

1 COOPER AND KIRK, PLLC
 Charles J. Cooper (DC Bar No. 248070)*
 2 *ccooper@cooperkirk.com*
 David H. Thompson (DC Bar No. 450503)*
 3 *dthompson@cooperkirk.com*
 Howard C. Nielson, Jr. (DC Bar No. 473018)*
 4 *hnielson@cooperkirk.com*
 Nicole J. Moss (DC Bar No. 472424)*
 5 *nmoss@cooperkirk.com*
 Jesse Panuccio (DC Bar No. 981634)*
 6 *jpanuccio@cooperkirk.com*
 Peter A. Patterson (Ohio Bar No. 0080840)*
 7 *ppatterson@cooperkirk.com*
 1523 New Hampshire Ave. N.W., Washington, D.C. 20036
 8 Telephone: (202) 220-9600, Facsimile: (202) 220-9601

9 LAW OFFICES OF ANDREW P. PUGNO
 Andrew P. Pugno (CA Bar No. 206587)
 10 *andrew@pugnotlaw.com*
 101 Parkshore Drive, Suite 100, Folsom, California 95630
 11 Telephone: (916) 608-3065, Facsimile: (916) 608-3066

12 ALLIANCE DEFENSE FUND
 Brian W. Raum (NY Bar No. 2856102)*
 13 *braum@telladf.org*
 James A. Campbell (OH Bar No. 0081501)*
 14 *jcampbell@telladf.org*
 15100 North 90th Street, Scottsdale, Arizona 85260
 15 Telephone: (480) 444-0020, Facsimile: (480) 444-0028

16 ATTORNEYS FOR DEFENDANT-INTERVENOR DENNIS HOLLINGSWORTH,
 GAIL J. KNIGHT, MARTIN F. GUTIERREZ, HAK-SHING WILLIAM TAM,
 17 MARK A. JANSSON, and PROTECTMARRIAGE.COM – YES ON 8, A
 PROJECT OF CALIFORNIA RENEWAL

18 * Admitted *pro hac vice*

19
 20 **UNITED STATES DISTRICT COURT
 NORTHERN DISTRICT OF CALIFORNIA**

21 KRISTIN M. PERRY, SANDRA B. STIER, PAUL
 22 T. KATAMI, and JEFFREY J. ZARRILLO,

23 Plaintiffs,

24 v.

25 ARNOLD SCHWARZENEGGER, in his official
 capacity as Governor of California; EDMUND G.
 26 BROWN, JR., in his official capacity as Attorney
 General of California; MARK B. HORTON, in his
 27 official capacity as Director of the California
 28 Department of Public Health and State Registrar of

CASE NO. 09-CV-2292 VRW

The Honorable Vaughn R. Walker, Chief
 Judge

NOTICE OF APPEAL

1 Vital Statistics; LINETTE SCOTT, in her official
2 capacity as Deputy Director of Health Information
3 & Strategic Planning for the California Department
4 of Public Health; PATRICK O'CONNELL, in his
5 official capacity as Clerk-Recorder for the County
6 of Alameda; and DEAN C. LOGAN, in his official
7 capacity as Registrar-Recorder/County Clerk for
8 the County of Los Angeles,

9 Defendants,

10 and

11 PROPOSITION 8 OFFICIAL PROPONENTS
12 DENNIS HOLLINGSWORTH, GAIL J.
13 KNIGHT, MARTIN F. GUTIERREZ, HAK-
14 SHING WILLIAM TAM, and MARK A.
15 JANSSON; and PROTECTMARRIAGE.COM –
16 YES ON 8, A PROJECT OF CALIFORNIA
17 RENEWAL,

18 Defendant-Intervenors.

19 Additional Counsel for Defendant-Intervenors

20 ALLIANCE DEFENSE FUND

21 Timothy Chandler (CA Bar No. 234325)

22 *tchandler@telladf.org*

23 101 Parkshore Drive, Suite 100, Folsom, California 95630

24 Telephone: (916) 932-2850, Facsimile: (916) 932-2851

25 Jordan W. Lorence (DC Bar No. 385022)*

26 *jlorence@telladf.org*

27 Austin R. Nimocks (TX Bar No. 24002695)*

28 *animocks@telladf.org*

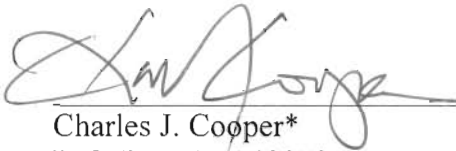
801 G Street NW, Suite 509, Washington, D.C. 20001

Telephone: (202) 393-8690, Facsimile: (202) 347-3622

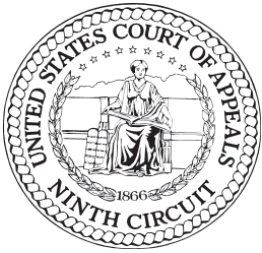
* Admitted *pro hac vice*

1 Notice is hereby given under Fed. R. App. P. 3 that Defendant-Intervenors hereby
2 appeal to the United States Court of Appeals for the Ninth Circuit from the order of the Northern
3 District of California (Doc # 214), dated October 1, 2009, to the extent it denies Defendant-
4 Intervenors' Motion for a Protective Order (Doc # 187).

5
6 Dated: October 7, 2009

7 By: 

8 Charles J. Cooper*
9 D.C. Bar No. 248070
10 COOPER AND KIRK, PLLC
11 1523 New Hampshire Ave., NW
12 Washington, D.C. 20036
13 (202) 220-9600
14 Fax: (202) 220-9601
15 Attorney for Defendant-Intervenors
16 * *Admitted pro hac vice*



USCA DOCKET # (IF KNOWN)

**UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT
CIVIL APPEALS DOCKETING STATEMENT**

PLEASE ATTACH ADDITIONAL PAGES IF NECESSARY.

TITLE IN FULL: KRISTIN M. PERRY, et al., v. ARNOLD SCHWARZENEGGER, in his official capacity as Governor of California, et al. (Please see Attachment A for full title.)	DISTRICT: N. Dist. of California	JUDGE: Hon. Vaughn Walker, C.J.
	DISTRICT COURT NUMBER: 09-CV-2292 VRW	
	DATE NOTICE OF APPEAL FILED: Oct 7, 2009	IS THIS A CROSS APPEAL? <input type="checkbox"/> YES
	IF THIS MATTER HAS BEEN BEFORE THIS COURT PREVIOUSLY, PLEASE PROVIDE THE DOCKET NUMBER AND CITATION (IF ANY): No. 09-16959	

BRIEF DESCRIPTION OF NATURE OF ACTION AND RESULT BELOW:

The underlying action is a federal constitutional challenge to a provision of the California Constitution defining marriage as between a man and a woman. The order under review involves a denial of a First Amendment privilege raised by Defendant-Intervenors.

PRINCIPAL ISSUES PROPOSED TO BE RAISED ON APPEAL:

Whether participants in a referendum campaign have a valid First Amendment privilege shielding from discovery nonpublic and/or anonymous documents reflecting core political speech and associational activity with little or no relevance to the merits of the case.

PLEASE IDENTIFY ANY OTHER LEGAL PROCEEDING THAT MAY HAVE A BEARING ON THIS CASE (INCLUDE PENDING DISTRICT COURT POST-JUDGMENT MOTIONS):

DOES THIS APPEAL INVOLVE ANY OF THE FOLLOWING:

- Possibility of Settlement
- Likelihood that intervening precedent will control outcome of appeal
- Likelihood of a motion to expedite or to stay the appeal, or other procedural matters (Specify)

Defendant-Intervenors are currently seeking a stay of discovery in the district court and will seek expedited appeal.

Any other information relevant to the inclusion of this case in the Mediation Program

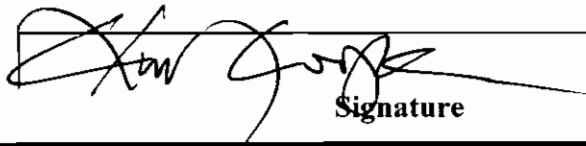
Possibility parties would stipulate to binding award by Appellate Commissioner in lieu of submission to judges

LOWER COURT INFORMATION			
JURISDICTION		DISTRICT COURT DISPOSITION	
FEDERAL	APPELLATE	TYPE OF JUDGMENT/ORDER APPEALED	RELIEF
<input checked="" type="checkbox"/> FEDERAL QUESTION <input type="checkbox"/> DIVERSITY <input type="checkbox"/> OTHER (SPECIFY): 	<input type="checkbox"/> FINAL DECISION OF DISTRICT COURT <input type="checkbox"/> INTERLOCUTORY DECISION APPEALABLE AS OF RIGHT <input type="checkbox"/> INTERLOCUTORY ORDER CERTIFIED BY DISTRICT JUDGE (SPECIFY): <input checked="" type="checkbox"/> OTHER (SPECIFY): Mandamus, in the alternative	<input type="checkbox"/> DEFAULT JUDGMENT <input type="checkbox"/> DISMISSAL/JURISDICTION <input type="checkbox"/> DISMISSAL/MERITS <input type="checkbox"/> SUMMARY JUDGMENT <input checked="" type="checkbox"/> JUDGMENT/COURT DECISION <input type="checkbox"/> JUDGMENT/JURY VERDICT <input type="checkbox"/> DECLARATORY JUDGMENT <input type="checkbox"/> JUDGMENT AS A MATTER OF LAW <input type="checkbox"/> OTHER (SPECIFY):	<input type="checkbox"/> DAMAGES: SOUGHT \$ _____ AWARDED \$ _____ <input type="checkbox"/> INJUNCTIONS: <input type="checkbox"/> PRELIMINARY <input type="checkbox"/> PERMANENT <input type="checkbox"/> GRANTED <input type="checkbox"/> DENIED <input type="checkbox"/> ATTORNEY FEES: SOUGHT \$ _____ AWARDED \$ _____ <input type="checkbox"/> PENDING <input type="checkbox"/> COSTS: \$ _____

CERTIFICATION OF COUNSEL

I CERTIFY THAT:

1. COPIES OF ORDER/JUDGMENT APPEALED FROM ARE ATTACHED.
2. A CURRENT SERVICE LIST OR REPRESENTATION STATEMENT WITH TELEPHONE AND FAX NUMBERS IS ATTACHED (SEE 9TH CIR. RULE 3-2).
3. A COPY OF THIS CIVIL APPEALS DOCKETING STATEMENT WAS SERVED IN COMPLIANCE WITH FRAP 25.
4. I UNDERSTAND THAT FAILURE TO COMPLY WITH THESE FILING REQUIREMENTS MAY RESULT IN SANCTIONS, INCLUDING DISMISSAL OF THIS APPEAL.


Signature

Oct 7, 2009

Date

COUNSEL WHO COMPLETED THIS FORM

NAME	Charles J. Cooper		
FIRM	Cooper & Kirk, PLLC		
ADDRESS	1523 New Hampshire Ave., NW		
CITY	Washington	STATE	D.C.
		ZIP CODE	20036
E-MAIL	jpanuccio@cooperkirk.com		TELEPHONE
			202-220-9600
FAX	202-220-9601		

****THIS DOCUMENT SHOULD BE FILED IN DISTRICT COURT WITH THE NOTICE OF APPEAL. ****
****IF FILED LATE, IT SHOULD BE FILED DIRECTLY WITH THE U.S. COURT OF APPEALS.****

Attachment A

TITLE IN FULL:

KRISTIN M. PERRY, SANDRA B. STIER, PAUL T. KATAMI, and JEFFREY J. ZARRILLO,

Plaintiffs

and

CITY AND COUNTY OF SAN FRANCISCO,

Plaintiff-Intervenor

v.

ARNOLD SCHWARZENEGGER, in his official capacity as Governor of California; EDMUND G. BROWN, JR., in his official capacity as Attorney General of California; MARK B. HORTON, in his official capacity as Director of the California Department of Public Health and State Registrar of Vital Statistics; LINETTE SCOTT, in her official capacity as Deputy Director of Health Information & Strategic Planning for the California Department of Public Health; PATRICK O'CONNELL, in his official capacity as Clerk-Recorder for the County of Alameda; and DEAN C. LOGAN, in his official capacity as Registrar-Recorder/County Clerk for the County of Los Angeles,

Defendants

and

PROPOSITION 8 OFFICIAL PROPONENTS DENNIS HOLLINGSWORTH, GAIL J. KNIGHT, MARK T. F. GUTIERREZ, HAK-SHING WILLIAM TAM, and MARK A. JANSSON; and PROTECTMARRIAGE.COM—YES ON 8, A PROJECT OF CALIFORNIA RENEWAL,

Defendant-Intervenors.

REPRESENTATION AND SERVICE LIST

**Attorneys for Plaintiffs Kristin M. Perry,
Sandra B. Stier, Paul T. Katami, and
Jeffrey J. Zarillo:**

Theodore B. Olson
Matthew C. McGill
Amir C. Tayrani
GIBSON, DUNN & CRUTCHER, LLP
1050 Connecticut Avenue, NW
Washington, D.C. 20036
(202) 955-8668
Fax: (202) 467-0539
tolson@gibsondunn.com

Theodore J. Boutrous, Jr.
Christopher D. Dusseault
Ethan D. Dettmer
Theane Evangelis Kapur
Enrique A. Monagas
GIBSON, DUNN & CRUTCHER, LLP
333 S. Grand Avenue
Los Angeles, CA 90071
(213) 229-7804
Fax: (213) 229-7520
tboutrous@gibsondunn.com

David Boies
Theodore H. Uno
BOIES, SCHILLER & FLEXNER, LLP
333 Main St
Armonk, NY 10504
(914) 749-8200
Fax: (914) 749-8300
dboies@bsflp.com

**Attorneys for Plaintiff-Intervenor City
and County of San Francisco:**

Dennis J. Herrera, City Attorney
Therese Stewart, Chief Deputy City
Attorney
Danny Chou, Chief of Complex and Special
Litigation
Vince Chhabria, Deputy City Attorney
Erin Bernstein, Deputy City Attorney
Christine Van Aken, Deputy City Attorney
Mollie M. Lee, Deputy City Attorney
CITY AND COUNTY OF SAN
FRANCISCO
OFFICE OF THE CITY ATTORNEY
1 Dr. Carlton B. Goodlett Place
Room 234
San Francisco, CA 4102-4682
(415) 554-4708
Fax: (415) 554-4655
Therese.stewart@sf.gov.org

**Attorneys for Defendants Governor
Arnold Schwarzenegger, Director Mark
B. Horton, and Deputy Director Linette
Scott:**

Kenneth C. Mennemeier
Andrew Walter Stroud
MENNEMEIER GLASSMAN & STROUD
LLP
980 9th St, Ste 1700
Sacramento, CA 95814
(916) 553-4000
Fax: (916) 553-4011
kcm@mgsllaw.com

**Attorneys for Defendant Attorney
General Edmund G. Brown, Jr.:**

Gordon Bruce Burns
Attorney General's Office, Dept. of Justice
1300 I Street, 17th Floor
Sacramento, CA 95814
(916) 324-3081
Gordon.Burns@doj.ca.gov

Tamar Pachter
Office of the California Attorney General
455 Golden Gate Ave, Suite 11000
San Francisco, CA 94102-7004
(415) 703-5970
Fax: (415) 703-1234
Tamar.Pachter@doj.ca.gov

**Attorney for Defendant Clerk-Recorder
Patrick O'Connell:**

Claude Franklin Kolm
COUNTY OF ALAMEDA
1221 Oak Street, Suite 450
Oakland, CA 94612-4296
(510) 272-6710
claude.kolm@acgov.org

**Attorney for Defendant Registrar-
Recorder Dean C. Logan:**

Judy Whitehurst
OFFICE OF COUNTY COUNSEL –
COUNTY OF LOS ANGELES
500 West Temple St
Los Angeles, CA 90012
(213) 974-1845
JWhitehurst@counsel.lacounty.gov

**Attorneys for Defendant-Intervenors
Dennis Hollingsworth, Gail J. Knight,
Martin F. Gutierrez, Hak-Shing William
Tam, Mark A. Jansson, and
ProtectMarriage.com—Yes on 8, A
Project of California Renewal:**

Charles J. Cooper
David H. Thompson
Howard C. Neilson, Jr.
Nicole J. Moss
Jesse Panuccio
Peter A. Patterson
COOPER & KIRK, PLLC
1523 New Hampshire Ave., NW
Washington, D.C. 22036
(202) 220-9600
Fax: (202) 220-9601
ccooper@cooperkirk.com

Andrew P. Pugno
LAW OFFICES OF ANDREW P. PUGNO
101 Parkshore Dr., Ste. 100
Folsom, CA 95630
(916) 608-3065
andrew@pugnowlaw.com

Brian W. Raum
James A. Campbell
ALLIANCE DEFENSE FUND
15100 N. 90th St.
Scottsdale, AZ 85260
(480) 444-0020
braum@telladf.org

United States District Court
For the Northern District of California

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

KRISTIN M PERRY, SANDRA B STIER,
PAUL T KATAMI and JEFFREY J
ZARRILLO,

No C 09-2292 VRW
ORDER

Plaintiffs,

CITY AND COUNTY OF SAN FRANCISCO,

Plaintiff-Intervenor,

v

ARNORLD SCHWARZENEGGER, in his
official capacity as governor of
California; EDMUND G BROWN JR, in
his official capacity as attorney
general of California; MARK B
HORTON, in his official capacity
as director of the California
Department of Public Health and
state registrar of vital
statistics; LINETTE SCOTT, in her
official capacity as deputy
director of health information &
strategic planning for the
California Department of Public
Health; PATRICK O'CONNELL, in his
official capacity as clerk-
recorder of the County of
Alameda; and DEAN C LOGAN, in his
official capacity as registrar-
recorder/county clerk for the
County of Los Angeles,

Defendants,

DENNIS HOLLINGSWORTH, GAIL J
KNIGHT, MARTIN F GUTIERREZ,
HAKSHING WILLIAM TAM and MARK A
JANSSON, as official proponents
of Proposition 8,

Defendant-Intervenors.

1 The defendant-intervenors, who are the official
 2 proponents of Proposition 8 ("proponents") move for a protective
 3 order against the requests contained in one of plaintiffs' first
 4 set of document requests. Doc #187. Proponents object to
 5 plaintiffs' request no 8, which seeks "[a]ll versions of any
 6 documents that constitute communications relating to Proposition 8,
 7 between you and any third party, including, without limitation,
 8 members of the public or the media." Doc #187 at 8. Proponents
 9 also object to all other "similarly sweeping" requests. Id at 8 n
 10 1. Proponents argue the discovery sought: (1) is privileged under
 11 the First Amendment; (2) is not relevant; and (3) places an undue
 12 burden on proponents. Doc #187 at 9. Plaintiffs counter that the
 13 discovery sought is relevant and not privileged. Doc #191.

14 During the course of briefing the dispute for the court,
 15 the parties appear to have resolved at least one issue, as
 16 proponents now agree to produce communications targeted to discrete
 17 voter groups. Doc #197 at 6. The agreement appears only partially
 18 to resolve the parties' differences. Because of the broad reach of
 19 request no 8 and the generality of proponents' objections, the
 20 unresolved issues will almost certainly arise in other discovery,
 21 as well as to require resolution of the parties' differences with
 22 respect to request no 8. Accordingly, the court held a lengthy
 23 hearing on September 25, 2009 and seeks by this order not only to
 24 address the parties' remaining dispute with respect to request no 8
 25 but also provide guidance that will enable them to complete
 26 discovery and pretrial preparation expeditiously.

27 \\
 28 \\
 \

I

1
2 As an initial matter, and because plaintiffs' request no
3 8 is quite broad, the court must determine what discovery remains
4 disputed. Proponents object to disclosing documents that fall into
5 five categories: "(i) communications between and among
6 [d]efendant-[i]ntervenors, campaign donors, volunteers, and agents;
7 (ii) draft versions of communications never actually distributed to
8 the electorate at large; (iii) the identity of affiliated persons
9 and organizations not already publicly disclosed; (iv) post-
10 election information; and (v) the subjective and/or private
11 motivations of a voter or campaign participant." Doc #187 at 9.
12 But in their reply memorandum, proponents explain that they only
13 object to "nonpublic and/or anonymous communications" (emphasis in
14 original), "drafts of documents that were never intended to, and
15 never did, see public light" and "documents created after the Prop
16 8 election." Doc #197. Plaintiffs have stated they "do not seek
17 ProtectMarriage.com's membership list or a list of donors to the
18 'Yes on 8' cause." Doc #191 at 13.

19 Plaintiffs have told proponents that they are seeking
20 communications between proponents and "their agents, contractors,
21 attorneys, donors or others" to the extent the communications are
22 responsive and not otherwise privileged. Doc #187-6 at 2.
23 Plaintiffs argue that the election materials put before the voters
24 are insufficient to discern the intent or purpose of Prop 8. The
25 questions whether Prop 8 was passed with discriminatory intent and
26 whether any claimed state interest in fact supports Prop 8 underlie
27 plaintiffs' Equal Protection challenge, at least in part. See,
28 e g, Doc #157 at 12. Proponents assert that Prop 8 was intended

1 simply to preserve the traditional characteristic of marriage as an
2 opposite-sex union. See, e g, Doc #159 at 5. As a result of these
3 conflicting positions, the intent or purpose of Prop 8 is central
4 to this litigation. The issue on which resolution of the present
5 discovery dispute turns is whether that intent should be divined
6 solely from proponents' public or widely circulated communications
7 or disseminations or whether their communications with third
8 parties not intended for widespread dissemination may also
9 illuminate that intent. Before deciding that issue, the court
10 first addresses the grounds on which proponents seek a protective
11 order.

12
13 II

14 Proponents seek to invoke the First Amendment qualified
15 privilege to refrain from responding to any discovery that would
16 reveal political communications as well as identities of
17 individuals affiliated with the Prop 8 campaign whose names have
18 not already been disclosed. Doc #197 at 14. The free
19 associational prong of the First Amendment has been held to provide
20 a qualified privilege against disclosure of all rank-and-file
21 members of an organization upon a showing that compelled disclosure
22 likely will adversely affect the ability of the organization to
23 foster its beliefs. National Ass'n for A of C P v Alabama, 357 US
24 449, 460-63 (1958) ("NAACP"); see also Adolph Coors Co v Wallace,
25 570 F Supp 202, 205 (ND Cal 1983). This qualified privilege has
26 been found especially important if the disclosures would subject
27 members to reprisals for the exercise of their associational rights
28 under the First Amendment or otherwise deter exercise of those

1 rights. Here, however, plaintiffs are not seeking disclosure of
2 membership lists. Doc #191 at 13. Indeed, many names associated
3 with ProtectMarriage.com and the Yes on 8 campaign have already
4 been disclosed. See ProtectMarriage.com v Bowen, 09-0058-MCE Doc
5 #88 (ED Cal Jan 30, 2009).

6 The California Political Reform Act of 1974 requires
7 disclosure of a great deal of information surrounding the Prop 8
8 campaign, including the identity of, and specific information
9 about, financial supporters. Cal Govt Code § 81000 et seq.
10 Proponents have not shown that responding to plaintiffs' discovery
11 would intrude further on proponents' First Amendment associational
12 rights beyond the intrusion by the numerous disclosures required
13 under California law – disclosures that have already been widely
14 disseminated. Proponents asserted at the September 25 hearing that
15 these California state law disclosure requirements extend to the
16 outer boundaries of what can be required of political actors to
17 reveal their activities. But the information plaintiffs seek
18 differs from that which is regulated by these state disclosure
19 requirements.

20 The First Amendment qualified privilege proponents seek
21 to invoke, unlike the attorney-client privilege, for example, is
22 not an absolute bar against disclosure. Rather, the First
23 Amendment qualified privilege requires a balancing of the
24 plaintiffs' need for the information sought against proponents'
25 constitutional interests in claiming the privilege. See Adolph
26 Coors, 570 F Supp at 208. In this dispute, the interests the
27 parties claim are fundamental constitutional rights. Proponents
28 argue that their First Amendment associational rights are at stake

1 while plaintiffs contend that Prop 8 violates their Equal
2 Protection and Due Process rights and that denial of their
3 discovery request jeopardizes the vindication of those rights. The
4 claimed rights at issue thus appear to be of similar importance.

5 One tangible harm that proponents have claimed, and
6 events made known to the court substantiate, lies in threats and
7 harassment proponents claim have been suffered by known supporters
8 of Prop 8. Identifying new information about Prop 8 supporters
9 would, proponents argue, only exacerbate these problems. Doc #187.

10 The court is aware of the tendentious nature of the Prop
11 8 campaign and of the harassment that some Prop 8 supporters have
12 endured. See Doc #187-11. Proponents have not however adequately
13 explained why the discovery sought by plaintiffs increases the
14 threat of harm to Prop 8 supporters or explained why a protective
15 order strictly limiting the dissemination of such information would
16 not suffice to avoid future similar events. In sum, while there is
17 no doubt that proponents' political activities are protected by the
18 First Amendment, it is not at all clear that the discovery sought
19 here materially jeopardizes the First Amendment protections.
20 Furthermore, whether the First Amendment qualified privilege should
21 bar all or any part of plaintiffs' discovery request is open to
22 question under the circumstances of this case.

23 The key Supreme Court case upon which proponents rely,
24 NAACP v Alabama, supra, involved a civil contempt against the NAACP
25 for its failure to reveal the names and addresses of "all its
26 Alabama members and agents, without regard to their positions or
27 functions in the Association." 357 US at 451. As noted,
28 plaintiffs do not here seek the names and addresses of proponents'

1 rank-and-file members or volunteers. More importantly, the
2 protection against disclosure afforded by the holding in NAACP
3 appears fairly restricted.

4 Alabama sought "a large number of the Association's
5 records and papers, including bank statements, leases, deeds, and
6 records of all Alabama 'members' and 'agents' of the Association."
7 357 US at 453. The NAACP produced "substantially all the data
8 called for" except for its lists of rank-and-file members. Id at
9 454. Notably, the NAACP did not object "to divulging the identity
10 of its members who are employed by or hold official positions" in
11 the organization or to providing various other business records.
12 Id at 464-65. The Court contrasted the NAACP's extensive
13 disclosures with that in an earlier case in which another
14 organization made no disclosures at all. Id at 465-66. Alabama's
15 request for rank-and-file membership lists in NAACP was predicated
16 solely on its interest in enforcement of the state's foreign
17 corporation registration statute. Id at 464.

18 The Court observed that the disclosure of the names of
19 rank-and-file members seemed to lack a "substantial bearing" on
20 whether the NAACP, as a foreign corporation, should be authorized
21 to do business in Alabama. Id at 464. The interest of Alabama in
22 disclosure of rank-and-file membership lists thus was insubstantial
23 relative to the significant interests of the NAACP and its members
24 in carrying out their First Amendment and other activities that
25 included - in 1956 - "financial support and [] legal assistance to
26 Negro students seeking admission to the state university" and
27 support of "a Negro boycott of the bus lines in Montgomery to
28 compel the seating of passengers without regard to race." Id at

1 452.

2 Similarly, in a later case, the Supreme Court upheld a
3 qualified First Amendment privilege against disclosure of NAACP
4 membership lists where there was "no relevant correlation" between
5 the purpose for which the lists were sought, enforcement of
6 occupational license taxes, and the identity of NAACP rank-and-file
7 members. Bates v Little Rock, 361 US 516, 525 (1960). On like
8 grounds, the Supreme Court reversed a contempt conviction of the
9 president of the NAACP Miami branch who refused to produce NAACP
10 membership lists at a 1959 hearing of a state legislative committee
11 investigating "infiltration of Communists" into various
12 organizations. Gibson v Florida Legislative Committee, 372 US 539
13 (1963). No evidence in that case suggested that the NAACP was
14 "either Communist dominated or influenced," id at 548, undermining
15 the required nexus between the membership lists and the purpose for
16 which they were sought. Furthermore, at the hearing, the branch
17 president answered questions concerning membership in the NAACP and
18 responded to questions about a number of persons previously
19 identified as communists or members of communist front or other
20 affiliated organizations. Id at 543. Here, too, the qualified
21 First Amendment privilege protected only membership lists, and the
22 NAACP or its officials made significant disclosures apart from
23 membership lists.

24 These cases from the civil rights struggles of the 1950s
25 would thus appear to offer proponents scant support for refusing to
26 produce information other than rank-and-file membership lists which
27 plaintiffs, in any event, do not seek. Nor does proponents'
28 position gain much traction from McIntyre v Ohio Elections Comm'n,

1 514 US 334 (1995), which reversed petitioner's conviction, upheld
2 by the Ohio Supreme Court, for anonymously distributing leaflets
3 regarding a referendum on a proposed school tax levy in violation
4 of a statute prohibiting unsigned campaign materials. Petitioner
5 "acted independently," not as part of a campaign committee or
6 organization. Id at 337. Proponents, by contrast, are the
7 official proponents of Prop 8 with responsibility under state law
8 for compliance with electoral and campaign requirements. See Cal
9 Election Code § 342; Cal Gov't Code § 8204.7.

10 Proponents, moreover, have not demonstrated that the
11 procedure for invoking any First Amendment privilege applicable to
12 their communications with third parties differs from that of any
13 other privilege, such as the attorney-client privilege and trial
14 preparation or work product protection. A party seeking to
15 withhold discovery under a claim of privilege must "describe the
16 nature of the documents, communications, or tangible things not
17 produced or disclosed * * * in a manner that, without revealing
18 information itself privileged or protected, will enable other
19 parties to assess the claim." FRCP 26(b)(5)(A)(ii). Proponents
20 have failed to aver that they have prepared a privilege log that
21 would comply with the requirement of FRCP 26(b)(5)(A)(ii), a
22 necessary condition to preservation of any privilege. This failure
23 ordinarily could be fatal to any assertion of a privilege.

24 Burlington Nort & Santa Fe Ry v Dist Ct, Mt, 408 F3d 1142, 1149
25 (9th Cir 2005).

26 Proponents suggested at the September 25 hearing that the
27 enumeration requirement of FRCP 26 does not apply to a First
28 Amendment privilege, based as it is on fundamental constitutional

1 principles rather than common law, the origin of the attorney-
2 client privilege and work product protection. Proponents contend
3 that as the communications regarding Prop 8 involve political
4 speech or association, Doc #197 at 11-12, they are entitled to a
5 greater degree of confidentiality than common law privileges. In
6 fact, as noted, it appears that any First Amendment privilege is a
7 qualified privilege affording less expansive protection against
8 discovery than the absolute privileges, such as the attorney-client
9 and similar privileges. The First Amendment privilege proponents
10 seek to invoke requires a balancing of interests that simply are
11 not weighed in the area of attorney-client communications, and that
12 balancing tends to limit or confine the First Amendment privilege
13 to those materials that rather directly implicate rights of
14 association.

15 In striking the appropriate balance, the court notes that
16 in addition to the substantial financial and related disclosures
17 required by California law, a rather striking disclosure concerning
18 campaign strategy has already voluntarily been made by at least
19 one, if not the principal, campaign manager-consultant employed by
20 proponents. Plaintiffs have attached to their memorandum a
21 magazine article written by Frank Schubert and Jeff Flint, whose
22 public affairs firm managed the Yes on 8 campaign. Doc #191-2. In
23 the article, Schubert and Flint refer specifically to campaign
24 strategy and decisions, noting that they needed to convince voters
25 "that there would be consequences if gay marriage were to be
26 permanently legalized." Id at 3. Schubert and Flint make clear
27 that their goal in the campaign was to "rais[e] doubts." Id. They
28 explain the campaign's "three broad areas" of focus as "religious

1 freedom," "individual freedom of expression" and "how this new
2 'fundamental right' would be inculcated in young children through
3 the public schools." Id. Schubert and Flint refer to the help of
4 "a massive volunteer effort through religious denominations." Id.
5 The article describes, in great detail, how Schubert and Flint
6 conceptualized the Yes on 8 television advertising campaign,
7 culminating with "the break of the election": footage of
8 "bewildered six-year-olds at a lesbian wedding." Id at 4-5.

9 These extensive disclosures about the strategy of
10 proponents' campaign suggest that relatively little weight should
11 be afforded to proponents' interest in maintaining the
12 confidentiality of communications concerning campaign strategy. If
13 harm is threatened from disclosure of proponents' campaign
14 strategy, it seems likely to have been realized by the candid
15 description of the Prop 8 campaign's strategy already disseminated
16 by Schubert and Flint. In any event, the unfortunate incidents of
17 harassment to which proponents point as having occurred appear
18 mostly to have been directed to proponents' financial supporters
19 whose public identification was required by California law.

20
21 III

22 Proponents argue that the discovery sought is not
23 relevant and therefore not discoverable. Under FRCP 26(b)(1),
24 discovery is limited to "any nonprivileged matter that is relevant
25 to any party's claim or defense," but "[r]elevant information need
26 not be admissible at the trial if the discovery appears reasonably
27 calculated to lead to the discovery of admissible evidence."
28 Accordingly, the court need not determine at this juncture whether

1 the information sought would be admissible at trial; instead, the
2 court must determine whether the information sought is "reasonably
3 calculated" to lead to discovery of admissible evidence.

4 Plaintiffs assert that the discovery sought is relevant
5 to "the rationality and strength of [proponents'] purported state
6 interests and whether voters could reasonably accept them as a
7 basis for supporting Prop 8," as well as other factual disputes.
8 Doc #191 at 8. Additionally, plaintiffs believe the discovery will
9 lead to "party admissions and impeachment evidence." Id.

10 Plaintiffs' strongest argument appears to be that some of
11 the information sought about proponents' communications with third
12 parties may be relevant to the governmental interest that
13 proponents claim Prop 8 advances. Id. Relevant information may
14 exist in communications between proponents and those who assumed a
15 large role in the campaign, including the campaign executive
16 committee and political consultants, as that information well may
17 have been conveyed to the ultimate decision-makers, the voters, and
18 thus discloses the intent Prop 8 serves.

19 Key in this regard is the extent to which the requested
20 discovery could be relevant "to ascertain the purpose" of Prop 8.
21 Doc #187 at 10. Legislative purpose may be relevant to determine
22 whether, as plaintiffs claim, Prop 8 violates the Equal Protection
23 Clause. Washington v Davis, 426 US 229, 239-41 (1976) (holding
24 that a law only violates the Equal Protection component of the
25 Fifth Amendment when the law reflects a "discriminatory purpose,"
26 regardless of the law's disparate impact); see also Personnel Adm'r
27 of Massachusetts v Feeney, 442 US 256, 274 (1979) ("purposeful
28 discrimination is the condition that offends the Constitution.")

1 (citation omitted). The analysis remains the same whether the
2 challenged measure was enacted by a legislature or directly by
3 voters. Washington v Seattle School Dist no 1, 458 US 457, 484-85
4 (1982).

5 Proponents point to Southern Alameda Span Sp Org v City
6 of Union City, Cal, 424 F2d 291, 295 (9th Cir 1970) ("SASSO"), and
7 Bates v Jones, 131 F3d 843, 846 (9th Cir 1997) (en banc), for the
8 proposition that the subjective intent of a voter is not a proper
9 subject for judicial inquiry. In SASSO, the court determined that
10 "probing the private attitude of the voters" would amount of "an
11 intolerable invasion of the privacy that must protect an exercise
12 of the franchise." 424 F2d at 295. In Bates, the court looked
13 only to publicly available information to determine whether voters
14 had sufficient notice of the effect of a referendum. 131 F3d at
15 846. While these cases make clear that voters cannot be asked to
16 explain their votes, they do not rule out the possibility that
17 other evidence might well be useful to determine intent.

18 Plaintiffs' proposed discovery is not outside the scope
19 of what some courts have considered in determining the intent
20 behind a measure enacted by voters. The Eighth Circuit has held
21 that courts may look to the intent of drafters of an initiative to
22 determine whether it was passed with a discriminatory intent.
23 South Dakota Farm Bureau, Inc v Hazeltine, 340 F3d 583, 594 (8th
24 Cir 2003). At least one district court in this circuit has
25 considered drafter intent along with voter intent. City of Los
26 Angeles v County of Kern, 462 F Supp 2d 1105, 1114 (CD Cal 2006).
27 The parties acknowledge that the line demarking relevance in this
28 context is not clearly drawn. The difficulty of line-drawing stems

1 from the fact that, as the California Supreme Court put it well,
2 "motive or purpose of [a legislative enactment] is not relevant to
3 its construction absent reason to conclude that the body which
4 adopted the [enactment] was aware of that purpose and believed the
5 language of the proposal would accomplish it." Robert L v Superior
6 Court, 30 Cal 4th 894, 904 (2003).

7 In the case of an initiative measure, the enacting body
8 is the electorate as a whole. The legislative record for an
9 initiative cannot, therefore, be compiled with the precision that
10 the legislative history of an enactment by a legislative body can
11 be put together. This would seem to suggest, as the Eighth Circuit
12 implied in South Dakota Farm Bureau, that the scope of permissible
13 discovery might well be broader in the case of an initiative
14 measure or a referendum than a law coming out of a popularly
15 elected, and thus democratically chosen, legislative body. However
16 that may be, the mix of information before and available to the
17 voters forms a legislative history that may permit the court to
18 discern whether the legislative intent of an initiative measure is
19 consistent with and advances the governmental interest that its
20 proponents claim in litigation challenging the validity of that
21 measure or was a discriminatory motive.

22 Proponents have agreed to disclose communications they
23 targeted to voters, including communications to discrete groups of
24 voters. Doc #197 at 6. But at the September 25 hearing,
25 proponents stated that they did not believe "non-public"
26 communications to confirmed Prop 8 supporters or to those involved
27 in the Prop 8 campaign could be relevant to the intent
28 determination. Proponents point out that those communications were

1 not directly before the voters. But it does appear to the court
2 that communications between proponents and political consultants or
3 campaign managers, even about messages contemplated but not
4 actually disseminated, could fairly readily lead to admissible
5 evidence illuminating the messages disseminated to voters. At
6 least some of these contemplated, but not delivered, messages may
7 well have diffused to voters through sources other than the
8 official channels of proponents' campaign. Furthermore, of course,
9 what was decided not to be said in a political campaign may cast
10 light on what was actually said. The line between relevant and
11 non-relevant communications is not identical to the public/non-
12 public distinction drawn by proponents. At least some "non-public"
13 communications from proponents to those who assumed a large role in
14 the Prop 8 campaign could be relevant to the voters' understanding
15 of Prop 8 and to the ultimate determination of intent.

16 While it appears that plaintiffs' request no 8 seeks
17 relevant disclosures, the request itself is broader than necessary
18 to obtain all relevant discovery. Proponents point out that even
19 if some of the discovery sought by plaintiffs might be relevant,
20 "virtually every communication made by anyone included in or
21 associated with Protect Marriage" cannot be relevant. Doc #197 at
22 7. The court agrees. Further, of course, no amount of discovery
23 could corral all of the information on which voters cast their
24 ballots on Prop 8. Proponents' undue burden objection is thus
25 well-taken. It should suffice for purposes of this litigation to
26 gather enough information about the strategy and communications of
27 the Prop 8 campaign to afford a record upon which to discern the
28 intent underlying Prop 8's enactment. Plaintiffs' request no 8,

1 currently encompassing any communication between proponents and any
2 third party, is simply too broad.

3 Narrowing of plaintiffs' request is required. In their
4 discussions, the parties have focused on the appropriate
5 distinction – that between documents which relate to public
6 communications with third parties and purely private communications
7 among proponents. Hence, discovery directed to uncovering whether
8 proponents harbor private sentiments that may have prompted their
9 efforts is simply not relevant to the legislative intent behind
10 Prop 8. That does not mean that discovery should be limited
11 strictly to communications with the public at large. Documents
12 pertaining to the planning of the campaign for Prop 8 and the
13 messages actually distributed, or contemplated to be distributed,
14 to voters would likely to lead to discovery of admissible evidence,
15 as such documents share a clear nexus with the information put
16 before the voters. Communications distributed to voters, as well
17 as communications considered but not sent appear to be fair
18 subjects for discovery, as the revision or rejection of a
19 contemplated campaign message may well illuminate what information
20 was actually conveyed to voters. Communications that took place
21 after the election date may similarly be relevant if they are
22 connected in some way to the pre-election messages conveyed to the
23 voters. But discovery not sufficiently related to what the voters
24 could have considered is not relevant and will not be permitted.

25 Plaintiffs are therefore DIRECTED to revise request no 8
26 to target those communications most likely to be relevant to the
27 factual issues identified by plaintiffs.

28 \\
29

1 While it is not the province of the court to redraft
 2 plaintiffs' request no 8 or to interpose objections for proponents,
 3 the foregoing highlights general areas of appropriate inquiry. It
 4 seems to the court that request no 8 is appropriate to the extent
 5 it calls for (1) communications by and among proponents and their
 6 agents (at a minimum, Schubert Flint Public Affairs) concerning
 7 campaign strategy and (2) communications by and among proponents
 8 and their agents concerning messages to be conveyed to voters,
 9 without regard to whether the voters or voter groups were viewed as
 10 likely supporters or opponents or undecided about Prop 8 and
 11 without regard to whether the messages were actually disseminated
 12 or merely contemplated. In addition, communications by and among
 13 proponents with those who assumed a directorial or managerial role
 14 in the Prop 8 campaign, like political consultants or
 15 ProtectMarriage.com's treasurer and executive committee, among
 16 others, would appear likely to lead to discovery of admissible
 17 evidence.

18
 19 IV

20 Proponents motion for a protective order is GRANTED in
 21 part and DENIED in part. Doc #187. Proponents have not shown that
 22 the First Amendment privilege is applicable to the discovery sought
 23 by plaintiffs. Because plaintiffs' request no 8 is overly broad,
 24 plaintiffs shall revise the request and tailor it to relevant
 25 factual issues, individuals and entities. The court stands ready
 26 to assist the parties in pursuing specific additional discovery in
 27 line with the guidance provided herein and, if necessary, to assist
 28 the parties in fashioning a protective order where necessary to

1 ensure that disclosures through the discovery process do not result
2 in adverse effects on the parties or entities or individuals not
3 parties to this litigation.

4
5
6 IT IS SO ORDERED.

7 
8 _____

9 VAUGHN R WALKER
10 United States District Chief Judge