

1 BINGHAM McCUTCHEM LLP
 DONALD S. DAVIDSON (SBN 231908)
 2 SARAH L. BISHOP (SBN 258051)
 Three Embarcadero Center
 3 San Francisco, CA 94111-4067
 Telephone: 415.393.2000
 4 Facsimile: 415.393.2286
 donald.davidson@bingham.com
 5 sarah.bishop@bingham.com

6 BINGHAM McCUTCHEM LLP
 MICHAEL D. BLANCHARD (*pro hac vice*)
 7 One State Street
 Hartford, CT 06103-3178
 8 Telephone: 860.240.2700
 Facsimile: 860.240.2800
 9 michael.blanchard@bingham.com

10 Attorneys for Defendants
 Wells Fargo Advisors, LLC, Wells Fargo Advisors
 11 Financial Network, LLC, Wells Fargo Securities, LLC,
 and Wells Fargo & Company
 12

13 UNITED STATES DISTRICT COURT

14 NORTHERN DISTRICT OF CALIFORNIA

15 SAN FRANCISCO DIVISION

16 THEODORE KAGAN, JAMES AVEN,
 FRANCES LEVY, ELAINE SOFFA, JOSEPH
 17 SOFFA, and ALBERKRACK FAMILY
 LIMITED PARTNERSHIP, on behalf of
 18 themselves and all others similarly situated,

19 Plaintiffs,

20 v.

21 WACHOVIA SECURITIES, L.L.C., a North
 Carolina limited liability company; WACHOVIA
 22 SECURITIES FINANCIAL NETWORK, L.L.C.,
 a North Carolina limited liability company;
 23 WACHOVIA CAPITAL MARKETS, L.L.C., a
 North Carolina limited liability company;
 24 WELLS FARGO ADVISORS, L.L.C., a
 Delaware limited liability company; WELLS
 25 FARGO ADVISORS FINANCIAL NETWORK,
 L.L.C., a Delaware limited liability company;
 26 WELLS FARGO SECURITIES, L.L.C., a
 Delaware limited liability company; WELLS
 27 FARGO & COMPANY, a Delaware corporation;
 28 and DOES 1 through 10, inclusive,

No. 09-5337 (SC)

**STIPULATION TO EXTEND
 DEADLINE TO SUBMIT
 SETTLEMENT AGREEMENT FOR
 THE COURT'S APPROVAL**

Judge: Hon. Samuel Conti

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Defendants.

BRENT BALKEMA, as Trustee for the Jesse J. Balkema Rev Trust, on behalf of himself and all others similarly situated,

Plaintiffs,

v.

WACHOVIA SECURITIES, LLC, a North Carolina limited liability company, WACHOVIA SECURITIES FINANCIAL NETWORK, LLC, a North Carolina limited liability company, WELLS FARGO ADVISORS LLC; a Delaware limited liability company, WELLS FARGO SECURITIES FINANCIAL NETWORK, LLC, a Delaware limited liability company,

Defendants.

No. CV-11-00412 (SC)

Through this Stipulation, Plaintiffs Theodore Kagan, James Aven, Frances Levy, Elaine Soffa, Joseph Soffa, Alberkrack Family Limited Partnership, and Brent Balkema (“Plaintiffs”) and Defendants Wells Fargo Advisors, LLC (sued under its own name and also under the name “Wachovia Securities, LLC”), Wells Fargo Advisors Financial Network, LLC (sued under its own name and also under the name “Wachovia Securities Financial Network, LLC”), Wells Fargo Securities (sued under its own name and also under the name “Wachovia Capital Markets, LLC”) and Wells Fargo & Company (“Defendants”), collectively referred to herein as the “Parties,” through their counsel of record, hereby stipulate to briefly extend the previously agreed deadline for the parties to submit a proposed class action settlement stipulation and motion for preliminary approval of settlement to Thursday, January 19, 2012.

WHEREAS, the Parties have completed confirmatory discovery, and have exchanged drafts of all documentary submissions to be submitted to the Court for consideration of the proposed settlement;

WHEREAS, given the nature of this litigation and proposed settlement, involving a class action regarding the settlement of claims arising from a previous class action, the proposed settlement drafts and accompanying settlement materials are complicated documents not readily

PURSUANT TO STIPULATION, IT IS SO ORDERED:

Pursuant to the stipulation of the Parties and good cause appearing, the deadline for the Parties to submit a class action settlement stipulation and motion for preliminary approval of settlement shall be January 19, 2012.

DATED: January 17, 2012

By: 
Hon. Samuel Conti
United States District Judge