

United States District Court  
For the Northern District of California

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IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

CHARLOTTE YEE,  
Plaintiff,  
v.  
HILDA SOLIS, SECRETARY OF LABOR,  
Defendant.

No. C-08-4259 MMC

**ORDER GRANTING DEFENDANT’S  
MOTION FOR SUMMARY JUDGMENT;  
DENYING PLAINTIFF’S MOTION FOR  
SUMMARY JUDGMENT; DENYING  
PLAINTIFF’S MOTION FOR DISMISSAL**

Before the Court is the Motion for Summary Judgment, filed January 22, 2010 by defendant Hilda Solis, Secretary of Labor; plaintiff Charlotte Yee has filed opposition, to which defendant has replied. Also before the Court are plaintiff’s Motion for Summary Judgment or, in the Alternative, Adjudication of Claims, filed January 22, 2010, and “Motion for Dismissal Without Prejudice on Nondiscriminatory (CSRA) Portion of Plaintiff’s Complaint,” filed February 11, 2010; defendant has filed opposition to both motions, and plaintiff has replied to the former.<sup>1</sup>

Having read and considered the papers filed in support of and in opposition to the above-referenced motions, the Court hereby rules as follows.

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<sup>1</sup> The Court construes plaintiff’s opposition to defendant’s motion to incorporate by reference plaintiff’s motion for summary judgment and evidence offered in support thereof.

1 **BACKGROUND**

2 On September 9, 2008 plaintiff, a former economist with the United States  
3 Department of Labor (“DOL”), Bureau of Labor Statistics, filed the instant action against the  
4 Secretary of Labor in her representative capacity, alleging claims of race and sex  
5 discrimination, retaliation, and sexual harassment. On January 7, 2010, plaintiff filed her  
6 Second Amended Complaint (“SAC”), alleging she was discriminated against on the basis  
7 of her race and sex by her former supervisors Nancy Treadwell Sefakis (“Sefakis”) and  
8 Richard Holden (“Holden”), and retaliated against for having made prior complaints of  
9 discrimination. Additionally, plaintiff alleges she was sexually harassed by Holden, in a  
10 manner giving rise to a hostile work environment and ultimately leading to her constructive  
11 discharge.

12 Plaintiff asserts claims under Title VII, 42 U.S.C.A. § 2000e-2 et. seq., the Civil  
13 Service Reform Act (“CSRA”), 5 U.S.C. §§ 1101 et seq., and the Privacy Act, 5 U.S.C. §  
14 552a et seq.

15 Defendant seeks summary judgment on all of plaintiff’s claims. Plaintiff seeks  
16 summary judgment on her Privacy Act Claim and summary adjudication on her Title VII  
17 claim to the extent such claim is based on Holden’s conduct. Plaintiff seeks to dismiss  
18 without prejudice plaintiff’s CSRA claim.

19 **LEGAL STANDARD**

20 Rule 56 of the Federal Rules of Civil Procedure provides that a court may grant  
21 summary judgment “if the pleadings, the discovery and disclosure materials on file, and any  
22 affidavits show that there is no genuine issue as to any material fact and that the movant is  
23 entitled to judgment as a matter of law.” See Fed. R. Civ. P. 56(c).

24 The Supreme Court’s 1986 “trilogy” of Celotex Corp. v. Catrett, 477 U.S. 317 (1986),  
25 Anderson v. Liberty Lobby, Inc., 477 U.S. 242 (1986), and Matsushita Electric Industrial Co.  
26 v. Zenith Radio Corp., 475 U.S. 574 (1986), requires that a party seeking summary  
27 judgment show the absence of a genuine issue of material fact. Once the moving party  
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1 has done so, the nonmoving party must “go beyond the pleadings and by [its] own  
2 affidavits, or by the depositions, answers to interrogatories, and admissions on file,  
3 designate specific facts showing that there is a genuine issue for trial.” See Celotex, 477  
4 U.S. at 324 (internal quotation and citation omitted). “When the moving party has carried  
5 its burden under Rule 56(c), its opponent must do more than simply show that there is  
6 some metaphysical doubt as to the material facts.” Matsushita, 475 U.S. at 586. “If the  
7 [opposing party’s] evidence is merely colorable, or is not significantly probative, summary  
8 judgment may be granted.” Liberty Lobby, 477 U.S. at 249-50 (citations omitted).  
9 “[I]nferences to be drawn from the underlying facts,” however, “must be viewed in the light  
10 most favorable to the party opposing the motion.” See Matsushita, 475 U.S. at 587  
11 (internal quotation and citation omitted).

## 12 DISCUSSION

### 13 A. Disparate Treatment – Title VII

#### 14 1. Applicable Law

15 Under Title VII, it is unlawful for an employer to discriminate with respect to an  
16 individual’s “compensation, terms, conditions or privileges of employment, because of such  
17 individual’s race, color, religion, sex or national origin.” 42 U.S.C. § 2000e-2(a)(1). Courts  
18 analyze discrimination claims brought under Title VII under the burden-shifting framework  
19 first outlined by the Supreme Court in McDonnell Douglas Corp. v. Green, 411 U.S. 792  
20 (1973). Under the McDonnell Douglas framework, a plaintiff must first state a prima facie  
21 case of discrimination. See McDonnell Douglas, 411 U.S. at 802. To establish a prima  
22 facie case of discrimination, a plaintiff must show “(1) she belongs to a protected class, (2)  
23 she was performing according to her employer’s legitimate expectations, (3) she suffered  
24 an adverse employment action, and (4) other employees with qualifications similar to her  
25 own were treated more favorably.” See Bergene v. Salt River Project Agr. Imp. and Power  
26 Dist., 272 F.3d 1136, 1140 (9th Cir. 2001).

27 To establish a prima facie, a plaintiff need only offer admissible evidence that gives  
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1 rise to an inference of unlawful discrimination. See Wallis v. J.R. Simplot Co., 26 F.3d 885,  
2 889 (9th Cir. 1994). “Establishment of the prima facie case in effect creates a presumption  
3 the defendant employer unlawfully discriminated against the employee,” and shifts the  
4 burden of proof to the defendant to articulate a legitimate, nondiscriminatory reason for the  
5 action taken against the employee. See Texas Dep't of Community Affairs v. Burdine, 450  
6 U.S. 248, 254 (1981). The defendant must then submit evidence which, taken as true,  
7 would permit the conclusion there was a non-discriminatory reason for the actions taken.  
8 See St. Mary's Honor Center v. Hicks, 509 U.S. 502, 509 (1993). If the defendant does so,  
9 the presumption raised by the prima facie case is rebutted and the plaintiff must show the  
10 defendant’s proffered reason was a pretext for discrimination. See Burdine, 450 U.S. at  
11 255. At this stage of the analysis, “[t]he mere existence of a prima facie case, based on the  
12 minimum evidence necessary to raise a McDonnell Douglas presumption, does not  
13 preclude summary judgment” in favor the employer. See Wallis v. J.R. Simplot Co., 26  
14 F.3d 885, 890 (9th Cir. 1994). Rather, “[i]n response to the defendant’s offer of  
15 nondiscriminatory reasons, the plaintiff must produce specific, substantial evidence of  
16 pretext.” See id. “In other words, the plaintiff must tender a genuine issue of material fact  
17 as to pretext in order to avoid summary judgment.” See id.

18 **1. Adverse Employment Action**

19 In the instant case, defendant argues, plaintiff has failed to make out a prima facie  
20 case as to her disparate treatment claims, for the reason that plaintiff was not subjected to  
21 an “adverse employment action.” See Bergene, 272 F.3d at 1140 (listing “adverse  
22 employment action” as essential element of disparate treatment claim). For purposes of a  
23 disparate treatment claim under Title VII, “an adverse employment action is one that  
24 ‘materially affect[s] the compensation, terms, conditions, or privileges of . . . employment.’”  
25 See Davis v. Team Elec. Co., 520 F.3d 1080, 1089 (9th Cir. 2008) (quoting Chuang v.  
26 Univ. of Cal. Davis., 225 F.3d 1115, 1126 (9th Cir. 2000). “Among those employment  
27 decisions that can constitute an adverse employment action are termination, dissemination  
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1 of a negative employment reference, issuance of an undeserved negative performance  
2 review and refusal to consider for promotion." See Brooks v. City of San Mateo, 229 F.3d  
3 917, 928 (9th Cir. 2000); see also Chuang, 225 F.3d at 1125-26 (holding "forc[ed]  
4 relocation" of plaintiffs' workspace constituted adverse employment action where relocation  
5 "disrupted important, ongoing" projects, caused plaintiffs to lose research grants, and  
6 resulted in new location "totally inadequate for [plaintiffs'] ongoing research").

7 Here, the employment actions on which plaintiff's claim is based consist of three  
8 written communications: (1) a memorandum, dated January 28, 2004 recommending  
9 plaintiff attend counseling ("counseling memorandum")<sup>2</sup>; (2) a memorandum, dated March  
10 17, 2004 reprimanding plaintiff for a failure to follow instructions ("letter of reprimand"); and  
11 (3) a memorandum, dated April 5, 2007, notifying plaintiff of a proposed disciplinary  
12 suspension ("notice of proposed suspension"). (See Proctor Decl. Exs. C, E, & M.)

13 In support of its motion, defendant argues that the above-referenced  
14 communications did not materially affect the terms conditions or privileges of plaintiff's  
15 employment so as to constitute an adverse employment action under Title VII. The Court  
16 agrees. First, with regard to the counseling memorandum and letter of reprimand, such  
17 communications, as defendant points out and as noted above, do not, as a matter of law,  
18 constitute adverse employment actions. Plaintiff cites no authority to the contrary, nor does  
19 she offer any evidence that she suffered any legally cognizable repercussion as a result of  
20 either such communication. Second, with respect to the notice of suspension, although a  
21 suspension, if imposed, would constitute an adverse employment action, defendant has

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23 <sup>2</sup> The counseling memorandum was issued after plaintiff made an expenditure of  
24 DOL funds, which expenditure required pre-approval by her supervisor, Sefakis. To the  
25 extent plaintiff is claiming the requirement for pre-approval itself constituted an adverse  
26 employment action, and even assuming, arguendo, such requirement could be so  
27 characterized, any claim based thereon fails as a matter of law for the reason that  
28 defendant has submitted evidence sufficient to show Sefakis imposed such requirement on  
all employees under her supervision (see Proctor Decl. Ex. V ("Sefakis Aff.") at DOL00095)  
and plaintiff has offered no evidence to the contrary. See Bergene, 272 F.3d at 1140  
(requiring showing that "other employees with qualifications similar to [plaintiff's] were  
treated more favorably").

1 submitted undisputed evidence that plaintiff was not suspended (see id. Ex. J at DOL395),  
2 that the notice was only the first step in a series of procedures provided to employees to  
3 contest the grounds for any such proposed action (see id. Ex. M at 6), and that plaintiff  
4 resigned prior to availing herself of such process (see id. Ex. J at DOL395). Moreover,  
5 plaintiff, has offered no evidence that any of the above-referenced communications  
6 contained any misstatement of plaintiff's conduct as described therein. Accordingly,  
7 plaintiff has failed to raise a triable issue with respect to this essential element of her  
8 disparate treatment claims.

### 9 **2. Similarly Situated Individuals**

10 Defendant, relying on plaintiff's discovery responses, next argues plaintiff cannot  
11 make out a prima facie case for the additional reason that she has no evidence to support  
12 a finding that similarly situated individuals outside her protected class were treated more  
13 favorably, see Bergene, 272 F.3d at 1140, but only her own inadmissible conclusions.  
14 (See, e.g., Proctor Decl. Ex. A at 72:5-9 ("I don't think you'll find any incident where  
15 [Sefakis has] ever taken these types of actions against white men"); id. at 74:17 ("Did any  
16 white man get one [letter of reprimand]?"); id. at 74:23-75:36 ("So if you're asking me do I  
17 believe it was based on race and sex, . . . those are two factors which are different about  
18 me that don't exist with others. So perhaps those were the reasons.") In response, plaintiff  
19 submits no argument, let alone evidence sufficient to support a finding, to the contrary.

20 Accordingly, plaintiff has failed to raise a triable issue with respect to this essential  
21 element of her prima facie case.

### 22 **3. Pretext**

23 Assuming, arguendo, plaintiff has established a prima facie case, defendant further  
24 argues it has presented undisputed evidence of its legitimate, non-discriminatory reasons  
25 for its issuance of the above-referenced communications and plaintiff has offered no  
26 evidence to support a finding that such reasons were pretextual.

27 In particular, as defendant points out, the counseling memorandum itself contains a  
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1 legitimate, non-discriminatory explanation for its issuance, specifically, that plaintiff had  
2 ignored her supervisor's instructions concerning an expenditure for office equipment. (See  
3 id. Ex. C; see also id. Ex. V ("Sefakis Aff.") at DOL00095 (explaining purchases by all  
4 managers, including plaintiff, were subject to pre-approval by Sefakis "since it [was] a tight  
5 budget year" and Sefakis was "ultimately responsible" and, consequently, "want[ed] to  
6 make sure [her department was] matching [its] resources against its priorities")).

7 Next, the letter of reprimand itself, as defendant again points out, contains a  
8 legitimate, non-discriminatory explanation for its issuance. Specifically, the reprimand was  
9 issued because plaintiff had (1) failed to communicate with her supervisor, (2) failed to  
10 follow procedures for issuing press releases, (3) failed to follow her supervisor's instruction  
11 not to work on certain assignments until receiving further approval, and (4) told her  
12 supervisor she was "not the type that always follows orders and that [her] boss is no one  
13 individual, but rather the taxpayer and the U.S. Government." (See id. Ex. E.)

14 Lastly, the notice of proposed suspension sets forth the reasons for such proposed  
15 action, specifically, plaintiff's disrespectful conduct on four separate occasions, plaintiff's  
16 repeated failure to follow her supervisor's instructions to complete assigned and necessary  
17 work, and two separate acts of insubordination. (See id. Ex. M.)

18 In response, plaintiff has offered no evidence, but only her own inadmissible  
19 conclusions, to support a finding that the above cited reasons were pretextual in nature.  
20 (See, e.g., id. Ex. A at 103:6-10 ("[T]hat's the only conclusion I can reach."); id. at 48:3-4  
21 (opining without factual elaboration: "Sefakis doesn't like minorities[.]"); id. at 169:1-6  
22 ("[M]aybe [Holden] likes fair-skinned asians.")).

#### 23 **4. Conclusion as to Disparate Treatment Claims**

24 Accordingly, for the reasons stated above, summary judgment will be granted in  
25 favor of defendant and against plaintiff on plaintiff's disparate treatment claims.

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1 **B. Retaliaton Claims – Title VII**

2 **1. Legal Standard**

3 Title VII prohibits retaliation against employees who engage in activities protected by  
4 the statute. See Jordan v. Clark, 847 F.2d 1368, 1375-76 (9th Cir. 1998).

5 It shall be an unlawful employment practice for an employer to  
6 discriminate against any of his employees . . . because [the employee] has  
7 opposed any practice made an unlawful employment practice by this  
8 subchapter, or because he has made a charge, testified, assisted, or  
9 participated in any manner in an investigation, proceeding, or hearing  
10 under this subchapter.

11 See id. (citing 42 U.S.C. § 2000e-3(a)).

12 “The McDonnell Douglas order and allocation of proof that governs disparate  
13 treatment claims also governs retaliation claims.” See Yartzoff v. Thomas, 809 F.2d 1371,  
14 1375 (9th Cir. 1987). “A plaintiff may establish a prima facie case of retaliation by showing  
15 that (1) he engaged or was engaged in activity protected under Title VII, (2) the employer  
16 subjected him to an adverse employment decision, and (3) there was a causal link between  
17 the protected activity and the employer’s action.” See id. “If the plaintiff makes out a prima  
18 facie case, the burden then shifts to the defendant to articulate some legitimate, non-  
19 retaliatory reason for the adverse action.” See Jordan, 847 F.2d at 1376. “The burden  
20 then shifts back to the plaintiff to show that the asserted reason is pretextual.” See id.

21 **2. Adverse Employment Actions**

22 Here, defendant argues, plaintiff has not identified any adverse employment action  
23 taken in retaliation for plaintiff’s assertion of her rights under Title VII. For purposes of  
24 retaliation claims under Title VII, “an action is cognizable as an adverse employment action  
25 if it is reasonably likely to deter employees from engaging in protected activity.” See Ray v.  
26 Henderson, 217 F.3d 1234, 1243 (9th Cir. 2000). Put another way, “[o]nly non-trivial  
27 employment actions that would deter employees from complaining about Title VII violations  
28 will constitute actionable retaliation.” See Brooks, 229 F.3d at 928 (listing “termination,  
dissemination of a negative employment reference, issuance of an undeserved negative  
performance review and refusal to consider for promotion” as examples of adverse



1 employment action). Here, the employment actions on which plaintiff's retaliation claim are  
2 based are the above-referenced communications on which plaintiff relies to support her  
3 disparate treatment claim, as well as a sexual harassment complaint made against plaintiff  
4 by Holden on April 11, 2007, and its subsequent investigation by the DOL. As discussed  
5 below, none of said actions is sufficient, either alone or in combination, to support a claim  
6 of retaliation under Title VII.

7 First, criticism of the nature contained in the counseling memorandum and letter of  
8 reprimand is insufficient to support a Title VII retaliation claim. See, e.g., Hellman v.  
9 Weisberg, 2009 WL 5033643 at \*2 (9th Cir. 2009) (finding reprimand received from  
10 employer did not constitute adverse employment action for purposes of retaliation claim).  
11 Further, even assuming, arguendo, either the counseling memorandum or letter of  
12 reprimand could be considered the equivalent of a "performance review," see Brooks, 229  
13 F.3d at 928 (listing "undeserved negative performance review" among cognizable adverse  
14 employment actions), plaintiff, as discussed above, has offered no evidence to support a  
15 finding that any of the criticisms made therein was undeserved.

16 Similarly, the notice of proposed suspension is insufficient to support plaintiff's claim,  
17 as, for purposes of a retaliation claim under Title VII, "the mere threat of termination does  
18 not constitute an adverse employment action." See Hellman, 2009 WL 5033643 at \*2; see  
19 also Baloch v. Kempthorne, 550 F.3d 1191, 1199 (D.C. Cir. 2008) (finding for purposes of  
20 Title VII retaliation claim, proposed suspension of plaintiff "was not materially adverse"  
21 where suspension never imposed); Whittaker v. N. Ill. University, 424 F.3d 640, 647 (7th  
22 Cir.2005) (holding "a suspension without pay that is never served does not constitute an  
23 adverse employment action" for purposes of Title VII retaliation claim).

24 Lastly, to the extent plaintiff's retaliation claim is based on Holden's sexual  
25 harassment complaint and the subsequent investigation thereof (see SAC at ¶ 124-126),  
26 such claim likewise fails as plaintiff cites to no authority, and the Court is aware of none,  
27 holding a complaint of such nature, or the investigation thereof, can support a claim of  
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1 retaliation.

2 Accordingly, plaintiff has failed to raise a triable issue with respect to this essential  
3 element of her retaliation claims.

4 **2. Pretext**

5 Assuming, arguendo, plaintiff has established a prima facie case, defendant further  
6 argues it has presented undisputed evidence of its legitimate, non-retaliatory reasons for  
7 the above-referenced actions and plaintiff has no evidence showing such actions were  
8 pretextual.

9 As noted, the legitimate, non-retaliatory reasons for the counseling memorandum,  
10 letter of reprimand, and notice of proposed suspension are articulated within those  
11 documents. (See Proctor Decl. Ex. C, E, & M.) In response, plaintiff again offers no  
12 evidence, but only her own inadmissible conclusions, to support a finding that the above  
13 cited reasons were pretextual in nature. (See, e.g., id. Ex. A at 72:20-25, 75:12-15).

14 Next, with respect to the “Harassing Conduct Complaint Intake Form” filed by Holden  
15 on April 11, 2007, plaintiff cites to no authority holding a complaint of such nature can itself  
16 constitute retaliation. Moreover, the complaint consists of Holden’s listing of behaviors on  
17 the part of plaintiff that prompted his complaint. In particular, as described by Holden,  
18 plaintiff: (1) “referr[ed] to herself as a wife or work-wife,” (2) “ask[ed] [Holden] questions  
19 about how [he was] doing personally, once after making an uninvited visit to [his] office and  
20 shutting the door,” (3) “[made] inquiries of other staff about their perceptions of [Holden’s]  
21 behavior, after offering her own opinion,” and (4) “inquir[ed] of other staff about [Holden’s]  
22 ‘girlfriend’ and how [he spends his] time on [his] days off.” (See Yee Decl. Ex. 29 at 2.)  
23 Plaintiff has not disputed the truthfulness or accuracy of Holden’s account of her actions,  
24 but, rather, disagrees with Holden’s stated concern that such behavior “suggested that  
25 [plaintiff was] acting on an attraction to [him].” (See id.; see also SAC ¶¶ 124-126.)

26 As defendant further points out, the DOL’s investigation of Holden’s complaint was,  
27 under the agency’s policies and procedures, a requisite response to a report of harassing  
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1 conduct. (See Yee Decl. Ex. 32 at 4) (providing policy and procedures for preventing and  
2 eliminating harassing conduct in the workplace). Plaintiff submits no evidence to dispute  
3 defendant’s description of the DOL’s policies and procedures for responding to reports of  
4 sexual harassment, nor has she submitted any other evidence sufficient to give rise to an  
5 inference that the investigation was pretextual in nature.<sup>3</sup> Rather, the only evidence plaintiff  
6 offers concerns persons not involved in either the filing of Holden’s complaint or the  
7 investigation thereof and consists of remarks, made by such individuals months after  
8 plaintiff’s resignation, that are either wholly neutral in content or adequately explained when  
9 taken in context. (See Yee Decl. Depo 10 at 19:7-17, 37-7-10 & Ex. 2; Yee Decl. Ex. 23 A  
10 at 1.)

### 11 **3. Conclusion as to Retaliation Claims**

12 Accordingly, for the reasons stated above, summary judgment will be granted in  
13 favor of defendant and against plaintiff on plaintiff’s retaliation claims.

## 14 **C. Hostile Work Environment – Title VII**

### 15 **1. Applicable Law**

16 “Title VII is violated if sexual harassment is so severe or pervasive as to create a  
17 hostile work environment.” See Kortan v. Cal. Youth. Authority, 217 F.3d 1104, 1109 (9th  
18 Cir. 2000). “An employer is liable under Title VII for conduct giving rise to a hostile  
19 environment where the employee proves (1) that he was subjected to verbal or physical  
20 conduct of a harassing nature, (2) that this conduct was unwelcome, and (3) that the  
21 conduct was sufficiently severe or pervasive to alter the conditions of [his] employment and  
22 create an abusive working environment.” See id. at 1109-10.

23 Under Title VII, to prove the existence of a hostile work environment, a plaintiff need  
24 not “show that her harassment resulted in tangible loss of an economic character.” See

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26 <sup>3</sup> Indeed, the DOL retained an outside investigator and concluded the investigation  
27 with a determination to take no further action on the complaint. (See Yee Decl. Ex. 24  
28 (“Report of Investigation”) at 2, 7 (“It was decided that no further interviews would be  
conducted because the behaviors . . . as described . . . are open to subjective  
interpretation.”))

1 Jordan v. Clark, 847 F.2d 1368, 1373 (9th Cir. 1998) (internal quotation and citation  
2 omitted). “[I]n order to be actionable under [Title VII],” however, “a sexually objectionable  
3 environment must be both objectively and subjectively offensive, one that a reasonable  
4 person would find hostile or abusive, and one that the victim in fact did perceive to be so.”  
5 See Faragher v. City of Boca Raton, 524 U.S. 775, 787 (1998). In that regard, courts are  
6 to “determine whether an environment is sufficiently hostile or abusive by looking at all the  
7 circumstances, including the frequency of the discriminatory conduct; its severity; whether it  
8 is physically threatening or humiliating, or a mere offensive utterance; and whether it  
9 unreasonably interferes with an employee’s work performance.” See id. at 777-78 (internal  
10 quotation and citation omitted). “Title VII does not prohibit genuine but innocuous  
11 differences in the ways men and women routinely interact with members of the same sex  
12 and of the opposite sex.” Id. (internal quotation and citation omitted). “[S]imple teasing,  
13 offhand comments, and isolated incidents (unless extremely serious) will not amount to  
14 discriminatory changes in the terms and conditions of employment.” Id. (internal quotation  
15 and citation omitted.)

16 The Court next addresses the actions on which plaintiff relies.

## 17 **2. Alleged Assault**

18 Plaintiff alleges a violation of Title VII occurred when, in 2004, she was “assaulted”  
19 by Sefakis, her supervisor at that time. (See SAC at ¶ 11.) Plaintiff’s description of the  
20 incident is as follows:

21 On April 15th between 3 pm and 3:20 pm I had a short exchange with  
22 Nancy [Sefakis]. We had no plans for a meeting and I had not seen her all  
23 day. She came into my office and said, “I got all your email[s] and you are  
24 going to do this.” This was in reference to the budget update. I told her  
25 that I was not opposed to doing it, I just needed to know the jurisdiction.  
26 She slammed the door and came around to me while I was sitting at the  
27 computer. She pushed me hard enough to get between me and my  
28 computer. She pushed my right arm with her hand and pushed my  
knees/legs back with her body. My chair was on rollers and she pushed  
me back far enough to place herself between me and my computer. I tried  
to get up out of my chair, and she blocked my way. I barreled through  
anyway and pushed past Nancy for my door. I didn’t call the police  
because it would have been embarrassing to me and disruptive to my  
staff.

1 (See Yee Decl. Ex. 20, DOL01312; see also Proctor Decl. Ex. H, DOL00018-19.)

2 Defendant argues the above-described incident constituted nothing more than a  
3 non-actionable, isolated occurrence<sup>4</sup> and, in any event, was not motivated by any protected  
4 characteristic of, or conduct on the part of, plaintiff. The Court agrees.

5 First, as noted, “isolated incidents (unless extremely serious) will not amount to  
6 discriminatory changes in the terms and conditions of employment” sufficient to constitute a  
7 hostile environment. See Faragher, 524 U.S. at 788 (internal quotation and citation  
8 omitted). Additionally, defendant has submitted evidence demonstrating the incident was  
9 the result of a dispute over plaintiff’s purchasing authority and control over her budget and  
10 was not motivated by discriminatory or retaliatory animus. (See Proctor Decl. Ex. V at DOL  
11 00098.) Plaintiff has offered no evidence, but only her own inadmissible conclusions, in  
12 response. (See, e.g., id. Ex. A at 45:15-21 (opining incident motivated by discriminatory  
13 animus because “[plaintiff] was happily married with children and [Sefakis] was not”); id. at  
14 48:1-4 (opining, without factual elaboration: “[Sefakis] just doesn’t like minorities”).

15 Accordingly, plaintiff has failed to raise a triable issue with respect to her claim that  
16 the above-described encounter with Sefakis constituted a violation of Title VII.

### 17 **3. Sexual Harassment**

18 In her SAC, plaintiff alleges she was subjected to sexual harassment constituting a  
19 hostile work environment created by her supervisor, Holden, in the course of non-work  
20 related meetings and communications with plaintiff. In particular, plaintiff alleges, Holden:  
21 (1) “would call her into his office just to talk or keep him company”; (2) “became jealous of  
22 men who spoke to her” and “sent [her] an email in which he questioned her relationship  
23 with a guy she hardly knew”; (3) “would ask her to go places with him outside of the office”;  
24 (4) “sent her an email which . . . insisted that she was ignoring him and demanded that she  
25 look at him”; (5) “chased [her] around the file cabinets”; (6) and “fil[ed] a ‘complaint’ with his

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26  
27 <sup>4</sup> Sefakis disputes plaintiff’s account of the incident. (See Proctor Decl. Ex. V at 98-  
28 100.) As noted, however, the Court does not make credibility determinations in the context  
of a motion for summary judgment.

1 superiors stating that [her] actions . . . were indications that she was ‘attracted to [him], her  
2 supervisor.’” (See SAC at 5:9-6:16.)

3 **a. Office Visits**

4 Defendant argues “[p]laintiff has failed to produce a single witness or document  
5 supporting her claims that Holden . . . scheduled meetings with no purpose.” (See Def.’s  
6 Mot. at 21:18-19.) In her SAC, plaintiff alleges two incidents in which she was required to  
7 attend private meetings with Holden.

8 First, on December 15, 2006, Holden, during working hours, allegedly “refused to  
9 send work-related documents to [her] until she met with him in a private closed-door  
10 session.” (See SAC ¶ 57.) The e-mails on which plaintiff’s claim is based, however, do not  
11 support a finding of sexual harassment, as they contain no sexually suggestive content and  
12 reflect no more than Holden’s attempt to provide the documents to plaintiff as expeditiously  
13 as possible given the time constraints pertaining at that time. (See Yee Decl. Ex. 23 B at  
14 19.)

15 Second, Holden allegedly “sent [p]laintiff a March 15, 2007 email stating that if she  
16 refused his private meetings, she would be subject to disciplinary action.” (See SAC ¶ 84.)  
17 The cited e-mail, however, contains no such condition, nor does it contain any sexual or  
18 other improper suggestion, but, rather, consists of a list of complaints about plaintiff’s work,  
19 and, with respect to possible discipline, states:

20 You need to review my instructions, look carefully over the notes, review  
21 information from other plans, and redraft the plan so that it is a completed  
22 product – narrative, plan sheets, and press release schedule appendix. I  
23 also expect the new draft to show thought and care and action items in the  
24 narrative should conform to those in the plan sheets. Since this plan will  
25 be late for submittal to the national office as of COB today, I am directing  
26 you to complete the new draft by noon, March 19. Failure to follow this  
27 direction may lead to disciplinary action.

28 (See Yee Decl. Ex. 33 at 2.)

Accordingly, plaintiff has failed to submit evidence sufficient to support a finding that  
Holden required any private meeting with plaintiff for an improper purpose.

**b. Jealousy**

1 Defendant argues plaintiff has no evidence to support a finding that Holden at any  
2 time behaved in a jealous manner. In her SAC, plaintiff alleges “Holden became extremely  
3 jealous of others who spoke to [p]laintiff, in particular, men” and “[o]nce, he questioned her  
4 relationship with someone she barely knew and sent her an email with a blank inserted  
5 after his name.” (See SAC ¶¶ 100, 101.) The sole evidence plaintiff submits in support of  
6 such allegation is an exchange of e-mails on March 30, 2007, which exchange begins with  
7 plaintiff’s seeking Holden’s permission for the daughter of one of plaintiff’s “professional  
8 contacts” to “shadow” plaintiff for three days during the daughter’s spring break. (See Yee  
9 Decl. Ex. 23 R at 3). When Holden inquired as to the identity of the individual who would  
10 be doing the “shadowing,” plaintiff responded: “Her dad is with EBSA, I don’t think you’ve  
11 met David” (see id.), to which Holden replied:

12 Based on what you have been telling me, you don’t have time for it, even if  
13 allowed. I don’t know who David \_\_\_\_\_ is but perhaps he should look for  
14 some other spring break opportunities for her.

14 (See id.)

15 Contrary to plaintiff’s allegation, the above-described e-mails contain no evidence of  
16 sexual harassment, but only a stated concern for a subordinate’s ability to deal with  
17 competing obligations. Moreover, defendant has submitted undisputed evidence that as of  
18 the date of the subject exchange, plaintiff’s operational plan, which was due at the national  
19 office on March 15, 2007, was fifteen days late. (See Proctor Decl. Ex. M at 3).

20 Accordingly, plaintiff has failed to submit evidence sufficient to support a finding that  
21 Holden, on any occasion, behaved in a jealous manner.

### 22 c. Dates

23 In her SAC, plaintiff alleges that “in November 2006, Holden asked [her] out on a  
24 date” (see SAC ¶ 55), and “[o]n January 12, 2007, Holden insisted that [she] attend an  
25 offsite event with her,” which “was not a valid work event” (see id. ¶¶ 58-59).

26 Defendant argues plaintiff has no evidence to support a claim that Holden asked plaintiff  
27 “out on a date.”

1 First, with respect to the November 2006 invitation, defendant submits plaintiff's  
2 deposition testimony, in which plaintiff describes the alleged incident as an invitation  
3 Holden extended to plaintiff and her husband to attend a dance recital with him. (See  
4 Proctor Decl. Ex. Y at 176:3-23.) As defendant points out, even accepting plaintiff's  
5 deposition testimony as true, a trier of fact could not reasonably conclude plaintiff was  
6 subjected to a sexually objectionable work environment based on such invitation. Indeed,  
7 as defendant further points out, plaintiff herself testified: "I hadn't even realized he was  
8 asking me on a date." (See id.)

9 Second, with respect to the January 12, 2007 event, defendant submits undisputed  
10 evidence demonstrating there was no improper communication. In particular, defendant  
11 submits the e-mail on which plaintiff relies, which e-mail contains in the subject line "There  
12 is a podcasting seminar by Make Magazine," and continues with the text "[a]t the Apple  
13 Store today from 3-4. I am thinking of attending part of it and it would be helpful if you did  
14 also. I have some more info on that as well." (See id. Ex. K.) Nothing in said  
15 communication is sexually suggestive or otherwise improper.

16 To the extent plaintiff offers her deposition in further support of her claim, her  
17 reliance thereon is unavailing. In particular, plaintiff's conclusory testimony, that Holden,  
18 after sending the above-referenced e-mail, "basically made it really clear that there would  
19 be repercussions" if she did not attend, lacks any factual content, let alone any sexual  
20 content (see id. Ex. A at 114:10-15), and her testimony that the DOL's "podcasting project"  
21 had been cancelled prior to January 12 (see id. at 116:19-24), that Holden had "signed out  
22 for the day," asked plaintiff "about [her] commute," and "didn't walk over with [plaintiff]" to  
23 the Apple Store (see id. Ex. A at 114:10-115:20) adds nothing in that regard.

24 Accordingly, plaintiff has failed to submit evidence sufficient to support her claim that  
25 Holden asked her out on a date.

26 **d. Insistence that Plaintiff "Look at" Holden**

27 In her SAC, plaintiff alleges Holden "saw plaintiff emerge from the bathroom," asked  
28



1 her “how she was” and then “sent her an e-mail saying she was ignoring him,” and, in a  
2 subsequent e-mail, “ordered her to turn around and look at him.” (See SAC ¶¶ 66, 67.)  
3 Defendant argues such communications do not support a finding of sexual harassment, but  
4 instead “reflect the efforts of a supervisor to work with a difficult employee.” (See Def.’s  
5 Opp’n at 6:3-4.) The Court agrees the communications on which plaintiff relies are, as a  
6 matter of law, insufficient to support a claim of sexual harassment.

7 The first of the subject e-mails states:

8 Just about an hour ago this morning when I saw you in the hallway, I asked how  
9 things were going. You turned into the office, walked away, back to me, and  
10 ignored my question until I asked you again ‘how’s it going with the press  
11 releases?’ You proceeded to walk and without turning around, responded ‘fine.’ It  
12 is not acceptable for you to ignore my questions and respond by walking away.  
13 When I ask you a question about how things are going, I expect you to respond by  
14 answering me and facing me, so that we can both be sure you have answered the  
15 question and that there are no further questions.

16 (See Yee Decl. Ex. 23 B at 23-24.)

17 Following her receipt of the above-quoted e-mail, plaintiff sent a reply, in which she  
18 explained she had not heard Holden because she was preoccupied with work at the time.  
19 (See id.) The second of the e-mails on which plaintiff relies is Holden’s reply to plaintiff,  
20 which states:

21 It did not occur to me that you were distracted yesterday, because when I  
22 first asked you how things were going we were walking towards each  
23 other and facing each other. You had to have seen my lips move even if  
24 you did not hear me. I have observed similar behavior last week as well  
25 but did not bring it to your attention. I am going to assume you will hear  
26 me in the future when I talk to you and ask questions.

27 (See id.)

28 Viewed in the light most favorable to plaintiff, such communications do not support  
plaintiff’s allegations of the existence of a sexually harassing work environment, and,  
indeed, contain no sexually suggestive content.

Accordingly, plaintiff has failed to submit evidence sufficient to support her claim that  
Holden, either in person or by e-mail, made sexually suggestive comments to plaintiff.

**e. “Chased Around File Cabinets”**

1 In her SAC, plaintiff alleges she was sexually harassed when she was “chased [ ]  
2 around the file cabinets” on March 24, 2007. (See SAC at 5:26-6:3, ¶ 103.) Defendant  
3 argues the evidence on which plaintiff relies is insufficient to support such allegation. The  
4 Court agrees. In particular, the notice of proposed suspension, on which plaintiff relies for  
5 a description of the subject incident, recounts the following:

6 I told you that you were responsible for your unit’s move and that you still  
7 had material left in your high-density files. You said that I should help you  
8 with this, and I again reminded you that this was your responsibility. You  
9 said something to the effect of “alright, that’s enough” and picked up your  
bag to leave. I again reminded you that if you left, it would be contrary to  
my direct order that you stay to ensure that your unit’s boxes and furniture  
were completely moved.

10 (See Yee Decl. Ex. 26 at 4.)

11 As noted, isolated incidents ordinarily will not suffice to support a hostile work  
12 environment claim under Title VII. See Faragher, 524 U.S. at 788. Moreover, and most  
13 significantly, the above described incident includes no behavior that reasonably can be  
14 interpreted as “chasing,” let alone a sexually motivated pursuit.

15 Accordingly, plaintiff has failed to submit evidence sufficient to support her claim that  
16 she was chased around file cabinets.

17 **f. April 11, 2007 Sexual Harassment Complaint and Investigation**

18 In her SAC, plaintiff alleges the sexual harassment complaint made by Holden  
19 against plaintiff on April 11, 2007 constitutes sexual harassment. (See SAC at 6:10-16, ¶  
20 125.) Plaintiff cites to no authority holding a complaint of such nature can itself constitute  
21 sexual harassment or itself create a hostile environment for purposes of Title VII.  
22 Moreover, the complaint was not directed to plaintiff, nor does plaintiff submit any evidence  
23 indicating she was aware of such complaint until some time after her resignation. Indeed,  
24 plaintiff’s EEO complaint, filed with the DOL after plaintiff’s resignation and more than a  
25 month after Holden’s complaint was made, makes no mention of Holden’s complaint or its  
26 investigation. (See Proctor Decl. Ex. S.)

27 Further, nothing in the content of the complaint is sexually provocative, but, rather,  
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1 as described above, consists of Holden's listing of behaviors on the part of plaintiff that  
2 prompted his concerns (see also Yee Decl. Ex. 29 at 2) and plaintiff has not disputed the  
3 truthfulness or accuracy of Holden's account of her actions. Lastly, as discussed above,  
4 the evidence is undisputed that the ensuing investigation was mandated by the DOL's  
5 policies and procedures.

6 Accordingly, plaintiff has failed to submit evidence sufficient to support her claim that  
7 Holden's sexual harassment complaint, or the DOL's investigation thereof, constituted  
8 harassment.

9 **g. Other Alleged Conduct**

10 In her SAC plaintiff makes the following allegations: (1) Holden ordered plaintiff to  
11 "sequester herself away from others," (2) "plaintiff was ordered to work a non-standard  
12 workweek well in excess of others" and "outside the permit of the law," (3) "Holden  
13 commanded plaintiff to "admit you love me," (4) "plaintiff was given public tasks of  
14 humiliation", and (5) "[t]he Agency, from the very top down, encouraged Mr. Holden's  
15 romantic fantasy." (See SAC ¶¶ 94, 95, 96, 99, 108, 127.) Absent further elaboration, none  
16 of said allegations is sufficient to describe a hostile work environment based on sexual  
17 harassment. More importantly, plaintiff fails to identify evidence to support any such  
18 allegation, nor is the Court required "to scour the record in search of a genuine issue of  
19 triable fact." See Keenan v. Allan, 91 F.3d 1275, 1279 (9th Cir. 1996) (internal quotation  
20 and citation omitted).

21 Accordingly, plaintiff has failed to submit evidence sufficient to support her claim that  
22 any such alleged conduct constituted sexual harassment.

23 **3. Conclusion as to Hostile Work Environment Claims**

24 As discussed above, plaintiff has failed to raise a triable issue as to any conduct  
25 sufficient to either create or contribute to a discriminatory or retaliatory hostile work  
26 environment, and, accordingly, summary judgment will be granted in favor of defendant and  
27 against plaintiff on plaintiff's Title VII hostile work environment claims.

1           **C.       Nondiscriminatory Constructive Discharge – CSRA<sup>5</sup>**

2           Plaintiff’s claim under the CSRA is based on an allegation of wrongful termination in  
3 the form of constructive discharge (see SAC ¶ 131) and relies on all of the above-  
4 referenced allegations of a hostile work environment with the exception of an asserted  
5 discriminatory motivation therefor. (See Pl.’s Mot. at 19:15-33.)

6           The CSRA provides a remedial scheme by which federal employees can appeal  
7 certain adverse employment actions, such as a termination or forced resignation. See 5  
8 U.S.C. § 2302; 7701; see also Parrott v. Merit Systems Protection Board, 519 F.3d 1328,  
9 1332 (Fed. Cir. 2008). Ordinarily, a federal employee must first appeal to the Merit  
10 Services Protection Board (“MSPB”), see 5 U.S.C. §§ 7513(d), 7701(a), and any judicial  
11 review thereof must be sought from the Federal Circuit Court of Appeals. See 5 U.S.C. §  
12 7703. Where a plaintiff brings a “mixed case,” however, i.e. a case involving a personnel  
13 action within the jurisdiction of the MSPB together with a Title VII claim within the  
14 jurisdiction of the Equal Employment Opportunity Commission (“EEOC”), the MSPB has  
15 jurisdiction to hear both claims, after which, if the claimant is dissatisfied with the result,  
16 judicial review must be sought in the district court. See Washington v. Garrett, 10 F.3d  
17 1421, 1428 (9th Cir. 1993). In the district court, “[t]he complainant is entitled to trial de  
18 novo on her discrimination claim,” see id., whereas, with respect to the personnel action,  
19 the MSPB’s decision is reviewed for abuse of discretion. See id. In particular, such  
20 decision “is not to be set aside unless it is ‘(1) arbitrary, capricious, an abuse of discretion,  
21 or otherwise not in accordance with the law; (2) obtained without procedures required by  
22 law, rule, or regulation having been followed; or (3) unsupported by substantial evidence.’”  
23 See id. (citing 5 U.S. C. § 7703(c)).

24           Here, prior to filing the instant action, plaintiff brought her constructive discharge

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26           <sup>5</sup> The Court will deny plaintiff’s “Motion for Dismissal Without Prejudice on  
27 Nondiscriminatory (CSRA) Portion of Plaintiff’s Complaint,” as plaintiff has failed to show  
28 any cause, let alone good cause, why a ruling on such claim should not be rendered  
herein.

1 claim before the MSPB, and on July 11, 2008, the MSPB rendered an “initial decision,”  
2 finding plaintiff was not constructively discharged and dismissing plaintiff’s appeal on its  
3 merits. (See Proctor Decl. Ex. B at 24.)

4 Plaintiff now argues the MSPB erred in determining its jurisdiction. Plaintiff cites to  
5 no authority so holding. Indeed, the authority on which plaintiff relies, see Garcia v. Dept.  
6 of Homeland Security, 437 F.3d 1322 (Fed Cir. 2006), supports the MSPB’s jurisdictional  
7 finding. See id. at 1344 (holding plaintiff bringing claim before MSPB has burden of  
8 establishing jurisdiction); (Proctor Decl. Ex. B at 4 (finding plaintiff’s allegations, if proved,  
9 sufficient to establish MSPB jurisdiction)).

10 Plaintiff next argues the MSPB’s decision should be set aside because the MSPB  
11 failed to discuss in its decision the DOL’s investigation of Holden’s complaint and to  
12 adequately assess the credibility of the various witnesses. Plaintiff’s argument is  
13 unpersuasive, as the MSPB’s decision cannot be characterized as arbitrary, capricious, or  
14 an abuse of discretion, and the findings made therein are fully supported by substantial  
15 evidence. In particular, the MSPB, in reaching its decision that plaintiff’s resignation was  
16 voluntary, adequately considered the relevant evidence submitted (see Proctor Decl. Ex. B  
17 at 6-20), provided a lengthy summary of such evidence (see id.), and properly resolved  
18 issues of credibility (see id. at 20-25); see also Hillen v. Dep’t of Army, 35 M.S.P.R. 453,  
19 458 (MSPB 1987) (setting forth standard for administrative judge to follow when resolving  
20 credibility issues).

21 Moreover, even if plaintiff were entitled to a trial de novo on her nondiscriminatory  
22 constructive discharge claim, such claim would fare no better. As noted, the conduct on  
23 which plaintiff relies to support her nondiscriminatory constructive discharge claim is the  
24 same conduct on which plaintiff relies for her discrimination claims. As discussed, such  
25 evidence is insufficient to support a finding of a hostile work environment, irrespective of  
26 the asserted motive therefor.

27 Accordingly, summary judgment will be granted in favor of defendant and against  
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1 plaintiff on plaintiff's CSRA claim.

2 **D. Privacy Act Claim**

3 As noted, the DOL retained the services of an outside investigator to conduct the  
4 investigation of Holden's sexual harassment complaint against plaintiff. In her SAC, plaintiff  
5 alleges the report was improperly withheld from her in violation of the Privacy Act. (See,  
6 e.g., SAC ¶¶ 140, 154.)

7 "An individual may bring a civil action against an agency that fails to comply with the  
8 statutory requirements of the Federal Privacy Act, which is part of the broader Freedom of  
9 Information Act ["FOIA"], provided that the individual has exhausted administrative  
10 remedies and/or can demonstrate adverse effect." See Tangirala v. Potter, 2008 WL  
11 2633239, \*18 (E.D. Cal. 2008) (citing 5 U.S.C. § 552a(g)(1)). Challenges to access,  
12 accuracy or amendment of federal agency records produced under FOIA must be  
13 administratively exhausted before they may be pursued in federal court. See 5 U.S.C. §  
14 552a(g)(1); see also Hill v. U.S. Air Force, 795 F.2d 1067, 1069 (D.C.Cir.1986) (holding  
15 Privacy Act plaintiff must exhaust his or her administrative remedies prior to bringing suit);  
16 see also Hewitt v. Grabicki, 596 F. Supp. 297, 303 (D.C.Wash.1984) (holding 5 U.S.C. §  
17 552a(g) requires administrative review as condition precedent to federal court action) (citing  
18 U.S. v. California Care Corp., 709 F.2d 1241, 1248 (9th Cir. 1983)).

19 Here, as defendant points out, plaintiff received, on January 23, 2008, a letter  
20 informing her of the agency's denial of her FOIA request for documentation pertaining to  
21 the subject investigative report (see Yee Dec. Ex. 23 A), which letter advised plaintiff of her  
22 right to appeal (see id. at 2). Plaintiff, however, did not appeal the decision (see Mitten  
23 Decl. ¶ 7), and thus has failed to exhaust her administrative remedies. Moreover, as  
24 defendant further points out, a federal magistrate judge, in an order filed November 18,  
25 2009, has found defendant complied with his order to produce the subject investigative  
26 report to plaintiff, and that plaintiff's assertions of fabrication and spoliation are meritless.  
27 (See Nov. 18, 2009 Order at 2:3-15.) No objection to such finding has been made by either  
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1 party. Consequently, plaintiff's Plaintiff's Privacy Act claim fails for the additional reason  
2 that it is moot.

3 Accordingly, summary judgment will be granted in favor of defendant and against  
4 plaintiff on plaintiff's Privacy Act Claim.


5 **CONCLUSION**

6 For the reasons stated above:

- 7 1. Defendant's Motion for Summary Judgment is hereby GRANTED.  
8 2. Plaintiff's Motion for Summary Judgment or, in the Alternative,  
9 Adjudication of Claims is hereby DENIED.  
10 3. Plaintiff's Motion for Dismissal Without Prejudice is hereby DENIED.

11 **IT IS SO ORDERED.**

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13 Dated: April 22, 2010

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15 MAXINE M. CHESNEY  
16 United States District Judge  
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