

United States District Court  
For the Northern District of California

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IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

EDGAR W. TUTTLE, ERIC BRAUN, THE  
BRAUN FAMILY TRUST, and WENDY  
MEG SIEGEL, on behalf of themselves  
and all others similarly situated,

No. C 10-03588 WHA

Plaintiffs,

**NOTICE**

v.

SKY BELL ASSET MANAGEMENT, LLC,  
*et al.*,

Defendants.

Be prepared to answer these questions based on the existing record. Do *not* try to supplement:

- Was Eric Braun a qualified investor?
- If not, did he ever represent otherwise?
- What does the caselaw hold as to whether it even matters?
- Many people hold investments in an IRA account. What difference should it make that Mr. Braun held this investment via an IRA account?

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- Claims 3–5 are not asserted against Rothstein Kass by the Night Watch “class.”  
How can these claims now be included as class claims against Rothstein Kass?

**IT IS SO ORDERED.**

Dated: October 17, 2011.



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WILLIAM ALSUP  
UNITED STATES DISTRICT JUDGE