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BILL GRAHAM ARCHIVES LLC,  
11 d/b/a WOLFGANG'S VAULT, and NORTON LLC

12  
13 **UNITED STATES DISTRICT COURT**  
14 **NORTHERN DISTRICT OF CALIFORNIA**  
15 **SAN FRANCISCO DIVISION**

16 BILL GRAHAM ARCHIVES LLC, d/b/a  
WOLFGANG'S VAULT, a limited liability  
17 company, and NORTON LLC, a limited liability  
company,

18 Plaintiffs,

19 vs.

20 F.E.A. MERCHANDISING, INC., and DOES 1  
21 through 50,

22 Defendants.

Case No. CV09-02747 MMC  
Hon. Maxine M. Chesney,  
Courtroom 7

**STIPULATED PROTECTIVE ORDER**

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1           1.       PURPOSES AND LIMITATIONS

2           Disclosure and discovery activity in this action are likely to involve production of  
3 confidential, proprietary, or private information for which special protection from public disclosure  
4 and from use for any purpose other than prosecuting this litigation would be warranted.  
5 Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated  
6 Protective Order. The parties acknowledge that this Order does not confer blanket protections on all  
7 disclosures or responses to discovery and that the protection it affords extends only to the limited  
8 information or items that are entitled under the applicable legal principles to treatment as  
9 confidential. The parties further acknowledge, as set forth in Section 10, below, that this Stipulated  
10 Protective Order creates no entitlement to file confidential information under seal; Civil Local Rule  
11 79-5 sets forth the procedures that must be followed and reflects the standards that will be applied  
12 when a party seeks permission from the court to file material under seal.

13           2.       DEFINITIONS

14           2.1     Party: any party to this action, including all of its officers, directors,  
15 employees, consultants, retained experts, and outside counsel (and their support staff).

16           2.2     Disclosure or Discovery Material: all items or information, regardless of the  
17 medium or manner generated, stored, or maintained (including, among other things, testimony,  
18 transcripts, or tangible things) that are produced or generated in disclosures or responses to  
19 discovery in this matter.

20           2.3     "Confidential" Information or Items: information (regardless of how  
21 generated, stored or maintained) or tangible things that qualify for protection under standards  
22 developed under F.R.Civ.P. 26(c).

23           2.4     "Highly Confidential — Attorneys' Eyes Only" Information or Items:  
24 extremely sensitive "Confidential Information or Items" whose disclosure to another Party or non-  
25 party would create a substantial risk of serious injury that could not be avoided by less restrictive  
26 means.

27           2.5     Receiving Party: a Party that receives Disclosure or Discovery Material from  
28 a Producing Party.

1           2.6    Producing Party: a Party or non-party that produces Disclosure or Discovery  
2 Material in this action.

3           2.7.    Designating Party: a Party or non-party that designates information or items  
4 that it produces in disclosures or in responses to discovery as "Confidential" or "Highly Confidential  
5 Attorneys' Eyes Only."

6           2.8    Protected Material: any Disclosure or Discovery Material that is designated  
7 as "Confidential" or as "Highly Confidential — Attorneys' Eyes Only."

8           2.9.    Outside Counsel: attorneys who are not employees of a Party but who are  
9 retained to represent or advise a Party in this action.

10          2.10   House Counsel: attorneys who are employees of a Party.

11          2.11   Counsel (without qualifier): Outside Counsel and House Counsel (as well as  
12 their support staffs).

13          2.12   Expert: a person with specialized knowledge or experience in a matter  
14 pertinent to the litigation who has been retained by a Party or its counsel to serve as an expert  
15 witness or as a consultant in this action and who is not a past or a current employee of a Party or of a  
16 competitor of a Party's and who, at the time of retention, is not anticipated to become an employee of  
17 a Party or a competitor of a Party's. This definition includes a professional jury or trial consultant  
18 retained in connection with this litigation.

19          2.13   Professional Vendors: persons or entities that provide litigation support  
20 services (e.g., photocopying; videotaping; translating; preparing exhibits or demonstrations;  
21 organizing, storing, retrieving data in any form or medium; etc.) and their employees and  
22 subcontractors.

23          3.       SCOPE

24            The protections conferred by this Stipulation and Order cover not only Protected Material (as  
25 defined above), but also any information copied or extracted therefrom, as well as all copies,  
26 excerpts, summaries, or compilations thereof, plus testimony, conversations, or presentations by  
27 parties or counsel to or in court or in other settings that might reveal Protected Material.

28

1           4.     DURATION

2           Even after the termination of this litigation, the confidentiality obligations imposed by this  
3 Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order  
4 otherwise directs.

5           5.     DESIGNATING PROTECTED MATERIAL

6           5.1    Exercise of Restraint and Care in Designating Material for Protection. Each

7 Party or non-party that designates information or items for protection under this Order must take  
8 care to limit any such designation to specific material that qualifies under the appropriate standards.  
9 A Designating Party must take care to designate for protection only those parts of material,  
10 documents, items, or oral or written communications that qualify — so that other portions of the  
11 material, documents, items, or communications for which protection is not warranted are not swept  
12 unjustifiably within the ambit of this Order.

13           Mass, indiscriminate, or routinized designations are prohibited. Designations that are  
14 shown to be clearly unjustified, or that have been made for an improper purpose (e.g., to  
15 unnecessarily encumber or retard the case development process, or to impose unnecessary expenses  
16 and burdens on other parties), expose the Designating Party to sanctions.

17           If it comes to a Party's or a non-party's attention that information or items that it  
18 designated for protection do not qualify for protection at all, or do not qualify for the level of  
19 protection initially asserted, that Party or non-party must promptly notify all other parties that it is  
20 withdrawing the mistaken designation.

21           5.2    Manner and Timing of Designations. Except as otherwise provided in this

22 Order (see, e.g., second paragraph of section 5.2(a), below), or as otherwise stipulated or ordered,  
23 material that qualifies for protection under this Order must be clearly so designated before the  
24 material is disclosed or produced.

25           Designation in conformity with this Order requires:

26           (a) for information in documentary form (apart from transcripts of depositions  
27 or other pretrial or trial proceedings), that the Producing Party affix the legend "CONFIDENTIAL"  
28 or "HIGHLY CONFIDENTIAL — ATTORNEYS' EYES ONLY" at the top of each page that

1 contains protected material. If only a portion or portions of the material on a page qualifies for  
2 protection, the Producing Party also must clearly identify the protected portion(s) (e.g., by making  
3 appropriate markings in the margins) and must specify, for each portion, the level of protection  
4 being asserted (either "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL — ATTORNEYS' EYES  
5 ONLY").

6 A Party or non-party that makes original documents or materials available for  
7 inspection need not designate them for protection until after the inspecting Party has indicated which  
8 material it would like copied and produced. During the inspection and before the designation, all of  
9 the material made available for inspection shall be deemed "HIGHLY CONFIDENTIAL —  
10 ATTORNEYS' EYES ONLY." After the inspecting Party has identified the documents it wants  
11 copied and produced, the Producing Party must determine which documents, or portions thereof,  
12 qualify for protection under this Order, then, before producing the specified documents, the  
13 Producing Party must affix the appropriate legend ("CONFIDENTIAL" or "HIGHLY  
14 CONFIDENTIAL — ATTORNEYS' EYES ONLY") at the top of each page that contains Protected  
15 Material. If only a portion or portions of the material on a page qualifies for protection, the  
16 Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate  
17 markings in the margins) and must specify, for each portion, the level of protection being asserted  
18 (either "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL — ATTORNEYS' EYES ONLY").

19 (b) for testimony given in deposition or in other pretrial or trial  
20 proceedings, that the Party or non-party offering or sponsoring the testimony identify on the record,  
21 before the close of the deposition, hearing, or other proceeding, all protected testimony, and further  
22 specify any portions of the testimony that qualify as "HIGHLY CONFIDENTIAL — ATTORNEYS'  
23 EYES ONLY." When it is impractical to identify separately each portion of testimony that is  
24 entitled to protection, and when it appears that substantial portions of the testimony may qualify for  
25 protection, the Party or non-party that sponsors, offers, or gives the testimony may invoke on the  
26 record (before the deposition or proceeding is concluded) a right to have up to 20 days to identify the  
27 specific portions of the testimony as to which protection is sought and to specify the level of  
28 protection being asserted ("CONFIDENTIAL" or "HIGHLY CONFIDENTIAL — ATTORNEYS'

1 EYES ONLY"). Only those portions of the testimony that are appropriately designated for  
2 protection within the 20 days shall be covered by the provisions of this Stipulated Protective Order.

3 Transcript pages containing Protected Material must be separately bound by  
4 the court reporter, who must affix to the top of each such page the legend "CONFIDENTIAL" or  
5 "HIGHLY CONFIDENTIAL — ATTORNEYS' EYES ONLY," as instructed by the Party or  
6 non-party offering or sponsoring the witness or presenting the testimony.

7 (c) for information produced in some form other than documentary, and  
8 for any other tangible items, that the Producing Party affix in a prominent place on the exterior of  
9 the container or containers in which the information or item is stored the legend "CONFIDENTIAL"  
10 or "HIGHLY CONFIDENTIAL — ATTORNEYS' EYES ONLY." If only portions of the  
11 information or item warrant protection, the Producing Party, to the extent practicable, shall identify  
12 the protected portions, specifying whether they qualify as "Confidential" or as "Highly Confidential  
13 — Attorneys' Eyes Only."

14 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure  
15 to designate qualified information or items as "Confidential" or "Highly Confidential — Attorneys'  
16 Eyes Only" does not, standing alone, waive the Designating Party's right to secure protection under  
17 this Order for such material. If material is appropriately designated as "Confidential" or "Highly  
18 Confidential — Attorneys' Eyes Only" after the material was initially produced, the Receiving Party,  
19 on timely notification of the designation, must make reasonable efforts to assure that the material is  
20 treated in accordance with the provisions of this Order.

21 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

22 6.1 Timing of Challenges. Unless a prompt challenge to a Designating Party's  
23 confidentiality designation is necessary to avoid foreseeable substantial unfairness, unnecessary  
24 economic burdens, or a later significant disruption or delay of the litigation, a Party does not waive  
25 its right to challenge a confidentiality designation by electing not to mount a challenge promptly  
26 after the original designation is disclosed.

27 6.2 Meet and Confer. A Party that elects to initiate a challenge to a Designating  
28 Party's confidentiality designation must do so in good faith and must begin the process by conferring

1 directly (in voice to voice dialogue; other forms of communication are not sufficient) with counsel  
2 for the Designating Party. In conferring, the challenging Party must explain the basis for its belief  
3 that the confidentiality designation was not proper and must give the Designating Party an  
4 opportunity to review the designated material, to reconsider the circumstances, and, if no change in  
5 designation is offered, to explain the basis for the chosen designation. A challenging Party may  
6 proceed to the next stage of the challenge process only if it has engaged in this meet and confer  
7 process first.

8           6.3    Judicial Intervention. A Party that elects to press a challenge to a  
9 confidentiality designation after considering the justification offered by the Designating Party may  
10 file and serve a motion under Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if  
11 applicable) that identifies the challenged material and sets forth in detail the basis for the challenge.  
12 Each such motion must be accompanied by a competent declaration that affirms that the movant has  
13 complied with the meet and confer requirements imposed in the preceding paragraph and that sets  
14 forth with specificity the justification for the confidentiality designation that was given by the  
15 Designating Party in the meet and confer dialogue.

16           The burden of persuasion in any such challenge proceeding shall be on the  
17 Designating Party. Until the court rules on the challenge, all parties shall continue to afford the  
18 material in question the level of protection to which it is entitled under the Producing Party's  
19 designation.

20           7.    ACCESS TO AND USE OF PROTECTED MATERIAL

21           7.1    Basic Principles. A Receiving Party may use Protected Material that is  
22 disclosed or produced by another Party or by a non-party in connection with this case only for  
23 prosecuting, defending, or attempting to settle this litigation. Such Protected Material may be  
24 disclosed only to the categories of persons and under the conditions described in this Order. When  
25 the litigation has been terminated, a Receiving Party must comply with the provisions of section 11,  
26 below (FINAL DISPOSITION).

27           Protected Material must be stored and maintained by a Receiving Party at a location  
28 and in a secure manner that ensures that access is limited to the persons authorized under this Order.

1                   7.2    Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise  
2 ordered by the court or permitted in writing by the Designating Party, a Receiving Party may  
3 disclose any information or item designated CONFIDENTIAL only to:

4                   (a)    the Receiving Party's Outside Counsel of record in this action, as well  
5 as employees of said Counsel to whom it is reasonably necessary to disclose the information for this  
6 litigation and who have signed the "Agreement to Be Bound by Protective Order" that is attached  
7 hereto as Exhibit A;

8                   (b)    the officers, directors, and employees (including House Counsel) of  
9 the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have  
10 signed the "Agreement to Be Bound by Protective Order" (Exhibit A);

11                  (c)    experts (as defined in this Order) of the Receiving Party to whom  
12 disclosure is reasonably necessary for this litigation and who have signed the "Agreement to Be  
13 Bound by Protective Order" (Exhibit A);

14                  (d)    the Court and its personnel;

15                  (e)    court reporters, their staffs, and professional vendors to whom  
16 disclosure is reasonably necessary for this litigation and who have signed the "Agreement to Be  
17 Bound by Protective Order" (Exhibit A);

18                  (f)    during their depositions, witnesses in the action to whom disclosure is  
19 reasonably necessary and who have signed the "Agreement to Be Bound by Protective Order"  
20 (Exhibit A). Pages of transcribed deposition testimony or exhibits to depositions that reveal  
21 Protected Material must be separately bound by the court reporter and may not be disclosed to  
22 anyone except as permitted under this Stipulated Protective Order.

23                  (g)    the author of the document or the original source of the information.

24                  (h)    a deposition witness, during the deposition if the witness is employed,  
25 at the time of his or her deposition, by the party that designated the Protected Material. Any  
26 document designated as Protected Material that contains handwriting may be shown to a deposition  
27 witness for the purpose of determining whether the deposition witness is the author of the Protected  
28 Material or the handwriting, provided that the attorney establishes through deposition testimony a



1 reasonable and good faith basis for believing that the Protected Material or handwriting was or could  
2 have been authored by the deposition witness, and provided that the attorney takes reasonable steps  
3 to ensure that no unnecessary disclosure of Protected Material takes place (e.g., by showing the  
4 deposition witness a limited sample of the handwriting at issue).

5 7.3 Disclosure of "HIGHLY CONFIDENTIAL — ATTORNEYS' EYES ONLY"

6 Information or Items. Unless otherwise ordered by the court or permitted in writing by the  
7 Designating Party, a Receiving Party may disclose any information or item designated "HIGHLY  
8 CONFIDENTIAL — ATTORNEYS' EYES ONLY" only to:

9 (a) the Receiving Party's Outside Counsel of record in this action, as well  
10 as employees of said Counsel to whom it is reasonably necessary to disclose the information for this  
11 litigation and who have signed the "Agreement to Be Bound by Protective Order" that is attached  
12 hereto as Exhibit A;

13 (b) Experts (as defined in this Order) (1) to whom disclosure is reasonably  
14 necessary for this litigation, (2) who have signed the "Agreement to Be Bound by Protective Order"  
15 (Exhibit A);

16 (c) the Court and its personnel;

17 (d) court reporters, their staffs, and professional vendors to whom  
18 disclosure is reasonably necessary for this litigation and who have signed the "Agreement to Be  
19 Bound by Protective Order" (Exhibit A);

20 (e) the author of the document or the original source of the information;

21 (f) a deposition witness, during the deposition if the witness is employed,  
22 at the time of his or her deposition, by the party that designated the Protected Material. Any  
23 document designated as Protected Material that contains handwriting may be shown to a deposition  
24 witness for the purpose of determining whether the deposition witness is the author of the Protected  
25 Material or the handwriting, provided that the attorney establishes through deposition testimony a  
26 reasonable and good faith basis for believing that the Protected Material or handwriting was or could  
27 have been authored by the deposition witness, and provided that the attorney takes reasonable steps  
28

1 to ensure that no unnecessary disclosure of Protected Material takes place (e.g., by showing the  
2 deposition witness a limited sample of the handwriting at issue).

3  
4 8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN  
5 OTHER LITIGATION.

6 If a Receiving Party is served with a subpoena or an order issued in other litigation that  
7 would compel disclosure of any information or items designated in this action as  
8 "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL — ATTORNEYS' EYES ONLY," the  
9 Receiving Party must so notify the Designating Party, in writing (by fax, if possible) immediately  
10 and in no event more than three court days after receiving the subpoena or order. Such notification  
11 must include a copy of the subpoena or court order.

12 The Receiving Party also must immediately inform in writing the Party who caused the  
13 subpoena or order to issue in the other litigation that some or all the material covered by the  
14 subpoena or order is the subject of this Protective Order. In addition, the Receiving Party must  
15 deliver a copy of this Stipulated Protective Order promptly to the Party in the other action that  
16 caused the subpoena or order to issue.

17 The purpose of imposing these duties is to alert the interested parties to the existence of this  
18 Protective Order and to afford the Designating Party in this case an opportunity to try to protect its  
19 confidentiality interests in the court from which the subpoena or order issued. The Designating  
20 Party shall bear the burdens and the expenses of seeking protection in that court of its confidential  
21 material — and nothing in these provisions should be construed as authorizing or encouraging a  
22 Receiving Party in this action to disobey a lawful directive from another court.

23 9. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

24 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected  
25 Material to any person or in any circumstance not authorized under this Stipulated Protective Order,  
26 the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized  
27 disclosures, (b) use its best efforts to retrieve all copies of the Protected Material, (c) inform the  
28 person or persons to whom unauthorized disclosures were made of all the terms of this Order, and

1 (d) request such person or persons to execute the "Acknowledgment and Agreement to Be Bound"  
2 that is attached hereto as Exhibit A.

3 10. FILING PROTECTED MATERIAL.

4 Without written permission from the Designating Party or a court order secured after  
5 appropriate notice to all interested persons, a Party may not file in the public record in this action  
6 any Protected Material. A Party that seeks to file under seal any Protected Material must comply  
7 with Civil Local Rule 79-5.

8 11. FINAL DISPOSITION.

9 Unless otherwise ordered or agreed in writing by the Producing Party, within sixty days after  
10 the final termination of this action, each Receiving Party must return all Protected Material to the  
11 Producing Party. As used in this subdivision, "all Protected Material" includes all copies, abstracts,  
12 compilations, summaries or any other form of reproducing or capturing any of the Protected  
13 Material. With permission in writing from the Designating Party, the Receiving Party may destroy  
14 some or all of the Protected Material instead of returning it. Whether the Protected Material is  
15 returned or destroyed, the Receiving Party must submit a written certification to the Producing Party  
16 (and, if not the same person or entity, to the Designating Party) by the sixty day deadline that  
17 identifies (by category, where appropriate) all the Protected Material that was returned or destroyed  
18 and that affirms that the Receiving Party has not retained any copies, abstracts, compilations,  
19 summaries or other forms of reproducing or capturing any of the Protected Material.

20 Notwithstanding this provision, Counsel are entitled to retain an archival copy of all pleadings,  
21 motion papers, transcripts, legal memoranda, correspondence or attorney work product, even if such  
22 materials contain Protected Material. Any such archival copies that contain or constitute Protected  
23 Material remain subject to this Protective Order as set forth in Section 4 (DURATION), above.

24 12. MISCELLANEOUS

25 12.1 Right to Further Relief. Nothing in this Order abridges the right of any person  
26 to seek its modification by the Court in the future.

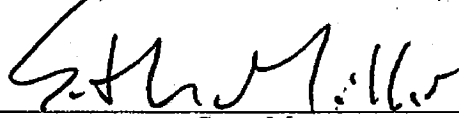
27 12.2 Right to Assert Other Objections. By stipulating to the entry of this Protective  
28 Order no Party waives any right it otherwise would have to object to disclosing or producing any

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Los Angeles, CA 90071-1543

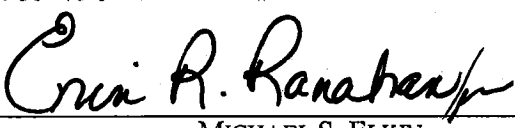
1 information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no  
2 Party waives any right to object on any ground to use in evidence of any of the material covered by  
3 this Protective Order.

4  
5 IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

6 DATED: March 29 2010 KING, HOLMES, PATERNO & BERLINER, LLP

7  
8 By:   
9 SETH MILLER  
10 Attorneys for Defendant/Counterclaimant F.E.A.  
11 MERCHANDISING, INC.

12 DATED: March 29 2010 WINSTON & STRAWN LLP

13 By:   
14 MICHAEL S. ELKIN  
15 THOMAS P. LANE  
16 ERIN R. RANAHAN  
17 Attorneys for Plaintiffs BILL GRAHAM ARCHIVES LLC,  
18 d/b/a WOLFGANG'S VAULT, and NORTON LLC

19 PURSUANT TO STIPULATION, IT IS SO ORDERED.

20 DATED: April 5, 2010

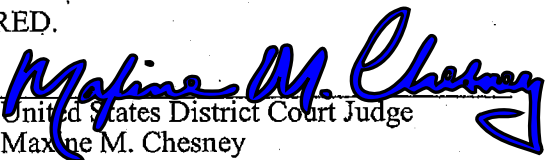
21   
22 United States District Court Judge  
23 Maxine M. Chesney

EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, \_\_\_\_\_ [print or type full name], of \_\_\_\_\_ [print or type full address], declare under penalty of perjury that I have read in its entirety and understand the Stipulated Protective Order that was issued by the United States District Court for the Northern District of California on [date] in the case of Bill Graham Archives LLC d/b/a Wolfgang's Vault, Norton LLC v. F.E.A. Merchandising, Inc. and DOES 1 through 50, Case No. CV09-02747 MMC. I agree to comply with and to be bound by all the terms of this Stipulated Protective Order and I understand and acknowledge that failure to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in any manner any information or item that is subject to this Stipulated Protective Order to any person or entity except in strict compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the Northern District of California for the purpose of enforcing the terms of this Stipulated Protective Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint \_\_\_\_\_ [print or type full name] of \_\_\_\_\_ [print or type full address and telephone number] as my California agent for service of process in connection with this action or any proceedings related to enforcement of this Stipulated Protective Order.

Date: \_\_\_\_\_  
City and State where sworn and signed: \_\_\_\_\_  
Printed name: \_\_\_\_\_  
[printed name]  
Signature: \_\_\_\_\_  
[signature]

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