

United States District Court  
For the Northern District of California

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IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

ROCHELLE VAUGHN,

No. C 09-05746 SI

Plaintiff,

**ORDER GRANTING DEFENDANT’S  
MOTION FOR SUMMARY JUDGMENT**

v.

PATRICK R. DONAHOE,

Defendant.<sup>1</sup>

On May 13, 2001 and again on June 3, 2011, the Court heard argument on defendant’s motion for summary judgment. Having considered the arguments of counsel, the papers submitted, and the parties’ supplemental filings, the Court hereby GRANTS defendant’s motion.

**BACKGROUND**

Plaintiff Rochelle Vaughn has sued defendant Patrick R. Donahoe, Postmaster General, for disability discrimination, race discrimination, and retaliation. Because this is defendant’s motion for summary judgment, the Court discusses the background of the case by viewing the evidence in the light most favorable to plaintiff.

Plaintiff started working for the United States Postal Service in 1987. Decl. of Michael T. Pyle in Support of Def. Mot. for Summ. J. (“Pyle Decl.”) (Doc. 58), Ex. A (“Vaughn Depo.”) at TR 20:23–21:9. On November 23, 2001, plaintiff was injured on the job. Vaughn Depo. Ex. 5. She was diagnosed with cervical radiculopathy. *Id.* Management at the postal service opposed her workers’

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<sup>1</sup> Pursuant to Rule 25(d) of the Federal Rules of Civil Procedure, Postmaster General Patrick R. Donahoe is substituted as defendant for former Postmaster General John E. Potter.

1 compensation claim, but ultimately the claim was granted on September 26, 2003. *Id.* TR 119:5–119:13  
2 & Ex. 5.<sup>2</sup> Plaintiff had neck pain and was not supposed to lift objects weighing more than 10 pounds.  
3 *Id.* TR 17:6–17:10; 31:8. Additionally, she could not perform certain tasks that required her to reach,  
4 such as sell stamps and accept packages from customers across a counter. Pyle Decl. Ex. C (“Denton  
5 Depo.”) at TR 33:20–34:16.

6 Joseph Tran became plaintiff’s supervisor in 2003. *Id.* TR 152:13–152:15. Plaintiff spoke with  
7 Mr. Tran about her need for a reasonable accommodation of her physical injury as soon as he became  
8 her supervisor. *Id.* TR 152:16–152:19. Among other things, she told him that she could no longer  
9 “work the windows” and that she had certain other limitations. *Id.* TR 152:20–152:24. Plaintiff told  
10 Mr. Tran “all the time” that she could not handle larger parcels, which was “always an issue” between  
11 the two of them. *Id.* TR 153:25–154:6.

12 In 2004, plaintiff filed an EEO complaint that related to the filling out of forms and her  
13 disability. Pl. Oppo. to Def. Mot. for Summ. J. (“Pl. Oppo.”) (Doc. 63), Ex. I (excerpt of the deposition  
14 testimony of Cecelia Denton), TR 17:3–17:8; Pl. Oppo. Ex. DD (excerpt of the deposition testimony  
15 of Rochelle Vaughn), TR 123:14–123:16. As plaintiff recalls it, she filed the complaint because “they  
16 were not paying [her] whenever [she] would be off on workers’ comp . . . to see doctors . . . . They had  
17 [her] in situations where [she] had to be there [and] they kept coding [her] wrongly.” *Id.* TR  
18 123:19–124:24. The complaint was resolved at a mediation, during which it was agreed that “Mr. Tran  
19 will be trained in the procedures to follow for on-the-job injuries.” *Id.* Ex. I, TR 17:16–18:17. Ms.  
20 Denton testified at her deposition that both she and Mr. Tran went to a class to be trained on “how to  
21 do forms.” *Id.* TR 18:14–18:25. But Mr. Tran testified that he was not involved in the mediation, he  
22 was never told about that part of the agreement, and he was never told that he needed to be trained. Pl.  
23 Oppo. Ex. J (excerpt of the deposition testimony of Joseph Tran). As of the time of her deposition in  
24 this case, plaintiff still believed that she had been treated unfairly and that she had not been fully  
25 compensated for unpaid wages. *Id.* Ex. DD, TR 125:9–127:23.

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27 <sup>2</sup> Although neither party discusses it, defendant has presented evidence that plaintiff also  
28 filed a claim for a compensable injury in 1997, which was approved that same year. Decl. of Renita  
Jones in Support of Def. Mot. for Summary Judgment (“Jones Decl.”) (Doc. 56), at ¶ 3.

1           Sometime in 2004 or 2005 plaintiff became a lobby director, greeting customers, helping them  
2 fill out their forms, answering questions, and occasionally lifting packages if they were not too heavy  
3 or beyond her reach. *Id.* TR 23:1–23:15. Plaintiff did not have any problems working as a lobby  
4 director, “except the packages part”—plaintiff’s inability to lift certain packages that customers came  
5 to pick up. *Id.* TR 157:5–157:14. Sometimes she would get help with the packages, and sometimes she  
6 would not. *Id.* TR 154:22–154:25.

7           Plaintiff had another occasional assignment between 2004 and 2006, and she did not believe that  
8 her disabilities were accommodated during that assignment. *Id.* TR 157:15–157:22. Specifically, she  
9 was sometimes asked to work in the “accountable cage” at the Pacific Carrier Annex (“PCA”), where  
10 clerks are assigned to “accept from the carriers their keys, their scanners, their postage due, [and] their  
11 packages of registered mail.” *Id.* TR 158:1–159:13; Denton Depo. at TR 14:3–14:15. Plaintiff estimates  
12 that she worked at the PCA for seven to ten days before July 2006. Vaughn Depo. at TR 141:23–142:8,  
13 142:22–142:25. She complained to a manager, Cecilia Denton, about the assignment. *Id.* TR  
14 142:9–142:16. She was concerned about job duties such as “casing,” where she had to “case  
15 repetitiously over [her] head,” including sometimes having “to actually climb up on a stool to get to the  
16 top.” *Id.* TR 142:9–142:23.<sup>3</sup>

17           On July 2 or July 3, 2006, plaintiff again was assigned to the PCA, where she worked until July  
18 8. *Id.* TR 145:13–145:18. She complained to Mr. Tran that she could not do the work, which involved  
19 reaching above her head, climbing up to reach the top of the case, lifting heavy sacks, and “lots of  
20 packages, heavy work.” Pl. Oppo. Ex. M (excerpt of the deposition testimony of Rochelle Vaughn) at  
21 TR 27:12–27:24. No one would stop to help her. Pl. Oppo. Ex. N (excerpt of the deposition testimony  
22 of Rochelle Vaughn) at TR 31:11–31:12. She was “hurting and pretty bad,” and Mr. Tran “would not  
23 acknowledge” her pain. *Id.* TR 31:1–31:2.

24           On July 12, 2006, with the assistance of Dr. George Karalis, plaintiff wrote a letter to the Office  
25 of Workers’ Compensation Program (“OWCP”) requesting that her orthopaedic claim be expanded to  
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27           <sup>3</sup> Ms. Denton testified at her deposition that she instructed plaintiff to follow her medical  
28 restrictions and specifically told plaintiff that she was not required to lift heavy packages when working  
at the PCA. Denton Depo. TR 33:2–33:15.

1 include psychiatric illness, because she had psychological issues from the cervical radiculopathy.  
2 Vaughn Depo., TR 119:16–120:10 & Ex. 6. She did not return to work after writing this letter. *Id.* TR  
3 120:19–120:22. She did not specifically ask for an accommodation of her psychological injuries. *Id.*  
4 TR 159:21–159:24. Plaintiff’s workers’ compensation claim for a major depressive disorder was  
5 accepted on August 20, 2009. *Id.* Ex. 10.

6 In August 2006 plaintiff contacted the EEO office, and in October 2006 she met with an EEO  
7 counselor, to complain that management violated her medical restrictions when Mr. Tran sent her over  
8 to work at the PCA. Plaintiff had filled out a formal complaint form in July 2006, which she submitted  
9 to the EEO in November 2006 after receiving a right to file letter. Decl. of Johnnie Patterson in Support  
10 of Def. Mot. for Summ. J. (“Patterson Decl.”) (Doc. 54), ¶ 3 & Ex. A at 4. On January 12, 2007,  
11 plaintiff voluntarily withdrew her formal EEO complaint in its entirety. *Id.* Ex. C. At her deposition,  
12 plaintiff explained that she “rescinded” her complaint on the advice of Dr. Karalis, “because [she]  
13 mentally was not in shape at the time to do it.” Vaughn Depo. TR 128:17–128:20, 131:2–131:10.

14 Starting as early as July 12, 2006, but no later than April 5, 2007, Mr. Tran began to mark  
15 plaintiff’s absences by entering the code for LWOP, or leave without pay, into the timekeeping system.  
16 Tran Depo. TR 78:17–78:24; Denton Depo. Ex. 3. On March 5, 2008, plaintiff received records  
17 reflecting a change in her retirement eligibility date. Patterson Decl. Ex. D at 1.<sup>4</sup> She contacted the  
18 EEO, and she filed an official complaint on June 27, 2008.

19 Mr. Tran sent plaintiff a letter on April 30, 2008 regarding her absences. Pl. Oppo. Ex. S. It  
20 noted that plaintiff had been “in an AWOL status since April 5, 2007” and that she “may be issued  
21 discipline up to an including removal” if she did not “submit acceptable documentation” within five  
22 days, consisting of “a statement from your physician regarding the nature of your illness, a statement  
23 of inability to perform full or light duty, and duration of absence from work with a return to duty date

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25 <sup>4</sup> This citation is to plaintiff’s own statement of discrimination claim in her EEO Inquiry  
26 Report. There is no copy of the records to which she refers on file. In her opposition brief, plaintiff  
27 states that her employment start date was changed from December 5, 1987 to July 11, 1988, and says  
28 that the personnel records changing her start date are submitted as Exhibit T. Exhibit T, however,  
consists only of records adjusting plaintiff’s LWOP-coded status to OWCP LWOP-coded status after  
her psychological workers’ compensation claim was approved. It is not clear what records plaintiff  
intended to include with her opposition papers, whether they are the records of the postal service or of  
a different government agency, or what the records say.

1 indicated.” *Id.*

2 In December 2008, Mr. Tran signed and sent plaintiff a document with the subject “Notice of  
3 Removal.” Tran Depo. Ex. 4. The document (“Notice”) said that it was “advanced written notice of  
4 your removal[] from the US Postal Service” for “FAILURE TO MAINTAIN REGULAR  
5 ATTENDANCE/SEPARATION – DISABILITY.” *Id.*<sup>5</sup> The Notice stated that plaintiff was to be  
6 “remov[ed] from the postal service” no sooner than 30 days from the date on the notice, and it quoted  
7 three provisions of the postal service’s Employee and Labor Relations Manual (“ELM”): ELM 511.43  
8 Employee Responsibilities; ELM 665.41 Requirement of Regular Attendance; and ELM 365.342  
9 Applicability. *Id.* The Applicability provision of the ELM states:

10 a. At the expiration of year of continuous absence without pay, an employee who has  
11 been absent because of illness may be separated for disability. This action is not  
12 mandatory, however, and if there is reason to believe the employee will recover within  
13 a reasonable length of time beyond the 1-year period, the employee may be granted  
14 additional leave in 30-day periods, not to exceed 90 days. If the employee’s condition  
indicates that LWOP beyond that period is necessary incident to full recovery, the postal  
official must submit a comprehensive report to the area manager of Human Resources  
with appropriate recommendation and retain the employee on the rolls pending a  
decision.

15 *Id.* (quoting ELM 365.342).

16 In December 2008, plaintiff filed a grievance with regard to the Notice. Decl. of Susan B.  
17 Valdez in Support of Def. Mot. for Summ. J. (“Valdez Decl.”) (Doc. 57), at ¶ 8. On January 23, 2009,  
18 she contacted the EEO office regarding the Notice, and she filed a formal complaint on March 9.  
19 Patterson Decl. ¶ 5 & Ex. F. According to plaintiff’s complaint, she received two right to sue letters in  
20 December 2009. First Am. Compl. for Damages (“FAC”) (Doc. 51) ¶ 8.

21 Plaintiff’s grievance went through the first and third steps of the grievance process, but not  
22 through step two. Valdez Decl. ¶ 9. Because of this procedural error, the postal service rescinded the  
23 notice of removal on June 21, 2010. *Id.* ¶ 10 & Ex. A. Plaintiff is not “separated” from the postal  
24 service, which means that she still holds her bid assignment—she can request reinstatement to her bid  
25 assignment as long as she has medical clearance from her doctor to return to work. *Id.* ¶ 12.

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27 <sup>5</sup> In his brief, defendant writes: “Although the document Ms. Vaughn received was  
28 apparently interpreted by her to be a Notice of Removal, it is undisputed that Plaintiff was given a  
Notice of Separation and was never actually separated from service.” Pl. Mot. at 7 (Doc. 53). Perhaps  
it was this subject line or this description that led plaintiff to her interpretation of the letter.

1 After plaintiff's psychological workers' compensation claim was approved towards the end of  
2 2009, Ms. Denton was told that plaintiff's leave status from August 11, 2006 through December 31,  
3 2009 should be coded as "OWCP [Office of Workers' Compensation Program]-Regular" (or "LWOP-  
4 OWCP") rather than as LWOP. Jones Decl. ¶ 7. Plaintiff's records indicate that, as of part way through  
5 2011, her absences are almost always being recorded with the OWCP-Regular code, though  
6 occasionally they are coded Full Day LWOP instead. Decl. of Carol Croteau in Support of Def. Mot.  
7 for Summary Judgment, Exs. A-B. The retroactive recoding of plaintiff's absences impacts her  
8 retirement eligibility date, as "credit is allowed towards the employee's years of service for the entire  
9 period that an employee receives OWCP benefits if the employee is carried on the Postal Service rolls  
10 in LWOP-OWCP status." Crocteau Decl. ¶ 5.

11 On November 20, 2008, Dr. Karalis faxed a note to Ms. Denton and Mr. Tran that stated that  
12 plaintiff "remains totally disabled to & thru 12-28-08." *Id.* Ex. 11. He faxed a second note on  
13 December 23, 2008, stating that she "remains totally disabled to and thru 1-21-09." Vaughn Depo. Ex.  
14 12. On December 14, 2009, plaintiff applied for Social Security Disability Insurance, claiming that she  
15 had become disabled on July 15, 2006. Vaughn Depo. Ex. 2. Plaintiff testified at her deposition that  
16 she told the Social Security Administration that she was "totally disabled and unable to work." *Id.* TR  
17 19:17-19:20. On August 12, 2010, Dr. Karalis faxed a third note to Ms. Denton and Mr. Tran,  
18 explaining that plaintiff "remains totally disabled to & thru 10-12-10." *Id.* Ex. 13.

19 On May 13, 2011, plaintiff obtained an industrial work status report stating that she had "reached  
20 Maximal Medical Improvement (permanent and stationary) as of 5/13/2011," and that she can perform  
21 certain limited physical activity. Decl. of Shymala T. Rajender in Oppo. to Def. Mot. for Summ. J., Ex.  
22 A.<sup>6</sup> On May 25, 2011, she obtained a letter from Dr. Karalis, stating the following:

23 I have been treating this patient since 7-12-06 for a U.S. DOL-accepted Major  
24 Depression (caused by her job at the USPS).

25 I have also read [the] 5-13-11 Industrial Work Status Report and I agree that the patient  
26 could return to work only providing the USPS assign only duties she can perform  
27 without aggravating her injuries.

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28 <sup>6</sup> After the initial hearing on this motion, and with the leave of the Court, plaintiff presented this additional evidence, and defendant was provided the opportunity to respond.

1 Psychiatrist's letter (Doc. 71).

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3 **LEGAL STANDARD**

4 Summary judgment is proper if the pleadings, the discovery and disclosure materials on file, and  
5 any affidavits show that there is no genuine issue as to any material fact and that the movant is entitled  
6 to judgment as a matter of law. *See* Fed. R. Civ. P. 56(a). The moving party bears the initial burden of  
7 demonstrating the absence of a genuine issue of material fact. *Celotex Corp. v. Catrett*, 477 U.S. 317,  
8 323 (1986). The moving party, however, has no burden to disprove matters on which the non-moving  
9 party will have the burden of proof at trial. The moving party need only demonstrate to the Court that  
10 there is an absence of evidence to support the non-moving party's case. *Id.* at 325.

11 Once the moving party has met its burden, the burden shifts to the non-moving party to "set out  
12 'specific facts showing a genuine issue for trial.'" *Id.* at 324 (quoting then Fed. R. Civ. P. 56(e)). To  
13 carry this burden, the non-moving party must "do more than simply show that there is some  
14 metaphysical doubt as to the material facts." *Matsushita Elec. Indus. Co., Ltd. v. Zenith Radio Corp.*,  
15 475 U.S. 574, 586 (1986). "The mere existence of a scintilla of evidence . . . will be insufficient; there  
16 must be evidence on which the jury could reasonably find for the [non-moving party]." *Anderson v.*  
17 *Liberty Lobby, Inc.*, 477 U.S. 242, 252 (1986).

18 In deciding a summary judgment motion, the Court must view the evidence in the light most  
19 favorable to the non-moving party and draw all justifiable inferences in its favor. *Id.* at 255.  
20 "Credibility determinations, the weighing of the evidence, and the drawing of legitimate inferences from  
21 the facts are jury functions, not those of a judge . . . ruling on a motion for summary judgment." *Id.*  
22 However, conclusory, speculative testimony in affidavits and moving papers is insufficient to raise  
23 genuine issues of fact and defeat summary judgment. *Thornhill Publ'g Co., Inc. v. GTE Corp.*, 594 F.2d  
24 730, 738 (9th Cir. 1979). The evidence the parties present must be admissible. Fed. R. Civ. P. 56(c)).

25  
26 **DISCUSSION**

27 Plaintiff filed this lawsuit in December 2009. In the operative complaint, she makes claims for  
28 Disability Discrimination in violation of Rehabilitation Act of 1973, Racial Discrimination in violation

1 of Title VII of the Civil Rights Act of 1964, and Retaliation in violation Title VII of the Civil Rights Act  
2 of 1964. FAC at 1. Defendant has moved for summary judgment on all three claims.

3  
4 **I. Disability Discrimination and the Rehabilitation Act of 1973**

5 Plaintiff's first claim is that defendant discriminated against her because of her disability, in  
6 violation of the Rehabilitation Act. To state a prima facie case under the Rehabilitation Act, a plaintiff  
7 must demonstrate that (1) she is a person with a disability,<sup>7</sup> (2) who is otherwise qualified for  
8 employment, and (3) suffered discrimination because of her disability. *Walton v. U.S. Marshals Serv.*,  
9 492 F.3d 998, 1005 (9th Cir. 2007); *see also* 29 U.S.C. § 791(g) (adopting standards for Americans with  
10 Disabilities Act for certain claims under § 501 of the Rehabilitation Act). A person who is otherwise  
11 qualified is a person who "is able to meet all of a program's requirements in spite of his handicap." *Se.*  
12 *Cnty. College v. Davis*, 442 U.S. 397, 406 (1979); *see also* 42 U.S.C. § 12111(8) ("The term 'qualified  
13 individual' means an individual who, with or without reasonable accommodation, can perform the  
14 essential functions of the employment position that such individual holds or desires.").

15 Both disparate treatment and failure to provide a reasonable accommodation are actionable  
16 disability discrimination under the Rehabilitation Act. Section 501 of the Rehabilitation Act, 29 U.S.C.  
17 § 791, requires federal agencies to act affirmatively to structure their procedures and programs so as to  
18 ensure that handicapped individuals are afforded equal opportunity in both job assignment and  
19 promotion. *Buckingham v. United States*, 998 F.2d 735, 739 (9th Cir. 1993). The duty on employers  
20 goes beyond mere nondiscrimination. *Id.* Rather, an agency must make reasonable accommodations  
21 to the known physical or mental limitations of a qualified handicapped employee unless it can  
22 demonstrate that the accommodation would impose an undue hardship on the operation of its program.  
23 *Id.* (citing 29 C.F.R. § 1613.704(a)).

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27 <sup>7</sup> For purposes of the Rehabilitation Act, an "individual with a disability" is defined with  
28 reference to the ADA, as a person (i) with a physical or mental impairment which substantially limits  
one or more of such person's major life activities, (ii) who has a record of such an impairment, or (iii)  
is regarded as having such an impairment. *See* 29 U.S.C. § 705(20)(B); 42 U.S.C. § 12102.



1           **A.     Exhaustion**

2           Defendant first argues that plaintiff may not base her Rehabilitation Act claim on events from  
3 July 2006 or earlier, because she did not timely exhaust her administrative remedies.

4           “To preserve her right to maintain a [Rehabilitation Act] suit alleging employment  
5 discrimination against an agency of the United States, a claimant must exhaust her administrative  
6 remedies by filing a claim of discrimination with the allegedly offending agency in accordance with  
7 published procedures.” *Leorna v. U.S. Dep’t of State*, 105 F.3d 548, 550 (9th Cir. 1997); *see also Leong*  
8 *v. Potter*, 347 F.3d 1117, 1121–22 (9th Cir. 2003).

9           Although failure to file an EEOC complaint is not a complete bar to district court  
10 jurisdiction, substantial compliance with the exhaustion requirement is a jurisdictional  
11 pre-requisite. The jurisdictional scope of the plaintiff’s court action depends on the  
12 scope of the EEOC charge and investigation. The specific claims made in district court  
13 ordinarily must be presented to the EEOC. However, the district court has jurisdiction  
14 over any charges of discrimination that are “like or reasonably related to” the allegations  
15 made before the EEOC, as well as charges that are within the scope of an EEOC  
16 investigation that reasonably could be expected to grow out of the allegations.

17 *Leong*, 347 F.3d at 1122 (citations omitted).

18           Defendant argues that the result of plaintiff’s affirmative withdrawal of her 2006 EEO complaint  
19 is that the Court does not have the power to hear claims of discrimination occurring in July 2006 or  
20 earlier. Plaintiff acknowledges that there might be “particular time-barred actionable events,” but she  
21 does not concede that any specific portions of her claims are time-barred or unexhausted, and she argues  
22 that the potentially-barred portions “form a pattern of regular practice and habit that strongly indicate  
23 continued illegal behavior.” Pl. Oppo. at 2.

24           Under the applicable regulations, plaintiff was not only required to initiate contact with an EEO  
25 counselor within forty-five days of the date of each alleged discriminatory act<sup>8</sup>; she was also required

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26           <sup>8</sup>       In *Leorna*, the Ninth Circuit explained the procedures for submitting an employment  
27 discrimination claim to an agency as follows:

28           Pursuant to the[] procedures [set forth at 29 C.F.R. Part 1614], a claimant must consult  
the allegedly discriminating agency’s EEO counselor prior to filing a complaint in order  
to try to informally resolve the matter . . . . The claimant must initiate this contact with  
the counselor within forty-five days of the date of the alleged discriminatory act. If the  
matter cannot be resolved informally, a complaint must be filed with the agency within  
fifteen days of the conclusion of the pre-complaint processing. The agency will dismiss  
a complaint that fails to comply with the applicable time limits or that raises a matter that  
has not been brought to the attention of a counselor. 105 F.3d at 550–51 (citations

1 to await a final action on her complaint before filing suit. *See Boyd v. U.S. Postal Serv.*, 752 F.2d 410,  
2 413 (9th Cir. 1985) (explaining that the Rehabilitation Act incorporates the requirement of exhaustion  
3 of administrative remedies applicable to federal employees under Title VII); *Vinieratos v. U.S. Dep’t*  
4 *of Air Force Through Aldridge*, 939 F.2d 762, 768–69 & n.6 (9th Cir. 1991) (explaining that “[o]nly  
5 after a final disposition of . . . a formal [Title VII] complaint can the claimant seek judicial review” and  
6 that “[f]or this purpose, ‘final disposition’ means either (a) adoption by the relevant government agency  
7 of the EEO counselor’s final report, (b) a final decision by the EEOC, if the claimant appeals to the  
8 EEOC, or (c) passage of 180 days without receipt of a final decision by either the relevant agency or  
9 the EEOC” (citing 29 C.F.R. §§ 1613.217, 1613.281)); 29 CFR § 1614.407. Plaintiff’s withdrawal of  
10 her 2006 EEO complaint means that there was no final disposition of her complaint, and therefore she  
11 cannot rely on that complaint as having exhausted her administrative remedies.<sup>9</sup> The question, then, is  
12 whether her 2008 and/or 2009 EEO complaints satisfy the exhaustion requirement with regard to actions  
13 taken in July 2006 or earlier.

14 The Supreme Court has made clear that “discrete discriminatory acts are not actionable if time  
15 barred, even when they are related to acts alleged in timely filed charges.” *Nat’l R.R. Passenger Corp.*  
16 *v. Morgan*, 536 U.S. 101, 113 (2002); *see also id.* at 110 (“A discrete retaliatory or discriminatory act  
17 ‘occurred’ on the day that it ‘happened.’ A party, therefore, must file a charge within [the prescribed  
18 number of] days of the date of the act or lose the ability to recover for it.”); *Cherosky v. Henderson*, 330  
19 F.3d 1243, 1246 (9th Cir. 2003) (explaining that the *Morgan* Court “specifically reject[ed] the  
20 application of the continuing violations doctrine to what [could be] characterize[d] as a ‘serial  
21 violation’”). However, the *Morgan* Court distinguished what is called “pattern-or-practice claims,” and  
22 reserved ruling on actionability in those cases. 536 U.S. at 123 n.9.<sup>10</sup>

23 \_\_\_\_\_  
24 omitted).

25 <sup>9</sup> Plaintiff does not argue that the filing of the complaint constituted substantial compliance  
26 with the Rehabilitation Act’s exhaustion requirement. Nor does she argue that waiver, estoppel, or  
equitable tolling applies to excuse her from compliance with the regulations.

27 <sup>10</sup> The Supreme Court also explicitly held that claims based on a hostile environment “will  
28 not be time barred so long as all acts which constitute the claim are part of the same unlawful  
employment practice and at least one act falls within the time period.” *Morgan*, 536 U.S. at 122.

1 Contrary to plaintiff’s suggestion, hers is not a pattern-or-practice claim. Both the Supreme  
2 Court and the Ninth Circuit have made clear that “‘pattern-or-practice’ claims cannot be based on  
3 ‘sporadic discriminatory acts’ but rather must be based on discriminatory conduct that is widespread  
4 throughout a company or that is a routine and regular part of the workplace.” *Cherosky*, 330 F.3d at  
5 1246–47 (citing *Int’l Bhd. of Teamsters v. United States*, 431 U.S. 324, 336 (1977)). Plaintiff neither  
6 alleges nor presents evidence of widespread or routine discrimination. *See id.* at 1247. Rather, she  
7 challenges “individualized decisions” that “are best characterized as discrete acts, rather than as a  
8 pattern or practice of discrimination.” *See id.*

9 Because of this, any attempt by plaintiff to challenge in her 2008 and 2009 EEO complaints  
10 actions by her superiors that occurred in 2006—such as assignment to the PCA—was tardy, as it did  
11 not occur within the time limits specified by the regulations. Claims based on these 2006 and earlier  
12 actions were not exhausted, and plaintiff may not rely on them as forming possible bases for liability  
13 in her attempt to defeat defendant’s motion for summary judgment on the Rehabilitation Act claim.

14  
15 **B. Otherwise qualified for employment**

16 Defendant argues that plaintiff may not base any of her Rehabilitation Act claims on actions  
17 taken *after* July 2006 either, because by that point plaintiff was completely disabled and therefore not  
18 otherwise qualified for employment—the second element of a Rehabilitation Act claim.

19 A fully disabled person is not otherwise qualified for employment, and therefore she may not  
20 bring a discrimination claim or a reasonable accommodation claim under the Rehabilitation Act. *Cf.*  
21 *Weyer v. Twentieth Century Fox Film Corp.*, 198 F.3d 1104, 1108 (9th Cir. 2000) (upholding summary  
22 judgment of ADA discrimination claim in favor of the defendant where plaintiff was totally disabled);  
23 *Kennedy v. Applause, Inc.*, 90 F.3d 1477, 1480–82 (9th Cir. 1996) (same, in reasonable accommodation  
24 case).

25 \_\_\_\_\_  
26 *Morgan* was a Title VII race discrimination case. Although plaintiff here brings a hostile work  
27 environment claim under Title VII regarding race, she does not attempt to bring one under the  
28 Rehabilitation Act, and the Court need not analyze whether such a claim would survive this motion.  
*See Mannie v. Potter*, 394 F.3d 977, 982 (7th Cir. 2005) (reserving the question of whether such a claim  
could be brought); *Jeseritz v. Potter*, 282 F.3d 542, 547 (8th Cir. 2002) (same); *Slater v. Barnhart*, No.  
C 00-2469 VRW, 2005 WL 2292376, \* 2 (N.D. Cal. July 29, 2005) (same).

1           The burden is on plaintiff, both at trial and on a motion for summary judgment, to establish that  
2 she is otherwise qualified for employment. While application for or receipt of Social Security Disability  
3 Insurance (SSDI) does not estop or even create a strong presumption against a person’s success under  
4 the Rehabilitation Act, a “plaintiff cannot simply ignore the apparent contradiction that arises out of [an]  
5 earlier SSDI total disability claim. Rather, she must proffer a sufficient explanation.” *See Cleveland*  
6 *v. Policy Mgmt. Sys. Corp.*, 526 U.S. 795, 806 (1999) (making this statement regarding an ADA  
7 plaintiff).

8           In her December 2009 SSDI application, plaintiff claimed that she had become disabled on July  
9 15, 2006. Vaughn Depo. Ex. 2. She testified at her deposition that she told the Social Security  
10 Administration that she was “totally disabled and unable to work.” *Id.* TR 19:17–19:20. Her  
11 psychiatrist, Dr. Karalis, informed Ms. Denton and Mr. Tran that plaintiff was “totally disabled” from  
12 November 20, 2008 until January 21, 2009; and again from August 12, 2010 through October 12, 2010.  
13 *Id.* Exs. 11–13.

14           Plaintiff does not explain the apparent contradiction between her SSDI application and the  
15 doctor’s notes on the one hand, and her Rehabilitation Act claim on the other. She just says that she had  
16 been able to perform her job adequately before July 2006, and that she did not return to work after  
17 receiving the Notice because it said that she would no longer be an employee of the postal service after  
18 30 days. She also presents the May 2011 note from Dr. Karalis stating that she can now return to work  
19 under certain conditions. *See* Psychiatrist’s letter. But nowhere in his note does Dr. Karalis disavow  
20 his previous assessments of plaintiff, and viewed in the light most favorable to plaintiff, this evidence  
21 merely indicates that plaintiff is *now* otherwise qualified for employment. Nor does plaintiff explain  
22 why, even if she believed that the Notice actually terminated her employment, she did not return to work  
23 after the Notice was officially rescinded. Plaintiff’s explanation and supplemental evidence is not  
24 sufficient to carry her burden to establish the second element of her Rehabilitation Act claim, and  
25 therefore defendant is entitled to summary judgment on this claim.

26  
27 **II. Racial Discrimination under Title VII of the Civil Rights Act of 1964**

28 Plaintiff’s second claim is for race discrimination under Title VII of the Civil Rights Act. She

1 bases the claim on both a disparate treatment theory and a hostile workplace theory.

2 In order to establish a prima facie case of disparate treatment, a plaintiff must show that “(1)  
3 [s]he is a member of a protected class; (2) [s]he was qualified for [her] position; (3) [s]he experienced  
4 an adverse employment action; and (4) similarly situated individuals outside [her] protected class were  
5 treated more favorably, or other circumstances surrounding the adverse employment action give rise to  
6 an inference of discrimination.” *Peterson v. Hewlett-Packard Co.*, 358 F.3d 599, 603 (9th Cir. 2004).  
7 Once a plaintiff establishes a prima facie case of discrimination, the employer must offer a legitimate,  
8 nondiscriminatory reason for the adverse employment decision. *See Reeves v. Sanderson Plumbing*  
9 *Prods., Inc.*, 530 U.S. 133, 142 (2000); *Collings v. Longview Fibre Co.*, 63 F.3d 828, 833–34 (9th Cir.  
10 1995); *Smith v. Barton*, 914 F.2d 1330, 1340 (9th Cir. 1990). If the employer meets this burden, the  
11 plaintiff may produce either direct evidence of discriminatory motive, which need not be substantial,  
12 or circumstantial evidence that is “‘specific’ and ‘substantial’” evidence of pretext. *See Godwin v. Hunt*  
13 *Wesson, Inc.*, 150 F.3d 1217, 1221–22 (9th Cir. 1998).

14 “To prevail on a hostile workplace claim . . . a plaintiff must show: (1) that he was subjected  
15 to verbal or physical conduct of a racial . . . nature; (2) that the conduct was unwelcome; and (3) that  
16 the conduct was sufficiently severe or pervasive to alter the conditions of the plaintiff’s employment and  
17 create an abusive work environment.” *Vasquez v. City of Los Angeles*, 349 F.3d 634, 642 (9th Cir.  
18 2003). To determine whether conduct was sufficiently severe or pervasive to warrant liability, courts  
19 look at “all the circumstances, including the frequency of the discriminatory conduct; its severity;  
20 whether it is physically threatening or humiliating, or a mere offensive utterance; and whether it  
21 unreasonably interferes with an employee’s work performance.” *Id.* (quoting *Clark Cnty. Sch. Dist. v.*  
22 *Breeden*, 532 U.S. 268, 270–71 (2001)). In addition, “the working environment must both subjectively  
23 and objectively be perceived as abusive.” *Id.*

24  
25 **A. Exhaustion**

26 As with the Rehabilitation Act claim, defendant argues that plaintiff failed to exhaust her race  
27 discrimination Title VII claim as it relates to actions taken during or before July 2006. With regard to  
28 her disparate treatment claim, the Court agrees, for the reasons stated in the section on the Rehabilitation

1 Act. The hostile work environment claim is slightly more complicated, but the Court agrees that  
2 plaintiff failed to exhaust this claim as it relates to actions taken during or before July 2006 as well.

3 The *Morgan* Court explicitly held that claims based on a hostile environment “will not be time  
4 barred so long as all acts which constitute the claim are part of the same unlawful employment practice  
5 and at least one act falls within the time period.” *Morgan*, 536 U.S. at 122. Plaintiff makes clear that  
6 her hostile work environment claim is based on “racial slurs and racially offensive statement[s] made  
7 by Tran on several occasions.” Pl. Oppo. at 16. Plaintiff testified at her deposition about a variety of  
8 such statements. *See, e.g.*, Vaughn Depo. at TR 103:5–108:24. However, all of the statements were  
9 made while she was still attending work—in July 2006 or earlier. She does not cite to a single act that  
10 would constitute a racially hostile work environment that occurred later than this. Therefore, she would  
11 have been required to exhaust her hostile work environment claims long before she filed her 2008 and  
12 2009 EEO complaints.

13 Plaintiff’s Title VII race discrimination claims based on actions taken in July 2006 or before  
14 were not exhausted, and plaintiff may not rely on these actions as forming possible bases for liability  
15 in her attempt to defeat defendant’s motion for summary judgment on the race discrimination claim.  
16

17 **B. Disparate treatment claim**

18 Plaintiff’s disparate treatment claim relates to assignments that she received while she was still  
19 attending work—during or before July 2006. *See* Pl. Oppo. at 5–6 (“Evidence of Discrimination Based  
20 on Race”); 16 (explaining that the adverse employment action was “assignments that violated  
21 [plaintiff’s] medical restrictions”). Her claim was not and no longer can be exhausted administratively,  
22 and defendant is entitled to summary judgment.  
23

24 **C. Hostile workplace claim**

25 Plaintiff’s hostile workplace claim is based on statements and conduct that took place in or  
26 before July 2006. Her claim was not and no longer can be exhausted administratively, and defendant  
27 is entitled to summary judgment.  
28

1 **III. Retaliation under Title VII of the Civil Rights Act of 1964**

2 Plaintiff's final claim is for retaliation in violation of the Title VII of the Civil Rights Act. In  
3 retaliation cases, the Court applies the familiar three-step burden-shifting analysis set forth in  
4 *McDonnell Douglas Corp. v. Green*, 411 U.S. 792 (1973). The first step requires the plaintiff to make  
5 out a prima facie case of retaliation by showing "(1) involvement in protected activity opposing an  
6 unlawful employment practice, (2) an adverse employment action, and (3) a causal link between the  
7 protected activity and the adverse action." *Freitag v. Ayers*, 468 F.3d 528, 541 (9th Cir. 2006). The  
8 burden then shifts to the employer to articulate a legitimate, non-retaliatory reason for the adverse  
9 action. *McDonnell Douglas*, 411 U.S. at 802. Finally, the burden shifts back to the plaintiff to show  
10 that the employer's proffered explanation is merely a pretext for a retaliatory motive. *Id.* at 804. "The  
11 causal link may be established by an inference derived from circumstantial evidence, such as the  
12 employer's knowledge that the employee engaged in protected activities and the proximity in time  
13 between the protected action and allegedly retaliatory employment decision." *Jordan v. Clark*, 847 F.2d  
14 1368, 1376 (9th Cir. 1988) (internal quotation marks omitted).

15  
16 **A. Exhaustion**

17 Again, defendant argues that plaintiff failed to exhaust her retaliation Title VII claim as it relates  
18 to actions taken during or before July 2006. The Court agrees. Plaintiff must prove her case by  
19 establishing an adverse employment action taken after July 2006.

20  
21 **B. Protected activity**

22 The parties agree that plaintiff's EEO complaints constituted protected activity within the  
23 meaning of Title VII.

24  
25 **C. Adverse employment action**

26 Plaintiff points to several adverse employment actions taken after July 2006: (1) "Ms. Vaughn  
27 was terminated by service of the Notice of Separation"; (2) "Ms. Vaughn's supervisors attempted to  
28 force her out by sending her warning letters informing her that, despite her doctor's notes, she did not

1 have medical permission to stay away from work”; and (3) “Ms Vaughn’s supervisor intentionally  
2 altered her retirement entitlement calculations by deliberately mis-coding her time.” Pl. Oppo. at 17.

3  
4 **1. Termination**

5 Plaintiff argues that she was actually terminated from her employment with the Postal Service,  
6 and that this constitutes an adverse employment action. Although termination is an adverse employment  
7 action, there is no evidence on the record that would support plaintiff’s theory. The Notice could be  
8 read to indicate that separation would occur automatically after 30 days if nothing else were to happen.  
9 But plaintiff filed a grievance challenging the Notice after only eleven days, and the Notice was  
10 rescinded during the grievance process. *See* Valdez Decl. ¶¶ 8, 10. Defendant has presented evidence,  
11 in the form of the declaration of a Labor Relations Specialist who has worked for the Postal Service for  
12 32 years, that the rescission of the Notice means that “she was never separated from the USPS” and “she  
13 still holds her bid assignment.” *Id.* ¶ 12. Plaintiff has presented no evidence to the contrary, arguing  
14 only that it was her subjective understanding that she had been terminated. There is no genuine issue  
15 of fact, however: objectively, plaintiff was not actually terminated from her employment.<sup>11</sup>

16  
17 **2. Warning letters**

18 The record contains evidence of one letter. *See* Pl. Oppo. Ex. S. The text of the letter does not  
19 accord with plaintiff’s summary that her supervisors “attempted to force her out by sending her warning  
20 letters informing her that, despite her doctor’s notes, she did not have medical permission to stay away  
21 from work.” There is no evidence on the record that plaintiff ever submitted documentation to the postal  
22 service prior to receiving the letter that included the required “statement of inability to perform full or  
23 light duty, and duration of absence from work with a return to duty date indicated.” *See* Pl. Oppo. Ex.  
24 S. Rather, she had presented a single hand-written claim to the OWCP claim examiner saying simply  
25 that she had “psychological issues from the cervical rediculopathy.” Vaugh Depo. Ex. 6.

26  
27 <sup>11</sup> Defendant has presented un rebutted evidence that separation (as opposed to removal) is  
28 not disciplinary, Pyle Decl. Ex. D (excerpts of the deposition of Adam Alvarez), and plaintiff does not  
argue that the Notice itself constitutes adverse employment action even if plaintiff was never actually  
separated and the Notice was rescinded.



1 A disciplinary letter, even if phrased as a warning, can constitute adverse employment action.  
2 See *Fonseca v. Sysco Food Servs. of Ariz., Inc.*, 374 F.3d 840, 847 (9th Cir. 2004) (comparing a warning  
3 letter to a negative review). However, the letter here was not a disciplinary letter of the sort discussed  
4 in *Fonseca*. Rather, it provided plaintiff the opportunity to “submit acceptable documentation” about  
5 her disability, and informed her that she could be disciplined if she did not do so. See Pl. Oppo. Ex. S.  
6 It was a notice. In contrast, the discussion in *Fonseca* was about a plaintiff who, during a grievance  
7 process, had a suspension was reduced to what the Ninth Circuit called a “warning letter.”  
8

9 **3. Deliberate mis-coding of time**

10 The Ninth Circuit has “recognized that an adverse employment action exists where an  
11 employer’s action negatively affects its employee’s compensation.” *Fonseca*, 374 F.3d at 847. Under  
12 this reasoning, intentional alteration of retirement eligibility by deliberate miscoding of time would  
13 constitute an adverse employment decision.  
14

15 **D. A legitimate, non-retaliatory reason**

16 Defendant argues that even if plaintiff established a prima facie case of retaliation, defendant  
17 has successfully rebutted it by articulating legitimate, non-retaliatory reasons for coding plaintiff as  
18 LWOP. Defendant argues that Mr. Tran had no choice but to code plaintiff’s absences as LWOP before  
19 her final worker’s compensation claim was accepted, as she had used up her annual leave and sick leave.  
20 See Tran Depo. at 70:28–70:24. Plaintiff does not present any evidence to the contrary. Defendant has  
21 successfully rebutted plaintiff’s prima facie retaliation case with regard to the alleged deliberate  
22 miscoding, and he is entitled to summary judgment on the retaliation claim as well.  
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**CONCLUSION**

For the foregoing reasons and for good cause shown, the Court hereby GRANTS defendant's motion for summary judgment. (Doc. 53.)

**IT IS SO ORDERED.**

Dated: June 7, 2011

  
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SUSAN ILLSTON  
United States District Judge