed representation thereof having an associated burst time period that is shorter than a time period associated with real time viewing by a receiver of said audio/video source information;  
 storing the time compressed representation of said audio/video source information; and  
 [serially] transmitting said stored time compressed representation of said audio/video source information in said burst time period to another one of said plurality of audio/video transceivers.

A7

162. (three times amended) A method for handling audio/video source information, the method comprising the steps of:  
 receiving audio/video source information;  
 compressing the received audio/video source information into a time compressed representation thereof;  
 storing the time compressed representation of said audio/video source information;  
 [serially] transmitting said stored time compressed representation of said

A8

H/D

audio/video source information in a burst time period that is shorter than a time period associated with real time viewing by a receiver of said audio/video source information; [selectively] decompressing at least a portion of the stored time compressed representation of said audio/video source information; and recording the [selectively] portion of the decompressed time compressed representation of said audio/video source information onto a removable recording medium.

Hg

173. (once amended) A method for handling analog and/or digital audio/video source information, the method comprising the steps of:  
receiving analog and/or digital audio/video source information, said analog and/or digital audio/video source information comprising a multiplicity of video frames in the form of one or more full motion video programs;  
converting received analog audio/video source information to corresponding digital audio/video source information;  
converting received digital audio/video source information to corresponding analog audio/video source information;  
compressing said received digital or converted corresponding digital audio/video source information into a time compressed representation thereof, said time compressed representation having an associated burst time period that is shorter than a time period associated with real time viewing by a receiver of said digital or corresponding digital audio/video source information;  
decompressing said time compressed representation into a decompressed real time representation of said digital or corresponding digital audio/video source information;  
storing said decompressed real time representation of said digital or corresponding digital audio/video source information; and  
[serially] transmitting said time compressed representation away from said audio/video transceiver apparatus to a selected destination in said burst time period.

H/D

194. (once amended) A method for handling audio/video source information, the method comprising:  
providing a network that includes a plurality of audio/video transceivers, coupled via one or more communications links;  
receiving, at one or more of said audio/video transceivers, audio/video source information, said audio/video source information comprising a multiplicity of video frames in the form of one or more full motion video programs, said audio/video source

1170  
information being received as a time compressed representation thereof having an associated burst time period that is shorter than a time period associated with real time viewing by a receiver of said audio/video source information.  
storing the time compressed representation of said audio/video source information; and  
[serially] transmitting said stored time compressed representation of said audio/video source information in said burst time period to another one of said plurality of audio/video transceivers.

REMARKS

Claims 27-41, 43-68, 70-113, 115-129 and 131-194 were examined and rejected in the parent case. Reconsideration of the application in view of the above amendments and the following remarks is respectfully requested.

Applicant would like to thank the Examiner for providing time on June 18, 1997, on June 25, 1997 and on June 30, 1997 to discuss the claimed invention. During these discussions, Applicant and the Examiner reach an agreement which addressed both Applicant's and the Examiner's concerns. The agreement consisted of amendments to claim 27 for the purposes of clarification, which acknowledge that the time compressed representation of the audio/video source information could be received and viewed by a receiver. The Examiner indicated in the interview summary of June 30, 1997 (a copy of which is attached hereto) that these amendments overcame the applied art but that a new search would be required. Accordingly, Applicant has incorporated these amendments into all the independent claims, namely, into claims 27, 43, 55, 85, 115, 131, 132, 143, 162, 173 and 194.

The following is a summary of the art discussed with the Examiner during the discussions. Izeki teaches a compression technique without transmission. Eggars teaches a transmission system for sending analog signals *in real time* to a client. Hooks teaches a compression system for converting a continuous analog audio/video signal to the NTSC standard, and transmitting the converted signal *in real time*. Haskell and Hamilton teach a system for time compression multiplexing so that multiple clients can receive audio/video information *in real time*. As noted by the Examiner, the specification admits that compression alone is not new. However, the subject invention relates to a delivery technique that uses compression to transmit a time compressed representation in a burst time period which is shorter than a period associated with real time viewing by a receiver.



From the advent of compression techniques, system designers noted that a system implementing compression could service more clients in real time than a system without compression. In fact, system designers recognized that better compression enabled the system to service even more clients. However, system designers did not recognize that time compressed representations could be sent in a burst time period that is shorter than the time period needed for real time viewing by a receiver. Sending time compressed representations to a receiver can add a new variable, consumption rate, to the equation which indicates the maximum number of clients a system can service. That is, if several clients pause or rewind videos, less information may need to be sent to the clients thereby enabling the system to manage additional clients.

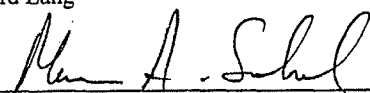
Since the agreed upon amendments have been incorporated into all independent claims, Applicant respectfully submits that all independent and dependent claims 27-41, 43-68, 70-100, 102-113, 115-129 and 131-194 are in condition for allowance. Reconsideration and allowance of the aforementioned claims are respectfully requested.

If the Examiner has questions regarding this case, he is invited to telephone the Applicant's undersigned representative at the number given below. Thanks again for all your assistance.

Respectfully submitted,  
Richard Lang

Date: 8-4-97

By:

  
\_\_\_\_\_  
Marc A. Sockol, Reg. No. P-40,823  
Carr, DeFilippo & Ferrell LLP  
2225 East Bayshore Road, Suite 200  
Palo Alto, CA 94303  
(415) 812-3407

In re application of: Richard Lang

Gp. 2604

Serial No.: Unknown #08/896727

Atty. Docket No.: Case 816

Filing Date: July 18, 1997

Title: BURST TRANSMISSION APPARATUS AND METHOD FOR AUDIO/VIDEO INFORMATION



ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- Small entity status of this application under 37 CFR §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- No additional fee is required.

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	Small Entity		or	Other Than a-Small Entity	
	Claims Remaining After Amendment	minus			Rate	Additional Fee		Rate	Additional Fee
Total	*	minus	**20		x \$11 =	\$		x \$22 =	\$
Indep.	*	minus	***3		x \$40 =	\$	or	x \$80 =	\$
<input type="checkbox"/> First Presentation of Multiple Dependent Claims					+ \$130 =	\$		+ \$260 =	\$
					Total Fee	\$		Total Fee	\$

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

Please charge my Deposit Account No. 06-0600 in the amount of \$\_\_\_\_. A duplicate copy of this sheet is attached.

A check in the amount of \$\_\_\_\_ is attached.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-0600. A duplicate copy of this sheet is attached.

- Any filing fees under 37 CFR § 1.16 for the presentation of extra claims.
- Any patent application processing fees under 37 CFR § 1.17.

Dated: 8-4-97

Respectfully submitted,

Marc A. Sockol  
 Marc A. Sockol, Reg. No. P-40,823  
 Carr, DeFilippo & Ferrell LLP  
 2225 East Bayshore Road, Suite 200  
 Palo Alto, California 94303  
 TEL: (415) 812-3407  
 FAX: (415) 812-3444

In re application of: **Richard Lang** *08/896727*  
 Serial No.: **Unknown** Atty. Docket No.: **Case 816**  
 Filing Date: **July 18, 1997**  
 Title: **BURST TRANSMISSION APPARATUS AND METHOD FOR AUDIO/VIDEO INFORMATION**



ASSISTANT COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

Sir:  
 Transmitted herewith is an amendment in the above-identified application.  
 Small entity status of this application under 37 CFR §§ 1.9 and 1.27 has been established by a verified statement previously submitted.  
 A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.  
 No additional fee is required.

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)		Small Entity		or	Other Than a Small Entity	
	Claims Remaining After Amendment		Highest Number Previously Paid For		Number of Extra Claims Present		Rate	Additional Fee		Rate	Additional Fee
Total	*	minus	**20				x \$11 =	\$		x \$22 =	\$
Indep.	*	minus	***3				x \$40 =	\$	or	x \$80 =	\$
<input type="checkbox"/> First Presentation of Multiple Dependent Claims							+ \$130 =	\$		+ \$260 =	\$
							Total Fee	\$		Total Fee	\$

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
 \*\*\* If the Highest Number Previously Paid For IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- Please charge my Deposit Account No. 06-0600 in the amount of \$\_\_\_\_. A duplicate copy of this sheet is attached.  
 A check in the amount of \$\_\_\_\_ is attached.  
 The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-0600. A duplicate copy of this sheet is attached.  
 Any filing fees under 37 CFR § 1.16 for the presentation of extra claims.  
 Any patent application processing fees under 37 CFR § 1.17.

Dated: 8-4-97

Respectfully submitted,  
  
 Marc A. Sockol, Reg. No. P-40,823  
 Carr, DeFilippo & Ferrell LLP  
 2225 East Bayshore Road, Suite 200  
 Palo Alto, California 94303  
 TEL: (415) 812-3407  
 FAX: (415) 812-3444



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
08/896,727	07/18/97	LANG	R 639. US

EXAMINER

LM11/1125

MARK A SOCKOL  
 2225 EAST BAYSHORE ROAD STE 200  
 PALO ALTO CA 94303

NGUYEN, H  
 ART UNIT PAPER NUMBER

2712

53

DATE MAILED: 11/25/97

This is a communication from the examiner in charge of your application.  
 COMMISSIONER OF PATENTS AND TRADEMARKS

OFFICE ACTION SUMMARY

Responsive to communication(s) filed on Aug 8, 1997

This action is FINAL.

Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 D.C. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire three month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Disposition of Claims

Claim(s) 27-41, 43-68, 70-113, 115-129 and 131-194 is/are pending in the application.  
 Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

Claim(s) \_\_\_\_\_ is/are allowed.

Claim(s) 27-41, 43-68, 70-113, 115-129 and 131-194 is/are rejected.

Claim(s) \_\_\_\_\_ is/are objected to.

Claim(s) \_\_\_\_\_ are subject to restriction or election requirement.

Application Papers

See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.

The proposed drawing correction, filed on \_\_\_\_\_ is  approved  disapproved.

The specification is objected to by the Examiner.

The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

All  Some\*  None of the CERTIFIED copies of the priority documents have been

received.

received in Application No. (Series Code/Serial Number) \_\_\_\_\_

received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

Notice of Reference Cited, PTO-892

Information Disclosure Statement(s), PTO-1449, Paper No(s) \_\_\_\_\_

Interview Summary, PTO-413

Notice of Draftsperson's Patent Drawing Review, PTO-948

Notice of Informal Patent Application, PTO-152

--SEE OFFICE ACTION ON THE FOLLOWING PAGES--

Serial Number: 08/896,727

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**DETAILED ACTION**

*Claim Rejections - 35 U.S.C. § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 27-41, 43-68, 70-100, 102-113, 115-129 and 131-194 are rejected under 35 U.S.C. 103(a) as being unpatentable over Izeki et al in view of the admitted prior art in the specification at pages 7-8 and Hamilton et al (4,897,717).

Regarding claims 27, 43, 85, 105, 115, 131, 132, 143, 158-161, 162, 169, 170, 172, 173, 176, 186, 189, 192, and 194, Izeki et al discloses a video and audio recording apparatus, Figs 1-5, for receiving audio/video information, compressing the audio / video information, and storing the compressed audio/video information in a storage means for later selectively retrieving and transmitting the audio/video information to another audio/video apparatus. The apparatus comprises input means (43 and 44) for receiving audio and video information to produce the compressed video and audio information; means (53,52,110) for storing the compressed audio and video information; means for receiving the compressed audio and video information; and editing means (47) for editing the compressed audio/video information and for storing the edited audio or video information in the storage means; and output means (80) for outputting the edited audio/video information away from the audio and video apparatus.

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Izeki et al fails to specifically teach that the compressed audio/video information are the time-compressed audio/video information as recited in claims 27, 43, 55, 85, 105, 115, 131, 132, 143, 162, 173, and 194.

However, it is noted that employing means for time-compressed audio/video information so that the compressed audio/video information can transmitted at high speed (in flash or burst period) and so that to increase the capacity of a storage means is well known in the art and available in market at the time the invention was made as admitted by applicant in the specification, page 7 and 8. Therefore, it would have been obvious to one of ordinary skill in the art to employ means for time-compressing audio/video information as an alternative compressing device for the compressing means of Izeki et al to time-compress the audio/video information of Izeki et al in order to increase the transmission speed of the audio/video information as well as to increase the capacity of storing the audio/video information of the storage means.

Izeki as modified with the admitted prior art fails to teach that the audio/video a transmitted in a burst time period that is shorter than the real time as recited in claims .

Hamilton et al discloses a fast transfer means (Fig. 1) for transferring compressed audio/video data in a period that is shorter than the real time period as recited in claims.

It would have been obvious to one of ordinary skill in the art to modify Izeki as modified with the admitted prior art above with Hamilton by providing a fast transfer means as taught by Hamilton in the apparatus of the modified Izeki with the admitted prior art above for transferring



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the compressed audio/video data at a period that is shorter than the real time period thereby allowing the audio/video data transferred with high speed.

Further for claims 43, 44, 55, 60, 131, 132 and 148, Izeki et al fails to teach that the audio/video information are compressed video motion programs from library. However, it is noted that audio/video information comprising compressed video motion programs from a video library (Video on demand) or from a broadcast via a tuner and that compressing motion video program are well known in the art. Therefore Official Notice is taken and it would have been obvious to one of ordinary skill in the art to use the audio/video apparatus of Izeki et al to receive the compressed motion programs as an alternative video information source to process and store the motion video programs for later viewing.

Regarding claims 48, 50, 51, 62, 83, 105, 133, 138, 134, 135, and 136, Izeki et al fails to specifically teach a decompressing means for decompressing the compressed audio/video information. However, it is noted that using a decompressing means to reverse the compressed audio/video information is well known in the art and as admitted by the applicant in the specification. Therefore, it would have been obvious to one of ordinary skill in the art to employ decompressing means into Izeki et al apparatus to reverse the compressed audio/video information to original audio/video information to accommodate with receiving devices such as a television monitor or recorder device.

Regarding claims 28, 49, 53, 71, 75, 116, 135, 137, 138, 140, and 141, Izeki et al further teaches a monitor (48) for monitoring the editing of the stored audio/video information.

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Regarding claims 29,30,37,38,39,41,56,57,77-78, 95, 96, 97, 98, 117, 118, 124, 126, 127, 129,142, 144, 145, 166, 183-185, and 187, Izeki et al fails to teach the use of fiber optic, telephone and microwave to couple to the input port or output port for receiving the audio/video information from a tuner or cable and for transmitting the audio/video information is well known in the art . Therefore, it would have been obvious to one of ordinary skill in the art to use a fiber optic, telephone and microwave line for receiving the audio/video information at the input port and for transmitting the audio /video information from the output port.

Regarding claims 31, 32, 59, 65, 67, 68, 91, 92, 93, 102, 103, 104, 106, 107, 108, 109, 110, 112, 119, 120, 146, 147, 154, 155, 156, 164, 165, 179, 180, 188, 190, 191, and 193, Izeki et al fails to specifically teach that the storage means comprises an optical disk, or a WORM memory, or a semiconductor memory, or a bubble memory, or a erasable optical disk, or a CD ROM, or a digital paper. However, employing an optical disk, erasable optical disk, a semiconductor, bubble memory, CD ROM or a digital paper for storing information is well known in the art (See Izeki et al column 7, lines 23-31) and as admitted by the applicant in the specification. Therefore, it would have been obvious to one of ordinary skill in the art to use an optical disk, erasable optical disk, a bubble memory, digital paper, CD ROM, or WORM memory as an alternative storage means of Izeki et al for storing the audio/video information of Izeki et al.

Regarding claims 33 and 34 and further for claims 85 and 172, Izeki et al teaches means for converting the input audio/video information into digital audio/video information and for

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storing the digital audio/video information (column 3, lines 39-43) and means for converting the digital audio/video information into analog audio/video information (column 5, lines 40-51).

Regarding claims 36, 54, 94, and 182, Izeke et al fails to teach that the audio/video information is taken from a tape recorder. However, it is noted a tape recorder which capable of reproducing audio/video information is well known in the art . Therefore ,it would have been obvious to one of ordinary skill in the art to use the input of Izeke et al to receive the audio/video information from a video tape recorder as being an alternative audio/video information source.

Regarding claims 35 and 123, Izeke et al teaches that the input audio/video information supplied from a video camera.

Regarding claims 40 and 128, Izeke et al fails to specifically teaches that the input audio/video information received from computer. However, it is noted that audio/video information which are generated by a computer is well known in the art . Therefore, it would have been obvious to one of ordinary skill in the art to supply generated audio/video information from a computer as an alternative audio/video information source of Izeke et al.

Regarding claims 61, 63, 70, 73, 75, 79, 80, 82, 84, 87-88, 89, 90, 149, 150, 151, 152, 157, 158, 159, 162, 163, 167, 168, 177, and 178, Izeke et al further teach a removable recorder such as a tape recorder (54) for storing the audio/video information from the storage means.

Regarding claim 86, Izeke et al further discloses a character generating means for generating title associated with the audio/video information by the user but fails to specifically teach that the title is indicating timing information. However, it would have been obvious to one

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of ordinary skill in the art to use the character generating means of Izeki et al for generating the character indicating the date or time, considered as the claimed timing information, of receiving the audio/video information of Izeki et al through the input by the user (key board)(column 2, lines 65-68).

Regarding claims 99 and 100, Izeki et al further discloses a digital control unit means(41,56) for controlling editing function including memory for storing instruction information to perform editing function but fails to specifically teach that the memory is a ROM. However, it is noted that employing a ROM for storing necessary instruction information in a control device to control an apparatus is well known in the art. Therefore, it would have been obvious to one of ordinary skill in the art to use well known ROM as an alternative memory for the memory (56) of Izeki et al in order to accurately edit the audio/video information.

3. Claim 101 is rejected under 35 U.S.C. 103(a) as being unpatentable over Izeki et al in view of the admitted prior art in the specification, papers 7-8, and Hamilton as applied to claim 98 above, and further in view of Muramoto et al.

Izeki et al fails to teach a RGB converter for converting the stored information to RGB format. However, it is noted that employing a RGB converter for converting information into RGB format is well known in the art. For example, Muramoto teaches a RGB converter for converting stored information to RGB format. Therefore it would have been obvious to one of

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ordinary skill in the art to employ the well known converter as taught by Muramoto with the apparatus of Izeki for converting the information to RGB format in order to reproduce the RGB format on an appropriate monitor.

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Huy Nguyen whose telephone number is (703) 305-4775. The examiner can normally be reached on Monday to Friday from 6:30AM to 5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Faile, can be reached on (703) 305-4380.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-3900.

5. Any response to this action should be mailed to:

Commissioner of Patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703) 308-9051, (for formal communications intended for entry)

Or:

**APBU-00000631**