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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

IN RE CHARLES SCHWAB CORP.  
SECURITIES LITIGATION,

Master File No. C-08-01510-WHA

CLASS ACTION

This Document Relates to All Cases

STIPULATION AND ~~PROPOSED~~ ORDER  
GRANTING JOINT ADMINISTRATIVE  
MOTION TO FILE CLASS LIST UNDER  
SEAL

1 WHEREAS, the Court asked the parties to submit a list of every class member bound by  
2 the judgment;

3 WHEREAS, the Court stated that if good cause is shown, the entire list may be placed  
4 under seal; and

5 WHEREAS, there is good cause to file the entire list under seal because its public  
6 disclosure would expose class members' nonpublic personal financial information, potentially  
7 expose them to identity theft and unwelcome marketing, and because the class list is a protectable  
8 trade secret.

9 IT IS HEREBY STIPULATED among the undersigned parties, subject to Court approval,  
10 as follows:

- 11 1. The Joint Administrative Motion to File Class List Under Seal is granted.
- 12 2. The parties may file the entire class list under seal.

13 IT IS SO STIPULATED.

14 Dated: March 28, 2011

STEVE W. BERMAN  
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REED R. KATHREIN  
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19 By /s/ \_\_\_\_\_  
20 Sean R. Matt

21 Attorneys for Lead Plaintiff YieldPlus Investor  
22 Group

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28

1 Dated: March 28, 2011

2 FAITH E. GAY  
3 RICHARD A. SCHIRTZER  
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12 Charles Schwab & Co., Inc., Charles Schwab  
13 Investment Management, Inc., Schwab  
14 Investments, Charles R. Schwab, Randall W.  
15 Merk, Evelyn Dilsaver, George Pereira, Matthew  
16 Hastings, Mariann Byerwalter, Donald F.  
17 Doward, William A. Hasler, Robert G. Holmes,  
18 Gerald B. Smith, Donald R. Stephens, and  
19 Michael W. Wilsey

20 Dated: March 28, 2011

21 DAVID B. BAYLESS  
22 TAMMY ALBARRÁN  
23 AILEEN WHEELER  
24 COVINGTON & BURLING LLP

25 By /s/ \_\_\_\_\_  
26 David B. Bayless

27 Attorneys for Kimon Daifotis

28 PURSUANT TO STIPULATION, IT IS SO ORDERED.

Dated: March 28, 2011



\_\_\_\_\_  
The Honorable William Alsup  
United States District Judge