Oracle Corporation et al v. SAP AG et al

EXHIBIT A

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UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

BEFORE THE HONORABLE PHYLLIS J. HAMILTON, JUDGE

ORACLE (CORPORATION, ET AL.)	JURY TRIAL
	PLAINTIFFS,)	NO. C 07-01658 PJH
)	
VS.)	VOLUME 5
)	PAGES 754 - 946
SAP AG,	EI AL.,)	PAGES 754 - 940
	DEFENDANTS.)	OAKLAND, CALIFORNIA
)	MONDAY, NOVEMBER 8, 2010

TRANSCRIPT OF PROCEEDINGS

APPEARANCES:

FOR PLAINTIFFS:

IFFS:		BINGHAM MUCCUTCHEN LLP
		THREE EMBARCADERO CENTER
		SAN FRANCISCO, CALIFORNIA 94111-4607
	BY:	ZACHARY J. ALINDER,
		HOLLY A. HOUSE,
		GEOFFREY M. HOWARD,
		DONN P. PICKETT, ATTORNEYS AT LAW
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		1999 HARRISON STREET, SUITE 900
		OAKLAND, CALIFORNIA 94612
	BY:	DAVID BOIES,

STEVEN C. HOLTZMAN, ATTORNEYS AT LAW

(APPEARANCES CONTINUED NEXT PAGE)

REPORTED BY: RAYNEE H. MERCADO, CSR NO. 8258 DIANE E. SKILLMAN, CSR NO. 4909 RAYNEE H. MERCADO, CSR, RMR, CRR, FCRR, CCRR (510) 451-7530

	Page 815		Page 817
1	THIS IS THE BACKUP SUPPORT FOR THE LARGER NUMBER THAT	1	EASY FOR BOTH OF YOU. THERE'S SOMETHING THAT YOU NEED TO
2	SHOWS AS CLEAR AS CAN BE THAT WHAT THEY'RE INCLUDING IN	2	ADDRESS.
3	COMPUTING THAT NUMBER IS LOST INCREMENTAL REVENUE UPSELL LO	DST 3	FIRST OF ALL, NO DISTINCTION WAS MADE BETWEEN THE
4	INCREMENTAL REVENUE WELL, THE NEXT ONE, IF I COULD SEE IT, IS	4	ACTUAL LOST PROFITS BASED UPON THE THE POST-JANUARY 2005
5	THE SAME FOR CROSS-SELL. THOSE NUMBERS ARE FOUNDATIONS ON WH	ICH 5	PERIOD AND THE PROJECTIONS WHICH WERE BASED UPON PREVIOUS SAI
6	THEY BUILD THE \$2.1 BILLION CLAIM.	6	ACTIVITY ON ORACLE'S PART. THERE WAS NO DISTINCTION MADE AT
7	THE PROBLEM THAT WE'RE ADDRESSING HERE IS TWO-FOLD.	7	EITHER IN JUDGE LAPORTE'S ORDER IN THE AT THE TIME OF THE
8	ONE, YOU KNOW, THEY DRAW THIS DISTINCTION BETWEEN A LOST	8	PRETRIAL CONFERENCE WHEN THE WHOLE SECOND ISSUE WITH REGARD
9	OPPORTUNITY TO UPSELL AND CROSS-SELL AND A LOST EXPECTED	9	UPSELL AND RESALE APPEARED.
10	OPPORTUNITY TO UPSELL AND CROSS-SELL.	10	THERE'S NO DISTINCTION. THE FIRST THIS EVEN OCCURRED
11	YOUR HONOR, THAT'S A DISTINCTION WITHOUT A	11	TO ME WAS ON FRIDAY WHEN THE EXHIBIT WAS SHOWN SHOWING SAF
12	DIFFERENCE. LOST PROFITS ARE PROFITS THAT WERE NEVER MADE, SO	12	PROJECTIONS. I IMMEDIATELY THOUGHT, HMM, I WONDER WHAT THAT
13	WHETHER YOU CALL IT A LOST OPPORTUNITY OR A LOST EXPECTED	13	MEANS IN TERMS OF ARGUMENT AS TO ORACLE'S PROJECTIONS. IT NEVE
14	OPPORTUNITY, IT'S THE SAME THING. AND IT PLAYS OUT IN THE	14	OCCURRED TO ME THAT THERE WAS A DISTINCTION TO BE MADE.
15	EVIDENCE OF THIS CASE THE SAME WAY, BECAUSE YOU'LL SEE WHAT WE	15	JUDGE LAPORTE'S ORDER DOESN'T ADDRESS IT. NO ORDER
16	HAVE HERE. AND IT'S, FRANKLY	16	THAT I'VE ISSUED ADDRESSES THIS. AS FAR AS I'M CONCERNED, THIS
17	FRANKLY, DIFFICULT FOR ME TO STAY OUT OF THE WAY.	17	IS ENTIRELY NEW ISSUE. IT IS NOT BARRED BY THE PRIOR DISCOVERY
18	MAY I USE YOUR MICROPHONE, MR. PICKETT?	18	ORDER. IT COULDN'T CONCEIVABLY BE BARRED WHEN I DIDN'T EVEN
19	MR. PICKETT: SURE. IT'S NOT A OPRAH MIKE, BUT	19	KNOW IT WAS AN ISSUE AT THE TIME THAT I ADOPTED THE SANCTIONS
20	MR. McDONELL: YOUR HONOR, WE HAVE TO GO BACK TO	20	ORDER.
21	FIRST PRINCIPLES, AND WHAT IS THE SUBSTANCE OF THE HARM WE'RE	21	SO THE QUESTION IS WHETHER OR NOT IRRESPECTIVE OF THE
22	TALKING ABOUT HERE? WHAT WE DID NOT GET WAS HISTORICAL UPSELI	. 22	SANCTION ORDER, WHETHER OR NOT THE EVIDENCE SHOULD COME IN.
23	AND CROSS-SELL INFORMATION ABOUT WHAT THESE COMPANIES,	23	THE ONLY QUESTION HERE IS WHETHER OR NOT IT WAS PRODUCED IN
24	PEOPLESOFT AND SIEBEL HAD ACTUALLY ACCOMPLISHED OVER TIME GO	ING 24	DISCOVERY. AND TO THE EXTENT THAT IT WASN'T PRODUCED IN
			Discovert. And to the extent that it which theoree it
25	ALL THE WAY BACK TO THE PERIOD SEVERAL YEARS BEFORE THOSE	25	DISCOVERY, THE DIFFICULTY FOR SAP AT THIS POINT IS THAT YOU
	ALL THE WAY BACK TO THE PERIOD SEVERAL YEARS BEFORE THOSE Page 816	25	
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	Page 819		Page 821
1	THE COURT: AFTER THE INFRINGEMENT BEGAN.	1	ON IT. THAT'S THAT'S WHY IT'S NOT AN ISSUE. THAT'S WHY
2	MR. McDONELL: NO, YOUR HONOR. AT ANY TIME. WE	2	THERE'S NO PREJUDICE, UNLIKE IN THE OTHER SITUATION.
3	ASKED FOR DISCOVERY OF ALL FINANCIAL INFORMATION RELATING TO	3	NOW, THERE IS SOME CLAIM HERE THAT WHICH IS A NEW
4	THESE ISSUES, AND WE DIDN'T GET IT. WE WERE CONSISTENTLY DENIED	4	CLAIM SOME PRIOR DATA WAS NOT PRODUCED. BUT TWO POINTS ON
5	AND ONLY ALLOWED REVENUES CONCERNING DELIVERY OF SUPPORT	5	THAT. FIRST THESE PROJECTIONS ARE THE BEST EVIDENCE OF WHAT'S
6	SERVICES. NO SOFTWARE LICENSE SALES HISTORICAL DATA WAS	6	IN THEIR MIND. AND THEY HAD THOSE.
7	PRODUCED. THAT'S A SETTLED ISSUE. JUDGE LAPORTE FOUND THAT.	7	SECOND, AS I SAID, IT WAS A HOSTILE TAKE-OVER. THERE
8	THE BOOK IS CLOSED.	8	WAS NO OTHER INFORMATION OTHER THAN 10K'S AND PUBLICLY AVAILAB
9	THE PROBLEM WITH THAT AND WHAT WE'RE TALKING ABOUT	9	INFORMATION. AND WHEN WE PUT WHEN WE PRESENT THIS, WE WILL
10	HERE AND NOW IS WHY ARE WE PREJUDICED AS A RESULT OF THAT? AND	10	LAY THAT FOUNDATION TO SHOW THAT IT'S PUBLICLY AVAILABLE
11	IT'S VERY STRAIGHTFORWARD, AND LET ME BE TRY TRY TO BE	11	INFORMATION. BUT IT IS THE PROJECTION.
12	VERY CLEAR.	12	YOU KNOW, LET'S JUST STEP BACK FOR A MOMENT. THE
13	THEY NOW WANT US TO ACCEPT THESE PROJECTIONS AT FACE	13	BIG WE ALL KNOW THAT THIS IS A MAINTENANCE BUT THEN THE IDEA
14	VALUE. FACE VALUE. WE NOW HAVE NO CHOICE, THEY WILL SAY, BUT	14	IS YOU GET THE APPLICATION SOFTWARE. AND THEN ONCE YOU GET THAT
15	TO ACCEPT THESE PROJECTIONS UPON WHICH THEY FOUND THEIR THEIR		SOFTWARE, YOU GET MAINTENANCE FOR YOURS. THAT'S THE WAY THE
16	BILLION-DOLLAR CLAIM.	16	BUSINESS RUNS FOR BOTH SAP AND ORACLE.
17	AND, AGAIN, I GO BACK TO A PROJECTION, WITHOUT MORE,	17	AND WE KNOW THAT WHEN A PARTY WOULD BE NEGOTIATING
18	IS JUST SOMEBODY WRITING DOWN ON A PIECE OF PAPER WHAT THEY	18	THIS, SAP (SIC) HAD A CERTAIN THING IN MIND. THEY THOUGHT THE
19	MIGHT WANT TO SELL. AND WHAT WE DIDN'T GET WAS THE HISTORICAL	19	COMBINATION OF MAINTENANCE AND UPSELL WOULD, IN THE FIRST THREE
20	ACTUAL UPSELL AND CROSS-SELL EXPERIENCE BEFORE THE DATE OF THES		YEARS, COME UP TO ALMOST \$900 MILLION. WHAT WOULD BE ON THE SAP
21	PROJECTIONS. HAD WE HAD THAT EVIDENCE, WE COULD HAVE CRITICALL		SIDE?
22	ASSESSED THESE PROJECTIONS AND	22	WELL, IT'S THIS DATA RIGHT HERE, WHICH, AGAIN, HAS
23	THE COURT: NOW, ARE YOU SAYING, THEN, THAT THE	23	BEEN PRODUCED LONG AGO, FULLY DISCLOSED, FULL OPPORTUNITY TO
24	PROJECTIONS THAT ARE INCLUDED POST-ACQUISITION OF TOMORROWNOV		TAKE DISCOVERY AND. AND IF THEY DISAGREE, AND THEY DO, THEY CAN
25	SAP, THAT'S POST-JANUARY 2005, ARE BASED UPON THE PRE-2005	25	SAY TO MR. ELLISON, WELL, YOU KNOW, YOU THOUGHT THAT IT'S 20 TO
1		10 1	Page 822
1	SALES, AND YOU'RE SAYING THAT YOU DIDN'T RECEIVE THE UNDERLYI		30 PERCENT OF THE CUSTOMERS OR 30 PERCENT OF THE CUSTOMERS. AND
2	SALES, AND YOU'RE SAYING THAT YOU DIDN'T RECEIVE THE UNDERLYI INFORMATION THAT SUPPORTS THE CONCLUSIONS CONTAINED IN THES	E 2	30 PERCENT OF THE CUSTOMERS OR 30 PERCENT OF THE CUSTOMERS. AND THEY CAN CROSS-EXAMINE THAT. AND THE JURY CAN DECIDE. THAT'S
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	Page 823		Page 825
1	THE COURT: AND THE THE IS MR. PICKETT NOT	1	GENERALLY, THESE KINDS OF MATTERS ARE DETERMINED
2	CORRECT THAT YOU HAD THIS PARTICULAR DATA, AND ARE YOU TELLING	2	BEFORE TRIAL. WHEN THE MAGISTRATE JUDGE LAPORTE LOOKED AT
3	ME THAT YOU CHOSE NOT TO TAKE DISCOVERY ON THIS PARTICULAR DATA	3	THESE, SHE MADE A DETERMINATION. I AFFIRMED IT. DIDN'T OCCUR
4	BECAUSE YOU THOUGHT THAT IT WAS BLOCKED BY JUDGE LAPORTE'S	4	TO ME THAT THERE WAS THE DISTINCTION THAT YOU'RE NOW DRAWING.
5	ORDER?	5	YOU ALL NEED TO GIVE ME SOME ASSISTANCE IN
6	MR. McDONELL: NO.	6	DETERMINING HOW I'M SUPPOSED TO DECIDE AN ISSUE OF DISCOVERY
7	THE COURT: AND IF THAT'S THE CASE, I DON'T QUITE	7	ISSUE THAT SHOULD HAVE BEEN RESOLVED BEFORE TRIAL.
8	I DON'T UNDERSTAND HOW THAT WORKS.	8	MR. PICKETT: LET ME BE CRYSTAL CLEAR ABOUT ONE
9	MR. McDONELL: HERE'S THE POINT, YOUR HONOR. BY THE	9	THING. THIS DATA WAS PRODUCED PRIOR TO THEIR FILING THE RULE 37
10	TIME IT BECAME KNOWN THAT ORACLE WAS SEEKING DAMAGES BEYOND L	OST 10	MOTION WITH MAGISTRATE JUDGE LAPORTE.
11	SUPPORT PROFITS, IT WAS FAR, FAR LATE IN THE FACT DISCOVERY	11	THE DEPOSITIONS OF MR. ELLISON, MS. CATZ,
12	PERIOD; IN FACT, JUST MONTHS FROM THE CLOSE OF FACT DISCOVERY.	12	MR. PHILLIPS WERE PRIOR TO THEIR MOTION TO MAGISTRATE JUDGE
13	THE ISSUE GOT LITIGATED BEFORE JUDGE LAPORTE AND THEN	13	LAPORTE.
14	LITIGATED BEFORE YOUR HONOR THROUGH THE OBJECTIONS, AND JUDGE	14	IF THEY HAD SOME QUARREL WITH WHAT THESE PROJECTIONS
15	LAPORTE FOUND THAT THIS WAS OUT OF BOUNDS, PERIOD. AND FOR	15	WERE OR WHETHER THERE WAS SOMETHING MORE THEY NEEDED, OR TH
16	PURPOSES OF YOUR ADOPTING ORDER, WE UNDERSTOOD THAT YOU TOOK	16	WAS SOMETHING MISSING, WOULDN'T THEY HAVE TOLD JUDGE LAPORTE
17	THAT EVEN ONE STEP TOWARDS FURTHER CLARIFICATION BY SAYING THIS	17	ABOUT IT RATHER THAN TRYING TO SWEEP THIS IN NOW AND SAY THAT
18	IS NOT COMING IN THROUGH THE BACK DOOR EITHER.	18	WELL, PROJECTIONS, YOU KNOW, AREN'T GOOD ENOUGH. PROJECTIONS
19	WE UNDERSTOOD THAT LOST UPSELL AND CROSS-SELL	19	ARE PRECISELY THE ISSUE.
20	OPPORTUNITIES WERE OFF THE TABLE. YES, WE HAD SOME PROJECTION	20	KEEP IN MIND THE CASE LAW ON THIS HYPOTHETICAL
21	DOCUMENTS.	21	NEGOTIATION. IT'S NOT BASED ON YOU KNOW, AFTER THE FACT.
22	THE COURT: SO YOU THINK THE DISTINCTION BETWEEN THE	22	IT'S BASED ON PROJECTIONS IN THE MIND AT THE TIME. THAT'S THIS
23	ACTUAL SALES, WHICH IS WHAT I WAS CONCENTRATING ON, AS OPPOSED	23	EVIDENCE. THEY'VE HAD IT. THEY'VE HAD AN OPPORTUNITY AND
24	TO THE PROJECTED SALES YOU THINK THERE'S NO YOU HAVE	24	IT'S FAR TOO LATE TO COME IN HERE NOW AND TRY AND CUT THIS OUT,
25	CONSTRUED THE ORDER AS NOT PROVIDING A DISTINCTION BETWEEN THOS	E 25	PARTICULARLY WHEN THEY'VE OPENED THE DOOR AGAIN AND AGAIN AN
	Page 824		Page 826
1	TWO.	1	AGAIN.
2	MR. McDONELL: IT'S MORE THAT IT IT'S THE	2	
-		2	MR. McDONELL: YOUR HONOR, LET'S COME BACK TO WHAT'S
3	SUBSTANCE I COME BACK TO IS WE DIDN'T HAVE ACTUAL DATA EITHE		,
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-	
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2	
3	CERTIFICATE OF REPORTER
4	WE, RAYNEE H. MERCADO AND DIANE E. SKILLMAN, OFFICIAL
5	REPORTERS FOR THE UNITED STATES COURT, NORTHERN DISTRICT OF
6	CALIFORNIA, HEREBY CERTIFY THAT THE FOREGOING PROCEEDINGS IN
7	C07-01658PJH, ORACLE USA, INC., ET AL. V. SAP AG, ET AL., WERE
8	REPORTED BY US ON, MONDAY, NOVEMBER 8, 2010, CERTIFIED
9	SHORTHAND REPORTERS, AND WERE THEREAFTER TRANSCRIBED UNDER OUR
10	DIRECTION INTO TYPEWRITING; THAT THE FOREGOING IS A FULL,
11	COMPLETE AND TRUE RECORD OF SAID PROCEEDINGS AS BOUND BY US AT
12	THE TIME OF FILING.
13	THE VALIDITY OF THE REPORTER'S CERTIFICATION OF
14	SAID TRANSCRIPT MAY BE VOID UPON DISASSEMBLY AND/OR REMOVAL
15	FROM THE COURT FILE.
16	
17	- Raynee H. Mereado
18	RAYNEE H. MERCADO, CSR, RMR, CRR, FCRR, CCRR
19	$M \cdot \xi h =$
20	Miare & Skilm
21	DIANE E. SKILLMAN, CSR, RPR, FCRR
22	
23	TUESDAY, NOVEMBER 9, 2010
24	
25	

	UNITED STA	ATES DIS	TRICT COURT
	NORTHERN DIS	STRICT O	F CALIFORNIA
BEFORE THE	HONORABLE PHYLLIS	S J. HAM	ILTON, JUDGE
ORACLE CORE	PORATION, ET AL.)	JURY TRIAL
	PLAINTIFFS,))	NO. C 07-01658 PJH
VS.)	VOLUME 9
SAP AG, ET	AL.,))	PAGES 1512 - 1695
	DEFENDANTS.) _)	OAKLAND, CALIFORNIA TUESDAY, NOVEMBER 16, 2010

TRANSCRIPT OF PROCEEDINGS

APPEARANCES:

FOR PLAINTIFFS: BINGHAM MCCUTCHEN LLP

BY:	THREE EMBARCADERO CENTER SAN FRANCISCO, CALIFORNIA 94111-4607 ZACHARY J. ALINDER,
	HOLLY A. HOUSE, GEOFFREY M. HOWARD, DONN P. PICKETT, ATTORNEYS AT LAW
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OAKLAND, CALIFORNIA 94612 BY: DAVID BOIES, STEVEN C. HOLTZMAN, ATTORNEYS AT LAW

(APPEARANCES CONTINUED NEXT PAGE)

REPORTED BY:

RAYNEE H. MERCADO, CSR NO. 8258 DIANE E. SKILLMAN, CSR NO. 4909

RAYNEE H. MERCADO, CSR, RMR, CRR, FCRR, CCRR (510) 451-7530

COVERED BY A MOTION IN LIMINE ORDER.		Page 1679
	1	DO YOU RECALL THAT?
MR. BOIES: ALL	2	A. IDO.
THE COURT: WERE YOU GETTING READY TO SAY I WILL	3	Q. NOW, THE VALUATION DATE FOR THAT LICENSE WOULD HAVE BEEN
WITHDRAW IT?	4	EITHER JANUARY 18TH OR JANUARY 19TH OF 2005; IS THAT CORRECT?
MR. BOIES: NO.	5	A. YES.
THE COURT: WHICH IN LIMINE ORDER?	6	Q. AND WHEN YOU ARE VALUING SOMETHING AS OF A VALUATION DATE,
MR. MITTELSTAEDT: ABOUT PRECLUDED EVIDENCE.	7	YOU NEED TO LOOK AT THE STATE OF AFFAIRS THAT EXISTS AT THAT
THE COURT: DO YOU REMEMBER WHICH NUMBER IT WAS?	8	TIME, NOT SOME OTHER TIME. FAIR?
THERE WAS A NUMBER OF THEM.	9	A. YES.
MR. MITTELSTAEDT: NUMBERS ONE AND TWO.	10	Q. SO THAT WHAT YOU WOULD BE LOOKING AT IS WHAT WOULD HAVE
MR. BOIES: THIS JUST REQUIRES A "YES" OR "NO"	11	BEEN IN THE MINDS OF SAP AND ORACLE ON JANUARY 18TH OR
ANSWER AT THIS POINT.	12	JANUARY 19TH, CORRECT?
THE COURT: YOU MAY ANSWER THIS QUESTION, BUT YOU	13	A. YES.
MAY NOT EXPLORE THAT AREA.	14	O. ON JANUARY 18TH OR 19TH JUST SO I DON'T HAVE TO KEEP
	15	
THE WITNESS: NO.		SAYING IT, IN YOUR OPINION, IS IT THE 18TH OR IS IT THE 19TH? A. LET'S CALL IT THE 19TH.
BY MR. BOIES:	16	
Q. WHAT?	17	Q. ON JANUARY 19TH, NEITHER SAP NOR ORACLE HAD A CRYSTAL BALL
A. NO.	18	THAT WOULD HAVE TOLD THEM EXACTLY WHAT WAS GOING TO HAPPEN I
Q. OKAY.	19	THE FUTURE, CORRECT?
MR. BOIES: LET ME PUT ONE QUESTION AND WHICH I	20	A. NO CRYSTAL BALL.
DON'T THINK HAS ANYTHING TO DO WITH THE IN LIMINE MOTIONS, YO		Q. SO ALL THEY COULD GO ON IN NEGOTIATING A LICENSE WAS WHAT
HONOR, BUT LET ME JUST PUT IT AND SEE.	22	THEY KNEW AND BELIEVED AT THE TIME, CORRECT?
BY MR. BOIES:	23	A. CORRECT.
Q. DID YOU INVESTIGATE THE EXTENT TO WHICH ORACLE REDUCED PRICES IN ORDER TO KEEP CUSTOMERS?	24 25	Q. SO IN TERMS OF COMING UP WITH WHAT YOU REFER TO AS THIS HYPOTHETICAL LICENSE, WHAT YOU ARE GOING TO BE DOING IS TRYING
Page 1678		
		Page 1680
MR. MITTELSTAEDT: SAME OBJECTION.	1	Page 1680 TO FIGURE OUT WHAT WOULD HAVE BEEN THEIR PLANS AND
	1 2	
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MR. MITTELSTAEDT: SAME OBJECTION. THE COURT: I THINK THAT'S GETTING PRETTY CLOSE,	2	TO FIGURE OUT WHAT WOULD HAVE BEEN THEIR PLANS AND EXPECTATIONS I THINK YOU USED THE WORD "FORECASTS" AT
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Raynee H. Mercado, CSR, RMR, CRR, FCRR & Diane E. Skillman, CSR, RPR, FCRR

	Page 1681		Page 1683
1	WHAT THEY FORECASTED OR PROJECTED WAS GOING TO HAPPEN AS A	1	A. YES, I THINK I DID.
2	RESULT OF THEIR USE OF THE COPYRIGHTED MATERIALS, CORRECT?	2	Q. SO YOU TRIED TO FIND EXAMPLES OF LUMP SUM ROYALTY PAYMEN
3	A. THAT'S PARTLY CORRECT.	3	FOR INTELLECTUAL PROPERTY, CORRECT?
4	AS I SAY, IT'S A DOCUMENT I WOULD CONSIDER, BUT IN	4	A. CORRECT.
5	TERMS OF QUANTIFYING A NUMBER, I THINK THAT WOULD ONLY BE	5	Q. WHAT WAS THE HIGHEST ONE YOU FOUND?
6	RELEVANT IF YOU WERE DOING A LUMP SUM PAID IN ADVANCE.	6	A. I DON'T RECALL.
7	SO IN MY ANALYSIS, BECAUSE I AM USING WHAT I KNOW AT	7	Q. APPROXIMATELY?
8	THAT POINT TO COME UP WITH A ROYALTY RATE AS OPPOSED TO A LUI	AP 8	A. I COULDN'T TELL YOU.
9	SUM PAYMENT, I CONSIDERED IT AND I DIDN'T RELY ON IT TO DO A	9	Q. JUST A RANGE.
10	CALCULATION.	10	A. I CAN'T TELL YOU. I WOULD TELL YOU IF I REMEMBERED, BUT I
11	Q. LET ME FOLLOW UP ON THAT.	11	DON'T REMEMBER.
12	YOU DID NOT CALCULATE A LUMP SUM ROYALTY PAYMENT,	12	Q. WAS IT MORE THAN A QUARTER OF A BILLION DOLLARS?
13	CORRECT?	13	A. YOU KNOW, I COULDN'T TELL YOU.
14	A. THAT'S CORRECT.	14	O. MORE THAN HALF A BILLION DOLLARS?
15	Q. YOU DID NOT MAKE ANY EFFORT TO DETERMINE WHAT THE AMOUNT		A. I CAN'T TELL YOU MEANS I CAN'T TELL YOU. I COULDN'T TELL
16	OF A LUMP SUM ROYALTY PAYMENT WOULD HAVE BEEN IF THAT IS WE		YOU.
10 17	THE PARTIES HAD AGREED TO, CORRECT?	17	Q. YOU DID THIS IN THE COURSE OF YOUR WORK ON THIS CASE,
18	A. THAT'S CORRECT.	18	CORRECT?
19	Q. NOW, YOU ARE AWARE OF LICENSE NEGOTIATIONS FOR SOFTWARE	19	A. I DID IT LOOKING TO SEE IF THERE WERE COMPARABLE
20	THAT RESULT IN LUMP SUM ROYALTY PAYMENTS, CORRECT?	20	TRANSACTIONS, NOT FOR JUST ANY OLD TRANSACTION. AND YOUR
21	A. YES.	21	QUESTION SEEMS TO BE BROADER THAN THE COMPARABLE TRANSACTIN
22	Q. AND YOU ARE AWARE OF LICENSE AGREEMENTS THAT INVOLVE A	22	Q. ALL RIGHT.
23	VERY SUBSTANTIAL AMOUNT OF MONEY THAT RELATE TO LUMP SUM	23	LET ME REFINE THAT. WHEN YOU TALK ABOUT A
24	ROYALTY PAYMENTS, CORRECT?	24	COMPARABLE TRANSACTION, WHAT ARE THE CRITERIA THAT YOU USE T
25	A. WELL, I DON'T REALLY KNOW WHAT YOU MEAN BY "VERY	25	DETERMINE WHETHER A ROYALTY PAYMENT IS OR IS NOT COMPARABLE
	Page 1682		Page 1684
1	SUBSTANTIAL", BUT I	1	THIS CASE?
2	Q. LET ME PUT A NUMBER ON IT.	2	A. WHAT I WAS LOOKING FOR WAS TRANSACTIONS, MOSTLY BY THE TV
3	A. LET ME FINISH MY QUESTION (SIC).	3	PARTIES TO THIS CASE, TO SEE IF THEY HAD SOMETHING IN THEIR
4	Q. OKAY.	4	BACKGROUND THAT MIGHT BE HELPFUL AND INSTRUCTIVE.
5	A. I AM NOT I CAN'T THINK OF A PARTICULAR LUMP SUM PAID IN	5	AND THEN I WAS LOOKING TO SEE IF I COULD FIND
б	ADVANCE ROYALTY THAT I WOULD DESCRIBE AS VERY SUBSTANTIAL.	6	LICENSES FOR SIGNIFICANT SOFTWARE SYSTEMS THAT WOULD WHER
7	Q. OKAY.	7	THERE WOULD BE A LUMP SUM PAYMENT FOR THAT ACQUISITION. NOT
8	WOULD YOU DESCRIBE SOMETHING IN EXCESS OF A BILLION	8	AN OWNERSHIP, BUT ONLY OF A LICENSE.
9	DOLLARS AS VERY SUBSTANTIAL?	9	Q. I AM ONLY TALKING ABOUT LICENSES.
10	A. YES, I THINK THAT WILL BE PRETTY SUBSTANTIAL.	10	A. I UNDERSTAND.
11	Q. SO, IS IT YOUR TESTIMONY THAT YOU'RE NOT AWARE OF ANY LUMP	11	Q. I AM LEAVING OWNERSHIP ASIDE.
12	SUM ROYALTY PAYMENTS FOR INTELLECTUAL PROPERTY OF MORE THA	NA 12	A. I AM LETTING YOU KNOW WHAT I LOOKED FOR.
13	BILLION DOLLARS?	13	Q. AND YOU DIDN'T JUST LIMIT YOUR INVESTIGATION TO
	A. NOT THAT I CAN THINK OF THAT WILL BE A TALL COMPARABLE	14	TRANSACTIONS BETWEEN SAP AND ORACLE, DID YOU?
14		15	A. YOU KNOW, FAIRLY SOON INTO THIS PIECE OF WORK I GAVE UP ON
	WITH THIS CASE.		
15		16	I DECAUSE I CAME TO THE CONCLUSION THAT A LUMP SUM WOULDN T
15 16	Q. SINCE I AM NOT EXACTLY SURE OF WHAT YOU MEAN BY	16 17	IT BECAUSE I CAME TO THE CONCLUSION THAT A LUMP SUM WOULDNT WORK IN THE CIRCUMSTANCES AND I WASN'T GETTING ANY RESULTS F
15 16 17	Q. SINCE I AM NOT EXACTLY SURE OF WHAT YOU MEAN BY "COMPARABLE WITH THIS CASE," LET ME ASK A MORE GENERAL	17	WORK IN THE CIRCUMSTANCES AND I WASN'T GETTING ANY RESULTS F
15 16 17 18	Q. SINCE I AM NOT EXACTLY SURE OF WHAT YOU MEAN BY "COMPARABLE WITH THIS CASE," LET ME ASK A MORE GENERAL QUESTION.	17 18	WORK IN THE CIRCUMSTANCES AND I WASN'T GETTING ANY RESULTS FI LOOKING FOR THIS TYPE OF THING. SO, I GAVE UP, IF YOU LIKE.
15 16 17 18 19	Q. SINCE I AM NOT EXACTLY SURE OF WHAT YOU MEAN BY "COMPARABLE WITH THIS CASE," LET ME ASK A MORE GENERAL QUESTION. ARE YOU AWARE OF ANY LUMP SUM ROYALTY PAYMENTS FOR	17 18 19	WORK IN THE CIRCUMSTANCES AND I WASN'T GETTING ANY RESULTS F LOOKING FOR THIS TYPE OF THING. SO, I GAVE UP, IF YOU LIKE. I DIDN'T, MAYBE DIDN'T COMPLETE AN ENTIRE ANALYTICAL
15 16 17 18 19 20	Q. SINCE I AM NOT EXACTLY SURE OF WHAT YOU MEAN BY "COMPARABLE WITH THIS CASE," LET ME ASK A MORE GENERAL QUESTION. ARE YOU AWARE OF ANY LUMP SUM ROYALTY PAYMENTS FOR INTELLECTUAL PROPERTY IN EXCESS OF A BILLION DOLLARS, WHETHER	17 18 19 20	WORK IN THE CIRCUMSTANCES AND I WASN'T GETTING ANY RESULTS FI LOOKING FOR THIS TYPE OF THING. SO, I GAVE UP, IF YOU LIKE. I DIDN'T, MAYBE DIDN'T COMPLETE AN ENTIRE ANALYTICAL APPROACH WHICH IS WHAT I WOULD BE DOING IF SOMEONE SAID, "I
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Raynee H. Mercado, CSR, RMR, CRR, FCRR & Diane E. Skillman, CSR, RPR, FCRR

CERTIFICATE OF REPORTER

WE, RAYNEE H. MERCADO AND DIANE E. SKILLMAN, OFFICIAL REPORTERS FOR THE UNITED STATES COURT, NORTHERN DISTRICT OF CALIFORNIA, HEREBY CERTIFY THAT THE FOREGOING PROCEEDINGS IN C07-01658PJH, ORACLE USA, INC., ET AL. V. SAP AG, ET AL., WERE REPORTED BY US ON, TUESDAY, NOVEMBER 16, 2010, CERTIFIED SHORTHAND REPORTERS, AND WERE THEREAFTER TRANSCRIBED UNDER OUR DIRECTION INTO TYPEWRITING; THAT THE FOREGOING IS A FULL, COMPLETE AND TRUE RECORD OF SAID PROCEEDINGS AS BOUND BY US AT THE TIME OF FILING.

THE VALIDITY OF THE REPORTER'S CERTIFICATION OF SAID TRANSCRIPT MAY BE VOID UPON DISASSEMBLY AND/OR REMOVAL FROM THE COURT FILE.

Paymer J. Mereado

RAYNEE H. MERCADO, CSR, RMR, CRR, FCRR, CCRR

Viane E. Skillman

DIANE E. SKILLMAN, CSR, RPR, FCRR

WEDNESDAY, NOVEMBER 17, 2010