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United States District Court
For the Northern District of California

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

IN RE: No. C 08-01510 WHA
CHARLES SCHWAB CORPORATION
SECURITIES LITIGATION.

This Document Relates
To All Cases.

**FURTHER QUESTIONS
FOR ORAL ARGUMENT**

Please be prepared on the following additional questions at oral argument:

- 7. Does §17200 require a “practice,” i.e., is a *single* failure to hold a vote sufficient?
- 8. Plaintiffs claim that the disclosures held out the fund as akin to a money market fund but with a greater yield, i.e., almost no risk of losing principal. Some or all of the disclosures, however, stated that the fund would invest in MBS. Isn’t there a jury question whether the MBS disclosure was adequate in light of the main theme of akin to a money market fund?
- 9. The bench set of disclosures should also tab and highlight with a different color from the MBS ones all passages said by plaintiffs to be misleading in the stack of key documents.

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10. If some of these questions are outside the pending briefing, please say so.

IT IS SO ORDERED.

Dated: March 24, 2010.



WILLIAM ALSUP
UNITED STATES DISTRICT JUDGE