

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

United States District Court  
For the Northern District of California

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

ALPHONSO OLIPHANT, et al.,

No. C-08-5048 MMC

Plaintiffs,

**ORDER GRANTING IN PART AND  
DENYING IN PART DEFENDANTS'  
MOTION FOR SUMMARY JUDGMENT**

v.

CITY AND COUNTY OF SAN FRANCISCO,  
et al.,

Defendants

\_\_\_\_\_ /

Before the Court is defendants City and County of San Francisco ("City") and William Siffermann's ("Siffermann") "Motion for Summary Judgment, or in the Alternative, Partial Summary Judgment," filed January 22, 2010. Plaintiffs Bruce Woodard ("Woodard"), Roger Gainey ("Gainey") and Alphonso Oliphant ("Oliphant") have filed opposition, to which defendants have replied. Having read and considered the papers filed in support of and in opposition to the motion, the Court rules as follows.<sup>1</sup>

**BACKGROUND**

Plaintiffs are one former and two current employees of the San Francisco Juvenile Probation Department ("the Department"). Each of said plaintiffs is African-American. According to plaintiffs, each plaintiff sought a promotion and/or other employment

\_\_\_\_\_

<sup>1</sup>By order filed March 31, 2010, the Court took the matter under submission.

1 opportunities, and was denied such opportunities “on the basis of [his] race and/or  
2 ethnicity.” (See Compl. ¶ 11.) Based on such allegations, plaintiffs assert five causes of  
3 action: (1) First Cause of Action, titled “Discrimination in Violation of the Civil Rights Act of  
4 1866 (42 U.S.C. section 1981 et seq.);”<sup>2</sup> (2) Second Cause of Action, titled “Discrimination  
5 in Violation of Title VII of the Civil Rights Act of 1964 (42 U.S.C. section 2000 et seq.)”  
6 (“Title VII”); (3) Third Cause of Action, titled “Discrimination in Violation of California Fair  
7 Employment and Housing Act [“FEHA”] (California Gov’t Code section 12900 et seq.);” (4)  
8 Fourth Cause of Action, titled “Discrimination in Violation of California Constitution Article 1,  
9 section 8”; and (5) Fifth Cause of Action, titled “Intentional Infliction of Emotional Distress.”

### 10 LEGAL STANDARD

11 Rule 56 of the Federal Rules of Civil Procedure provides that a court may grant  
12 summary judgment “if the pleadings, the discovery and disclosure materials on file, and any  
13 affidavits show that there is no genuine issue as to any material fact and that the movant is  
14 entitled to judgment as a matter of law.” See Fed. R. Civ. P. 56(c).

15 The Supreme Court’s 1986 “trilogy” of Celotex Corp. v. Catrett, 477 U.S. 317 (1986),  
16 Anderson v. Liberty Lobby, Inc., 477 U.S. 242 (1986), and Matsushita Electric Industrial Co.  
17 v. Zenith Radio Corp., 475 U.S. 574 (1986), requires that a party seeking summary  
18 judgment show the absence of a genuine issue of material fact. Once the moving party  
19 has done so, the nonmoving party must “go beyond the pleadings and by [its] own  
20 affidavits, or by the depositions, answers to interrogatories, and admissions on file,  
21 designate specific facts showing that there is a genuine issue for trial.” See Celotex, 477  
22 U.S. at 324 (internal quotation and citation omitted). “When the moving party has carried  
23 its burden under Rule 56(c), its opponent must do more than simply show that there is  
24 some metaphysical doubt as to the material facts.” Matsushita, 475 U.S. at 586. “If the  
25 [opposing party’s] evidence is merely colorable, or is not significantly probative, summary

---

26  
27 <sup>2</sup>In their opposition, plaintiffs clarify that the First Cause of Action is based on 42  
28 U.S.C. § 1983, and, specifically, a claim of “racial discrimination.” (See Pls.’ Opp. at 2:25-  
27.)

1 judgment may be granted.” Liberty Lobby, 477 U.S. at 249-50 (citations omitted).  
2 “[I]nferences to be drawn from the underlying facts,” however, “must be viewed in the light  
3 most favorable to the party opposing the motion.” See Matsushita, 475 U.S. at 587  
4 (internal quotation and citation omitted).

## 5 **DISCUSSION**

6 The five causes of action addressed herein are based on a set of factual allegations  
7 particular to each plaintiff and, in each instance, allege such plaintiff was subjected to  
8 employment discrimination. In their motion, defendants argue they are entitled to summary  
9 judgment on all of plaintiffs’ claims, for the asserted reason that plaintiffs lack evidence to  
10 establish they were subjected to employment discrimination. Further, with the exception of  
11 the Fourth Cause of Action, defendants argue they are entitled to summary judgment,  
12 irrespective of whether plaintiffs can raise a triable issue as to employment discrimination,  
13 for additional asserted reasons.

14 The Court considers in turn the claims raised on behalf of each plaintiff.

### 15 **A. Plaintiff Woodard**

16 Plaintiffs allege that Woodard is a “former San Francisco Juvenile Probation  
17 Counselor” (see Compl. ¶ 44), who sought but not did receive a promotion as a “Deputy  
18 Probation Officer” (see Compl. ¶ 55). Further, plaintiffs allege, defendants denied  
19 Woodard’s two requests for “education leave” and his request to “change his position to on-  
20 call status,” even though defendants “routinely” granted such requests when made by other  
21 employees. (See Compl. ¶ 57.)

22 As noted, each of the five causes of action alleged in the instant case is based on  
23 the theory that plaintiffs were subjected to employment discrimination. In addressing the  
24 merits of plaintiffs’ claims that each plaintiff, including Woodard, was subjected to  
25 employment discrimination, the parties have not distinguished among the five causes of  
26 action. Accordingly, as set forth below, the Court, in addressing the issue of discrimination,  
27 likewise has not done so.

28 //

1           **1. Sufficiency of Evidence: Employment Discrimination**

2                   **a. Applicable Law**

3           Under the burden-shifting procedure set forth in McDonnell Douglas Corp. v. Green,  
4 411 U.S. 792, 802 (1973), a plaintiff may establish a prima facie claim of discrimination  
5 involving a failure to promote by showing “(1) [he] belongs to a protected class; (2) [he]  
6 applied for and was qualified for the position [he] was denied; (3) [he] was rejected despite  
7 [his] qualifications; and (4) the employer filled the position with an employee not of plaintiff’s  
8 class, or continued to consider other applicants whose qualifications were comparable to  
9 plaintiff’s after rejecting plaintiff.” See Dominguez-Curry v. Nevada Transp. Dep’t, 424 F.3d  
10 1027, 1037 (9th Cir. 2005).<sup>3</sup> If the plaintiff establishes a prima facie case, the employer  
11 must proffer a legitimate, non-discriminatory reason for its decision, and if the employer  
12 does so, the plaintiff is then required to establish that the employer’s proffered reason is  
13 pretextual. See id. The Supreme Court has noted, however, that the McDonnell Douglas  
14 framework “does not apply in every employment discrimination case.” See Swierkiewicz v.  
15 Sorema N.A., 534 U.S. 506, 511 (2002). “For instance, if a plaintiff is able to produce direct  
16 evidence of discrimination, he may prevail without proving all the elements of a [McDonnell  
17 Douglas] prima facie case.” Id.

18           Here, plaintiffs argue they need not establish Woodard’s claims under the McDonnell  
19 Douglas framework, because they have direct evidence of discriminatory intent.  
20 Specifically, plaintiffs rely on a comment made in October 2006 by defendant Siffermann,  
21 the Chief Probation Officer, before an audience of approximately twenty persons, including  
22 Woodard, who had assembled to take a written examination given as part of the selection  
23 process for the position of Deputy Probation Officer. During his deposition, Woodard  
24 described the comment he heard as follows: “As we waited for the analyst, Mr. Beringer, to  
25

---

26           <sup>3</sup>Where, as here, a plaintiff bases a § 1983 claim against a government employer on  
27 a theory of racial discrimination, the “McDonnell Douglas framework is fully applicable.”  
28 See St. Mary’s Honor Center v. Hicks, 509 U.S. 502, 506 n.1 (1993). Further, the  
McDonnell Douglas framework is applicable to employment discrimination claims under  
FEHA. See Guz v. Bechtel Nat’l Inc., 24 Cal. 4th 317, 354 (2000).

1 arrive to administer the test, the Chief began to speak, and he introduced himself and went  
2 on to talk and make the statement that if there is anyone in here that is from the dark side,  
3 meaning the Juvenile Hall, do not expect to be hired.” (See Springman Decl. Ex. M at  
4 98:25-99:5.)<sup>4</sup> Plaintiffs argue that the trier of fact could infer racial animus on the part of  
5 Siffermann in light of such comment. The Court disagrees.

6 First, the comment is not, on its face, racial. Cf. Chuang v. University of California,  
7 225 F.3d 1115, 1121, 1128 (9th Cir. 2000) (holding Chinese plaintiff, who alleged he was  
8 not granted tenure on account of his race and ethnicity, submitted sufficient direct evidence  
9 to support discrimination claim, where decisionmaker, when denying tenure to another  
10 Chinese professor, “remarked that ‘two Chinks’ in the department were more than  
11 enough”). “The dark side” is a well-known phrase in popular culture, having its origin in the  
12 first, 1977, “Star Wars” film and pertaining to a dualistic philosophy. See, generally,  
13 [http://en.wikipedia.org/wiki/Dark\\_side\\_\(Star\\_Wars\)](http://en.wikipedia.org/wiki/Dark_side_(Star_Wars)) (“The dark side is portrayed as the evil  
14 aspect of the Force (by the Jedi Knights, who instead advocate using the light side of the  
15 force), the Manichean mystical energy which permeates the universe.”). As Siffermann  
16 explains it, “[b]ased on [his] strong views on the value of detention alternatives and  
17 community based interventions, over the years, [he] [has] referred to the detention side of  
18 operations, both in Cook County and in San Francisco, as ‘the dark side,’ a reference to  
19 Star Wars.” (See Siffermann Decl. ¶ 17.)<sup>5</sup>

20 Second, to the extent the comment could be viewed as ambiguous, plaintiffs have  
21 not submitted any evidence to support an inference that, in the context in which the  
22 statement was made, Siffermann was in fact referring to the race or ethnicity of any

---

24 <sup>4</sup>In his declaration, Siffermann described the comment as follows: “[F]or those of  
25 you who are from the dark side of Juvenile Hall, this may not be the job for you.” (See  
Siffermann Decl. ¶ 17.)

26 <sup>5</sup>According to Siffermann, before he was appointed as Chief Probation Officer in San  
27 Francisco, he worked in Cook County, Illinois, where he “was a key participant in the  
28 development of various programs and initiatives specifically designed to reduce over-  
reliance upon secured detention and reduce the disproportionate representation of minority  
youth in the juvenile justice system, while increasing the accountability of youths in the  
juvenile justice system.” (See id. ¶ 3.)

1 person. Cf., e.g., Fite v. Comtide Nashville, LLC, 2010 WL 668288, \*2, \*12 (M.D. Tenn.  
2 2010) (holding where manager told African-American plaintiff that manager “planned to  
3 ‘lighten up the place’,” plaintiff offered sufficient evidence from which trier of fact could infer  
4 racial animus therefrom, specifically, evidence that when “Caucasian” applicants arrived  
5 shortly after manager made the subject comment, manager stated, “this is what I’m talking  
6 about”). Indeed, Woodard stated at his deposition that he understood Siffermann’s  
7 reference to “the dark side” to be a reference to “Juvenile Hall.” (See Springman Decl. Ex.  
8 M at 98:25-99:5.)

9 Accordingly, plaintiffs have not shown they can avoid proceeding under the  
10 McDonnell Douglas framework.

### 11 **b. Alleged Adverse Employment Actions**

#### 12 **(1) January 2007 Failure to Promote**

13 In their complaint, plaintiffs allege that the Department interviewed Woodard in  
14 December 2006 for a promotion to the position of Deputy Probation Officer,<sup>6</sup> and that when  
15 the selections were later announced in 2007, the Department did not promote Woodard.

16 Defendants argue that Woodard, who at the time in question was a Juvenile  
17 Probation Counselor, cannot establish a prima facie case of discrimination, because, in  
18 defendants’ words, “Woodard was not as qualified as others to advance through the  
19 examination process.” (See Defs.’ Mot. at 10:3-5.) In support thereof, defendants offer  
20 evidence, undisputed by plaintiffs, that Woodard, after taking and passing a “written  
21 proficiency test” administered by the Department in 2006, was “invited to the oral portion of  
22 the selection process,” along with the other applicants who had passed the written test.  
23 (See Houston Decl. Ex. A, second unnumbered page.) Likewise, it is undisputed that  
24 Woodard thereafter was orally interviewed by a panel of three persons, and that when the  
25 two positions then available were later filled in January 2007, he did not receive one (see

---

26  
27 <sup>6</sup>Although the complaint alleges that the Department interviewed Woodard on  
28 “December 12, 2005” (see Compl. ¶ 51), the evidence offered by both parties indicates that  
the referenced interview was conducted on December 12, 2006 (see Houston Decl. Ex. A,  
second unnumbered page; Springman Decl. Ex. Q).

1 id. Ex. A, third unnumbered page; Springman Decl. Ex. M at 128); rather, according to  
2 defendants, and undisputed by plaintiffs, the Department selected two individuals,  
3 specifically, Lorena Deras, whom defendants describe as “Hispanic,” and Viviann Green,  
4 whom defendants describe as “African-American” (see Houston Decl. Ex. A, second and  
5 third unnumbered pages).

6 Defendants, however, offer no evidence to support a finding that Woodard was not,  
7 as a matter of objective criteria, qualified to be a Juvenile Probation Officer, nor have  
8 defendants asserted, let alone demonstrated, that Woodard lacks evidence to support such  
9 a finding. See Nicholson v. Hyannis Air Service, Inc., 580 F.3d 1116, 1123 (9th Cir. 2009)  
10 (holding “objective” and not “subjective” criteria applicable when determining, for purposes  
11 of prima facie case, whether plaintiff “qualified” for position sought). To the extent  
12 defendants are asserting that other applicants were more qualified, such argument, as  
13 discussed immediately below, pertains not to plaintiffs’ burden to make out a prima facie  
14 case, but, rather, to whether defendants have met their burden to identify the existence of a  
15 legitimate, non-discriminatory reason for the non-selection.<sup>7</sup>

16 In that respect, defendants argue “the candidates who were appointed performed  
17 better in the competitive exam process.” (See Defs.’ Mot. at 10:7.) The evidence offered  
18 by defendants pertaining to the “competitive exam process” is found in a letter written by  
19 Louise Brooks Houston (“Houston”) to the Equal Employment Opportunity Commission  
20 (“EEOC”), in which Houston, on behalf of the Department, responded to an EEOC charge  
21 filed by Woodard. In her declaration submitted in support of the instant motion, Houston  
22 states that she “gathered information,” from persons unidentified in either her declaration or  
23 in her letter to the EEOC, that she then made an “assessment of the information gathered,”

---

24  
25 <sup>7</sup>As discussed above, to establish a prima facie case, the plaintiff must show that “the  
26 employer filled the position with an employee not of plaintiff’s class, or continued to  
27 consider other applicants whose qualifications were comparable to plaintiff’s after rejecting  
28 plaintiff.” See Dominquez-Curry, 424 F.3d at 1037. Plaintiffs have not offered evidence to  
dispute defendants’ evidence that one of the two persons selected in January 2007 for then  
open positions is African-American. Nonetheless, one of the persons selected is not  
African-American.

1 and that, on behalf of the Department, she “denied” the allegations made by Woodard to  
2 the EEOC. (See Houston Decl. ¶ 3.)

3 In her letter to the EEOC, Houston stated that twenty-two persons, including  
4 Woodard, were interviewed, that each such interview was conducted by one of two panels,  
5 each composed of three persons, and that, after the interviews, Woodard was “ranked No.  
6 15 out of 20” and given a score of “315.”<sup>8</sup> (See id. Ex. A, third unnumbered page.  
7 According to Houston, because the ten persons who received the highest score on the  
8 interview were invited back for a second interview, Woodard did not further participate in  
9 the “selection process.” (See id.) Other than stating that the ranking was “determined by  
10 the Department’s competitive testing process” (see id.), Houston provided therein no  
11 information as to how the rankings were determined.

12 In their opposition, plaintiffs argue that “the criteria proffered by the City for  
13 determining promotions was completely subjective” (see Pls.’ Opp. at 19:27-28), and rely  
14 on authority holding that where an employer relies on “subjective” criteria when making  
15 employment decisions, a court considering a claim of employment discrimination must give  
16 such criteria “close scrutiny.” See Jauregui v. City of Glendale, 852 F.2d 1128, 1135-36  
17 (9th Cir. 1988) (holding “close scrutiny” of subjective selective criteria necessary given “the  
18 potential for manipulation inherent in the use of subjective evaluations”).

19 Defendants offer no evidence as to the criteria, subjective or otherwise, the panel  
20 used to rank the applicants who were interviewed. Consequently, it is not possible for the  
21 Court to scrutinize in any manner the criteria employed by the Department when it did not  
22 select Woodard for a promotion. Further, Houston’s personal “assessment” that no  
23 discrimination occurred (see Houston Decl. ¶ 3), is wholly irrelevant, particularly given that  
24 she does not claim to have taken any part in the selection process.

25 //

26 //

---

27  
28 <sup>8</sup>Houston does not explain how, if twenty-two persons were interviewed, only twenty were given scores.





1 Woodard on the basis of his race (see id.), nothing in Houston’s declaration or letter  
2 identifies the criteria used by the panel, and, consequently, Houston fails to offer a  
3 sufficient reason or reasons, whether objective or subjective, as to why Woodard was not  
4 selected.

5 Accordingly, defendants have failed to offer evidence sufficient to support a finding  
6 that the Department had a legitimate, non-discriminatory basis for its decision not to select  
7 Woodard in July 2007.

### 8 (3) Request for Leave of Absence

9 In their complaint, plaintiffs allege that Woodard requested he be given a leave of  
10 absence on two occasions and that both requests were denied. (See Compl. ¶ 57.)

11 It is undisputed that Woodard, on February 26, 2007, requested a one-year  
12 “personal leave” for, in Woodard’s words, “professional development,” and that the request  
13 was denied on February 28, 2007 by Woodard’s supervisor, Dennis Doyle (“Doyle”). (See  
14 Doyle Decl. Ex. A; Springman Decl. Ex. Z.) In a memorandum written by Doyle explaining  
15 the denial, Doyle stated that the Department was “critically short of counseling staff,” was  
16 “spending record-breaking amounts of overtime to backfill Counselor positions,” and had  
17 not been able to hire permanent Counselors for “almost five years.” (See Doyle Decl. Ex.  
18 C; Springman Decl. Ex. Z.) It is also undisputed that thereafter, on March 8, 2007,  
19 Woodard requested a six-month “personal leave,” again, for “professional development,”  
20 and that the second request was denied by Doyle on March 15, 2007 for the same reasons  
21 as those supporting his denial of Woodard’s first request. (See Doyle Decl. Ex. D;  
22 Springman Decl. Ex. AA.) Additionally, defendants offer evidence, undisputed by plaintiffs,  
23 that Woodard advised Doyle that he wanted the above-referenced personal leaves  
24 because he had been offered a job with another law enforcement agency and was, in  
25 Doyle’s words, “concerned about the probationary period for his new position.” (See Doyle  
26 Decl. ¶ 5.)

27 In their motion for summary judgment, defendants argue the above-described  
28 “chronic staff shortages in Juvenile Hall” (see Defs.’ Mot. at 7:21-26) constitute a legitimate,

1 non-discriminatory basis for Doyle's denials of Woodard's requests,<sup>10</sup> and plaintiffs, in their  
2 opposition, do not contend such explanation ordinarily would not constitute a legitimate and  
3 non-discriminatory reason therefor. Rather, plaintiffs assert that two other employees in  
4 the Department, specifically, Damon Burris ("Burris") and Chris Griffin ("Griffin") were  
5 granted leaves of absence. Although not clearly expressed, plaintiffs appear to argue that  
6 if other employees in the Department were granted personal leaves, the true reason for  
7 Woodard's denial was not a staffing shortage. As defendants correctly point out, however,  
8 plaintiffs offer no evidence from which a trier of fact could infer that Burris or Griffin were  
9 granted personal leaves. Further, with respect to Burris, defendants offer evidence,  
10 undisputed by plaintiffs, that although Burris did not work for "a month or six weeks or so" in  
11 2005 or 2006, he was not granted a personal leave, but, rather, he used up his "accrue[d]  
12 vacation hours" and "compensatory time." (See Lucas Decl. Ex. B at 66-66.)

13 Accordingly, to the extent plaintiffs' claims are based on the Department's denials of  
14 Woodard's requests for personal leave, defendants have shown they are entitled to  
15 summary judgment.

#### 16 **(4) Request for Transfer to On-Call Position**

17 In their complaint, plaintiffs allege that Woodard "requested to change his position to  
18 on-call status," and that such request was denied. (See Compl. ¶ 57.) Although the  
19 complaint does not identify the individual(s) who denied the request, plaintiffs, with their  
20 opposition, offer evidence that Doyle told Woodard that Woodard's request was denied.  
21 (See Springman Decl. Ex. M at 139.)

22 The undisputed evidence in the record reflects that Woodard, on March 20, 2007,  
23 requested in writing a "transfer in title," specifically, from a "permanent" counselor to an "on-  
24 call" counselor." (See Doyle Decl. Ex. F.) In their motion, defendants neither argue that  
25 plaintiffs cannot establish a prima facie case of discrimination as to such claim, nor offer

---

27 <sup>10</sup>Neither defendants, in their motion, nor plaintiffs, in their opposition, address the  
28 type of circumstantial evidence a plaintiff would need in order to establish a prima facie  
discrimination claim based on a failure to grant a request for a leave of absence.

1 any evidence that sets forth the reasons why Doyle, or any other person who denied the  
2 request for a transfer in title,<sup>11</sup> denied the request.

3 Accordingly, to the extent plaintiffs' claims are based on the Department's denial of  
4 Woodard's request to work as an "on-call" counselor, defendants have failed to offer  
5 evidence sufficient to support a finding that the Department had a non-discriminatory basis  
6 for its decision not to grant Woodard's request for such transfer.

7 **c. Conclusion: Sufficiency of Evidence of Discrimination**

8 To the extent Woodard's claims are based on the denial of Woodard's requests for  
9 personal leave, defendants have shown they are entitled to summary judgment; to the  
10 extent Woodard's claims are based on a failure to promote/select Woodard for the position  
11 of Deputy Probation Officer and failure to transfer Woodard to an "on-call" position,  
12 defendants have failed to show plaintiffs' evidence is insufficient to raise a triable issue as  
13 to whether discrimination played a role in such decisions.

14 **2. Additional Arguments**

15 As noted, defendants, as to four of plaintiffs' five causes of action, argue they are  
16 entitled to summary judgment irrespective of whether plaintiffs can establish a claim of  
17 employment discrimination.<sup>12</sup> The Court next considers these additional arguments as they  
18 pertain to Woodard's failure-to-promote, failure-to-select, and failure-to-transfer claims.

19 //

---

21 <sup>11</sup>Although defendants apparently disagree with plaintiffs' assertion that the decision  
22 was made by Doyle, defendants offer no evidence as to a different decision-maker and, in  
23 any event, at the summary judgment stage, the Court resolves factual disputes in favor of  
the non-moving party.

24 <sup>12</sup>Defendants do not argue they are entitled to summary judgment on the Fourth  
25 Cause of Action, by which plaintiffs allege a violation of Article I, § 8 of the California  
26 Constitution, for any reason other than a failure to establish an act of discrimination. The  
27 Court notes that no party has addressed the Ninth Circuit's holding in Strother v. Southern  
28 California Permanente Medical Group, 79 F.3d 859 (9th Cir. 1996), in which the Ninth  
Circuit held that "a claim brought directly under Article I, § 8 of the California Constitution  
may only be brought where a plaintiff has been denied entrance into a profession or  
particular employment or terminated from the same," and does not apply to claims of  
"discrimination in the conditions of employment" nor "reach conduct affecting particular  
aspects of an individual's job." See id. at 871-73.

1                                   **a. First Cause of Action**

2                   The First Cause of Action alleges a deprivation of rights under 42 U.S.C. § 1983.  
3 Defendants argue they are entitled to summary judgment thereon because Siffermann is  
4 entitled to qualified immunity and because plaintiffs lack evidence to show that any adverse  
5 employment action was taken pursuant to a policy or practice of the Department.

6                                   **(1) Siffermann**

7                   “The doctrine of qualified immunity protects government officials ‘from liability for civil  
8 damages insofar as their conduct does not violate clearly established statutory or  
9 constitutional rights of which a reasonable person would have known.’” Pearson v.  
10 Callahan, 129 S. Ct. 808, 815 (2009) (quoting Harlow v. Fitzgerald, 457 U.S. 800, 818  
11 (1982)). To determine whether a government official is entitled to qualified immunity, a  
12 “two-step sequence” is “often appropriate.” See id. at 815, 818. First, the court determines  
13 whether the facts “show that the [official’s] conduct violated a constitutional right.” See id.  
14 at 816. If not, the official is entitled to qualified immunity, and, if so, the court determines  
15 whether “the right was clearly established.” See id.

16                   Here, defendants offer evidence, undisputed by plaintiffs, that Siffermann did not  
17 participate in the decision not to promote or select Woodard for the position of Deputy  
18 Probation Officer (see Siffermann Decl. ¶ 18), and, as discussed above, plaintiffs contend,  
19 and offer evidence to support a finding, that Doyle made the decision to deny Woodard’s  
20 request to work on an on-call basis. In short, there is no evidence in the record to support  
21 a finding that Siffermann personally had a role in any of the alleged adverse employment  
22 decisions made with respect to Woodard. See Taylor v. List, 880 F.2d 1040, 1045 (9th Cir.  
23 1989) (“Liability under section 1983 arises only upon a showing of personal participation by  
24 the defendant.”). Because there is no evidence to support a finding that Siffermann  
25 “violated a constitutional right” held by Woodard, defendants have shown, at the “first step”  
26 of the qualified immunity analysis, that Siffermann is entitled to qualified immunity. See  
27 Pearson, 129 S. Ct. at 816.

28 //



1 California Water Service Co., 518 F.3d 1097, 1105 (9th Cir. 2008) (holding failure to  
2 receive “federal right-to-sue letter” not jurisdictional but “general requirement of a federal  
3 right-to-sue letter remains”). In that regard, defendants point out that, as of the time they  
4 filed their motion, the Equal Employment Opportunity Commission (“EEOC”) still had the  
5 matter under investigation and, consequently, had not issued a right-to-sue letter to  
6 Woodard. (See Lucas Decl. Ex. H, nineteenth unnumbered page.) Subsequent to the filing  
7 of defendants’ motion, however, the EEOC issued a right-to-sue letter to Woodard (see  
8 Supp. Woodard Decl. Ex. A), which issuance, as Woodard correctly notes, cures the  
9 deficiency. See Wrighten v. Metropolitan Hospitals, Inc., 726 F.2d 1346, 1351 (9th Cir.  
10 1984) (holding lawsuit filed before receipt of right-to-sue letter is “premature” but deficiency  
11 “cured” where right-to-sue letter received before trial).

12 Consequently, to the extent defendants rely on Woodard’s failure to obtain a right-to-  
13 sue letter prior to his filing the instant action, defendants have not shown they are entitled  
14 to summary judgment on the Second Cause of Action.

15 As defendants correctly argue, however, and plaintiffs offer no disagreement  
16 therewith, a Title VII claim cannot be maintained against Siffermann. See Miller v.  
17 Maxwell’s Int’l, Inc., 991 F.2d 583, 587 (9th Cir. 1993) (holding “individual defendants  
18 cannot be held liable for damages under Title VII”).

19 Accordingly, to the extent the Second Cause of Action is brought on behalf of  
20 Woodard and against Siffermann, defendants have shown they are entitled to summary  
21 judgment, and to the extent said Cause of Action is brought against the City, defendants  
22 have not shown they are entitled to summary judgment.

### 23 **c. Third Cause of Action**

24 In the Third Cause of Action, plaintiffs allege a violation of FEHA.

25 As defendants correctly argue, and plaintiffs offer no dispute, a FEHA claim cannot  
26 be maintained against Siffermann. See Reno v. Baird, 18 Cal. 4th 640, 663 (1998) (holding  
27 “individuals who do not themselves qualify as employers may not be sued under the FEHA  
28 for alleged discriminatory acts”).

1           Accordingly, to the extent the Third Cause of Action is brought on behalf of Woodard  
2 and against Siffermann, defendants are entitled to summary judgment.

3                           **d. Fifth Cause of Action**

4           In the Fifth Cause of Action, plaintiffs allege a claim for intentional infliction of  
5 emotional distress.

6           Under the California Government Claims Act, to maintain “any tort claim” against a  
7 public entity, a plaintiff must present the claim to such entity in accordance with the  
8 procedural requirements set forth therein. See Crow v. State of California, 222 Cal. App.  
9 3d 192, 199 (1990). A plaintiff’s failure to comply with the claim presentation requirement is  
10 a bar to maintaining state law tort claims against a governmental entity and its employees.  
11 See Ortega v. O’Connor, 764 F.2d 703, 707 (9<sup>th</sup> Cir. 1985), rev’d in part on other grounds,  
12 480 U.S. 709 (1987).

13           Here, defendants offer evidence, undisputed by plaintiffs, that Woodard, prior to  
14 filing the instant action or at any other time, failed to submit the requisite claim. (See  
15 Rothschild Decl. ¶ 4.)

16           Accordingly, to the extent the Fifth Cause of Action is brought of behalf of Woodard,  
17 defendants are entitled to summary judgment.

18           **B. Plaintiff Gainey**

19           Plaintiffs allege that in 2007, Gainey, who was at that time a Deputy Probation  
20 Officer, applied for and did not receive a promotion to the position of Supervising Probation  
21 Officer. (See Compl. ¶ 33, 36, 39.) Plaintiffs also allege that in March 2008, Gainey  
22 received a promotion as a Supervising Probation Officer, but that the promotion was “in  
23 name only.” (See Compl. ¶ 41.)

24                           **1. Sufficiency of Evidence: Employment Discrimination**

25                                   **a. 2007 Failure to Promote**

26           Defendants offer evidence, undisputed by plaintiffs, that on January 23, 2007, the  
27 City, through its Department of Human Resources (“DHR”) announced it was accepting  
28 applications for the civil service position of Supervising Probation Officer. (See Horan Decl.



1 ¶ 8, Ex. A at 00084.) As set forth in the DHR’s announcement, applicants would be given  
2 an “oral/performance examination” by the DHR, which examination could include a “written  
3 exercise” (see id. Ex. A at 00085); the purpose of the DHR’s examination was to enable the  
4 DHR to establish an “eligible list” of persons who could be selected to fill the position of  
5 Supervising Probation Officer (see id. ¶ 4). The announcement further provided that after  
6 the DHR had conducted such examination, the “hiring department,” i.e, the Juvenile  
7 Probation Department, could “conduct additional selection processes to make final hiring  
8 decisions.” (See id. Ex. A at 00086.)

9 The evidence is undisputed that the DHR subsequently administered its examination  
10 in “two parts,” specifically, a “written qualification portion” administered on March 13, 2007,  
11 and an “oral portion” administered on March 15 and 16, 2007 (see id. ¶ 9), and that  
12 Siffermann had no role in the administration of either the oral or written part of the DHR’s  
13 examination and had no knowledge of any of the questions therein. (See Siffermann Decl.  
14 ¶ 6.) It also is undisputed that on April 17, 2007, the DHR issued an “eligible list,”  
15 specifically, a list of twenty-four persons who had taken the DHR’s examination, each of  
16 whom received a “rank” from 1 to 18,<sup>13</sup> and that Gainey was ranked No. 1. (See Horan  
17 Decl. Ex. A at 00089; Springman Decl. Ex. C.)

18 Additionally, defendants offer evidence, undisputed by plaintiffs, that in 2007, the  
19 Department had “four approved requisitions” (see Nance Decl. ¶ 6), meaning the  
20 Department could select four persons for the position of Supervising Probation Officer (see  
21 id.). Defendants also offer evidence, undisputed by plaintiffs, that “all interested persons”  
22 on the ranked list of applicants, including Gainey, were interviewed by Siffermann and Allen  
23 Nance, the Assistant Chief Probation Officer,<sup>14</sup> and that, following those interviews,

---

25 <sup>13</sup>It appears that six of the applicants received, essentially, a tied rank with one or  
26 more of the other applicants. (See Horan Decl. Ex. A at 00089.)

27 <sup>14</sup>Although neither party expressly provides the number of applicants interviewed by  
28 Siffermann and Nance, it appears from Siffermann’s notes, taken at the time, that  
interviews of twenty-three of the twenty-four persons on the eligible list were conducted.  
(See Siffermann Decl. Ex. E.)

1 Siffermann selected four individuals for the then open positions of Supervising Probation  
2 Officer. (See id. ¶ 9; Siffermann Decl. ¶ 11.) As shown by defendants' evidence, and  
3 undisputed by plaintiffs, Siffermann selected Ernestine Cade-Hill ("Cade-Hill"), whom  
4 defendants describe as "African-American," Sarah Schumann ("Schumann"), whom  
5 defendants describe as "of Hispanic descent," Gary Levene ("Levene"), whom defendants  
6 describe as "Caucasian," and Dennis Fuata ("Fuata"), whom defendants describe as  
7 "Pacific-Islander." (See Nance Decl. ¶ 9.)

8 Defendants contend plaintiffs cannot establish a racially discriminatory motivation  
9 for their decision not to select Gainey for one of the four available positions as a  
10 Supervising Probation Officer. In particular, defendants argue, defendants have articulated  
11 a legitimate, non-discriminatory reason for Siffermann's decision to select other individuals,  
12 and plaintiffs lack evidence to support a finding that such reasons are a pretext for racial  
13 discrimination.<sup>15</sup>

14 In support of their argument, defendants offer Siffermann's declaration, in which he  
15 states that each of the persons he and Nance interviewed in 2007 was asked "the same  
16 series of questions," which questions were directed to the applicant's "job experience" and  
17 "views of the juvenile justice system," as well as how the applicant "would handle certain  
18 situations." (See Siffermann Decl. ¶¶ 9, 10, Ex. B.) Defendants also offer Siffermann's  
19 deposition testimony, in which he explained why he selected the persons given the  
20 Supervising Probation Officer position in 2007, and why he did not select Gainey at that  
21 time. Specifically, Siffermann testified that he selected Schumann because of "her  
22 knowledge of the job," "her understanding of the law," "her understanding of the vision of  
23 the least restrictive intervention or placement that did not compromise [the] public safety  
24 part of the detention alternatives initiative," and "her ability to communicate [and] be direct."  
25 (See Lucas Decl. Ex. D at 95-96.) Siffermann testified he selected Levene because, in  
26 Siffermann's view, "he possessed the knowledge, experience, leadership and vision . . .

---

27  
28 <sup>15</sup>Defendants do not argue that Gainey is unable to establish a prima facie case.

1 that was most consistent with what [Siffermann] felt a good supervisor needed to possess,  
2 particularly with his understanding of the unit [for which] he eventually was appointed as  
3 supervisor.” (See id. Ex. D at 95.) Siffermann testified he selected Fuata because Fuata  
4 had demonstrated “skill in managing his subordinate staff” at the time Fuata previously had  
5 worked as an “acting supervisor,” and because his “style” of management was, in  
6 Siffermann’s experience, “compatible with extracting the positive outputs of personnel but  
7 at the same time able to hold officers accountable.” (See id. Ex. D at 97-98.)<sup>16</sup> Additionally,  
8 Siffermann testified that he did not select Gainey for a supervisory position in 2007  
9 because he believed Gainey was “a little bit soft spoken and maybe not as definitive,” and  
10 had not “demonstrated any leadership.” (See id. Ex. D at 100.)

11 The Court finds Siffermann’s stated grounds for not selecting Gainey in 2007 for a  
12 Supervising Probation Officer position constitute legitimate and non-discriminatory reasons  
13 for the non-selection; plaintiffs do not argue to the contrary. Rather, plaintiffs argue that, for  
14 several reasons, plaintiffs can establish Siffermann’s stated grounds were a pretext for  
15 racial discrimination.<sup>17</sup> As discussed below, the Court disagrees.

16 First, plaintiffs argue, the Department should have selected the persons who scored  
17 the highest on the examination administered by the DHR, i.e., the examination  
18 administered to create the eligible list, rather than make decisions based on the interviews  
19 conducted by Siffermann. In other words, plaintiffs argue, the Department should have  
20 employed different selection criteria than those actually employed. Plaintiffs cannot create  
21 a triable issue of fact as to pretext, however, by arguing they would have been selected if  
22 plaintiffs’ own choice of criteria had been employed. See Cotton v. City of Alameda, 812

---

24 <sup>16</sup>The fourth person selected in 2007 was Cade-Hill, who is African-American.  
25 Siffermann testified she was selected because she had a “wealth of experience” and during  
26 her interview she “brought out some great perspectives . . . on management of personnel  
and her approach to the job of being a supervisor.” (See id. Ex. D at 96.)

27 <sup>17</sup>To the extent plaintiffs, in relying on Siffermann’s “dark side” comment, assert they  
28 need not establish their claims under the McDonnell Douglas framework, the Court, for the  
reasons stated above with respect to plaintiffs’ claims brought on behalf of Woodard,  
disagrees.

1 F.2d 1245, 1249 (9th Cir.1987) (holding plaintiff cannot demonstrate pretext by asserting  
2 employer should have used different criteria; observing federal discrimination laws “do[ ]  
3 not make it unlawful for an employer to do a poor job of selecting employees,” but, rather,  
4 “make[ ] it unlawful to discriminate on the basis of [a protected class]”).

5 Second, plaintiffs argue pretext can be inferred because the Department assertedly  
6 changed the “certification rule” applicable to persons listed on the eligible list after the  
7 rankings were known. Under the San Francisco Civil Service Rules, when a civil service  
8 position becomes available, the DHR must “certify to the appointing officer the names of  
9 eligibles that are reachable within the applicable certification rule.” See San Francisco Civil  
10 Service Rule 111A.28.1.<sup>18</sup> If the City and the employees’ union do not agree to a different  
11 certification rule, the “Rule of Three Scores shall be used exclusively.” See San Francisco  
12 Civil Service Rule 111A.30.3. Under the Rule of Three Scores, where more than one  
13 position is available, “the number of scores certified shall be equal to the number of  
14 positions to be filled plus the number of scores in the certification rule minus one.” See San  
15 Francisco Civil Service Rule 111A.29.2.

16 Here, defendants offer evidence that, as of the time the DHR administered its  
17 examination, the City and the employees’ union, the Supervising Probation Officers’  
18 Association (“SPOA”), had not decided upon the certification rule that would apply (see  
19 Horan Decl. ¶ 8), and that before the results were known, the City and the SPOA agreed to  
20 the “Rule of the List” (see id. Ex. A at 00082), which rule provides that the “appointing  
21 officer” can “select anyone from the list” and is “free to inquire further about a candidate’s  
22 experience” (see id. ¶ 6). Plaintiffs fail to offer any evidence that any person representing  
23 either the City or the SPOA was aware of the results of the examination at the they agreed  
24 to the Rule of the List, or that Siffermann had any input into, or even awareness of, the  
25 negotiations between the City and the SPOA regarding which certification rule would apply  
26 to the eligible list. Moreover, even if the Rule of Three Scores had been applied to the four

---

27  
28 <sup>18</sup>Defendants’ request for judicial notice of the Civil Service Rules (see Defs.’ Req.  
for Judicial Notice Ex. A) is not opposed by plaintiffs, and is hereby GRANTED.

1 positions at issue, in which case the Department would have been required to select  
2 persons ranked Nos. 1 through 6 on the eligible list,<sup>19</sup> each person selected in 2007 would  
3 have been “reachable,” because it is undisputed that Schumann and Levene were both  
4 ranked No. 3, Cade-Hill was ranked No. 5, and Fuata was ranked No. 6. (See id. Ex. A at  
5 00089; Springman Decl. Ex. C.)

6 Third, plaintiffs argue that “at the time [defendants] refused promotions to [plaintiffs],  
7 the [ ] Department had not hired an African-American supervisor in over 20 years.” (See  
8 Pls.’ Opp. at 1:11-13.) Plaintiffs cite no evidence to support such assertion. (See id.)  
9 Moreover, defendants offer evidence, undisputed by plaintiffs, that Siffermann did not begin  
10 working for the Department until April 2005 (see Siffermann Decl. ¶ 1), and plaintiffs fail to  
11 explain why the hiring practices of prior Chief Probation Officers, even if such practices  
12 were as asserted by plaintiffs, tend to prove that Siffermann’s stated non-discriminatory  
13 reasons for not selecting Gainey were a pretext for racial animus on his part. Further, as  
14 discussed above, plaintiffs have offered no evidence to dispute defendants’ showing that  
15 during the two-year period in which plaintiffs are alleged to have been subjected to adverse  
16 employment decisions, 58% of the persons promoted by Siffermann were African-  
17 American.

18 Finally, plaintiffs rely on various employment decisions made with respect to other  
19 employees whom plaintiffs describe as African-American. In particular, plaintiffs offer  
20 evidence that in December 2007, Lonnie Holmes (“Holmes”) was selected by Siffermann to  
21 be “Director of Community Programs,” but that “within months,” Siffermann “eliminated  
22 many of [Holmes’s] duties,” and, in 2009, eliminated the position of Director of Community

23 //

24 //

---

26 <sup>19</sup>As noted, under the Rule of Three Scores, “the number of scores certified shall be  
27 equal to the number of positions to be filled plus the number of scores in the certification  
28 rule minus one.” See San Francisco Civil Service Rule 111A.29.2. Thus, where, as here,  
four positions were to be filled, the Department was allowed to make selections from  
persons who were ranked No. 1 through No. 6 on the eligible list (4 + 3 - 1).

1 Programs from the Department's budget. (See Holmes Decl. ¶¶ 8, 10, 11.)<sup>20</sup> Additionally,  
2 plaintiffs assert that in 2008, the Department "eliminated three Supervising Counselors from  
3 Juvenile Hall," each position held by an African-American. (See Pls.' Opp. at 10:20-22.)<sup>21</sup>  
4 In arguing such evidence supports a finding that Siffermann's stated reasons for not  
5 promoting Gainey in 2007 were pretextual, plaintiffs rely on Obrey v. Johnson, 400 F.3d  
6 691 (9th Cir. 2005), wherein the Ninth Circuit observed that "statistical data" can be used to  
7 "establish a general discriminatory pattern in an employer's hiring or promotion practices,"  
8 and that such a pattern, in turn, can "create an inference of discriminatory intent with  
9 respect to the individual employment decision at issue." See id. at 694. Here, even  
10 assuming each of the above-referenced four individuals is African-American and was in  
11 some manner adversely affected by the elimination, plaintiffs have offered no statistical  
12 data from which an inference of a general pattern of any type can be drawn, let alone a  
13 pattern of discriminatory practices.

14 Accordingly, to the extent plaintiffs' claims are based on the Department's decision  
15 not to promote Gainey in 2007, defendants have shown they are entitled to summary  
16 judgment.

#### 17 **b. Events Occurring Subsequent to Promotion**

18 On March 3, 2008, Siffermann promoted Gainey to the position of Supervising  
19 Probation Officer. (See Lucas Decl. Ex. 23 to Ex. E.) As explained by Siffermann, he did  
20 so in large part because, between the time of Gainey's non-selection in 2007 and his

---

21  
22 <sup>20</sup>Plaintiffs offer no evidence that Holmes' employment with the City was terminated  
23 when the position of Director of Community Programs was eliminated or that Holmes' salary was in any manner affected thereby.

24 <sup>21</sup>The evidence cited by plaintiffs for this proposition, specifically, Exhibit F to the  
25 Springman Declaration, does not support such a finding, nor refer in any way to such  
26 employees. Defendants, however, do not dispute plaintiffs' assertion that Supervising  
27 Counselor positions were eliminated; rather, defendants concede three Supervising  
28 Counselor positions were eliminated in June 2008, but submit evidence, undisputed by  
plaintiffs, that no such employee was terminated by the City (see Magee Decl. Ex. E), that  
the three Supervising Counselor positions were among five positions eliminated by  
Siffermann for budgetary reasons, and that the affected individuals were either to "continue  
to work for Juvenile Probation in other capacities" or "move on to new opportunities with the  
City" (see id.).

1 selection in 2008, Gainey had “volunteered for special assignments and demonstrated  
2 strong leadership.” (See Siffermann Decl. ¶ 13.)

3 Plaintiffs argue that, after his promotion, defendants subjected Gainey to  
4 discrimination.

5 Specifically, plaintiffs argue, defendants discriminated against Gainey by requiring  
6 him to continue to perform the duties of his prior assignment after he was promoted. With  
7 respect to this claim, defendants offer evidence that, prior to his promotion, Gainey had  
8 been working at an “offsite location” known as “the Principal’s Center,” a program in which  
9 75 youths were enrolled, and that Gainey was asked to provide “coverage at the  
10 [Principal’s Center] following his promotion until such time as a new probation officer could  
11 be identified and trained for the assignment.” (See Nance Decl. ¶ 15.) Defendants submit  
12 evidence that Gainey “agreed to work in that capacity,” and did so for one month, after  
13 which time he was injured and did not work in any capacity for the next eight months. (See  
14 id.) Plaintiffs offer no evidence to the contrary. Moreover, to the extent plaintiffs may be  
15 suggesting that Gainey did not feel he could refuse to provide such interim assistance,  
16 plaintiffs fail to explain how the making of a request for such assistance gives rise to an  
17 inference of racial discrimination, and the Court finds it does not.

18 Plaintiffs also assert that Gainey was required to share a secretary with another  
19 supervisor, who also is African-American. Assuming such assertion is intended by  
20 plaintiffs to state a distinct claim for discrimination,<sup>22</sup> and assuming, arguendo, that  
21 requiring an employee to share a secretary could constitute an adverse employment action  
22 for purposes of Title VII or FEHA, plaintiffs do not argue, nor does their evidence support a  
23 finding, that only African-American supervisors were required to share secretaries (see  
24 Springman Decl. Ex. G at 206), and, consequently, plaintiffs cannot establish a prima facie  
25 case of discrimination based thereon. Cf., e.g., Hildebrandt v. Illinois Dep’t of Natural

---

26  
27 <sup>22</sup>In contrast to the other alleged discriminatory acts discussed herein, each of which  
28 is alleged in the complaint, the complaint includes no allegation that Gainey was required to  
share a secretary, much less that any such decision was discriminatory.

1 Resources, 347 F.3d 1014, 1034 n.14 (7th Cir. 2003) (holding where, in context of claim  
2 based on gender discrimination in form of hostile work environment, plaintiff asserted she  
3 had to share secretary, such assertion did not support plaintiff's claim because plaintiff  
4 failed to show she was "treated differently from her male coworkers with respect to [such  
5 sharing]").

6 Accordingly, to the extent plaintiffs' claims are based on events occurring after  
7 Gainey's promotion, defendants have shown they are entitled to summary judgment.

### 8 **c. Conclusion as to Discrimination Claims Brought on Behalf of Gainey**

9 Defendants are entitled to summary judgment to the extent plaintiffs allege claims on  
10 behalf of Gainey.

## 11 **2. Additional Arguments**

12 Because, as discussed above, defendants have shown Gainey lacks sufficient  
13 evidence to establish that he was subjected to employment discrimination, the Court does  
14 not address herein defendants' additional arguments made in support of their motion.

## 15 **C. Plaintiff Oliphant**

16 Plaintiffs allege that Oliphant, a Deputy Probation Officer, applied for and did not  
17 receive a promotion to the position of Supervising Probation Officer. (See Compl. ¶¶ 13,  
18 17, 21, 25.)

### 19 **1. Sufficiency of Evidence: Employment Discrimination**

#### 20 **a. 2007 Failure to Promote**

21 It is undisputed that Oliphant was ranked No. 2 on the DHR's "eligible list" issued  
22 April 17, 2007. (See Horan Decl. Ex. A at 00089; Springman Decl. Ex. C.)

23 As discussed above, Siffermann, in 2007, selected four persons for the then  
24 available positions of Supervising Probation Officer. In explaining why he did not select  
25 Oliphant at that time, Siffermann testified that Oliphant's "understanding of casework and  
26 casework supervision" was "inconsistent with what [Siffermann] thought was the best  
27 perspective to take." (See Lucas Decl. Ex. D at 100.) More specifically, Siffermann  
28 testified that during Oliphant's interview, the topic of "the role of the supervisor in providing



1 direction on the case and whose case it is and who is responsible” was discussed, and  
2 Oliphant indicated, as described by Siffermann, that it was Oliphant’s “belief that [the  
3 cases] are not his cases, they are the probation officer’s cases” and that “the individual  
4 officer [ ] had the primary case decision-making authority,” which belief, Siffermann  
5 testified, was “not consistent with [Siffermann’s] beliefs” as to “the role of a supervisor.”  
6 (See id. Ex. D at 70, 149.) As Siffermann further explained, he “view[s] the role of a  
7 supervisor” as “taking ownership and responsibility and [ ] feel[ing] that it [is] necessary in  
8 those circumstances to affirm or question a judgment on a matter” (see id. Ex. D at 70),  
9 and that “the supervisor is the person that has the final word on matters involving all the  
10 cases in the unit” (see id. Ex. D at 149).

11 The Court finds Siffermann’s stated reasons are legitimate and non-discriminatory.  
12 Plaintiffs do not argue to the contrary; rather plaintiffs argue that, for the reasons discussed  
13 above with respect to Gainey’s non-selection in 2007, plaintiffs can establish such reasons  
14 are a pretext for racial discrimination. For the reasons stated above with respect to Gainey,  
15 the Court disagrees.

16 Accordingly, to the extent plaintiffs’ claims are based on the Department’s decision  
17 not to promote Oliphant in 2007, defendants have shown they are entitled to summary  
18 judgment.

19 **b. 2008 Failure to Promote**

20 In 2008, the Department had two openings for the position of Supervising Probation  
21 Officer. One of those positions was given to Gainey and the other to Richard Perino  
22 (“Perino”), whom all parties describe as Caucasian. Plaintiffs argue that defendants’  
23 selection of Perino instead of Oliphant constituted racial discrimination.

24 With respect to this claim, defendants offer evidence, undisputed by plaintiffs, that  
25 the position for which Perino was selected was Supervisor of the Department’s “Courtroom  
26 Officer Unit.” (See Siffermann Decl. ¶ 12.) Defendants also offer evidence, undisputed by  
27 plaintiffs, that the Supervisor of the Courtroom Officer Unit would “work in Courtroom 4 in  
28 addition to his duties to manage the work of the court officers and perform other duties as

1 assigned.” (See Nance Decl. ¶ 12.)

2 Siffermann testified that Perino initially was selected for such supervisory position in  
3 an “acting” capacity, because Perino had been working in that unit and had “demonstrated  
4 the leadership capabilities that kept that division [the Courtroom Officer Unit] running,” and  
5 he had been “kind of the surrogate leader all along” because the prior Supervising  
6 Probation Officer had, in Siffermann’s view, “provided little or no leadership.” (See Lucas  
7 Decl. Ex. D at 105.) Thereafter, Siffermann permanently filled the position with Perino  
8 because, in Siffermann’s assessment, the Courtroom Officer Unit, after Perino became the  
9 acting supervisor, had a “tremendous turnaround in its response to the court.” (See *id.* Ex.  
10 D at 111.) Specifically, under Perino’s predecessor, “there wouldn’t be a week that would  
11 go by that [Siffermann] didn’t receive some kind of complaint from the court,” and that such  
12 complaints stopped “right after [Perino] became acting supervisor.” (See *id.* Ex. D at 112.)  
13 When asked why he selected Perino for the permanent position, rather than Oliphant,  
14 Siffermann testified at his deposition as follows:

15 Well, because of the period of time of candidacy after I had reached out to  
16 everybody and asked them to consider participating in any kind of initiative  
17 that we would ask. As future leaders of the court, [ ] Perino volunteered for  
18 everything. [ ] Oliphant, it wasn’t a matter of not volunteering, it was – on two  
19 instances, it was, having been approached, and he declined to take part in it.  
20 So it [the selection of Perino] had to do with leadership initiative and working  
21 together with the administration.

19 (See *id.* Ex. D at 112.)

20 The Court finds Siffermann’s stated grounds for not selecting Oliphant as the  
21 Supervisor for the Courtroom Officer Unit constitute legitimate and non-discriminatory  
22 reasons for such decision. Again, plaintiffs do not argue to the contrary; rather, plaintiffs  
23 argue they can establish Siffermann’s stated grounds are a pretext for racial  
24 discrimination.<sup>23</sup>

25 //

---

26  
27 <sup>23</sup>To the extent plaintiffs, in relying on Siffermann’s “dark side” comment, are  
28 asserting they need not establish a claim under the McDonnell Douglas framework, the  
Court, for the reasons stated above with respect to plaintiffs’ claims brought on behalf of  
Woodard, disagrees.

1 In support thereof, plaintiffs rely on the following evidence regarding Perino:

2 (1) Siffermann’s testimony that he was aware of “hearsay” that Perino, before Siffermann  
3 became Chief Probation Officer, had been appointed as an acting supervisor and later was  
4 removed from that position because Perino had a “method of assigning cases” based on  
5 “race,” and that Siffermann did not believe it was necessary to learn the “details of that  
6 decision” before appointing Perino to Supervisor of the Courtroom Officer Unit, which  
7 position did not involve the assignment of cases (see Springman Decl. Ex. F at 177-78);<sup>24</sup>  
8 (2) Siffermann’s testimony that during Perino’s interview for the supervisor positions that  
9 were available in 2007, Perino gave some answers that were “deficient,” “superficial,” and  
10 “inferior” (see id. Ex. F at 184-85); (3) Siffermann’s testimony that he knew of “concerns  
11 raised about [ ] Perino by the prior director of probation services, Nancy Yalon,” specifically,  
12 her concerns that Perino was “lax, not holding folks accountable” and was “giving people  
13 too much latitude” (see id. Ex. F at 106); and (4) Siffermann’s testimony that Perino was  
14 the “first [person] [Siffermann] disciplined for insubordination to the court”; specifically,  
15 Siffermann suspended Perino for five days for “disrespect to the court” based on his  
16 “answering a cell phone and telling the judge to wait while he was before the bench” (see  
17 id. Ex. F at 108-09).

18 Although such information concerning Perino would not necessarily disqualify him as  
19 a viable candidate for the then available supervisor position, the Court finds the above-cited  
20 evidence, viewed in the aggregate and in the light most favorable to plaintiffs, is sufficient to  
21 raise a triable issue as to whether Siffermann’s stated reasons for promoting Perino over  
22 Oliphant are pretextual.

23 //

24 //

25

---

26 <sup>24</sup>There is no evidence suggesting any such method of assignment was intended by  
27 Perino to provide preferred assignments to any particular individual or group of probation  
28 officers. In Siffermann’s view, however, neither assigning cases based on the race of the  
probationer nor assigning cases based on a “rotation wheel” is an appropriate method of  
assignment. (See id. Ex. F at 106.)

1           Accordingly, to the extent plaintiffs' claims are based on a failure to promote  
2 Oliphant in 2008, defendants have not shown that Oliphant has failed to raise a triable  
3 issue as to whether discrimination played a role in such decision.

4                           **c. Conclusion: Sufficiency of Evidence as to Discrimination**

5           To the extent plaintiffs' claims are based on the failure to promote Oliphant in 2007,  
6 defendants have shown they are entitled to summary judgment; to the extent plaintiffs'  
7 claims are based on the failure to promote Oliphant in 2008, defendants have failed to  
8 show discrimination played no role in such decision.

9                           **2. Additional Arguments**

10           Each of the five causes of action alleged on behalf of Oliphant is based on the same  
11 alleged acts of discrimination. Defendants argue that, irrespective of whether plaintiffs can  
12 establish a claim of employment discrimination, defendants are entitled to summary  
13 judgment on four of the five causes of action to the extent they are alleged on behalf of  
14 Oliphant, for reasons specific to each such cause of action. The Court next considers  
15 these additional arguments as they pertain to plaintiffs' claim that the failure to promote  
16 Oliphant in 2008 constituted racial discrimination.

17                           **a. First Cause of Action**

18           In the First Cause of Action, plaintiffs, as noted, allege a violation of § 1983.

19                           **(1) Siffermann**

20           Defendants argue that Siffermann is entitled to qualified immunity.

21           As discussed above, a government official is entitled to qualified immunity if the  
22 official's conduct did not violate the plaintiff's constitutional rights, or, if a violation did occur,  
23 the right violated was not clearly established. See Pearson, 129 S. Ct. at 815. In  
24 determining whether either such circumstance has been established, the court "must  
25 accept the facts in the light most favorable to the [p]laintiffs," and, with respect to the latter,  
26 must "determine whether, in light of clearly established principles governing the conduct in  
27 question, the [defendants] objectively could have believed that their conduct was lawful."

28 See Mena v. City of Simi Valley, 226 F.3d 1031, 1036 (9th Cir. 2000).

1 Here, defendants argue, “[p]laintiffs cannot prove that [Oliphant] suffered a  
2 deprivation of rights secured to [him] by the federal constitution.” (See Defs.’ Mot. at 16:5-  
3 6.) As discussed above, plaintiffs have demonstrated a triable issue of fact exists as to  
4 whether discrimination played a role in Siffermann’s decision not to promote Oliphant in  
5 2008. Defendants have not argued that, in the event a trier of fact could find Siffermann’s  
6 stated reasons for selecting Perino over Oliphant were pretextual in nature, the Court  
7 nonetheless could find Siffermann entitled to qualified immunity.

8 Accordingly, to the extent the First Cause of Action is based on plaintiffs’ claim that  
9 the failure to promote Oliphant in 2008 constituted racial discrimination, defendants have  
10 failed to show Siffermann is entitled to qualified immunity.

## 11 (2) The City

12 Defendants contend plaintiffs cannot establish a civil rights deprivation claim against  
13 the City, for the reason that plaintiffs lack evidence to demonstrate the discrimination to  
14 which Oliphant is alleged to have been subjected was taken pursuant to a policy or custom  
15 of the Department.

16 Again, as with the claims brought on behalf of Woodard, the complaint does not  
17 allege the failure to promote occurred as a result of a departmental policy or custom, and,  
18 again, defendants have offered statistics demonstrating that, during the period from July 1,  
19 2006 through June 30, 2008, 58% of the persons promoted in the Department were  
20 African-American and 33% of persons newly-hired for any position were African-American,  
21 a percentage higher than for “any other racial or ethnic group.” (See Magee Decl. ¶ 14, Ex.  
22 F.) As discussed above, plaintiffs neither contest such statistical showing nor offer any  
23 evidence suggesting the Department has a policy of failing to provide equal employment  
24 opportunities for African-Americans, nor do plaintiffs, in their opposition, make any  
25 argument as to why the City can be held liable on plaintiffs’ claims under § 1983.

26 Accordingly, to the extent the First Cause of Action is brought on behalf of Oliphant  
27 and against the City, defendants have shown they are entitled to summary judgment.

28 //

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**b. Second Cause of Action**

As discussed above with respect to Woodard, plaintiffs cannot establish as against Siffermann their Second Cause of Action, by which they allege a violation of Title VII.

Accordingly, to the extent the Second Cause of Action is brought on behalf of Oliphant and against Siffermann, defendants have shown they are entitled to summary judgment.

**c. Third Cause of Action**

As discussed above with respect to Woodard, plaintiffs cannot establish as against Siffermann their Third Cause of Action, by which they allege a violation of FEHA.

Accordingly, to the extent the Third Cause of Action is brought on behalf of Oliphant and against Siffermann, defendants have shown they are entitled to summary judgment.

To the extent defendants argue plaintiffs' FEHA claim on behalf of Oliphant is barred because the complaint contains no allegation that Oliphant received a right-to-sue letter from the Department of Fair Employment and Housing ("DFEH"), the Court disagrees. The instant matter is no longer at the pleading stage, and plaintiffs have offered evidence, undisputed by defendants in their reply, that Oliphant received a right-to-sue letter from the DFEH dated October 5, 2007, well before the instant action was filed. (See Springman Decl. Ex. EE.)

**d. Fifth Cause of Action**

Lastly, defendants argue they are entitled to summary judgment on plaintiffs' Fifth Cause of Action, by which plaintiffs allege a claim of intentional infliction of emotional distress. Specifically, defendants offer evidence that Oliphant did not, prior to filing the instant action, or at any other time, present his tort claim to the City. (See Rothschild Decl. ¶ 4.) Plaintiffs offer no evidence to the contrary.

Accordingly, defendants are entitled to summary judgment on the Fifth Cause of Action. See Crow, 222 Cal. App. 3d at 199; Ortega, 764 F.2d at 707.

//  
//

1 **CONCLUSION**

2 For the reasons discussed above, defendants' motion for summary judgment is  
3 hereby GRANTED in part and DENIED in part, as follows.

4 1. With respect to the claims brought on behalf of Woodard,

5 a. to the extent the motion seeks summary judgment on the First and Fifth  
6 Causes of Action in their entirety, the motion is GRANTED,

7 b. to the extent the motion seeks summary judgment on the Second and  
8 Third Causes of Action as alleged against Siffermann, the motion is GRANTED,

9 c. to the extent the motion seeks summary judgment on all claims that are  
10 predicated on denial of requests for personal leave, the motion is GRANTED, and

11 d. in all other respects, the motion is DENIED.

12 2. With respect to the claims brought on behalf of Gainey, the motion is GRANTED.

13 3. With respect to the claims brought on behalf of Oliphant,

14 a. to the extent the motion seeks summary judgment on the First Cause of  
15 Action as alleged against the City, the motion is GRANTED.

16 b. to the extent the motion seeks summary judgment on the Second and  
17 Third Causes of Action as alleged against Siffermann, the motion is GRANTED,

18 c. to the extent the motion seeks summary judgment on the Fifth Cause of  
19 Action in its entirety, the motion is GRANTED,

20 d. to the extent the motion seeks summary judgment on all claims that are  
21 predicated on a failure to promote in 2007, the motion is GRANTED, and

22 e. in all other respects, the motion is DENIED.

23 **IT IS SO ORDERED.**

24  
25 Dated: May 7, 2010

26   
27 MAXINE M. CHESNEY  
28 United States District Judge