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IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

NEXTG NETWORKS, INC, a Delaware corporation, No C08-1565 VRW

ORDER

Plaintiff and Counter-Defendant,

v

NEWPATH NETWORKS, LLC, a New Jersey limited liability corporation,

Defendant and Counter-Plaintiff.

This suit focuses on United States Patent No 5,682,256 ('256 Patent) which describes a communication system with all transceivers centralized at a base station. This order addresses the claim construction of the '256 Patent.

I

On March 21, 2008, NextG Networks, Inc ("NextG") filed a complaint against NewPath Networks, LLC ("NewPath") for infringement of the '256 Patent. Doc #1. On May 15, 2008, NewPath filed an answer to the complaint and a counterclaim for, among

1 others, a declaratory judgment of invalidity of the '256 Patent.
2 Doc #7. After filing a joint claim construction statement, Doc
3 #50, the parties filed claim construction briefs to support their
4 proposed construction of a number of disputed claim terms. Docs
5 #53, #58 and #68. This order construes the disputed terms.

6
7 II

8 Claim construction is an issue of law and it begins "with
9 the words of the claim." Nystrom v TREX Co, Inc, 424 F3d 1136,
10 1142 (Fed Cir 2005). Claim terms are "generally given their
11 ordinary and customary meaning" unless the patent specification or
12 file history contains a clearly stated "special definition."
13 Vitronics Corp v Conceptronic, Inc, 90 F3d 1576, 1582 (Fed Cir
14 1996). Moreover, "the ordinary and customary meaning of a claim
15 term is the meaning that the term would have to a person of
16 ordinary skill in the art in question at the time of the
17 invention." Phillips v AWH Corp, 415 F3d 1303, 1313 (Fed Cir
18 2005). Such a person understands the claim term by "looking at the
19 ordinary meaning in the context of the written description and the
20 prosecution history." Medrad, Inc v MRI Devices Corp, 401 F3d
21 1313, 1319 (Fed Cir 2005). References to "preferred embodiments"
22 in the written description and prosecution history are not claim
23 limitations. Laitram Corp v Cambridge Wire Cloth Co, 863 F2d 855,
24 865 (Fed Cir 1988).

25 Relatedly, each claim is presumed to have a different
26 scope. Kraft Foods, Inc v Int'l Trading Co, 203 F3d 1362, 1368
27 (Fed Cir 2000). The doctrine of "claim differentiation" cannot,
28 however, be applied to reclaim subject matter surrendered during

1 prosecution. Fantasy Sports Properties, Inc v Sportsline.com, Inc,
2 287 F3d 1108, 1115-16 (Fed Cir 2002). If a limitation on claim
3 scope is premised upon subject matter surrendered during
4 prosecution, the "disclaimer * * * must be both clear and
5 unmistakable." Sorenson v ITC, 427 F3d 1375, 1378-79 (Fed Cir
6 2005).

7 Further, it is appropriate "for a court to consult
8 trustworthy extrinsic evidence to ensure that the claim
9 construction it is tending to from the patent file is not
10 inconsistent with clearly expressed, plainly apposite and widely
11 held understandings in the pertinent technical field." Pitney
12 Bowes, Inc v Hewlett-Packard Co, 182 F3d 1298, 1309 (Fed Cir 1999).
13 Extrinsic evidence "consists of all evidence external to the patent
14 and prosecution history, including expert and inventor testimony,
15 dictionaries, and learned treatises." Phillips, 415 F3d at 1317.
16 All extrinsic evidence should be evaluated in light of the
17 intrinsic evidence. *Id* at 1319.

18 With these principles in mind, the court now turns to the
19 construction of the disputed claim language of the '256 Patent.
20

21 III

22 As the '256 Patent describes, a cellular communication
23 system includes radio transceivers, located at base stations, which
24 communicate with a fixed communication network. Doc #50-2 Exh A at
25 9 ('256 Patent at 1:10-19). As the number of mobile users in the
26 system increases, the number of base stations with radio
27 transceivers must increase to accommodate the increased radio
28

1 traffic. Id (1:24-30). The difficulties associated with equipping
2 the base stations and finding suitable locations make increasing
3 the capacity of cellular communication systems complicated and
4 expensive. Id (1:26-36).

5 The '256 Patent describes a communication system in which
6 all transceivers are centrally located at base centers* and
7 communicate with fixed radio ports via a fiber optic network. Id
8 (1:40-47, 2:1-5). The fixed radio ports transmit and receive radio
9 carriers to and from roaming radio equipment. Id (2:50-52). To
10 facilitate transmission of radio frequency ("RF") signals over
11 fiber optics, "radio/optical interfaces" modulate the RF signals
12 onto optical signals and demodulate RF signals from the optical
13 signals. Id (2:48-50).

14 Several claim terms are in dispute, and the parties have
15 stipulated to constructions of several other claim terms. See Doc
16 #50. The court accepts the parties' stipulated constructions for
17 purposes of this action. The terms in dispute are: "radio/optical
18 interfaces;" "fixed radio ports;" "a fiber optic network for * * *
19 carrying the RF signals by means of optical signals;" "RF-modulated
20 optical signals;" "independently operated radio frequency (RF)
21 transceivers;" "a fiber optic network for selectively
22 interconnecting the RF transceivers and the fixed radio ports;" "a
23 matrix switch for selectively interconnecting the transceivers and
24 the radio ports;" "diversity operation;" "dynamic channel
25 allocation;" and "trunked RF communication channels." Doc #50. In
26

27 _____
28 * Although the '256 Patent uses both British and American spellings,
for consistency the court will use only American spellings.

1 addition, the parties agree that certain terms share the meanings
2 of some claim terms in dispute. Id.

3 NextG alleges NewPath has infringed claims 1-4, 7, 8, 12-
4 16, 19 and 20 of the '256 Patent. Doc #53 at 9. The disputed
5 terms are found in asserted claims 1, 2, 13-15, 19 and 20. See Doc
6 #50-2 Exh A at 11-12 ('256 Patent at 5:12-8:10). The relevant
7 portions of each claim are presented below with disputed language
8 underscored the first time it appears.

9 1. A communications system comprising: a base center
10 having a plurality of independently operated radio
11 frequency (RF) transceivers at the base center for
12 providing a plurality of radio frequency (RF)
13 communications links; a plurality of fixed radio ports
14 through which RF signals can be transmitted and received
15 over the air; a fiber optic network for selectively
16 interconnecting the RF transceivers and the fixed radio
17 ports, and for carrying the RF signals by means of
18 optical signals; a plurality of radio/optical interfaces
19 by which RF signals can be modulated onto and demodulated
20 from one or more optical signals, which interfaces are
21 between the RF transceivers and the fiber optic network,
22 and between the fiber optic network and the fixed radio
23 ports.

24 2. A communications system comprising: a base center
25 having a plurality of independently operated radio
26 frequency (RF) transceivers for providing a plurality of
27 radio frequency (RF) communications links; a plurality of
28 fixed radio ports through which RF signals can be
transmitted and received over the air; a fiber optic
network for selectively interconnecting the RF
transceivers and the fixed radio ports, and for carrying
the RF signals by means of optical signals; a plurality
of radio/optical interfaces by which RF signals can be
modulated onto and demodulated from one or more optical
signals, which interfaces are between the RF transceivers
and the fiber optic network, and between the fiber optic
network and the fixed radio ports; and a matrix switch
for selectively interconnecting the transceivers and the
radio ports through the fiber optic network.

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1 13. A communications system comprising:

2 a base center having a plurality of independently
3 operated radio frequency (RF) transceivers at the base
4 center for establishing at least one radio frequency
5 (RF) communication link;

6 a plurality of remotely located, fixedly situated, radio
7 frequency (RF) communication ports for transceiving
8 radio frequency (RF) signals via the air;

9 an optical fiber network selectively connecting said base
10 center with each of said remote RF communication ports;

11 an RF/optical signal interface at said base center
12 connected between said optical fiber network and said
13 radio frequency transceivers for converting
14 RF-modulated optical signals to RF electrical signals
15 and for converting RF electrical signals to
16 RF-modulated optical signals; and

17 an RF/optical signal interface at each of said RF
18 communication ports connected to said optical fiber
19 network for converting RF-modulated optical signals to
20 RF electrical signals and for converting RF electrical
21 signals to RF-modulated optical signals.

22 14. A cellular communications system for interfacing with
23 plural portable or mobile RF transceivers via plural
24 fixed RF communication ports, said system comprising:

25 a plurality of trunked RF communication channels
26 including a plurality of independently operated RF
27 transceivers accessible at a base center location;

28 an optical fiber network selectively interconnecting said
base center location to said fixed RF communication
ports; and

an RF/optical signal interface at both said base center
and said fixed RF communication ports for converting RF
electrical signals to RF-modulated optical signals and
for converting RF-modulated optical signals to RF
electrical signals so that communications between the
base center and the fixed RF communication ports is via
RF-modulated optical signals,

whereby RF transceiver resources may be concentrated and
commonly located at the base center for servicing a
plurality of fixed RF communication ports.

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1 15. A cellular communications system having trunked RF
2 communication channels at a base station interfacing with
3 plural portable or mobile RF transceivers via plural
4 fixed RF communication ports distributed among
5 overlapping cellular communication zones, said system
6 comprising:

7 an optical fiber network selectively interconnecting said
8 base station and its trunked RF communication channels
9 with said fixed RF communication ports; and

10 an RF/optical signal interface at each end of the fiber
11 network for converting RF electrical signals to
12 RF-modulated optical signals and vice versa.

13 * * *

14 19. A communication system as in claim 2 wherein
15 diversity operation is provided by combining or switching
16 between two or more radio points in the matrix switching.

17 20. A communication system as in claim 2 wherein dynamic
18 channel allocation is controlled in the base center.

19 Doc #50 Exh A at 11-12 ('256 Patent at 5:12-8:10).

20 A

21 "Fixed radio ports;" "remotely located, fixedly situated, radio
22 frequency (RF) communication ports;" "fixed RF communication ports"

23 The term "fixed radio ports" is found in claims 1 and 2
24 and non-asserted claim 6. Doc #50-2 Exh A at 11 ('256 Patent at
25 5:12-39, 5:47-6:2). The term "remotely located, fixedly situated,
26 radio frequency (RF) communication ports" is found in claim 13. Id
27 (6:20-43). The term "fixed RF communication ports" is found in
28 claims 14 and 15. Id at 11-12 (6:44-7:7). The parties agree the
terms should share the same construction, but the parties' proposed
constructions differ.

NextG argues that "fixed radio port" be construed as "an
apparatus in which RF signals can be transmitted and received over
the air but which contains no radio frequency transceivers. Each

1 of the fixed radio ports is fixed in location and distributed over
2 a geographic area." Doc #50 at 4. NewPath argues that "fixed
3 radio ports" be construed as:

4 Fixed ports that are remote from a base center and
5 comprise: (i) a radio/optical interface configured to
6 directly modulate RF carrier signals onto optical carrier
7 signals using analog RF modulation and to directly
8 demodulate RF carrier signals off of analog RF modulated
9 optical carrier signals; and (ii) an antenna configured
10 to directly receive and transmit the RF carrier signals
11 that are modulated and demodulated onto and off of the
12 optical carrier signals. The RF carrier signals are
13 themselves modulated to carry communication signals, such
14 as voice or data signals, but modulation/demodulation of
15 the communication signals onto and off of the RF carrier
16 signals does not occur at the fixed radio ports.

17 Fixed radio ports are not configured to perform any
18 switching related to the selective interconnecting, do
19 not include radio frequency transceivers (defined above)
20 and do not include A/D or D/A converters. Fixed radio
21 ports may include other electronic or optical components
22 as are commonly used in RF antenna systems for the
23 transmission of the RF signal to and from the antenna
24 including, for example, amplifiers and attenuators.

25 Id.

26 A construction in which fixed radio ports transmit and
27 receive RF signals over the air is consistent with the parties'
28 proposals. In addition, "fixed in location" reflects the ordinary
meaning of "fixed."

In support of its construction, NewPath points to an
instance in the specification where the radio/optical interfaces
are located at the fixed radio ports. Doc #50-2 Exh A at 9 ('256
Patent at 2:39-49). Because this refers to one embodiment of the
patented system, the court does not adopt the limitation. Laitram
Corp, 863 F2d at 865. Further, the claim language places the
radio/optical interfaces between the fiber optic network and the
fixed radio ports, which permits the fixed radio ports and the

1 radio/optical interfaces to be separate elements. Doc #50 at 4.
2 Additionally, NewPath argues that the patentee stated during
3 prosecution that RF optical modulation and demodulation occur at
4 the fixed radio ports. Doc #60-2 Exh C at 41 (Feb '93 Response at
5 NGP 410). This statement provides no support for NewPath's
6 proposed limitation, however, because the patentee was discussing
7 only one embodiment of the patented system. Laitram Corp, 863 F2d
8 at 865.

9 The parties disagree whether fixed radio ports require
10 antennas. NextG argues that the specification merely suggests that
11 the system "may possess an antenna that can be configured to
12 directly receive and transmit RF signals" but that antennas are not
13 required. Doc #53 at 11. NewPath asserts that antennas are
14 required but does not make an argument to support its proposed
15 construction. Accordingly, the court does not construe fixed radio
16 ports to require an antenna.

17 NewPath proposes a construction that modulation/
18 demodulation of the communication signals does not occur at the
19 fixed radio ports based on statements made during prosecution. Doc
20 #60-2 Exh C at 41 (Feb '93 Response at NGP 410) ("The only signal
21 processing activity taking place at the radio ports is extraction
22 of the radio carrier signal from the optical carrier (so that the
23 extracted radio signal carrier may continue onwards and be
24 transceived via the air link to a mobile unit)."). Similarly,
25 NewPath argues the patentee expressly disclaimed digital conversion
26 during prosecution, in particular in its discussion of prior art US
27 Patent No 4,807,222 ("Amitay"). Doc #58 at 8-12. ("It is not true
28 that Amitay in any way suggests that those RF signals be directly

1 modulated onto optical signals."). For the court to accept
2 NewPath's proposed limitations, the prosecution history disclaimer
3 would need to be clear and unmistakable. Sorenson, 427 F3d at
4 1378-79. Here, however, the patentee's statements during
5 prosecution are not unambiguous, and the court declines to include
6 NewPath's proposed limitation.

7 Finally, NewPath offers no argument to support its
8 proposed limitation that "fixed radio ports" "[m]ay include other
9 electronic or optical equipment." Moreover, the court rejects a
10 construction which merely provides an example of fixed radio ports.

11 The court will construe "fixed radio port" to be "a radio
12 port, fixed in location, that transmits and receives RF signals
13 over the air."

14
15 B

16 "Radio/optical interfaces;" "RF/optical signal interface"

17 The term "radio/optical interfaces" appears in claims 1
18 and 2. Doc #50-2 Exh A at 11 ('256 Patent at 5:12-39). The term
19 "RF/optical signal interface" appears in claims 13, 14 and 15. Id
20 at 11-12 (6:20-7:7). The parties agree the terms should share the
21 same construction, but the parties' proposed constructions differ.

22 NextG argues that "radio/optical interface" should be
23 construed to be an "apparatus that places a radio frequency (RF)
24 signal onto an optical carrier or conversely recovers an RF signal
25 from an optical carrier." Doc #50 at 12. NewPath argues that
26 "radio/optical interface" be construed as:

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1 The fiber optic network has RF to optical interfaces
2 providing direct analog modulation of optical signals by
3 an RF signal and direct demodulation of optical signals
4 to produce RF signals. The RF signals must be directly
5 modulated onto and demodulated off of the optical carrier
6 signals without any intervening modification of the RF
7 signals such as frequency shifting or digitizing of the
8 RF signals.

9 These limitations are not satisfied by a device
10 configured to carry RF signals that have been converted
11 into intermediate frequency signals or into digital
12 signals which are then modulated onto optical carrier
13 signals.

14 Id.

15 The specification describes radio/ optical interfaces as
16 capable of "plac[ing] an RF signal onto an optical carrier or
17 conversely recover[ing] an RF signal from an optical carrier." Doc
18 #50-2 Exh A at 9 ('256 Patent at 2:40-42). This description is
19 consistent with both parties' constructions.

20 NewPath seeks to preclude digitized RF signals. As noted
21 above, the court does not find the patentee "clearly and
22 unmistakably" disclaimed digital conversion during prosecution.
23 Additionally, NewPath provides no support for the "frequency
24 switching" limitation, and its proposed last sentence is
25 repetitive.

26 The court construes "radio/optical interface" to be "an
27 apparatus capable of placing an RF signal onto an optical carrier
28 or conversely recovering an RF signal from an optical carrier."

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1
2 "RF-modulated optical signals"

3 The term "RF-modulated optical signals" appears in claims
4 13, 14 and 15. Doc #50-2 Exh A at 11-12 ('256 Patent at 6:20-7:7).
5 NextG argues that a "RF-modulated optical signal" be construed as
6 an "optical signal where one or more property has been varied for
7 the purpose of carrying an RF signal transmitted by a transceiver
8 and where the optical signal can be converted back to an RF
9 electrical signal without a transceiver." Doc #50 at 19. NewPath
10 argues that "RF-modulated optical signals" be construed to be
11 "optical signals which are directly modulated by radio frequency
12 signals using analog techniques and which can be directly
13 demodulated to produce the radio frequency signals using only an
14 RF/optical signal interface. Optical signals that are modulated by
15 a digital signal are not RF-modulated optical signals." Id.

16 A construction in which RF-modulated optical signals are
17 optical signals modulated by radio frequency signals is consistent
18 with both parties' constructions. NewPath again attempts to
19 preclude digitized RF signals, but because the patentee did not
20 clearly and unmistakably disclaim digital conversion during
21 prosecution, the court declines to adopt this limitation.

22 The best construction the court can offer for the term
23 "RF-modulated optical signals" is "optical signals modulated by
24 radio frequency signals," but this construction merely reorders the
25 words in the claim term. Because the parties fail to persuade the
26 court to adopt an alternate construction, the court declines to
27 construe the term.

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D

1
2 "Independently operated radio frequency (RF) transceivers"

3 The term "[i]ndependently operated radio frequency (RF)
4 transceivers" appears in claims 1, 2, 13 and 14. Doc #50-2 Exh A
5 at 11 ('256 Patent at 5:12-39, 6:20-63). NextG argues that the
6 term should be construed as a "radio frequency receiving and
7 transmitting apparatus that can transmit and receive RF signals
8 that are different [from] the other RF transceivers at the base
9 center." Doc #50 at 26.

10 NewPath argues that the term should be construed as
11 follows:

12 "RF transceivers receive signals, such as digital
13 baseband signals, and up convert them to RF frequency
14 signals and receive RF frequency signals and down convert
15 them to signals, such as base band digital signals.
16 Electronic or optical devices which merely pass an RF
17 signal, such as attenuators, amplifiers, isolators,
18 combiners, analog optical modulators, and switches are
19 not RF transceivers."

20 Id.

21 The parties agree that RF transceivers receive and
22 transmit RF signals. Doc #50 at 26. The court accepts that
23 interpretation. "Independently operated" need not be construed
24 beyond its ordinary meaning. Vitronics, 156 F3d at 1582.
25 Accordingly, the court does not include "independently operated" in
26 its construction.

27 In support of its construction, NewPath notes that the
28 patentee stated in prosecution that conversion to and from RF
occurs at the base station. Doc #68 at 23. NewPath's argument is
unconvincing - all references are to modulation at the base station
and do not specifically identify the transceivers as the equipment

1 performing the conversion. No reason exists to include specific
2 examples of what the claim term precludes.

3 NextG's construction fails because it does not provide a
4 role for the RF transceivers. If the RF transceivers simply
5 transmit and receive RF signals, then they are no different from
6 the fixed radio ports.

7 The parties agree that "RF transceivers" transmit and
8 receive RF signals and do not explain why the term requires further
9 construction. Accordingly, the court declines to construe the
10 term.

11
12 E

13 "A fiber optic network for selectively interconnecting the RF
14 transceivers and the fixed radio ports;" "an optical fiber network
15 selectively connecting said base center with each of said remote RF
16 communication ports;" "an optical fiber network selectively
17 interconnecting said base center location to said fixed RF
18 communication ports;" "an optical fiber network selectively
19 interconnecting said base station and its trunked RF communication
20 channels with said fixed RF communication ports"

21 The term "a fiber optic network for selectively
22 interconnecting the RF transceivers and the fixed radio ports"
23 appears in claims 1 and 2. See Doc #50-2 Exh A at 11 ('256 Patent
24 at 5:12-49). The term "an optical fiber network selectively
25 connecting said base center with each of said remote RF
26 communication ports" appears in claim 13. See Doc #50-2 Exh A at
27 11 ('256 Patent at 6:20-43). The term "an optical fiber network
28 selectively interconnecting said base center location to said fixed
RF communication ports" appears in claim 14. See Doc #50-2 Exh A
at 11 ('256 Patent at 6:44-62). The term "an optical fiber network
selectively interconnecting said base station and its trunked RF

1 communication channels with said fixed RF communication ports"
2 appears in claim 15. See Doc #50-2 Exh A at 11-12 ('256 Patent at
3 6:63-7:7). The parties agree the terms should share the same
4 construction, but the parties' proposed constructions differ.

5 NextG argues that the term should be construed as an
6 "arrangement of fiber optic cables and associated electrical and
7 optical components allowing the interconnection between the radio
8 frequency transceivers and fixed radio ports to be configurable
9 such that radio frequency transceivers communicate with chosen
10 fixed radio ports." Doc #50 at 29. NewPath argues that the term
11 be construed as follows:

12 The fiber optic network comprises an RF or optical
13 switching matrix that is configured to selectively and
14 dynamically connect each of the RF transceivers in the
15 base station to each of the fixed radio ports.

16 An optical network that hardwires one RF transceiver to
17 one or more fixed radio ports and hardwires a second RF
18 transceiver to another set of one or more fixed radio
19 ports does not satisfy these limitations. One or more
20 bandpass filters hardwired into connections to respective
21 fixed radio ports does not satisfy these limitations. An
22 optical network that must be physically rewired to
23 connect an RF transceiver to a fixed radio port or to
24 disconnect an RF transceiver from a fixed radio port does
25 not satisfy these limitations. Equipment in an optical
26 network that can be turned off for maintenance purposes
27 does not satisfy these limitations of the claims. A
28 matrix switch configured to selectively interconnect a
plurality of inputs that are not radio frequency inputs
or optical inputs carrying directly modulated RF signals
with a plurality of outputs does not satisfy these
limitations of the claims. Time and Frequency Division
Multiplexing does not satisfy these limitations of the
claims.

Id.

 A construction in which the fiber optic network connects
the RF transceivers and the fixed radio ports is consistent with
the parties' proposals. NewPath argues that the '256 Patent's

1 specification makes frequent references to the NxM switch as the
2 "central part of the base center." See, for example, Doc #50-2 Exh
3 A at 10 ('256 Patent 3:11-15, 4:55-58). NewPath argues these
4 references require a matrix switch limitation. Doc #58 at 10.
5 Because the specification refers to a preferred embodiment, the
6 court declines to read this limitation into the claims. Laitram
7 Corp, 863 F2d at 865.

8 On the other hand, NextG argues that the doctrine of
9 claim differentiation requires a finding that a matrix switch is
10 not a limitation of claim 1. Doc #53 at 24. The limitation "a
11 matrix switch" is introduced at claim 10, which is dependent on
12 claim 1. Doc #50-2 Exh A at 11 ('256 Patent 5:12-24, 6:09-12).
13 NewPath argues that claim differentiation does not apply because
14 during prosecution the patentee repeatedly distinguished and
15 disclaimed hardwired systems that lacked a matrix switch configured
16 to interconnect any of the transceivers selectively to any of the
17 fixed radio ports. Doc #58 at 15. The following is representative
18 of the patentee's prosecution arguments:

19 [T]he Examiner notes that Barnes et al teaches a
20 switching matrix * * * such switch matrices are merely
21 "conventional voice communication circuit switching
22 matrices." * * * Such switches clearly have nothing to do
with switching at RF/optical interfaces so as to
facilitate the use of shared RF transceiver resources at
a central site.

23 Doc #60-2 Exh D at 39-41 (May 93 Response at NGP 407-08). The
24 statements do not amount to a clear and unmistakable disclaimer of
25 hardwired systems or systems without a matrix switch.

26 In addition, the patentee stated "[t]he necessary
27 switching at the central station to selectively connect
28 transceivers and radio ports may be done in the RF domain or in the

1 optical domain." Doc #60-3 Exh H at 42-43 (Jan 96 Response at NGP
2 963-64). This, however, does not disclaim hardwired systems or
3 systems without matrix switches. The statement requires that
4 selective interconnection include switching but does not require a
5 matrix switch.

6 The patentee also noted that "[n]one of the four
7 references, either alone or in combination, teach or suggest
8 numerous features of Applicants' invention, including (among other
9 things) RF modulation of laser input and Applicant's matrix
10 switch." Id at 43 (NGP 964). But this does not disclaim hardwired
11 systems or systems without matrix switches. The patentee merely
12 described differences between certain embodiments of the invention.
13 None of these disclaimers is "clear and unmistakable."
14 Accordingly, the court finds that the intrinsic evidence does not
15 require a matrix switch.

16 NewPath argues that the interconnection must be dynamic.
17 Doc #58 at 12-15. Selective interconnection is a required element
18 of the system because it is included in all independent claims.
19 See Doc #50-2 Exh A at 11-12 ('256 Patent at 5:12-7:6). Selective
20 interconnection need not be dynamic, however. The specification
21 states that "any of the carriers allocated to the service * * * can
22 be dynamically assigned." Doc #50-2 Exh A at 10 ('256 Patent at
23 3:46-47). Again, this merely describes a preferred embodiment.
24 Similarly, all NewPath's references to the prosecution history
25 discuss embodiments of the system and therefore do not require
26 dynamic interconnection. See, for example, Doc #60-2 Exh D at 49
27 (May 93 Response at NGP 571) ("[T]he RF radio transceivers * * *

28

1 can be dynamically allocated (via NxM switch 13) among the various
2 ports.").

3 The patented system does not preclude hardwired
4 switching. NewPath points to the specification in support of its
5 argument to the contrary, but the specification merely describes
6 possible embodiments of the system. See, for example, Doc #50-2
7 Exh A at 10 ('256 Patent at 3:40-43) ("[O]ther significant
8 advantages include the possibility of handover between ports
9 covering different zones or areas, by simply switching between
10 optical carriers, i e, by switching within the NxM matrix 13.").
11 The court thus declines to adopt a construction precluding
12 hardwired switching.

13 NewPath's proposed construction precludes a system in
14 which the fiber optic network can be turned off for maintenance.
15 NextG argues that this is absurd. Doc #53 at 25. The court
16 agrees.

17 NewPath's proposed construction precludes time and
18 frequency division multiplexing, but time and frequency division
19 multiplexing is specifically noted in the specification and claims.
20 See Doc #50-2 Exh A at 9-11 ('256 Patent at 2:6-9,4:21-35, 5:39-
21 43). Because NewPath provides no argument for precluding time and
22 frequency multiplexing, the court rejects NewPath's proposed
23 limitation.

24 NewPath proposes that "[a] matrix switch configured to
25 selectively interconnect a plurality of inputs that are not radio
26 frequency inputs or optical inputs carrying directly modulated RF
27 signals with a plurality of outputs does not satisfy [the
28 definition]." Again, NewPath attempts to preclude digital

1 conversion. The court rejects NewPath's proposed limitation
2 because the patentee did not clearly and unmistakably disclaim
3 digital conversion during prosecution.

4 Finally, the court finds "fiber optic network" to have a
5 plain meaning and not to require construction. Moreover, the court
6 observes that the contested "term" is a phrase rather than a claim
7 term. Removal of "fiber optic network" from the phrase addresses
8 this deficiency.

9 The court construes "selectively interconnecting the RF
10 transceivers and the fixed radio ports" to be "interconnecting an
11 RF transceiver and a fixed radio port by switching."

12
13 F

14 "A fiber optic network for * * * carrying the RF signals by means
15 of optical signals"

16 The term "a fiber optic network for * * * carrying the RF
17 signals by means of optical signals" appears in claims 1 and 2 and
18 non-asserted claim 6. Doc #50-2 Exh A at 11 ('256 Patent at 5:12-
19 39, 5:47-6:2). NextG seeks the following construction:

20 The fiber optic network (an arrangement of fiber optic
21 cables and associated electrical and optical components)
22 carries optical signals where one or more properties of
23 the optical signals have been varied for the purpose of
24 carrying RF signals transmitted by transceivers and where
25 the optical signals can be converted back to RF
26 electrical signals without transceivers.

27 Doc #50 at 38.

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NewPath seeks the following construction:

The fiber optic network has RF to optical interfaces providing direct analog modulation of optical signals by an RF signal and direct demodulation of optical signals to produce RF signals. The RF signals must be directly modulated onto and demodulated off of the optical carrier signals without any intervening modification of the RF signals such as frequency shifting or digitizing of the RF signals. These limitations are not satisfied by a network configured to carry RF signals that have been converted into intermediate frequency signals or into digital signals which are then modulated onto optical carrier signals.

Id.

The contested "term" is a phrase rather than a claim term. The individual terms within the phrase are plain: "fiber optic network," "RF signals" and "optical signals." The parties do not persuade the court to construe a phrase in which the individual terms are plain. Accordingly, the court declines to construe the phrase.

G

"A matrix switch for selectively interconnecting the transceivers and the radio ports"

The term "a matrix switch for selectively interconnecting the transceivers and the radio ports" appears in claim 2 and non-asserted claim 6. Doc #50-2 Exh A at 11 ('256 Patent at 5:25-39, 5:47-6:2). NextG argues that the term should be construed as "an apparatus that can be configured to allow signals presented at N inputs to be routed to one or more of M outputs, as determined by the user. The matrix switch is configured by the user to interconnect the radio frequency transceivers with chosen fixed radio ports." Doc #50 at 45. NewPath argues that the term should be construed per the proposed construction for "a fiber optic

1 network for selectively interconnecting the RF transceivers and the
2 fixed radio ports." Id.

3 The only difference between this term and "a fiber optic
4 network for selectively interconnecting the RF transceivers and the
5 fixed radio ports" is that "a matrix switch" has replaced "a fiber
6 optic network" in the phrase. As before, the court removes "a
7 matrix switch" from the claim phrase. The court construes the term
8 "selectively interconnecting the RF transceivers and the fixed
9 radio ports" as before: "interconnecting an RF transceiver and a
10 fixed radio port by switching."

11
12 H

13 "Diversity operation"

14 The term "diversity operation" appears in Claims 11 and
15 19. Doc #50-2 Exh A at 11-12 ('256 Patent at 5:12-16, 8:6-8).
16 NextG argues that "diversity operation" should be construed as
17 "[r]eceiving RF signals transmitted by a mobile device at more than
18 one fixed radio port and utilizing each of the received signals to
19 form a single signal." Doc #50 at 47. NewPath argues that
20 "diversity operation" be construed as follows:

21 Selection, at the base center, of one from or
22 alternatively some combination of several simultaneously
23 received RF signals from multiple fixed radio ports
24 corresponding to an RF signal transmitted from a
25 mobile/portable device and the selective transmission of
26 the RF signal to the mobile/portable device through one
27 or more radio ports in support of handover between fixed
28 radio ports controlled by the base station.

Macro diversity and handover utilize a switching and
combining mechanism (e.g., matrix switch) to route and
combine/switch RF signals to/from the RF transceivers.

Id.

1 A construction in which two or more RF signals are
2 required is consistent with the parties' proposals. This comports
3 with the ordinary meaning of "diversity."

4 NextG's limitation "utilizing each of the received
5 signals to form a single signal" conflicts with NewPath's
6 limitation "[s]election * * * of one from or alternatively some
7 combination of * * * [the] received RF signals." The parties
8 provide conflicting expert testimony on whether diversity operation
9 requires using all of the received signals. Doc #54 at 27-28
10 (Nettleton Decl at ¶¶ 82-83); Doc #59 at 11 (Gilchrist Decl at ¶
11 29). The evidence does not convince the court that either
12 limitation should be read into the term.

13 NewPath provides little evidence to support its many
14 limitations, arguing only that the following limitation added to
15 dependent claims 11 and 19 mandates its proposed construction:
16 "diversity operation is provided by combining or switching between
17 two or more radio points in the matrix switch." Doc #58 at 26.
18 NewPath does not explain how this limitation in a dependent claim
19 requires the many additional limitations it seeks to include.

20 The '256 Patent states that diversity could be achieved
21 centrally through switching or combining two or more ports. Doc
22 #50-2 at 10 ('256 Patent at 3:43-46). Because the radio ports are
23 fixed in location, "switching or combining two or more ports"
24 cannot be physical switching of the fixed radio ports. The court
25 reads "ports," in this context, to refer to the RF signals. The
26 extrinsic evidence supports this reading and, moreover, persuades
27 the court that a person having ordinary skill in the art in 1988
28 would understand diversity operation to be limited to this

1 construction. Doc #55-15 Exh 14 at 4 (Weik Communications Standard
2 Dictionary at 270) ("Diversity reception[:] * * * a resultant
3 signal is obtained by a combination or selection, or both, of two
4 or more independent sources of received signal energy.").

5 The court will construe "diversity operation" to be
6 "combining or switching between two or more RF signals."

7
8 I

9 "Dynamic channel allocation"

10 The term "dynamic channel allocation" appears in claims
11 12 and 20. Doc #50-2 Exh A at 11-12 ('256 Patent at 5:17-19, 8:9-
12 10). NextG argues that "dynamic channel allocation" should be
13 construed as "[a]ssigning the RF channels generated at the base
14 center to chosen fixed radio ports." Doc #50 at 51. NewPath
15 argues that "dynamic channel" be construed as follows:

16 RF channel selection made by the base center on a
17 call-by-call basis at call setup time based on a dynamic
18 channel allocation algorithm which, for example,
minimizes channel interference.

19 Dynamic Channel Allocation as claimed requires the
20 allocation and control of base center resources including
21 the allocation of an RF transceiver, a path through the
NxM switch and a mapping to a network connection (e.g.,
an ISDN voice call).

22 Id.

23 NextG supports its proposed construction by citing to the
24 specification, which notes that "any of the carriers allocated to
25 the service, rather than a given subset, can be dynamically
26 assigned at the centralized base to a given zone or cell." Doc
27 #50-2 Exh A at 10 ('256 Patent at 3:46-50). See also Id (3:50-56).

1 This supports a construction in which the dynamic allocation occurs
2 in the base center.

3 NewPath provides little argument to support its proposed
4 construction. NewPath only argues that NextG's definition would
5 make claims 12 and 20 no different from the independent claims on
6 which they depend, which already require selectively
7 interconnecting the transceivers and the fixed radio ports. Doc
8 #58 at 26. This is not true. Claim 1 does refer to a "fiber optic
9 network for selectively interconnecting the transceivers and the
10 fixed radio ports." NextG's definition of "dynamic channel
11 allocation," however, provides a means for assigning the
12 transceiver to fixed radio ports through a fiber optic network
13 interconnection. Claim 1 only discusses the medium through which
14 the interconnection is made - the fiber optic network.

15 The parties' constructions center on the role of
16 "dynamic," but neither construction defines "channel allocation."
17 Because the parties fail to persuade the court to construe "channel
18 allocation," the court declines to do so. The specification
19 requires "dynamic allocation" to refer to a variation of the
20 channel allocation. See Doc #50-2 Exh A at 10 ('256 Patent at
21 3:48-52) ("[A]ny of the RF carriers * * * can be dynamically
22 assigned by the centralized base * * * . Thus an RF carrier may be
23 allocated to both zone 1 and zone 4 * * * . At another time, the
24 RF carrier may be assigned to just zone 3."). In addition, the
25 specification and claim language require "dynamic channel
26 allocation" to occur at the base center. See *id*; *id* at 11-12
27 (6:17-19, 8:9-10).
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