

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

United States District Court  
For the Northern District of California

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

IN RE: No. C 08-01510 WHA  
CHARLES SCHWAB CORPORATION  
SECURITIES LITIGATION.

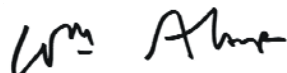
This Document Relates  
To All Cases.

**ORDER TO BRING CERTAIN  
ITEMS TO THE HEARING  
ON THE VALIDITY OF  
DEFENDANTS' NOTICE OF  
TERMINATION**

Bring to the hearing tomorrow copies of the final memorandum of understanding and all drafts in sequence of the Stipulation of Settlement for the federal securities claims, the final version, and the amendments. Also bring all written communications between the two sides on the subjects of (i) the exclusion of the Section 17200 claim, (ii) the description of the Section 17200 claim in the notice to class members, (iii) the effect of the Section 17200 exclusion on the plan of distribution, and (iv) paragraph three of the proposed final judgment. In addition bring any writings (including e-mails) that summarize, describe, or refer to communications with the other side on any of the foregoing subjects. The extent to which these communications will be required to be turned over will depend on the course of the argument, but the Court wants these in the courtroom to be considered as needed.

**IT IS SO ORDERED.**

Dated: November 17, 2010.

  
\_\_\_\_\_  
WILLIAM ALSUP  
UNITED STATES DISTRICT JUDGE