1	Muriel B. Kaplan, Esq. (SBN 124607) Michele R. Stafford, Esq. (SBN 172509) SALTZMAN & JOHNSON LAW CORPORATION			
2				
3	44 Montgomery Street, Suite 2110 San Francisco, CA 94104			
4	(415) 882-7900 (415) 882-9287 – Facsimile <u>mkaplan@sjlawcorp.com</u> <u>mstafford@sjlawcorp.com</u>			
5				
6	Attorneys for Plaintiffs			
7				
8	UNITED STATES DISTRICT COURT			
9	FOR THE NORTHERN DI	STRICT OF CALIFORNIA		
10	BAY AREA PAINTERS AND TAPERS PENSION TRUST FUND, et al.,	Case No.: C10-1305 MMC ORDER RE:		
11	Plaintiffs,	VOLUNTARY DISMISSAL WITHOUT PREJUDICE		
12	V.			
13	ADVANCED INTERIOR SYSTEMS, INC., a California Corporation; and ROBERT LEE			
14	BROWN, an Individual,			
15	Defendants.			
16		-		
17	PLEASE TAKE NOTICE that pursuant to F.R.C.P. Rule 41(a)(1)(ii), Plaintiffs BAY			
18	AREA PAINTERS AND TAPERS PENSION TRUST FUND, et al., and Defendants			
19	ADVANCED INTERIOR SYSTEMS, INC., and ROBERT LEE BROWN, stipulate to the			
20	voluntarily dismissal, without prejudice, of Defendants ADVANCED INTERIOR SYSTEMS,			
21	INC., and ROBERT LEE BROWN, in this action	l.		
22	1. The parties to this action have con	me to an agreement as to settlement of Plaintiffs'		
23	claim on contributions and other amounts found due by Defendants to Plaintiff Trust Funds, and			
24	have executed the attached Stipulation for Entry of	of Judgment ("Stipulation").		
25	2. Pursuant to the terms of the Stip	ulation, the Court shall retain jurisdiction of this		
26	matter, and should Defendants default on their obligations under the Stipulation and fail to timely			
27	cure such default as provided in the Stipulation	, Judgment may be immediately entered against		
28		-1- VOLUNTARY DISMISSAL WITHOUT PREJUDICE Case No.: C10-1305 MMC		

1	Defendants and in favor of Plaintiffs upon the Declaration by a duly authorized representative of				
2	Plaintiffs.				
3	3. Plaintiffs have not previously filed or dismissed any similar action against				
4	Defendants.				
5	Respectfully submitted,				
6	Date: March 28, 2011 SALTZMAN & JOHNSON				
7	LAW CORPORATION				
8					
9	By: /s/ Muriel B. Kaplan				
10	Attorneys for Plaintiffs				
11	ORDER				
12					
13	prejudice, and all dates in this matter are hereby vacated. The court shall retain jurisdiction of the				
14	action.				
15	IT IS SO ORDERED.				
16	Dated: March 30, 2011 March 30, 2011 THE HONORABLE MAXINE M. CHSLEY				
17	THE HONORABLE MAXINE M. CHSSI EY UNITED STATES DISTRICT COURT JUDGE				
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	VOLUNTARY DISMISSAL WITHOUT PREJUDICE Case No.: C10-1305 MMC				
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## EXHIBIT A

VOLUNTARY DISMISSAL WITHOUT PREJUDICE Bay Area Painters and Tapers Trust Fund v. Advanced Interior Systems, Inc. USDC, Case No.: C10-1305 MMC

1	Muriel B. Kaplan, Esq. (SBN 124607)			
Â	Michele R. Stafford, Esq. (SBN 172509)			
2	SALTZMAN & JOHNSON LAW CORPORATION 44 Montgomery Street, Suite 2110			
3	San Francisco, CA 94104 (415) 882-7900			
4	(415) 882-9287 – Facsimile			
5	mkaplan@sjlawcorp.com mstafford@sjlawcorp.com			
6	Attorneys' for Plaintiffs			
7				
8				
9				
10	UNITED STATES DISTRICT COURT			
11	FOR THE NORTHERN DISTRICT OF CALIFORNIA			
	BAY AREA PAINTERS AND TAPERS Case No.: C10-1305 MMC			
12	PENSION TRUST FUND, et al., STIPULATION FOR ENTRY OF			
13	Plaintiffs, JUDGMENT			
14	v. v.			
15	ADVANCED INTERIOR SYSTEMS, INC., a			
16	California Corporation; and ROBERT LEE BROWN, an Individual,			
17	Defendants.			
18				
19	IT IS HEREBY STIPULATED by and between the parties hereto that only upon a default			
20	of this Stipulation, Judgment may be entered in the within Action in favor of the Plaintiffs BAY			
21	AREA PAINTERS AND TAPERS PENSION TRUST FUND, et al. ("Plaintiffs" or "Trust			
22	Funds"), and against Defendants ADVANCED INTERIOR SYSTEMS, INC. ("AIC"), a			
23	California Corporation; and ROBERT LEE BROWN ("Brown"), an Individual, and/or alter egos			
24	and/or successor entities ("Defendants"), as follows:			
25	1. Defendants signed and entered into a valid Collective Bargaining Agreement with			
26	the District Council 16 of the International Union of Painters and Allied Trades (hereinafter			
27	"Bargaining Agreement"). This Bargaining Agreement has continued in full force and effect to			
28	the present time.			

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2. Plaintiffs have filed a lawsuit (United States District Court for the Northern District 1 of California Case No. C10-1305 MMC) ("the Action") against Defendants alleging that 2 ADVANCED INTERIOR SYSTEMS, INC., ("AIS") breached certain provisions of a bargaining 3 4 agreement between AIS and the UNION Plaintiff by failing to pay Plaintiffs contributions for 5 union employees' travel, and that ROBERT LEE BROWN personally guaranteed those unpaid contributions, and is thus personally liable for them. Additionally, Plaintiffs allege in the lawsuit 6 7 that Defendants are liable for interest, liquidated damages, and litigation costs including attorneys' 8 fees.

9 3. Mindful of the uncertainties and expense of continued litigation, the Parties have
10 reached an agreement to compromise and settle certain claims and disputes among and between
11 these Parties, on the terms set forth in this Stipulation.

NOW, THEREFORE, in consideration of the mutual and dependent covenants set forth
herein, and other good and valuable consideration, and subject to the conditions hereinafter set
forth, the Parties hereby stipulate and agree, as follows:

15 4. AIS shall pay to Plaintiffs \$50,000.00, plus 5% per annum interest on the unpaid principal balance claimed due by Plaintiffs for the period January 1, 2006 through June 30, 2009. 16 Payments shall be made over a period of 24 months by monthly installments of \$2,194, to be paid 17 on or before the 15<sup>th</sup> day of each month, commencing on the 15<sup>th</sup> day of the month during which 18 this Stipulation is fully executed. Payments shall be applied first to unpaid interest and then to 19 unpaid principal. AIS may prepay all or part of the outstanding balance without penalty. Any 2021 remaining amounts due under the terms of this Stipulation shall be paid with or before the final 22 installment payment.

5. ROBERT LEE BROWN will personally guarantee payment to the extent of his
guaranty obligation under the Agreement of Employers Regarding Bay Area Painter and Tapers
Trust Funds document alleged by Plaintiffs in their lawsuit, attached hereto as *Exhibit A* and
incorporated herein by reference.

27 6. Checks shall be made payable to the District Council 16 Health & Welfare Trust
28 Fund and shall be delivered to Muriel B. Kaplan at Saltzman & Johnson Law Corporation, 44

Montgomery Street, Suite 2110, San Francisco, California, 94104, or to such other address as may 1 be designated by Plaintiffs 2

If payment is not made timely, AIS shall be considered to be in default of this 7. 3 Stipulation. If this occurs, Plaintiffs shall mail and email a written notice of default to Defendants' 4 attorney C. Patrick Stoll, Law Office of C. Patrick Stoll, 2201 Francisco Dr., Suite 140-262, El 5 Dorado Hills, CA 95762 (email: cpstoll@sbcglobal.net) to cure the default within seven (7) days 6 of the date of the notice. If the 7<sup>th</sup> day falls on a weekend or Federal holiday, then Defendants 7 may cure the default by the first business day thereafter. If the default is caused by a failed check, 8 default shall only be cured by payment by cashier's check, and all future payments shall be made 9 by cashier's check. In the event the noticed default is not timely cured, all amounts remaining due 10 hereunder shall be due and payable on demand by Plaintiffs, and judgment may be entered upon 11 12 the Declaration as to the default by a duly authorized representative of Plaintiffs.

13

8. The Parties, for and in consideration of the payments called for herein, together with dismissals as required by this agreement, fully and finally settle, release acquit, forever 14 15 discharge and covenant not to sue each other for all claims alleged and related to the Action ("the Released Claims"). Except as otherwise stated herein, such release and discharge includes any and 16 17 all Actions, claims, demands, causes of Action, suits, obligations, damages, losses, judgments and liabilities of any nature or kind whatsoever related to this Stipulation, whether, asserted or 18 19 unasserted, known or unknown, , statutory or civil, and attorneys" fees, costs, losses or expenses of any kind or nature, which in any way arise out of or relate to the Released Claims, for  $\mathbf{20}$ 21 themselves and each of their respective officers, directors, owners, partners, heirs, spouses, 22 shareholders, trustors, trustees, buyers, sellers, transferees, executors, administrators, agents, 23 successors, and assigns, to the full extent of their legal standing and authority. This release is 24 unrelated to and shall not apply to any future claim for withdrawal liability.

25 9. Upon execution of this Stipulation, Plaintiffs shall cause to be filed a dismissal of this action without prejudice, but subject to entry of judgment as provided herein. Upon receipt of 26 all payments required under this Stipulation, Plaintiffs shall cause to be filed a dismissal with 27 prejudice of the Action. 28

PACT IENTS(PATC) ns'Pleadings\Complaint to Compel Audit\C10-1305 MMC Revised St 1 10. The Parties shall pay their own attorneys' fees and costs in connection with this
 2 Action, except as to any included in the stipulated amount to be paid therein. However, in the
 3 event of an alleged breach of this Stipulation, the Parties agree that the prevailing party is entitled
 4 to recovery of costs, including attorneys' fees and expert witness fees, to enforce this agreement.
 5 It is the intent of the Parties that the prevailing party be made whole.

6 11. Plaintiffs represent and warrant that as of the date of execution of this Stipulation,
7 no portion of any of the Released Claims, nor any portion of any recovery or settlement to which
8 they might be entitled, has been assigned or transferred to any other person or entity by operation
9 of law or otherwise. In the event any party breaches this warranty and representation, that party
10 shall indemnify and hold all other Parties harmless from any claims, suits, liabilities, losses or
11 damages resulting from such breach.

12 12. Plaintiffs represent and warrant that they have not filed, instituted, or joined any 13 claim in any state or federal court, administrative agency, or any other forum arising out of, or 14 relating in any way to any claim that is the subject matter of this Stipulation which may exist 15 against Defendants except for the Action to which this Agreement relates, as identified in 16 paragraph A herein above.

17 13. Any failure on the part of the Plaintiffs to take any Action against Defendants as
18 provided herein in the event of any breach of this Stipulation shall not be deemed a waiver of any
19 subsequent breach by the Defendants of any provisions herein.

14. This Stipulation is the product of negotiation and compromise, and the language of
this Stipulation has been approved by all Parties. Each party warrants and represents to the others
that it has reviewed this document with, and obtained the advice of, independent counsel prior to
execution of this Stipulation.

24 15. This Stipulation represents the settlement of disputed and contested claims and
25 nothing contained herein shall be construed as an admission by any party of any liability to any
26 other party or third person, except as created by this Stipulation.

27 16. Except as expressly set forth herein, this Stipulation constitutes the entire
28 agreement between the Parties with respect to the subject matter hereof and supersedes any and all

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written or oral prior agreements between the Parties with regard thereto. This Stipulation may not
 be modified or amended except by a written instrument, signed by each of the Parties hereto. No
 representations, warranties or promises as to settlement of this Action have been made or relied
 upon by any signatory hereto other than as set forth herein. No representation or promise
 pertaining to this Stipulation or the subject matter thereof shall be binding upon any of the Parties.
 except as expressly stated in this Stipulation.

7 17. This Stipulation was drafted by counsel for the Parties and there shall not be a
8 presumption or construction against any party thereto based on the identity of the person or person
9 drafting any provision or any part of any provision of this Stipulation. The Parties waive the
10 provisions of California Civil Code Sec.1654.

11 18. If any provision or any part of any provision of this Stipulation is for any reason
12 held to be invalid, unenforceable, or contrary to any public policy, law, statute or ordinance, then
13 the remainder of this Stipulation shall not be affected thereby and shall remain valid and fully
14 enforceable.

15 19. Section, titles, and captions contained in this Stipulation are inserted only as a
16 matter of convenience and for reference and shall in no way be construed to define, limit, or
17 extend the scope of this Stipulation or the intent of any of its provisions.

18

20. This Stipulation may be executed by facsimile and in counterparts.

19 Notwithstanding any of the foregoing terms, failure to remain current in contributions 21. to Plaintiffs under the terms of the current or future Collective Bargaining Agreements, if any, and 20 the Declarations of Trust as amended, shall constitute a default of the obligations under this 21 Stipulation, and the provisions of  $\P7$  above shall apply. Beginning with contributions due for 22 23 hours worked by Defendants' employees during the month of February, 2011, Defendants' reports 24 and contribution payments shall be received by the Plaintiffs no later than the last business day of the month following the hours worked by Defendants' employees, so that no further delinquency 25 26 is incurred by Defendants during the period stated herein for satisfaction of the obligations which 27 are the subject of this Stipulation.

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111 1 111 2 Ħ 3 Ш đ The Parties agree that the United States District Court for the Northern District of 22. 5 California retains jurisdiction to construe and enforce this Stipulation, and to resolve disputes that б arise under it. In the event of any default in the terms or conditions of this Stipulation, any Party 7 may move the court for entry of judgment pursuant to the terms of this Stipulation. 8 ADVANCED INTERIOR SYSTEMS, INC. 9 Dated: March , 2011 10 duster h By: Robert Lee Brown, CEO/President 11 **ROBERT LEE BROWN** Dated: March \_\_\_\_, 2011 12 13 J Lar Robert Lee Brown, Individually 14 Dated: March 27, 2011 SALTZMAN AND JOHNSON LAW 15 CORPORATION 16 17 By: Muriel B. Kaplan Attorneys' for Plaintiffs 18 Bay Area Painters & Tapers Trust Funds 19 APPROVED AS TO FORM: SALTZMAN AND JOHNSON LAW 20 Dated: March 2011 CORPORATION 21 8y: 22 Muriel B. Kaplan **Z**3 Attorneys' for Plaintiffs Bay Area Painters & Tapers Trust Funds 24 Dated: March 49, 2011 LAW OFFICE OF C. PATRICK STOLL 25 26 27 By: Carl Patrick Stoll Attorneys' for Defendants 28 nul Signifian Ar Eniz of Judgment ID (713, DOC ATELA

## AGREEMENT OF EMPLOYERS REGARDING \_ AY AREA PAINTERS AND TAPEL\_ TRUST FUNDS

The undersigned employer agrees to pay the monthly contributions for each employee required by the collective bargaining agreement affecting painters then in effect to the Bay Area Painters Pension Trust Fund, the Bay Area Painters Weifare Fund, Beneficial Fund, Supplemental Holiday Fund and Joint Apprenticeship Funds, and to be bound in zil respects by the collective bargaining agreement, and the Trust Agreements.

Agreement, and the Trust Agreements. From the day as employee earns his right to contributions to these brust funds, to the day that said contributions are actually received by the designated depositary the employer agrees to hold employer further agrees that naid sums are retained by the employees earning said contributions. The ministrative convenience and for the purpose of securing, a faithful performance by the employees. The individual whose signature appears below or behalf of the employer, agrees to be personally and individually Hable for said contributions.

- PLEASE CHECK		Name of
PDCA		Employer ANANCED THITEMER SUSTEMS FAC
NMS Painting	ā	(Please Print Cleanty)
DRYWALL		Name of Network Alexand
		an behalf of employer
NMS - Drywall	0	
Maintenauce Employer	0	ingramite entitle the stores
General Contractor		Address 2354 Barret un
Specialty Contractor	ū,	Studde Spele Cat 956821
LP.C.A.	a Ì	Telephone SID 676-4305 Date Signed 8/22/02 Contractor's License No. 74/849
	-	Contractor's License No. 241849

## STIPULATION FOR ENTRY OF JUDGMENT

Bay Area Painters and Tapers Pension Trust Fund v. Advanced Interior Systems US District Court, Northern District of California Case No: C10-1305 MMC

35

Exhibit A