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**ATTORNEYS FOR DEFENDANT  
SYMANTEC CORPORATION**

*Additional Attorneys Listed on Signature Page*

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA  
(SAN FRANCISCO DIVISION)

**Case Number: 3:09-CV-01063-MMC**

SOUTHWEST TECHNOLOGY )  
INNOVATIONS, LLC. )

Plaintiff, )

v. )

SYMANTEC CORPORATION )

Defendant. )

**~~[PROPOSED]~~ STIPULATED  
PROTECTIVE ORDER**

**[PROPOSED] STIPULATED PROTECTIVE ORDER**

1 The Court recognizes that at least some of the documents and information  
2 (“Materials”) being sought through discovery in the above-captioned action are, for  
3 competitive reasons, normally kept confidential by the parties. The parties have agreed  
4 to be bound by the terms of this Protective Order (“Order”) in this action.

5 The Materials to be exchanged throughout the course of the litigation between  
6 the parties may contain trade secret or other confidential research, technical, cost,  
7 price, marketing or other commercial information, as is contemplated by Federal Rule of  
8 Civil Procedure 26(c)(7). The purpose of this Order is to protect the confidentiality of  
9 such Materials as much as practical during the litigation. THEREFORE:

#### 10 DEFINITIONS

11 1. The term “Confidential Information” shall mean and include information  
12 contained or disclosed in any Materials, including documents, portions of documents,  
13 answers to interrogatories, responses to requests for admissions, trial testimony,  
14 deposition testimony, and transcripts of trial testimony and depositions, including data,  
15 summaries, and compilations derived therefrom that is deemed to be Confidential  
16 Information by any part to which it belongs.

17 2. The term “Materials” shall include, but shall not be limited to: documents;  
18 correspondence; memoranda; bulletins; blueprints; specifications; customer lists or  
19 other material that identify customers or potential customers; price lists or schedules or  
20 other matters identifying pricing; minutes; telegrams; letters; statements; cancelled  
21 checks; contracts; invoices; drafts; books of account; worksheets; notes of  
22 conversations; desk diaries; appointment books; expense accounts; recordings;  
23 photographs; motion pictures; compilations from which information can be obtained and  
24 translated into reasonably usable form through detection devices; sketches; drawings;  
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1 notes (including laboratory notebooks and records); reports; instructions; disclosures;  
2 other writings; models and prototypes and other physical objects.

3 3. The term "Counsel" shall mean counsel of record, and other attorneys,  
4 paralegals, secretaries, and other support staff employed in the law firms identified  
5 below: QUINN EMANUEL URQUHART OLIVER & HEDGES, LLC; NIRO, SCAVONE,  
6 HALLER & NIRO; and FERGUS, A LAW FIRM.

7 GENERAL RULES

8 4. Each party to this litigation that produces or discloses any Materials,  
9 answers to interrogatories, responses to requests for admission, trial testimony,  
10 deposition testimony, and transcripts of trial testimony and depositions, or information  
11 that the producing party believes should be subject to this Protective Order may  
12 designate the same as "CONFIDENTIAL" or "CONFIDENTIAL – FOR COUNSEL  
13 ONLY." The producing party may further sub-designate computer source code or  
14 documents that describe encoding or decoding algorithms used in computer source  
15 code as "RESTRICTED SOURCE CODE" by appending "RESTRICTED SOURCE  
16 CODE" to any of the four above designations.  
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18 a. Designation as "CONFIDENTIAL": Any party may designate  
19 information as "CONFIDENTIAL" only if, in good faith belief of such party and its  
20 Counsel, the unrestricted disclosure of such information could be potentially prejudicial  
21 to the business or operations of such party.

22 b. Designation as "CONFIDENTIAL – FOR COUNSEL ONLY": Any  
23 party may designate information as "CONFIDENTIAL – FOR COUNSEL ONLY" only if,  
24 in the good faith belief of such party and its Counsel, the information is considered to be  
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1 most sensitive to the party, including but not limited to trade secret, highly confidential  
2 research, development, financial or commercial information.

3 5. In the event the producing party elects to produce Materials for inspection,  
4 no marking need be made by the producing party in advance of the initial inspection.  
5 For purposes of the initial inspection, all Materials produced shall be considered as  
6 "CONFIDENTIAL – FOR COUNSEL ONLY," and shall be treated as such pursuant to  
7 the terms of this Order. Thereafter, upon selection of specified Materials for copying by  
8 the inspecting party, the producing party shall, within a reasonable time prior to  
9 producing those Materials to the inspecting party, mark the copies of those Materials  
10 that contain Confidential Information with the appropriate confidentiality marking.

11 6. Wherever a deposition taken on behalf of any party involves a disclosure  
12 of Confidential Information of any party:

- 13
- 14 a. said deposition or portions thereof shall be designated as  
15 containing Confidential Information subject to the provisions of this  
16 Order; such designation shall be made on the record wherever  
17 possible, but a party may designate portions of depositions as  
18 containing Confidential Information after transcription of the  
19 proceedings; a party shall have until fifteen (15) days after receipt  
20 of the deposition transcript to inform the other party or parties to the  
21 action of the portions of the transcript designated  
22 "CONFIDENTIAL," "CONFIDENTIAL – FOR COUNSEL ONLY," or  
23 "RESTRICTED SOURCE CODE";
  - 24 b. the disclosing party shall have the right to exclude from attendance  
25 at said deposition, during such time as the Confidential Information  
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1 is to be disclosed, any person other than the deponent, Counsel  
2 (including their staff and associates), the court reporter,  
3 videographer, and independent experts/consultants who are  
4 permitted access to the Confidential Information by this Protective  
5 Order; and

6 c. the originals of said deposition transcripts and all copies thereof  
7 shall bear the legend "CONFIDENTIAL," "CONFIDENTIAL – FOR  
8 COUNSEL ONLY," or "RESTRICTED SOURCE CODE" as  
9 appropriate, and the original or any copy ultimately presented to a  
10 court for filing shall not be filed unless it can be accomplished under  
11 seal, identified as being subject to this Order, and protected from  
12 being opened except by order of this Court.

13  
14 7. All Confidential Information designated as "CONFIDENTIAL,"  
15 "CONFIDENTIAL – FOR COUNSEL ONLY" or "RESTRICTED SOURCE CODE" shall  
16 not be disclosed by the receiving party to anyone other than those persons designated  
17 herein and shall be handled in the manner set forth below and, in any event, shall not  
18 be used for any purpose other than in connection with this litigation, unless and until  
19 such designation is removed either by agreement of the parties, or by order of the  
20 Court.

21 8. Any attorneys, patent agents, or other persons who are allowed access to  
22 documents, information, and other things designated "CONFIDENTIAL – FOR  
23 COUNSEL ONLY" or "RESTRICTED SOURCE CODE" (i) shall not actively participate  
24 in the prosecution of any application pertaining to mail security software products during  
25 the pendency of this action and for one year after the conclusion of their involvement  
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1 with this litigation, including appeals; and (ii) shall have no involvement in the  
2 prosecution of any application related to the patent-in-suit, *i.e.*, continuations,  
3 continuations in part, divisionals, or foreign counterparts.

4 9. Information designated “CONFIDENTIAL – FOR COUNSEL ONLY” shall  
5 be viewed only by Counsel of record for the receiving party (and regular employees of  
6 such Counsel), by independent experts under the conditions set forth herein, and by no  
7 more than two (2) in-house counsel of the receiving party, designated in writing, who  
8 are actively engaged in connection with the conduct of this litigation.

9 a. The right of any independent expert to receive any Confidential  
10 Information shall be subject to the advance approval of such expert  
11 by the producing party or by permission of the Court. The party  
12 seeking approval of an independent expert first must provide to the  
13 producing party (1) the full name of the independent expert and the  
14 city and state of his or her primary residence, (2) a copy of the  
15 expert’s current resume or *Curriculum Vitae* , (3) an executed copy  
16 of Exhibit A, attached hereto, (4) a written identification of each  
17 person or entity from whom the expert has received compensation  
18 for work in his or her areas of expertise or to whom the expert has  
19 provided professional services at any time during the preceding five  
20 years, and (5) the name and action number of the case, filing date,  
21 and location of any court concerning any litigation in connection  
22 with which the expert has provided any professional services during  
23 the preceding five years.  
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1 b. A party that makes a request and provides the information  
2 concerning the expert specified in the preceding subparagraph may  
3 disclose the designated information to the identified expert  
4 unless, within seven (7) court days of delivering the request, the  
5 party seeking approval of the expert receives a written objection  
6 from the producing party. Any such objection must set forth in  
7 detail the grounds on which it is based.

8 c. A party that receives a timely written objection must meet and  
9 confer with the producing party (in person or telephonically) to try to  
10 resolve the matter by agreement. If no agreement is reached, the  
11 party seeking to make the disclosure to the expert may file a motion  
12 as seeking permission from the court to do so. Any such motion  
13 must describe the circumstances with specificity, set forth in detail  
14 the reasons for which the disclosure to the expert is reasonably  
15 necessary, assess the risk of harm that the disclosure would entail  
16 and suggest any additional means that might be used to reduce  
17 that risk. In addition, any such motion must be accompanied by a  
18 competent declaration in which the movant describes the parties'  
19 efforts to resolve the matter by agreement (i.e., the extent and the  
20 content of the meet and confer discussions) and sets forth the  
21 reasons advanced by the producing party for its refusal to approve  
22 the disclosure.  
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24 d. In any such proceeding, the party opposing disclosure to the expert  
25 shall bear the burden of proving that the risk of harm that the  
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1 disclosure would entail (under the safeguards proposed) outweighs  
2 the party's need to disclose the designated information to its expert.

3 10. Information designated "CONFIDENTIAL" shall be viewed only by Counsel  
4 of the receiving party, by independent experts (pursuant to the terms of paragraph 9),  
5 and by the additional individuals listed below, provided each such individual has read  
6 this Order in advance of disclosure and has agreed in writing to be bound by its terms:

- 7 a. Executives who are required to participate in policy decisions with  
8 reference to this action;
- 9 b. Attorneys or members of the legal staff of the parties involved in  
10 this action, including those defined herein as in-house counsel;
- 11 c. Technical personnel of the parties with whom Counsel for the  
12 parties find it necessary to consult, in the discretion of such  
13 Counsel, in preparation for trial of this action;
- 14 d. the Court and its personnel;
- 15 e. court reporters, videographers, their staffs, and professional vendors to  
16 whom disclosure is reasonably necessary for this litigation, and  
17 any mediator appointed by the Court or agreed to by the parties;  
18 and
- 19 f. Stenographic and clerical employees associated with the  
20 individuals identified above.  
21

22 11. Computer source code and documents that describe encoding or  
23 decoding algorithms used in computer source code shall be designated  
24 "CONFIDENTIAL – FOR COUNSEL ONLY" and may be sub-designated by appending  
25 "RESTRICTED SOURCE CODE" to the designation. Documents and things so sub-  
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1 designated will be subject to all of the restrictions of the main designation and will also  
2 be subject to the following additional restrictions and provisions:

3 a. The producing party shall make available Materials sub-designated  
4 "RESTRICTED SOURCE CODE," if available in electronic form, on  
5 a password protected laptop or workstation. The producing party  
6 shall make its best efforts to provide, as quickly as possible, on the  
7 laptop or workstation, any software or analytical tool requested by  
8 the inspecting party's Counsel and/or experts/consultants. The  
9 laptop or workstation shall not be connected to a network or to the  
10 internet. The inspecting party's Counsel and experts/consultants  
11 shall not alter, dismantle, disassemble or modify the laptop or  
12 workstation in any way, and shall not attempt to circumvent any  
13 security feature of the laptop or workstation. Nothing in this  
14 paragraph shall be construed to prevent the receiving party's  
15 Counsel or experts/consultants from analyzing or copying Materials  
16 sub-designated "RESTRICTED SOURCE CODE" from one  
17 memory location to another within the laptop or workstation,  
18 provided that no electronic copies shall be removed from the  
19 laptops or workstation.  
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21 b. Each time a person accesses the laptop or workstation, the person  
22 shall sign a sign-in sheet prior to, and a sign-out sheet subsequent  
23 to, accessing the laptop or workstation including the name of the  
24 person accessing, the date and time in and out, and whether any  
25 hard copies were made.  
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1 c. At the producing party's sole discretion, the laptops or workstation  
2 containing Materials sub-designated "RESTRICTED SOURCE  
3 CODE" may be made available only at the offices of Counsel for  
4 the producing party, and under appropriate supervision and  
5 safeguards, or at an approved software escrow facility with similar  
6 safeguards. The producing party shall bear the costs for such  
7 protections.

8 d. No copies shall be made of source code or other Materials sub-  
9 designated pursuant to this paragraph, whether physical, electronic,  
10 or otherwise, except for: (i) electronic copies created on the  
11 laptops or workstation, whether temporary copies created in the  
12 normal operation of a computer system or output files created from  
13 source code analysis tools and/or utilities; (ii) excerpted written and  
14 printed portions for use in, and preparation for, court filings and  
15 proceedings, expert reports, and depositions of persons or entities  
16 permitted to access the designated material; and (iii) such other  
17 uses to which the parties may agree or that the Court may order.  
18 Any printed copies shall be limited only to those portions of the sub  
19 designated Materials for which a printed copy is needed at the time.  
20 Any printed copies of material sub-designated "RESTRICTED  
21 SOURCE CODE" shall be securely maintained by Counsel of  
22 record and/or the independent expert/consultant, shall be marked  
23 "RESTRICTED SOURCE CODE" on each page, shall be printed on  
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1 non-white colored paper and shall be destroyed as soon as they  
2 are no longer needed.

3 e. A written log shall be maintained by Counsel of record and the  
4 independent expert/consultant for all printed copies of Materials  
5 sub-designated pursuant to this paragraph. The log shall record  
6 the date, the number of pages printed and the identity of the person  
7 who created the printed copies.

8 f. The independent expert/consultant shall be provided with no more  
9 than a single copy of any printed Materials sub-designated  
10 "RESTRICTED SOURCE CODE." The independent  
11 expert/consultant shall not be permitted to transmit electronically or  
12 in any other way, or disseminate copies of any printed Materials  
13 sub-designated "RESTRICTED SOURCE CODE."  
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15 12. With respect to material designated "CONFIDENTIAL," "CONFIDENTIAL  
16 – FOR COUNSEL ONLY" or "RESTRICTED SOURCE CODE," any person indicated on  
17 the face of the document to be its originator, author or a recipient of a copy thereof, may  
18 be shown the same.

19 13. All information which has been designated as "CONFIDENTIAL,"  
20 "CONFIDENTIAL – FOR COUNSEL ONLY," or "RESTRICTED SOURCE CODE," by  
21 the producing or disclosing party, and any and all reproductions thereof, shall be  
22 retained in the custody of the outside Counsel for the receiving party identified in  
23 paragraph 3, except that independent experts authorized to view such information under  
24 the terms of this Order may retain custody of copies such as are necessary for their  
25 participation in this litigation.  
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1           14. Before any Materials produced in discovery, answers to interrogatories,  
2 responses to requests for admissions, deposition transcripts, or other documents which  
3 are designated as Confidential Information are filed with the Court for any purpose, the  
4 party seeking to file such material shall seek permission of the Court to file said material  
5 under seal. The parties will follow and abide by applicable law with respect to filing  
6 documents under seal in this Court.

7           15. At any stage of these proceedings, any party may object to a designation  
8 of the Materials as Confidential information. The party objecting to confidentiality shall  
9 notify, in writing, Counsel for the designating party of the objected-to Materials and the  
10 grounds for the objection. If the dispute is not resolved consensually between the  
11 parties within seven (7) business days of receipt of such a notice of objections, the  
12 objecting party may move the Court for a ruling on the objection. The Materials at issue  
13 shall be treated as Confidential Information, as designated by the designating party,  
14 until the Court has ruled on the objection or the matter has been otherwise resolved.

15           16. All Confidential Information shall be held in confidence by those inspecting  
16 or receiving it, and shall be used only for purposes of this action. Counsel for each  
17 party, and each person receiving Confidential Information shall take reasonable  
18 precautions to prevent the unauthorized or inadvertent disclosure of such information. If  
19 Confidential Information is disclosed to any person other than a person authorized by  
20 this Order, the party responsible for the unauthorized disclosure must immediately bring  
21 all pertinent facts relating to the unauthorized disclosure to the attention of the other  
22 parties and, without prejudice to any rights and remedies of the other parties, make  
23 every effort to prevent further disclosure by the party and by the person(s) receiving the  
24 unauthorized disclosure.  
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1           17. No party shall be responsible to another party for disclosure of  
2 Confidential Information under this Order if the information in question is not labeled or  
3 otherwise identified as such in accordance with this Order.

4           18. If a party, through inadvertence, produces any Confidential Information or  
5 otherwise protected privileged information without labeling or marking or otherwise  
6 designating it as such in accordance with this Order, the designating party may give  
7 written notice to the receiving party that the document or thing produced is deemed  
8 Confidential Information, and that the document or thing produced should be treated as  
9 such in accordance with that designation under this Order. The receiving party must  
10 treat the Materials as confidential, once the designating party so notifies the receiving  
11 party. If the receiving party has disclosed the Materials before receiving the  
12 designation, the receiving party must notify the designating party in writing of each such  
13 disclosure. Counsel for the parties shall agree on a mutually acceptable manner of  
14 labeling or marking the inadvertently produced Materials.

15           19. Production Not a Waiver: In accordance with FEDERAL RULE OF CIVIL  
16 PROCEDURE 26(b), if through a party produces any document(s), tangible thing(s), or  
17 information that it believes is immune from discovery pursuant to attorney-client  
18 privilege, attorney work product immunity, or any other privilege or immunity, such  
19 production shall not be deemed a waiver, and the producing party may give written  
20 notice to the receiving party that the document(s), tangible thing(s), or information so  
21 produced is deemed privilege and that the return of the documents(s), tangible thing(s),  
22 or information is requested. Upon receipt of such written notice, the receiving party  
23 shall immediately undertake to gather the original and all copies of the document(s),  
24 tangible thing(s), or information and shall immediately return the original and all such  
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1 copies to the producing party. Return of such document(s), tangible thing(s), or  
2 information to the producing party shall not preclude the receiving party from later  
3 moving to compel production of the returned document(s), tangible thing(s), or  
4 information.

5 20. Nothing herein shall prejudice the right of any party to object to the  
6 production of any discovery material on the grounds that the material is protected as  
7 privileged or as attorney work product.

8 21. Nothing in this Order shall bar Counsel from rendering advice to their  
9 clients with respect to this litigation and, in the course thereof, relying upon any  
10 information designated as Confidential Information, provided that the contents of the  
11 information shall not be disclosed.

12 22. This Order shall be without prejudice to the right of any party to oppose  
13 production of any information for lack of relevance or any other ground other than the  
14 mere presence of Confidential Information. The existence of this Order shall not be  
15 used by either party as a basis for discovery that is otherwise not proper under the  
16 Federal Rules of Civil Procedure.

17 23. Nothing herein is intended to prohibit or restrict in any way a party's (or its  
18 attorneys') use or distribution of its own information.

19 24. Nothing herein shall be construed to prevent disclosure of Confidential  
20 Information if such disclosure is required by law or by order of the Court.

21 25. Upon final termination of this action, including any and all appeals,  
22 Counsel for each party shall, upon request of the producing party, return all Confidential  
23 Information to the party that produced the information, including any copies, excerpts,  
24 and summaries thereof, or shall destroy same at the option of the receiving party, and  
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1 shall purge all such information from all machine-readable media on which it resides.  
2 Notwithstanding the foregoing, Counsel for each party may retain all pleadings, briefs,  
3 memoranda, motions, and other documents filed with the Court that refer to or  
4 incorporate Confidential Information, and will continue to be bound by this Order with  
5 respect to all such retained information. Further attorney work product Materials that  
6 contain Confidential Information need not be destroyed, but, if they are not destroyed,  
7 the person in possession of the attorney work product will continue to be bound by this  
8 Order with respect to all such retained information.

9         26. The restrictions and obligations set forth herein shall not apply to any  
10 information that (a) the parties agree should not be designated Confidential Information;  
11 (b) the parties agree, or the Court rules, is already public knowledge; (c) the parties  
12 agree, or the Court rules, has become public knowledge other than as a result of  
13 disclosure by the receiving party, its employees, or its agents in violation of this Order;  
14 or (d) has come or shall come into the receiving party's legitimate knowledge  
15 independently of the production by the designating party. Prior knowledge must be  
16 established by preproduction documentation.

17         27. The restrictions and obligations herein shall not be deemed to prohibit  
18 discussions of any Confidential Information with anyone if that person already has or  
19 obtains legitimate possession thereof.

20         28. Transmission by email is acceptable for all notification purposes herein.

21         29. This Order may be modified by agreement of the parties, subject to  
22 approval by the Court.

23         30. The Court may modify the terms and conditions of this Order for good  
24 cause, or in the interest of justice, or on its own order at any time in these proceedings.  
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1 The parties prefer that the Court provide them with notice of the Court's intent to modify  
2 the Order and the content to those modifications, prior to entry of such an order.

3 31. In the event any documents, things and/or deposition testimony are  
4 sought and obtained from a third party, such third party shall have the right to designate  
5 any such documents, things and/or deposition testimony as "CONFIDENTIAL,"  
6 "CONFIDENTIAL – FOR COUNSEL ONLY," or "RESTRICTED SOURCE CODE."  
7 Information in accordance with this Order, and the use of such Confidential Information  
8 by any receiving party shall be governed in all respects by the terms of this Order.  
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1  
2 Dated: February 17, 2010

Respectfully submitted,

3 /s/ Christopher Freeman  
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4 (davenelson@quinnemanuel.com)  
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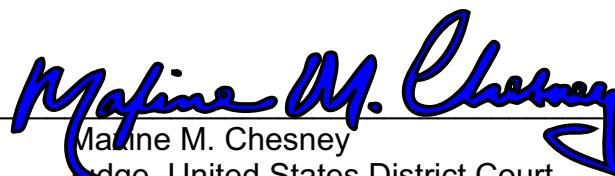
14 **ATTORNEYS FOR SYMANTEC**  
15 **CORPORATION**

/s/ Tahiti Arsulowicz  
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**ATTORNEYS FOR SOUTHWEST**  
**TECHNOLOGY INNOVATIONS, LLC**

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18 **PURSUANT TO STIPULATION, IT IS SO ORDERED** this 25th day of  
19 February, 2010

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23 Marjorie M. Chesney  
24 Judge, United States District Court

1  
2 EXHIBIT A  
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5 I, \_\_\_\_\_, declare and say that:

6 1. I am employed as

7 \_\_\_\_\_, by

8 \_\_\_\_\_.  
9 2. I have read the Protective Order entered in Southwest  
10 Technology Innovations, LLC v. Symantec Corporation, Case Number: 3:09-CV-01063-  
11 MMC and have received a copy of the Protective Order

12 3. I promise that I will use any and all "Confidential," "Confidential –  
13 For Counsel Only" or "Restricted Source Code" information, as defined in the Protective  
14 Order, given to me only in a manner authorized by the Protective Order, and only to  
15 assist Counsel in the litigation of this matter.  
16

17 4. I promise that I will not disclose or discuss such "Confidential," "Confidential –  
18 For Counsel Only" and "Restricted Source Code" information with anyone other than the  
19 persons allowed access to that level of designated information in accordance with the  
20 Protective Order.  
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22 5. I acknowledge that, by signing this agreement, I am subjecting myself to  
23 the jurisdiction of the United States District Court for the Northern District of California  
24 with respect to enforcement of the Protective Order.

25 6. I understand that any disclosure or use of "Confidential," "Confidential –  
26 For Counsel Only" or "Restricted Source Code" information in any manner contrary to  
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1 the provisions of the Protective Order may subject me to sanctions for contempt of  
2 court.

3 I declare under penalty of perjury that the foregoing is true and correct.

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5 Date: \_\_\_\_\_

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