

1
2
3
4
5
6 IN THE UNITED STATES DISTRICT COURT
7
8 FOR THE NORTHERN DISTRICT OF CALIFORNIA
9

10 No. C 11-03110 WHA

11 FRANK ALLEN,

12 Plaintiff,

13 v.

14 RADIOSHACK CORPORATION,

15 Defendant.
16 _____/

17 **COURT'S PROPOSED CHARGE TO THE JURY**
18 **AND SPECIAL VERDICT FORM**

19 Appended hereto are copies of the draft charge to the jury and special verdict form given
20 to both sides on **FEBRUARY 26, 2013**, for discussion with the Court at the charging conference
21 on **FEBRUARY 27, 2013** at **7:30 A.M.** The proposed charge is based on the way the trial has
22 actually developed, taking into account issues that have emerged and receded and concessions
23 by counsel. Subject to the upcoming charging conference, the Court believes the proposed
24 charge adequately and fairly covers all issues actually still in play. Therefore, in order to give
25 the district judge a fair opportunity to correct any error as matters now stand, counsel must, at
26 the charging conference, bring to the judge's attention any addition, subtraction or modification
27 or other objections or proposal for the jury instructions. Otherwise, all such points shall be
28 deemed waived and it will not be sufficient merely to argue after the verdict that a proposed
instruction filed earlier in the proceedings somehow fell by the wayside. Rather, any such
proposal that counsel still cares about must be raised anew at the charging conference. The

United States District Court

For the Northern District of California

charging conference shall be conducted so as to give full and fair opportunity for counsel to raise any and all objections and proposals.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

FRANK ALLEN,

Plaintiff,

No. C 11-03110 WHA

v.

RADIOSHACK CORPORATION,

Defendant.

[DRAFT]
FINAL CHARGE TO THE JURY
AND SPECIAL VERDICT FORM

1.

Members of the jury, it is now my duty to instruct you on the law that applies to this case. A copy of these instructions will be available in the jury room for you to consult as necessary.

It is your duty to find the facts from all the evidence and to decide whether the side with the burden of proof has carried that burden, applying the elements of proof required by the law, elements I will provide you in a moment. In following my instructions, you must follow all of them and not single out some and ignore others. You must not read into these instructions or into anything the Court may have said or done as suggesting what verdict you should return — that is a matter entirely up to you.

2.

The evidence from which you are to decide what the facts are consists of:

1. The sworn testimony of witnesses, whether presented in person or by depositions;
2. The exhibits received into evidence; and
3. Any stipulated facts.

3.

Certain things, however, are not evidence, and you may not consider them in deciding what the facts are. I will list them for you:

1. Arguments, statements and objections by lawyers are not evidence. The lawyers are not witnesses. What they have said in their opening statements, closing arguments and at other times is intended to help you interpret the evidence, but it is not evidence itself. If the facts as you remember them differ from the way the lawyers have stated them, your memory of them controls.
2. A suggestion in a question by counsel or the Court is not evidence unless it is adopted by the answer. A question by itself is not evidence. Consider it only to the extent it is adopted by the answer.
3. Testimony or exhibits that have been excluded or stricken, or that you have been instructed to disregard, are not evidence and must not be considered. In

1 addition, some testimony and exhibits have been received only for a limited purpose;
2 where I have given a limiting instruction, you must follow it.

3 4. Anything you may have seen or heard when the Court was not in session
4 is not evidence.

5 4.

6 Evidence may be direct or circumstantial. Direct evidence is direct proof of a fact, such
7 as testimony by a witness about what that witness personally saw or heard, or did.
8 Circumstantial evidence is proof of one or more facts from which you could find another fact.
9 By way of example, if you wake up in the morning and see that the sidewalk is wet, you may
10 find from that fact that it rained during the night. However, other evidence, such as a turned-on
11 garden hose, may explain the presence of water on the sidewalk. Therefore, before you decide
12 that a fact has been proved by circumstantial evidence, you must consider all the evidence in the
13 light of reason, experience and common sense. You should consider both kinds of evidence.
14 The law makes no distinction between the weight to be given to either direct or circumstantial
15 evidence. It is for you to decide how much weight to give to any evidence.

16 5.

17 In deciding the facts in this case, you may have to decide which testimony to believe and
18 which testimony not to believe. You may believe everything a witness says, or part of it or
19 none of it. In considering the testimony of any witness, you may take into account:

- 20
- 21 1. The opportunity and ability of the witness to see or hear or know
22 the things testified to;
- 23 2. The quality of the witness' memory;
- 24 3. The witness' manner while testifying;
- 25 4. The witness' interest in the outcome of the case and any bias or
26 prejudice;
- 27 5. Whether other evidence contradicted the witness' testimony;
- 28

7. Any other factors that bear on believability.

You are not required to decide any issue according to the testimony of a number of witnesses, which does not convince you, as against the testimony of a smaller number or other evidence, which is more convincing to you. The testimony of one witness worthy of belief is sufficient to prove any fact. This does not mean that you are free to disregard the testimony of any witness merely from caprice or prejudice, or from a desire to favor either side. It does mean that you must not decide anything by simply counting the number of witnesses who have testified on the opposing sides. The test is not the number of witnesses but the convincing force of the evidence. You should base your decision on all of the evidence regardless of which party presented it.

You have heard testimony from witnesses referred to as “expert witnesses.” These are persons who, because of education or experience, are permitted to state opinions and the reasons for their opinions. Opinion testimony should be judged just like any other testimony. You may accept it or reject it, and give it as much weight as you think it deserves, considering the witness’ education and experience, the reasons given for the opinion, and all the other evidence in the case. If an expert witness was not present at the events in question, his or her opinion is necessarily based on an assumed set of circumstances. In evaluating the opinion during the trial, you should take into account the extent to which you do agree or do not agree with the circumstances assumed by the expert witness.

A witness may be discredited or impeached by contradictory evidence or by evidence that, at some other time, the witness has said or done something or has failed to say or do something that is inconsistent with the witness' present testimony. If you believe any witness

1 has been impeached and thus discredited, you may give the testimony of that witness such
2 credibility, if any, you think it deserves.

3 9.

4 Discrepancies in a witness' testimony or between a witness' testimony and that of other
5 witnesses do not necessarily mean that such witness should be discredited. Inability to recall
6 and innocent misrecollection are common. Two persons witnessing an incident or a transaction
7 sometimes will see or hear it differently. Whether a discrepancy pertains to an important matter
8 or only to something trivial should be considered by you.

9 However, a witness willfully false in one part of his or her testimony is to be distrusted
10 in others. You may reject the entire testimony of a witness who willfully has testified falsely on
11 a material point, unless, from all the evidence, you believe that the probability of truth favors
12 his or her testimony in other particulars.

13 10.

14 In determining what inferences to draw from evidence you may consider, among other
15 things, a party's failure to explain or deny such evidence.

16 11.

17 All parties are equal before the law and a corporation is entitled to the same fair and
18 conscientious consideration by you as any party.

19 Under the law, a corporation is considered to be a person. It can only act through its
20 employees, agents, directors, or officers. Therefore, a corporation is responsible for the acts of
21 its employees, agents, directors, or officers performed within the scope of authority.

22 An agent is a person who performs services for the corporation under an express or
23 implied agreement and who is subject to its control or right to control the manner and means of
24 performing the services. One may be an agent without receiving compensation for services.

25 12.

26 Now I will address the burden of proof. In this case, the preponderance of the evidence
27 standard applies on all sides, so whoever has the burden of proof on an issue must carry that
28 issue by a preponderance of the evidence. When a party has the burden of proof on any claim

1 by a preponderance of the evidence, it means you must be persuaded by the evidence that the
2 claim is more probably true than not true. To put it differently, if you were to put the evidence
3 favoring a plaintiff and the evidence favoring a defendant on opposite sides of a scale, the party
4 with the burden of proof on the issue would have to make the scale tip somewhat toward its
5 side. If the party fails to meet this burden, then the party with the burden of proof loses on the
6 issue. Preponderance of the evidence basically means “more likely than not.”

7 When a party has the burden of proving any claim by clear and convincing evidence, it
8 means you must be persuaded by the evidence that the claim is highly probable. This is a
9 higher standard of proof than proof by a preponderance of the evidence.

10 13.

11 On any claim, if you find that plaintiff carried his burden of proof as to each element of
12 a particular claim, your verdict should be for plaintiff on that claim. If you find that plaintiff
13 did not carry his burden of proof as to each element, you must find against plaintiff on that
14 claim. This same principle also supplies to defendant on claims for which it has the burden of
15 proof.

16 14.

17 I will now turn to the law that applies to this case. *First*, I will give you a brief summary
18 of the claims and defenses at issue in this case. The plaintiff, Frank Allen, was a store manager
19 at defendant RadioShack. He claims that defendant discriminated against him on the basis of
20 his race and/or age. He also claims that he was retaliated against for complaining about
21 discrimination and/or for refusing to follow orders to terminate other African American and
22 Hispanic employees. Lastly, plaintiff claims that he was harmed by defendant’s outrageous
23 conduct that was intended to cause plaintiff emotional distress. RadioShack claims that it
24 discharged plaintiff for legitimate, non-discriminatory reasons.

25 15.

26 The issue in this case is not whether plaintiff was treated fairly or whether you agree
27 with the management style of defendant RadioShack. Rather, the issue in this case concerns
28

1 plaintiff's specific claims of discrimination, retaliation, wrongful termination, and intentional
2 infliction of emotional distress.

3 16.

4 An employment relationship may be ended by either the employer or the employee, at
5 any time, for any lawful reason, or for no reason at all. This is called "at-will employment." So
6 long as an employer is not motivated by race, age, or retaliation for complaining about race or
7 age discrimination, an employer may terminate an at-will employee for any business reason it
8 wishes. Under the law, you may not second guess an employer's good-faith business reason for
9 a discharge unless plaintiff proves that the discharge was substantially motivated by the race or
10 age of the employee or to retaliate against the employee for complaining to management about
11 age or race discrimination against him or others.

12 17.

13 To prevail on his claim for discrimination on the basis of race, plaintiff has the burden of
14 proving each of the following elements by a preponderance of the evidence:

- 15 1. That defendant RadioShack was an employer;
- 16 2. That plaintiff was an employee of defendant;
- 17 3. That defendant discharged plaintiff;
- 18 4. That plaintiff's race was a substantial motivating factor for the discharge;
- 19 5. That plaintiff was harmed; *and*
- 20 6. That the discharge was a substantial factor in causing plaintiff's harm.

21 18.

22 To prevail on his claim for discrimination on the basis of age, plaintiff has the burden of
23 proving each of the following elements by a preponderance of the evidence:

- 24 1. That defendant RadioShack was an employer;
- 25 2. That plaintiff was an employee of defendant;
- 26 3. That defendant discharged plaintiff;
- 27 4. That plaintiff was age 40 or older at the time of the discharge;
- 28 5. That plaintiff's age was a substantial motivating factor for the discharge;

1

2

3

4

6

0

1

3

4

6

7

9

20

21

24

25

26

1 the claims in suit, which claims concern a discharge substantially motivated by discrimination
2 on the basis of age or race or retaliation. Nor is there any claim that the discharge was unfair.
3 Regardless of whether or not the discharge was unfair, the claims in suit require that plaintiff
4 prove, among other things, that the termination was substantially motivated by age, race or
5 retaliation.

6 22.

7 Plaintiff must prove by a preponderance of the evidence that the true cause or a
8 substantial motivating factor for RadioShack's discharge of plaintiff was intentional
9 discrimination based on plaintiff's age and/or race or retaliation against plaintiff for engaging in
10 a protected activity. Although you cannot find defendant liable for discrimination or retaliation
11 based solely on a belief that the reasons defendant gave for discharging plaintiff were false or
12 contrived, you may consider whether that circumstance suggests that defendant's proffered
13 reasons for discharging plaintiff were a pretext for discrimination or retaliation.

14 23.

15 To prevail on his claim for intentional infliction of emotional distress, plaintiff has the
16 burden of proving each of the following elements by a preponderance of the evidence:

- 17 1. That defendant's conduct was outrageous;
- 18 2. That defendant intended to cause plaintiff emotional distress;
- 19 3. That plaintiff suffered severe emotional distress; *and*
- 20 4. That defendant's conduct was a substantial factor in causing plaintiff's severe
21 emotional distress.

22 24.

23 "Outrageous conduct" is conduct so extreme that it goes beyond all possible bounds of
24 decency. Conduct is outrageous if a reasonable person would regard the conduct as intolerable
25 in a civilized community. Outrageous conduct does not include trivialities such as indignities,
26 annoyances, hurt feelings, or bad manners that a reasonable person is expected to endure. In
27 deciding whether defendant's conduct was outrageous, you may consider, among other factors,
28 the following:

3. Whether defendant knew that its conduct would likely result in harm due to mental distress.

Emotional distress includes suffering, anguish, fright, horror, nervousness, grief, anxiety, worry, shock, humiliation, and shame. “Severe emotional distress” is not mild or brief; it must be so substantial or long lasting that no reasonable person in a civilized society should be expected to bear it. Plaintiff is not required to prove physical injury to recover damages for severe emotional distress.

If you find for plaintiff on any of the foregoing claims for discrimination on the basis of race and/or age, retaliation, or wrongful termination in violation of public policy, you will need to decide the following. The following defense does not apply to plaintiff's claim for intentional infliction of emotional distress.

Defendant claims that it would have discharged plaintiff anyway if it had known that he had engaged in misconduct that, in and of itself, would have been a sufficient basis for discharge. To prevail on this defense, RadioShack must prove each of the following elements by a preponderance of the evidence:

3. That defendant would have discharged plaintiff for his alleged misconduct

1 as a matter of settled company policy.

2 27.

3 If you find for the plaintiff on any of his claims then you must address the question of
4 damages. It is the duty of the Court to instruct you about the measure of compensatory
5 damages. By instructing you on damages, the Court does not mean to suggest for which party
6 your verdict should be rendered. There are different measures of damages for the different
7 claims. The party seeking damages has the burden of proving damages by a preponderance of
8 the evidence.

9 28.

10 You are not permitted to include speculative damages, which means compensation for
11 future loss or harm which, although possible, is conjectural or not reasonably certain. Your
12 award must be based upon evidence and not upon speculation, guesswork or conjecture.
13 However, if you determine that a party is entitled to recover, you should compensate a party for
14 the loss or harm caused by the injury in question which the evidence shows is reasonably
15 certain to be suffered in the future.

16 29.

17 Any award for future economic damages must be for the present cash value of those
18 damages. Present cash value means the sum of money needed now, which, when invested at a
19 reasonable rate of return, will pay future damages at the times and in the amounts that you find
20 the damages would have been received.

21 The rate of return to be applied in determining present cash value should be the interest
22 that can reasonably be expected from safe investments that can be made by a person of ordinary
23 prudence, who has ordinary financial experience and skill.

30.

You will be asked to decide whether defendant is liable to plaintiff under the legal theories described above, namely:

1. Discrimination on the basis of race;
2. Discrimination on the basis of age;
3. Retaliation;
4. Wrongful termination in violation of public policy; and
5. Intentional infliction of emotional distress.

The following items of damages are recoverable only once under all of the above legal theories:

1. Past lost wages and benefits;
2. Future lost wages and benefits;
3. Past emotional distress; and
4. Future emotional distress.

To recover damages for past lost earnings, plaintiff must prove the amount of wages and benefits that he has lost to date.

To recover damages for future lost earnings, plaintiff must prove the amount of wages and benefits he will be reasonably certain to lose in the future as a result of the injury.

As to damages for emotional distress, no fixed standard exists for deciding the amount of these damages. You must use your judgment to decide a reasonable amount based on the evidence and your common sense.

To recover for future emotional distress, plaintiff must prove that he is reasonably certain to suffer that harm. For future emotional distress, you must determine the amount in current dollars paid at the time of judgment that will compensate plaintiff for future emotional distress. This amount of damages should not be further reduced to present cash value because that reduction should only be performed with respect to damages for past or future lost wages and benefits.

31.

1 Plaintiff also seeks an award of punitive damages in this case against defendant. To
2 recover punitive damages, plaintiff has the burden of proving by clear and convincing evidence
3 that defendant was guilty of oppression, fraud or malice in engaging in the conduct on which he
4 bases his claim that defendant violated his rights. If you find that plaintiff suffered actual
5 injury, harm or damage caused by any illegal conduct of defendant, you must decide in addition
6 whether to award punitive damages for the sake of example and by way of punishment.

7 To recover punitive damages, plaintiff has the burden of proving by clear and
8 convincing evidence that defendant was guilty of oppression, fraud or malice in engaging in the
9 conduct on which he bases his claim that defendant violated his rights.

10 “Clear and convincing” evidence means evidence of such convincing force that it
11 demonstrates, in contrast to the opposing evidence, a high probability of the truth of the facts
12 for which it is offered as proof. Such evidence requires a higher standard of proof than proof by
13 a preponderance of the evidence.

14 “Malice” means conduct which was intended to cause injury to plaintiff or despicable
15 conduct which was carried on with a willful and conscious disregard for the rights or safety of
16 others.

17 “Oppression” means despicable conduct that subjects a person to cruel and unjust
18 hardship in conscious disregard of that person’s rights.

19 “Despicable conduct” is conduct which is so vile, base, contemptible, miserable,
20 wretched or loathsome that it would be looked down upon and despised by ordinary decent
21 people. A person acts with conscious disregard of the rights or safety of others when he or she
22 is aware of the probable dangerous consequences of his or her conduct and willfully and
23 deliberately fails to avoid those consequences.

24 “Fraud” means an intentional misrepresentation, deceit or concealment of a material fact
25 known to the defendant with the intention on the part of the defendant of thereby depriving a
26 person of property or legal rights or otherwise causing injury.

27 Whether punitive damages should be imposed, and if so, the amount thereof, is left to
28 your sound discretion, exercised without passion or prejudice. If you decide that punitive

1 damages should be awarded, you will have a short supplemental proceeding immediately
2 following your verdict in order to receive more evidence and argument as to the amount that
3 should be awarded.

4 32.

5 When you begin your deliberations, you should elect one member of the jury as your
6 foreperson. That person will preside over the deliberations and speak for you here in court.

7 You will then discuss the case with your fellow jurors to reach agreement if you can do
8 so. Your verdict as to each claim and as to damages, if any, must be unanimous. Each of you
9 must decide the case for yourself, but you should do so only after you have considered all of the
10 evidence, discussed it fully with the other jurors, and listened to the views of your fellow jurors.

11 Do not be afraid to change your opinion if the discussion persuades you that you should.
12 Do not come to a decision simply because other jurors think it is right. It is important that you
13 attempt to reach a unanimous verdict but, of course, only if each of you can do so after having
14 made your own conscientious decision. Do not change an honest belief about the weight and
15 effect of the evidence simply to reach a verdict.

16 I will give you a special verdict form to guide your deliberations. Although the special
17 verdict form analyzes the questions in numerical order, you may consider the questions out of
18 sequence so long as your answers conform to the directions on the form concerning which
19 questions you must ultimately answer and which are only conditional depending on your other
20 answers.

21 33.

22 Some of you have taken notes during the trial. Whether or not you took notes, you
23 should rely on your own memory of what was said. Notes are only to assist your memory. You
24 should not be overly influenced by the notes.

25 34.

26 When you retire to the jury room to deliberate, you will soon receive the following
27 things:

- 28 1. All of the exhibits received into evidence;

1 **2. AN INDEX OF THE EXHIBITS IF THE LAWYERS ARE ABLE TO STIPULATE**
2 **AS TO ITS FORM;**

- 3 3. A work copy of these jury instructions for each of you;
4 4. A work copy of the verdict form for each of you; and
5 5. An official verdict form.

6 Remember that none of these items are evidence except the exhibits.

7 When you recess at the end of a day, please place your work materials in the brown
8 envelope provided and cover up any easels with your work notes so that if my staff needs to go
9 into the jury room, they will not even inadvertently see any of your work in progress.

10 35.

11 In your deliberations it is usually premature to take a straw vote early on. This is due to
12 the risk of jury members expressing a premature opinion and then, out of pride, digging in their
13 heels. Rather it is usually better to discuss the evidence, pro and con, on the various issues
14 before proceeding to take even a straw vote. In this way, all the viewpoints will be on the table
15 before anyone expresses a vote. These are merely recommendations, however, and it is entirely
16 up to you to decide on how you wish to deliberate.

17 36.

18 A United States Marshal will be outside the jury-room door during your deliberations.
19 If it becomes necessary during your deliberations to communicate with me, you may send a note
20 through the Marshal, signed by your foreperson or by one or more members of the jury. No
21 member of the jury should ever attempt to communicate with me except by a signed writing, via
22 the Marshal, and I will respond to the jury concerning the case only in writing or here in open
23 court. If you send out a question, I will consult with the lawyers before answering it, which may
24 take some time. You may continue your deliberations while waiting for the answer to any
25 question. Remember that you are not to tell anyone — including me — how the jury stands,
26 numerically or otherwise, until after you have reached a unanimous verdict or have been
27 discharged. Do not disclose any vote count in any note to the Court.

1 37.

2 You have been required to be here each day from 7:45 A.M. to 1:00 P.M. Now that you
3 are going to begin your deliberations, however, you are free to modify this schedule within
4 reason. For example, if you wish to continue deliberating in the afternoons after a reasonable
5 lunch break, that is fine. The Court does, however, recommend that you continue to start your
6 deliberations by 8:00 A.M. If you do not reach a verdict by the end of today, then you will
7 resume your deliberations tomorrow and thereafter.

8 It is very important that you let us know via note what hours you will be deliberating so
9 that we may conform our schedule to yours.

10 38.

11 You may only deliberate when all of you are together. This means, for instance, that in
12 the mornings before everyone has arrived or when someone steps out of the jury room to go to
13 the restroom, you may not discuss the case. As well, the admonition that you are not to speak to
14 anyone outside the jury room about this case still applies during your deliberation.

15 39.

16 After you have reached a unanimous agreement on a verdict, your foreperson will fill in,
17 date and sign the verdict form and advise the Court through the Marshal that you have reached a
18 verdict. The foreperson should hold onto the filled-in verdict form and bring it into the
19 courtroom when the jury returns the verdict. Thank you for your careful attention. The case is
20 now in your hands. You may now retire to the jury room and begin your deliberations.

21
22
23 Dated: [ONLY SIGN AND DATE AFTER
24 INSTRUCTION READ TO THE JURY]

25 WILLIAM ALSUP
26 UNITED STATES DISTRICT JUDGE
27
28