

JUDGE KAPLAN

09 CV

1946

UNITED STATES  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

A CERTIFIED TRUE COPY  
ATTEST

By Darion Payne on Mar 03, 2009

FOR THE UNITED STATES  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

UNITED STATES JUDICIAL PANEL  
on  
MULTIDISTRICT LITIGATION

Feb 13, 2009

FILED  
CLERK'S OFFICE

IN RE: LEHMAN BROTHERS HOLDINGS, INC.,  
SECURITIES & EMPLOYEE RETIREMENT  
INCOME SECURITY ACT (ERISA) LITIGATION

C 09-05015C

(SEE ATTACHED SCHEDULE)

FILED  
MAY 11 2017

MAR - 6 2009

RICHARD W. WIEKING  
CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

fld  
SDAY  
3/3/09

CONDITIONAL TRANSFER ORDER (CTO-1)

On February 9, 2009, the Panel transferred nine civil actions to the United States District Court for the Southern District of New York for coordinated or consolidated pretrial proceedings pursuant to 28 U.S.C. § 1407. See \_\_\_ F.Supp.2d (J.P.M.L. 2009). With the consent of that court, all such actions have been assigned to the Honorable Lewis A. Kaplan.

It appears that the actions on this conditional transfer order involve questions of fact that are common to the actions previously transferred to the Southern District of New York and assigned to Judge Kaplan.

Pursuant to Rule 7.4 of the Rules of Procedure of the Judicial Panel on Multidistrict Litigation, 199 F.R.D. 425, 435-36 (2001), these actions are transferred under 28 U.S.C. § 1407 to the Southern District of New York for the reasons stated in the order of February 9, 2009, and, with the consent of that court, assigned to the Honorable Lewis A. Kaplan.

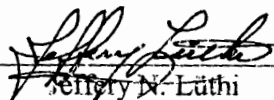
This order does not become effective until it is filed in the Office of the Clerk of the United States District Court for the Southern District of New York. The transmittal of this order to said Clerk shall be stayed 15 days from the entry thereof. If any party files a notice of opposition with the Clerk of the Panel within this 15-day period, the stay will be continued until further order of the Panel.

Inasmuch as no objection is pending at this time, the stay is lifted.

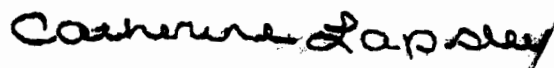
Mar 03, 2009

CLERK'S OFFICE  
UNITED STATES  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

FOR THE PANEL:

  
Jennifer N. Luthi  
Clerk of the Panel

A CERTIFIED COPY  
J. MICHAEL McMANON, CLERK

BY   
DEPUTY CLERK

**IN RE: LEHMAN BROTHERS HOLDINGS, INC.,  
SECURITIES & EMPLOYEE RETIREMENT  
INCOME SECURITY ACT (ERISA) LITIGATION**

MDL No. 2017

**SCHEDULE CTO-1 - TAG-ALONG ACTIONS**

**DIST. DIV. C.A. #**

**CASE CAPTION**

CALIFORNIA CENTRAL

<sup>1</sup> CAC 2 08-8193

Robert W. Monfrini v. UBS Financial Services, Inc.

CALIFORNIA NORTHERN

<sup>2</sup> CAN 3 08-5617

Lou Solton, etc. v. Richard S. Fuld, Jr., et al.

<sup>3</sup> CAN 3 09-501

City of South San Francisco v. Citigroup Global Markets, Inc., et al.

UNITED STATES DISTRICT COURT  
Southern District of New York  
Office of the Clerk  
500 Pearl Street  
New York, N.Y. 10007  
(212) 805-0646

**RECEIVED**

MAR - 6 2009

RICHARD W. WIEKING  
CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

J. Michael McMahon  
Clerk  
USDC OF NORTHERN CALIFORNIA

Date: 03/03/2009

In Re: LEHMAN BROTHERS  
HOLDINGS, INC. ET AL  
MDL 2017

Your Docket #  
09-501 *SC*

S.D. OF N.Y.  
09 CV 1946

Dear Sir:

Enclosed is a certified copy of the order of the Judicial Panel on Multidistrict Litigation, transferring the above entitled action presently pending in your court, to the Southern District of New York and assigned to Judge KAPLAN for coordinated or consolidated pretrial processing pursuant to 28 USC 1407.

Please return the copy of this letter when transmitting a CERTIFIED COPY OF THE DOCKET SHEET. We will retrieve the documents using our Pacer system. Please note we DO NOT utilize the CM/ECF transfer function.

Sincerely,  
J. Michael McMahon

By: PHYLLIS ADAMIK  
MDL Unit  
(212) 805-0646