Netflix; Inc. v. Blockbuster, Inc.

Doc. 75 Att. 2

Case 3:06-cv-02361-WHA

Document 75-3

Filed 11/03/2006

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# **EXHIBIT B**

AO 88 (Rev. 11/94) Subpoena in a Civil Case	
Issued by	, the
UNITED STATES DI	
	TOF <u>California</u>
Netflix, Inc., a Delaware corporation	
V.	SUBPOENA IN A CIVIL CASE
Blockbuster Inc., a Delaware corporation, DOES 1-50	Case Number: C 06 2361 WHA In the U.S. District Court for the Northern District of California
	Moreness biscrice of California
TO: Sony Pictures Entertainment Inc., 1020 City, CA 90232-3195	02 West Washington Boulevard, Culver
YOU ARE COMMANDED to appear in the United States I testify in the above case.	District Court at the place, date, and time specified below t
PLACE OF TESTIMONY	COURTROOM
	DATE AND TIME
YOU ARE COMMANDED to appear at the place, date, and t	ime specified below to testify at the taking of a deposition in
the above case.	
PLACE OF DEPOSITION	DATE AND TIME
X YOU ARE COMMANDED to produce and permit inspection	
X YOU ARE COMMANDED to produce and permit inspection place, date, and time specified below (list documents or object	
All documents and objects referred to in a	
PLACE	DATE AND TIME
Alsohuler Grossman Stein & Kahan LLP	September 8, 2006
1620 26th St., Ste. 4000N Santa Monica, CA 90404	9:00 A.M.
YOU ARE COMMANDED to permit inspection of the follow	vino premises at the date and time specified below
PREMISES	DATE AND TIME
Any organization not a party to this suit that is subpoenaed for the	taking of a deposition shall designate one or more officers
directors, or managing agents, or other persons who consent to testify	on its behalf, and may set forth, for each person designated
the matters on which the person will testify. Federal Rules of Civil Pro	
ISSUING OFFICER SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTI	FF OR DEFENDANT) DATE
Attorney for Blockbuster Inc.	August 25, 2006
Alsohuler Grossman Stein & Kahan LLP, Will 4000N, Santa Monica CA 90404-4060	iam J. O'Brien, 1620 26th St., Ste.

<sup>(</sup>See Rule 45, Federal Rules of Civil Procedure, parts C & D on reverse)

<sup>1</sup> If action is pending in district other than district of issuance, state district under case number

1 2 3 4 5 6 7 8 9	ALSCHULER GROSSMAN STEIN & KA Marshall B. Grossman (No. 35958) William J. O'Brien (No. 99526) Tony D. Chen (No. 176635) Dominique N. Thomas (No. 231464) The Water Garden 1620 26th Street Fourth Floor, North Tower Santa Monica, CA 90404-4060 Telephone: 310-907-1000 Facsimile: 310-907-2000 Email: mgrossman@agsk.com wobrien@agsk.com tchen@agsk.com dthomas@agsk.com Attorneys for Defendant and Counterclaima Blockbuster Inc.	
10		
11	UNITED STATES D	,
12	NORTHERN DISTRIC	T OF CALIFORNIA
13		
14	NETFLIX, INC., a Delaware corporation,	CASE NO. C 06 2361 WHA
15	Plaintiff,	BLOCKBUSTER INC.'S
16	vs.	NOTICE OF ISSUANCE OF SUBPOENA DUCES TECUM
17	BLOCKBUSTER INC., a Delaware corporation, DOES 1-50,	TO SONY PICTURES ENTERTAINMENT INC.
18	Defendants.	Date: September 8, 2006 Time: 9:00 A.M.
19		Place: Alschuler Grossman Stein & Kahan LLP 1620 26 <sup>th</sup> Street, 4 <sup>th</sup> Fl. No. Tower
20		Santa Monica, CA 90404
21		Complaint Filed: April 4, 2006
22	AND RELATED COUNTER ACTION.	• • • • • • • • • • • • • • • • • • • •
23		
24		
25		
26		
27		
28		
ALSCHULER GROSSMAN STEIN & KAHAN LLP		BLOCKBUSTER INC 'S NOTICE OF ISSUANCE OF SDT TO SONY C 06 2361 WHA.

## TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that, pursuant to Rule 45 of the Federal Rules of Civil Procedure, Defendant Blockbuster Inc. will issue the accompanying subpoena, which requests that Sony Pictures Entertainment Inc. produce documents and things as specified in the attachment to the subpoena.

DATED: August 25, 2006

ALSCHULER GROSSMAN STEIN & KAHAN LLP

William J. O'Brien

Attorneys for Defendant and Counterclaimant, Blockbuster Inc.

ALSCHULER GROSSMAN STEIN & KAHAN LLP

1	PROOF OF SERVICE
2 3	I am a resident of the State of California, over the age of eighteen years, and not a party to the within action. My business address is
4	On August 25, 2006, I served a true copy of the within documents:
5	BLOCKBUSTER INC.'S NOTICE OF ISSUANCE OF SUBPOENA DUCES TECUM TO SONY PICTURES ENTERTAINMENT INC.
6	by personally delivering the document(s) listed above to the person(s) at the address(es) set forth below.
7 8	Jeffrey R. Chanin, Esq. Tel: 415.391.5400 Daralyn J. Durie, Esq. Fax: 415.397.7188
9	Dorothy McLaughlin, Esq.  Kevin T. Reed, Esq.  Attorneys for Plaintiff
10	Keker & Van Nest, LLP Netflix, Inc. 710 Sansome Street San Francisco, CA 94111-1704
11	I declare under penalty of perjury under the laws of the State of California that the
12 13	above is true and correct.
14	Executed on this August 25, 2006.
15	
16	Signature
17	
18	Print Name
19	
20	
21	
22 23	
23	
25	
26	
27	
28	
ALSCHULER GROSSMAN STEIN & KAHAN LLP	BLOCKBUSTER INC.'S NOTICE OF ISSUANCE 3 OF SDT TO SONY C 06 2361 WHA

## EXHIBIT A TO SUBPOENA INSTRUCTIONS

- Words and phrases written in capital letters in this Exhibit have the specific meanings and definitions expressly assigned to them in the "DEFINITIONS" section below.
- 2. In responding to this Subpoena, you must make a diligent search and furnish all requested DOCUMENTS in your possession, custody or control, including DOCUMENTS in the possession, custody or control of your agents, employees, representatives, attorneys or other persons or entities acting on your behalf or under your control.
- 3. As provided in Rule 45(d)(1) of the Federal Rules of Civil Procedure, you must either produce the requested DOCUMENTS as they are kept in the usual course of business or organize and label them to correspond with the numbered categories set forth below. If you produce DOCUMENTS as they are kept in the usual course of business, then they must include any files, envelopes, folders, separators, boxes, tabs, and labels used to identify or organize them and any form of organizational or descriptive device or indicator used in connection with any of the requested DOCUMENTS in the usual course of business.
- If you withhold any requested DOCUMENT on a claim that it is privileged or subject to protection as trial preparation materials, then, as

Filed 11/03/2006

provided in Rule 45(d)(2) of the Federal Rules of Civil Procedure, you must make any such claim expressly and support the claim with a description of the nature of the DOCUMENTS withheld that is sufficient to enable the requesting party to contest the claim. Any such description should include, without limitation:

- A specific statement of your grounds for withholding each requested DOCUMENT;
- Identification by name, title, and company of the author of each such DOCUMENT, each recipient of each such DOCUMENT and each person who received a copy, as well as everyone who participated in its preparation;
- The title and description of each requested DOCUMENT;
  - d. Its date:
  - The type of DOCUMENT; e.
  - The subject matter of the DOCUMENT; and f.
- The current locations of the original and all copies of the g. DOCUMENT.
- If any information has been redacted from any DOCUMENT 5. produced pursuant to this Subpoena, you must identify that information and the basis or bases upon which such information was redacted.

6. You need not produce any privileged DOCUMENT if you provide the counsel signing this Subpoena with a privilege log containing the information set forth in Paragraphs 4 and 5 above within fourteen days after service of the Subpoena. If it will be difficult to prepare the privilege log within that time, please promptly contact the counsel signing this Subpoena to discuss a reasonable extension of time.

#### **DEFINITIONS**

"BLOCKBUSTER" means Blockbuster Inc. and all of its past and present officers, employees, attorneys, and agents.

"CHAVEZ V. NETFLIX" means the case of Frank Chavez v. Netflix, Inc., San Francisco Superior Court Case No. CGC 04-434884, including any related proceedings, consolidated or coordinated cases, and cross-complaints.

"COMMUNICATIONS" means any communications of any kind whatsoever, whether oral, written, telephonic, electronic, or other.

"DOCUMENTS" includes COMMUNICATIONS and means any and all documents and tangible things of any description whatsoever, including, without limitation, all "writings," "recordings," "photographs," "original[s]," and "duplicate[s]" as defined in Rule 1001 of the Federal Rules of Evidence.

"NCR" means NCR Corporation and all of its past and present

officers, employees, attorneys, and agents.

"NCR PATENTS" means any and all of the following:

- U.S. Patent No. 5,699,526, entitled "Ordering and a. Downloading Resources from Computerized Repositories," which names as inventor David M. Siefert, issued December 16, 1997, on an application filed August 21, 1996;
- U.S. Patent No. 5,951,643, entitled "Mechanism for b. Dependably Organizing and Managing Information for Web Synchronization and Tracking Among Multiple Browsers," which names as inventors James A. Shelton, Michael I. Ingrassia, Jr., and Thomas M. Roland, issued September 14, 1999, on an application filed October 6, 1997;
- U.S. Patent No. 5,991,791, entitled "Security Aspects of Computer Resource Repositories," which names as inventor David M. Siefert, issued November 23, 1999, on an application filed January 10, 1997;
- d. U.S. Patent No. 6,026,403, entitled "Computer System for Management of Resources," which names as inventor

- David M. Siefert, issued February 15, 2000, on an application filed March 24, 1994;
- e. U.S. Patent No. 6,169,997, entitled "Method and Apparatus for Forming Subject (Context) Map and Presenting Internet Data According to the Subject Map," which names as inventors Karen A. Papierniak, James E. Thaisz, Luo-Jen Chiang, and Paresh B. Shah, issued January 2, 2001, on an application filed April 29, 1998;
- f. U.S. Patent No. 6,253,203, entitled "Privacy-Enhanced Database," which names as inventors Kenneth W. O'Flaherty, Richard G. Stellwagen, Jr., Todd A. Walter, Reid M. Watts, David A Ramsey, Adriaan W. Veldhuisen and Renda K. Ozden, issued June 26, 2001, on an application filed October 2, 1998;
- g. U.S. Patent No. ,6,480,855 entitled "Managing a

  Resource on a Network Where Each Resource Has an

  Associated Profile with an Image," which names as

  inventor David M. Siefert, issued November 12, 2002, on

  an application filed August 22, 2000;
- h. U.S. Patent No. 6,502,096, entitled "Computerized Asset

Management System," which names as inventor David M. Siefert, issued December 31, 2002, on an application filed August 22, 2000;

- i. U.S. Patent No. 6,714,931, entitled "Method and Apparatus for Forming User Sessions and Presenting Internet Data According to the User Sessions," which names as inventors Karen A. Papierniak, James E. Thaisz, Luo-Jen Chiang, Anjali M. Dîwekar, issued March 30, 2004, on an application filed April 29, 1998; and
- j. Any United States, foreign, or international patent or patent application that NCR has ever asserted to be infringed by NETFLIX has ever attempted to sell or license to NETFLIX.

"NETFLIX" means Netflix, Inc., and all of its past and present officers, employees, attorneys, and agents.

"NETFLIX PATENTS AND APPLICATIONS" means any United States, foreign, or international patent or patent application ever owned, in whole or in part, by NETFLIX, including:

a. U.S. Patent No. 6,584,450, entitled "Method and

Apparatus for Renting Claims," which names as inventors W. Reed Hastings, Marc B. Randolph, and Neil Duncan Hunt, issued June 24, 2003, on an application filed April 28, 2000;

- b. U.S. Patent No. 7,024,381, entitled "Approach for Renting Items to Customers," which names as inventors W. Reed Hastings, Marc B. Randolph, and Neil Duncan Hunt, issued April 4, 2006, on an application filed May 14, 2003;
- U.S. Patent Application Serial No. 09/561,041, filed April 28, 2000; and
- U.S. Patent Application Serial No. 10/438,727, filed May 14, 2003.

"NETFLIX V. BLOCKBUSTER" means the case of Netflix, Inc. v. Blockbuster Inc., Case No. C 06 2361 in the United States District Court for the Northern District of California, including all counterclaims in that case.

"NETFLIX V. NCR" means the case of Netflix, Inc. v. NCR Corporation, Case No. C 06 1892 in the United States District Court for the Northern District of California, including all counterclaims and defenses in that case.

As used in this Exhibit, the singular includes the plural, and vice versa, and all verb tenses include the past, present and future tenses. The words "and" and "or" shall be construed disjunctively or conjunctively as necessary to bring within the scope of the Subpoena all DOCUMENTS that might otherwise be construed to be outside its scope.

### **DOCUMENTS REQUESTED**

- All financial statements, revenue statements, or financial or business projections received from NETFLIX.
- 2. All DOCUMENTS evidencing, reflecting, or referring to NETFLIX's online business plans, business models, business proposals, strategies, or projections.
- All DOCUMENTS evidencing, reflecting, or referring to 3. NETFLIX's competitive or market analyses.
- All DOCUMENTS evidencing, reflecting, or referring to 4. COMMUNICATIONS with NETFLIX, or information received from NETFLIX about, NETFLIX PATENTS AND APPLICATIONS or any intellectual property owned or claimed by Netflix
- 5. All DOCUMENTS evidencing, reflecting, or referring to the history, design, development, features, or operations of any online rental service

ever proposed, planned, or operated by Netflix.

- 6. All DOCUMENTS evidencing, reflecting, or referring to COMMUNICATIONS with NETFLIX before April 28, 1999, or information received from NETFLIX before April 28, 1999, about its "Marquee" program.
- 7. All DOCUMENTS evidencing, reflecting, or referring to COMMUNICATIONS with NETFLIX before April 28, 1999, or information received from NETFLIX before April 28, 1999, about any actual, planned, or potential subscription rental program.
- 8. All DOCUMENTS evidencing, reflecting or referring to any use, practice, description, disclosure, or knowledge by NETFLIX of any of the following:
  - a. Distribution or circulation of videos or films on a subscription basis;
  - b. Distribution or circulation of music or audio on a subscription basis;
  - c. Distribution or circulation of books on a subscription basis;
  - d. Use of waiting lists, wish lists or reservation lists for
     video or item rental;
  - e. A movie rental queue or other item rental queue,

- including, without limitation, any ordered list, associated with a customer, of movies or items for rent, whether in electronic digital form or otherwise.
- f. Movie rental in which a customer was not required to return movies within a specified time;
- g. Movie rental with no late fee;
- h. A rental agreement that provided for a periodic fee;
- Rental of movies in which the number of movies
   delivered to the customer and not yet returned did not
   exceed a specified number;
- j. In response to receiving back any item provided to a customer, selecting one or more other items for shipment to the customer; or
- k. Providing rental items based on the order of a list established by a customer.
- 9. All COMMUNICATIONS with NETFLIX, or DOCUMENTS received from NETFLIX, that refer to any of the following:
  - Any subscription methods, systems, and publications
     prior to NETFLIX's Marquee Program or prior to April
     28, 1999;

- b. HBO, Showtime, or any subscription cable or satellite television service or pay television service;
- c. TiVo;
- d. Webvan, Home Grocer.com, or any Internet grocery service;
- e. Amazon.com;
- f. Buy.com,
- g. eBay; or
- h. Priceline.
- 10. All DOCUMENTS evidencing, reflecting, or referring to COMMUNICATIONS with NETFLIX, or information received from NETFLIX, about its assertion or exploitation of, or its plans with respect to asserting or exploiting, NETFLIX PATENTS AND APPLICATIONS.
- 11. All DOCUMENTS evidencing, reflecting, or referring to COMMUNICATIONS with NETFLIX, or information received from NETFLIX, about the patentability or non-patentability of any invention, method, or product developed or claimed by NETFLIX.
- 12. All DOCUMENTS evidencing, reflecting, or referring to COMMUNICATIONS with NETFLIX, or information received from NETFLIX, about any prior art related to NETFLIX PATENTS AND APPLICATIONS or

about any prior art related to any invention, method, or product developed or claimed by NETFLIX.

- 13. All DOCUMENTS evidencing, reflecting, or referring to COMMUNICATIONS with NETFLIX, or information received from NETFLIX. about NETFLIX V. BLOCKBUSTER.
- All DOCUMENTS evidencing, reflecting, or referring to 14. COMMUNICATIONS with NETFLIX, or information received from NETFLIX. about NETFLIX V. NCR.
- All DOCUMENTS evidencing, reflecting, or referring to 15. COMMUNICATIONS with NETFLIX, or information received from NETFLIX. about NCR PATENTS.
- All DOCUMENTS evidencing, reflecting, or referring to COMMUNICATIONS with NETFLIX, or information received from NETFLIX. about CHAVEZ V. NETFLIX.
- All DOCUMENTS evidencing, reflecting, or referring to any 17. use by NETFLIX of any practices known or described as "throttling," including, without limitation, any variation in the speed or priority of fulfilling a customer's rental request based, in whole or in part, in the number or frequency of items rented by the customer.
  - 18. All DOCUMENTS evidencing, reflecting, or referring to

COMMUNICATIONS with NETFLIX, or information received from NETFLIX, about BLOCKBUSTER, including, without limitation, BLOCKBUSTER's online service.