

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

ROBERT TASHJIAN (Cal. Bar No. 191007)
tashjianr@sec.gov
THOMAS J. EME (Illinois Bar. No. 6224870)
emet@sec.gov

Attorneys for Plaintiff
SECURITIES AND EXCHANGE COMMISSION
44 Montgomery Street, 26th Floor
San Francisco, California 94104
Telephone: (415) 705-2500
Facsimile: (415) 705-2501

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

PETER C. SON, JIN K. CHUNG,
SNC ASSET MANAGEMENT, INC., and
SNC INVESTMENTS, INC.,

Defendants.

Case No. CV-09-2554 MMC

~~PROPOSED~~ FINAL
JUDGMENT AS TO SNC
INVESTMENTS, INC.

1 **FINAL JUDGMENT AS TO SNC INVESTMENTS, INC.**

2 The Securities and Exchange Commission having filed a Complaint and Defendant
3 SNC Investments, Inc. having entered a general appearance; consented to the Court’s
4 jurisdiction over Defendant and the subject matter of this action; consented to entry of this
5 Final Judgment without admitting or denying the allegations of the Complaint (except as to
6 jurisdiction); waived findings of fact and conclusions of law; and waived any right to appeal
7 from this Final Judgment:

8 I.

9 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and
10 Defendant’s agents, servants, employees, attorneys, and all persons in active concert or
11 participation with them who receive actual notice of this Final Judgment by personal service
12 or otherwise are permanently restrained and enjoined from violating, directly or indirectly,
13 Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C.
14 § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any
15 means or instrumentality of interstate commerce, or of the mails, or of any facility of any
16 national securities exchange, in connection with the purchase or sale of any security:

- 17 (a) to employ any device, scheme, or artifice to defraud;
- 18 (b) to make any untrue statement of a material fact or to omit to state a material
19 fact necessary in order to make the statements made, in the light of the
20 circumstances under which they were made, not misleading; or
- 21 (c) to engage in any act, practice, or course of business which operates or would
22 operate as a fraud or deceit upon any person.

23 II.

24 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that
25 Defendant and Defendant’s agents, servants, employees, attorneys, and all persons in active
26 concert or participation with them who receive actual notice of this Final Judgment by
27 personal service or otherwise are permanently restrained and enjoined from violating
28 Section 17(a) of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77q(a)] in the

1 offer or sale of any security by the use of any means or instruments of transportation or
2 communication in interstate commerce or by use of the mails, directly or indirectly:

- 3 (a) to employ any device, scheme, or artifice to defraud;
- 4 (b) to obtain money or property by means of any untrue statement of a material
5 fact or any omission of a material fact necessary in order to make the
6 statements made, in light of the circumstances under which they were made,
7 not misleading; or
- 8 (c) to engage in any transaction, practice, or course of business which operates or
9 would operate as a fraud or deceit upon the purchaser.

10 III.

11 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent of
12 SNC Investments, Inc. is incorporated herein with the same force and effect as if fully set
13 forth herein, and that Defendant shall comply with all of the undertakings and agreements set
14 forth therein.

15 IV.

16 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall
17 retain jurisdiction of this matter for the purposes of enforcing the terms of this Final
18 Judgment.

19 //

20 //

21 //

22

23

24

25

26

27

28

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

V.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated: June 16, 2011


UNITED STATES DISTRICT JUDGE

Approved as to form:



John H. Feiner
Attorney for Defendant
SNC INVESTMENTS, INC.