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10 IN THE UNITED STATES DISTRICT COURT
11 FOR THE NORTHERN DISTRICT OF CALIFORNIA
12 SAN FRANCISCO DIVISION
13

14 RUBEN RUIZ,

15 Plaintiff,

16 v.

17 M. SAWAYA, et al.,

18 Defendants.
19
20
21

C 11-3126 JST

**STIPULATED ~~PROPOSED~~
PROTECTIVE ORDER**

22 **1. PURPOSES AND LIMITATIONS**

23 Disclosure and discovery activity in this action are likely to involve production of confidential,
24 proprietary, or private information for which special protection from public disclosure and from use for
25 any purpose other than prosecuting this litigation may be warranted. Accordingly, the parties hereby
26 stipulate to and petition the court to enter the following Stipulated Protective Order. The parties
27 acknowledge that this Order does not confer blanket protections on all disclosures or responses to
28 discovery and that the protection it affords from public disclosure and use extends only to the limited

1 information or items that are entitled to confidential treatment under the applicable legal principles. The
2 parties further acknowledge, as set forth in Section 14.4, below, that this Stipulated Protective Order does
3 not entitle them to file confidential information under seal; Civil Local Rule 79-5 sets forth the procedures
4 that must be followed and the standards that will be applied when a party seeks permission from the court
5 to file material under seal.

6 **2. DEFINITIONS**

7 2.1 Challenging Party: a Party or Non-Party that challenges the designation of information or
8 items under this Order.

9 2.2 "CONFIDENTIAL" Information or Items: information (regardless of how it is generated,
10 stored or maintained) or tangible things that qualify for protection under Federal Rule of Civil Procedure
11 26(c).

12 2.3 Counsel (without qualifier): Outside Counsel of Record (as well as their support staff).

13 2.4 Designating Party: a Party or Non-Party that designates information or items that it produces
14 in disclosures or in responses to discovery as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL –
15 ATTORNEYS' EYES ONLY".

16 2.5 Disclosure or Discovery Material: all items or information, regardless of the medium or
17 manner in which it is generated, stored, or maintained (including, among other things, testimony,
18 transcripts, and tangible things), that are produced or generated in disclosures or responses to discovery in
19 this matter.

20 2.6 Expert: a person with specialized knowledge or experience in a matter pertinent to the
21 litigation who (1) has been retained by a Party or its counsel to serve as an expert witness or as a
22 consultant in this action, (2) is not a past or current employee of a Party or of a Party's competitor, and (3)
23 at the time of retention, is not anticipated to become an employee of a Party or of a Party's competitor.

24 2.7 "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" Information or Items:
25 extremely sensitive "Confidential Information or Items," disclosure of which to another Party or Non-
26 Party would create a substantial risk of serious harm that could not be avoided by less restrictive means.
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1 2.8 Non-Party: any natural person, partnership, corporation, association, or other legal entity not
2 named as a Party to this action.

3 2.9 Outside Counsel of Record: attorneys who are not employees of a party to this action but are
4 retained to represent or advise a party to this action and have appeared in this action on behalf of that party
5 or are affiliated with a law firm which has appeared on behalf of that party.

6 2.10 Party: any party to this action, including all of its officers, directors, employees, consultants,
7 retained experts, and Outside Counsel of Record (and their support staffs).

8 2.11 Producing Party: a Party or Non-Party that produces Disclosure or Discovery Material in this
9 action.

10 2.11 Professional Vendors: persons or entities that provide litigation support services (e.g.,
11 photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing, storing, or
12 retrieving data in any form or medium) and their employees and subcontractors.

13 2.12 Protected Material: any Disclosure or Discovery Material that is designated as
14 "CONFIDENTIAL," or as "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY."

15 2.13 Receiving Party: a Party that receives Disclosure or Discovery Material from a Producing
16 Party.

17 **3. SCOPE**

18 The protections conferred by this Stipulation and Order cover not only Protected Material (as
19 defined above), but also (1) any information copied or extracted from Protected Material; (2) all copies,
20 excerpts, summaries, or compilations of Protected Material; and (3) any testimony, conversations, or
21 presentations by Parties or their Counsel that might reveal Protected Material. However, the protections
22 conferred by this Stipulation and Order do not cover the following information: (a) any information that is
23 in the public domain at the time of disclosure to a Receiving Party or becomes part of the public domain
24 after its disclosure to a Receiving Party as a result of publication not involving a violation of this Order,
25 including becoming part of the public record through trial or otherwise; and (b) any information known to
26 the Receiving Party prior to the disclosure or obtained by the Receiving Party after the disclosure from a
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1 source who obtained the information lawfully and under no obligation of confidentiality to the Designating
2 Party. Any use of Protected Material at trial shall be governed by a separate agreement or order.

3 **4. DURATION**

4 Even after final disposition of this litigation, the confidentiality obligations imposed by this Order
5 shall remain in effect until a Designating Party agrees otherwise in writing or a court order otherwise
6 directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and defenses in this
7 action, with or without prejudice; and (2) final judgment herein after the completion and exhaustion of all
8 appeals, rehearings, remands, trials, or reviews of this action, including the time limits for filing any
9 motions or applications for extension of time pursuant to applicable law.

10 **5. DESIGNATING PROTECTED MATERIAL**

11 5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party or Non-
12 Party that designates information or items for protection under this Order must take care to limit any such
13 designation to specific material that qualifies under the appropriate standards. To the extent it is practical
14 to do so, the Designating Party must designate for protection only those parts of material, documents,
15 items, or oral or written communications that qualify – so that other portions of the material, documents,
16 items, or communications for which protection is not warranted are not swept unjustifiably within the
17 ambit of this Order.

18 Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be
19 clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber or
20 retard the case development process or to impose unnecessary expenses and burdens on other parties)
21 expose the Designating Party to sanctions.

22 If it comes to a Designating Party's attention that information or items that it designated for
23 protection do not qualify for protection at all or do not qualify for the level of protection initially asserted,
24 that Designating Party must promptly notify all other parties that it is withdrawing the mistaken
25 designation.

26 5.2 Manner and Timing of Designations. Except as otherwise provided in this Order (see, e.g.,
27 second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered, Disclosure or Discovery
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1 Material that qualifies for protection under this Order must be clearly so designated before the
2 material is disclosed or produced.

3 Designation in conformity with this Order requires:

4 (a) for information in documentary form (e.g., paper or electronic documents, but excluding
5 transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix the legend
6 "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" to each page that
7 contains protected material. If only a portion or portions of the material on a page qualifies for protection,
8 the Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate
9 markings in the margins) and must specify, for each portion, the level of protection being asserted.

10 A Party or Non-Party that makes original documents or materials available for inspection need not
11 designate them for protection until after the inspecting Party has indicated which material it would like
12 copied and produced. During the inspection and before the designation, all of the material made available
13 for inspection shall be deemed "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY." After the
14 inspecting Party has identified the documents it wants copied and produced, the Producing Party must
15 determine which documents, or portions thereof, qualify for protection under this Order. Then, before
16 producing the specified documents, the Producing Party must affix the appropriate legend
17 ("CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY") to each page that
18 contains Protected Material. If only a portion or portions of the material on a page qualifies for protection,
19 the Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate
20 markings in the margins) and must specify, for each portion, the level of protection being asserted.

21 (b) for testimony given in deposition or in other pretrial or trial proceedings, that the Designating
22 Party identify on the record, before the close of the deposition, hearing, or other proceeding, all protected
23 testimony and specify the level of protection being asserted. When it is impractical to identify separately
24 each portion of testimony that is entitled to protection and it appears that substantial portions of the
25 testimony may qualify for protection, the Designating Party may invoke on the record (before the
26 deposition, hearing, or other proceeding is concluded) a right to have up to 21 days to identify the specific
27 portions of the testimony as to which protection is sought and to specify the level of protection being
28 asserted. Only those portions of the testimony that are appropriately designated for protection within the

1 21 days shall be covered by the provisions of this Stipulated Protective Order. Alternatively, a Designating
2 Party may specify, at the deposition or up to 21 days afterwards if that period is properly invoked, that the
3 entire transcript shall be treated as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS'
4 EYES ONLY."

5 Parties shall give the other parties notice if they reasonably expect a deposition, hearing or other
6 proceeding to include Protected Material so that the other parties can ensure that only authorized
7 individuals who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A) are present
8 at those proceedings. The use of a document as an exhibit at a deposition shall not in any way affect its
9 designation as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY."

10 Transcripts containing Protected Material shall have an obvious legend on the title page that the
11 transcript contains Protected Material, and the title page shall be followed by a list of all pages (including
12 line numbers as appropriate) that have been designated as Protected Material and the level of protection
13 being asserted by the Designating Party. The Designating Party shall inform the court reporter of these
14 requirements. Any transcript that is prepared before the expiration of a 21-day period for designation shall
15 be treated during that period as if it had been designated "HIGHLY CONFIDENTIAL – ATTORNEYS'
16 EYES ONLY" in its entirety unless otherwise agreed. After the expiration of that period, the transcript
17 shall be treated only as actually designated.

18 (c) for information produced in some form other than documentary and for any other tangible items,
19 that the Producing Party affix in a prominent place on the exterior of the container or containers in which
20 the information or item is stored the legend "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL –
21 ATTORNEYS' EYES ONLY". If only a portion or portions of the information or item warrant protection,
22 the Producing Party, to the extent practicable, shall identify the protected portion(s) and specify the level
23 of protection being asserted.

24 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to designate
25 qualified information or items does not, standing alone, waive the Designating Party's right to secure
26 protection under this Order for such material. Upon timely correction of a designation, the Receiving Party
27 must make reasonable efforts to assure that the material is treated in accordance with the provisions of this
28 Order.

1 **6. CHALLENGING CONFIDENTIALITY DESIGNATIONS**

2 6.1 Timing of Challenges. Any Party or Non-Party may challenge a designation of confidentiality
3 at any time. Unless a prompt challenge to a Designating Party's confidentiality designation is necessary to
4 avoid foreseeable, substantial unfairness, unnecessary economic burdens, or a significant disruption or
5 delay of the litigation, a Party does not waive its right to challenge a confidentiality designation by
6 electing not to mount a challenge promptly after the original designation is disclosed.

7 6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution process by
8 providing written notice of each designation it is challenging and describing the basis for each challenge.
9 To avoid ambiguity as to whether a challenge has been made, the written notice must recite that the
10 challenge to confidentiality is being made in accordance with this specific paragraph of the Protective
11 Order. The parties shall attempt to resolve each challenge in good faith and must begin the process by
12 conferring directly (in voice to voice dialogue; other forms of communication are not sufficient) within 14
13 days of the date of service of notice. In conferring, the Challenging Party must explain the basis for its
14 belief that the confidentiality designation was not proper and must give the Designating Party an
15 opportunity to review the designated material, to reconsider the circumstances, and, if no change in
16 designation is offered, to explain the basis for the chosen designation. A Challenging Party may proceed to
17 the next stage of the challenge process only if it has engaged in this meet and confer process first or
18 establishes that the Designating Party is unwilling to participate in the meet and confer process in a timely
19 manner.

20 6.3 Judicial Intervention. If the Parties cannot resolve a challenge without court intervention, the
21 Designating Party shall file and serve a motion to retain confidentiality under Civil Local Rule 7 (and in
22 compliance with Civil Local Rule 79-5, if applicable) within 21 days of the initial notice of challenge or
23 within 14 days of the parties agreeing that the meet and confer process will not resolve their dispute,
24 whichever is earlier.¹ Each such motion must be accompanied by a competent declaration affirming that
25 the movant has complied with the meet and confer requirements imposed in the preceding paragraph.

26 ¹ Alternative: It may be appropriate in certain circumstances for the parties to agree to
27 shift the burden to move on the Challenging Party after a certain number of challenges are made
28 to avoid an abuse of the process. The burden of persuasion would remain on the Designating
Party.

1 Failure by the Designating Party to make such a motion including the required declaration within 21 days
2 (or 14 days, if applicable) shall automatically waive the confidentiality designation for each challenged
3 designation. In addition, the Challenging Party may file a motion challenging a confidentiality designation
4 at any time if there is good cause for doing so, including a challenge to the designation of a deposition
5 transcript or any portions thereof. Any motion brought pursuant to this provision must be accompanied by
6 a competent declaration affirming that the movant has complied with the meet and confer requirements
7 imposed by the preceding paragraph.

8 The burden of persuasion in any such challenge proceeding shall be on the Designating Party.
9 Frivolous challenges and those made for an improper purpose (e.g., to harass or impose unnecessary
10 expenses and burdens on other parties) may expose the Challenging Party to sanctions. Unless the
11 Designating Party has waived the confidentiality designation by failing to file a motion to retain
12 confidentiality as described above, all parties shall continue to afford the material in question the level of
13 protection to which it is entitled under the Producing Party's designation until the court rules on the
14 challenge.

15 **7. ACCESS TO AND USE OF PROTECTED MATERIAL**

16 7.1 Basic Principles. A Receiving Party may use Protected Material that is disclosed or produced
17 by another Party or by a Non-Party in connection with this case only for prosecuting, defending, or
18 attempting to settle this litigation. Such Protected Material may be disclosed only to the categories of
19 persons and under the conditions described in this Order. When the litigation has been terminated, a
20 Receiving Party must comply with the provisions of section 15 below (FINAL DISPOSITION).

21 Protected Material must be stored and maintained by a Receiving Party at a location and in a
22 secure manner² that ensures that access is limited to the persons authorized under this Order.

23 7.2 Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise ordered by the
24 court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or
25 item designated "CONFIDENTIAL" only to:

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27 ² It may be appropriate under certain circumstances to require the Receiving Party to store
28 any electronic Protected Material in password-protected form.

1 (a) the Receiving Party's Outside Counsel of Record in this action, as well as employees of said
2 Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this
3 litigation;

4 (b) the officers, directors, and employees (including House Counsel) of the Receiving Party to
5 whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment
6 and Agreement to Be Bound" (Exhibit A);

7 (c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is reasonably
8 necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound"
9 (Exhibit A);

10 (d) the court and its personnel;

11 (e) court reporters and their staff, professional jury or trial consultants, and Professional Vendors to
12 whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment
13 and Agreement to Be Bound" (Exhibit A);

14 (f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary and
15 who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A), unless otherwise
16 agreed by the Designating Party or ordered by the court. Pages of transcribed deposition testimony or
17 exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and
18 may not be disclosed to anyone except as permitted under this Stipulated Protective Order.

19 (g) the author or recipient of a document containing the information or a custodian or other person
20 who otherwise possessed or knew the information.

21 7.3 Disclosure of "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" Information or
22 Items. Unless otherwise ordered by the court or permitted in writing by the Designating Party, a Receiving
23 Party may disclose any information or item designated "HIGHLY CONFIDENTIAL – ATTORNEYS'
24 EYES ONLY" only to:

25 (a) the Receiving Party's Outside Counsel of Record in this action, as well as employees of said
26 Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this
27 litigation;

(b) Experts of the Receiving Party (1) to whom disclosure is reasonably necessary for this litigation, (2) who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A), and (3) as to whom the procedures set forth in paragraph 7.4(a)(2), below, have been followed];

(c) the court and its personnel;

(d) court reporters and their staff, professional jury or trial consultants, mock jurors, and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A); and

(e) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

7.4 Procedures for Approving or Objecting to Disclosure of "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" Information or Items to Experts.

(a) "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" information or items may be disclosed to an Expert as long as the Receiving Party notifies the Disclosing Party of the identities of all Experts who have received the information by the deadline for expert disclosures.

8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

If a Party is served with a subpoena or a court order issued in other litigation that compels disclosure of any information or items designated in this action as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" that Party must:

(a) promptly notify in writing the Designating Party. Such notification shall include a copy of the subpoena or court order;

(b) promptly notify in writing the party who caused the subpoena or order to issue in the other litigation that some or all of the material covered by the subpoena or order is subject to this Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and

(c) cooperate with respect to all reasonable procedures sought to be pursued by the Designating Party whose Protected Material may be affected.³

If the Designating Party timely seeks a protective order, the Party served with the subpoena or court order shall not produce any information designated in this action as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" before a determination by the court from which the subpoena or order issued, unless the Party has obtained the Designating Party's permission. The Designating Party shall bear the burden and expense of seeking protection in that court of its confidential material – and nothing in these provisions should be construed as authorizing or encouraging a Receiving Party in this action to disobey a lawful directive from another court.

9. A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS LITIGATION

(a) The terms of this Order are applicable to information produced by a Non-Party in this action and designated as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY". Such information produced by Non-Parties in connection with this litigation is protected by the remedies and relief provided by this Order. Nothing in these provisions should be construed as prohibiting a Non-Party from seeking additional protections.

(b) In the event that a Party is required, by a valid discovery request, to produce a Non-Party's confidential information in its possession, and the Party is subject to an agreement with the Non-Party not to produce the Non-Party's confidential information, then the Party shall:

1. promptly notify in writing the Requesting Party and the Non-Party that some or all of the information requested is subject to a confidentiality agreement with a Non-Party;
2. promptly provide the Non-Party with a copy of the Stipulated Protective Order in this litigation, the relevant discovery request(s), and a reasonably specific description of the information requested; and
3. make the information requested available for inspection by the Non-Party.

³ The purpose of imposing these duties is to alert the interested parties to the existence of this Protective Order and to afford the Designating Party in this case an opportunity to try to protect its confidentiality interests in the court from which the subpoena or order issued.

1 (c) If the Non-Party fails to object or seek a protective order from this court within 14 days of
2 receiving the notice and accompanying information, the Receiving Party may produce the Non-Party's
3 confidential information responsive to the discovery request. If the Non-Party timely seeks a protective
4 order, the Receiving Party shall not produce any information in its possession or control that is subject to
5 the confidentiality agreement with the Non-Party before a determination by the court.⁴ Absent a court
6 order to the contrary, the Non-Party shall bear the burden and expense of seeking protection in this court
7 of its Protected Material.

8 **10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

9 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected
10 Material to any person or in any circumstance not authorized under this Stipulated Protective Order, the
11 Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized
12 disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected Material, (c) inform
13 the person or persons to whom unauthorized disclosures were made of all the terms of this Order, and (d)
14 request such person or persons to execute the "Acknowledgment and Agreement to Be Bound" that is
15 attached hereto as Exhibit A.

16 **11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL**

17 When a Producing Party gives notice to Receiving Parties that certain inadvertently produced
18 material is subject to a claim of privilege or other protection, the obligations of the Receiving Parties are
19 those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision is not intended to modify
20 whatever procedure may be established in an e-discovery order that provides for production without prior
21 privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar as the parties reach an
22 agreement on the effect of disclosure of a communication or information covered by the attorney-client
23 privilege or work product protection, the parties may incorporate their agreement in the stipulated
24 protective order submitted to the court.

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27 ⁴ The purpose of this provision is to alert the interested parties to the existence of
28 confidentiality rights of a Non-Party and to afford the Non-Party an opportunity to protect its
confidentiality interests in this court.

1 **12. MISCELLANEOUS**

2 12.1 Right to Further Relief. Nothing in this Order abridges the right of any person to seek its
3 modification by the court in the future.

4 12.2 Right to Assert Other Objections. By stipulating to the entry of this Protective Order no Party
5 waives any right it otherwise would have to object to disclosing or producing any information or item on
6 any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any right to object
7 on any ground to use in evidence of any of the material covered by this Protective Order.

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9 12.3 Filing Protected Material. Without written permission from the Designating Party or a court
10 order secured after appropriate notice to all interested persons, a Party may not file in the public record in
11 this action any Protected Material. A Party that seeks to file under seal any Protected Material must
12 comply with Civil Local Rule 79-5. Protected Material may only be filed under seal pursuant to a court
13 order authorizing the sealing of the specific Protected Material at issue. Pursuant to Civil Local Rule 79-5,
14 a sealing order will issue only upon a request establishing that the Protected Material at issue is privileged,
15 protectable as a trade secret, or otherwise entitled to protection under the law. If a Receiving Party's
16 request to file Protected Material under seal pursuant to Civil Local Rule 79-5(e) is denied by the court,
17 then the Receiving Party may file the Protected Material in the public record pursuant to Civil Local Rule
18 79-5(e)(2) unless otherwise instructed by the court.

19 **13. FINAL DISPOSITION**

20 Within 60 days after the final disposition of this action, as defined in paragraph 4, each
21 Receiving Party must return all Protected Material to the Producing Party or destroy such material. As
22 used in this subdivision, "all Protected Material" includes all copies, abstracts, compilations, summaries,
23 and any other format reproducing or capturing any of the Protected Material. Whether the Protected
24 Material is returned or destroyed, the Receiving Party must submit a written certification to the Producing
25 Party (and, if not the same person or entity, to the Designating Party) by the 60-day deadline that (1) states
26 that all received Protected Material was returned or destroyed and (2) affirms that the Receiving Party has
27 not retained any copies, abstracts, compilations, summaries or any other format reproducing or capturing
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1 any of the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an archival
2 copy of all pleadings, motion papers, trial, deposition, and hearing transcripts, legal memoranda,
3 correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant and
4 expert work product, even if such materials contain Protected Material. Any such archival copies that
5 contain or constitute Protected Material remain subject to this Protective Order as set forth in Section 4
6 (DURATION).

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9 **IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.**

10 DATED: 9/23/14 /s/ Robert Mullen
11 Robert Mullen
12 Attorney for Plaintiff

13 DATED: 9/23/14 /s/ Giam M. Nguyen
14 Giam M. Nguyen
15 Attorney for Defendant

16
17 **Attestation Under N.D. Cal. Civil Local Rule 5-1(i)**

18 I, Giam Nguyen, attest and declare as follows:

19 Concurrence in the filing of this document has been obtained from all signatories, and shall
20 serve in lieu of their signatures on the document.

21 I declare under penalty of perjury that the foregoing is true and correct. Signed on
22 September 23, 2014 in San Francisco, California.

23
24 /s/ Giam M. Nguyen
25 Giam M. Nguyen
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PURSUANT TO STIPULATION, IT IS SO ORDERED

DATED: September 29, 2014

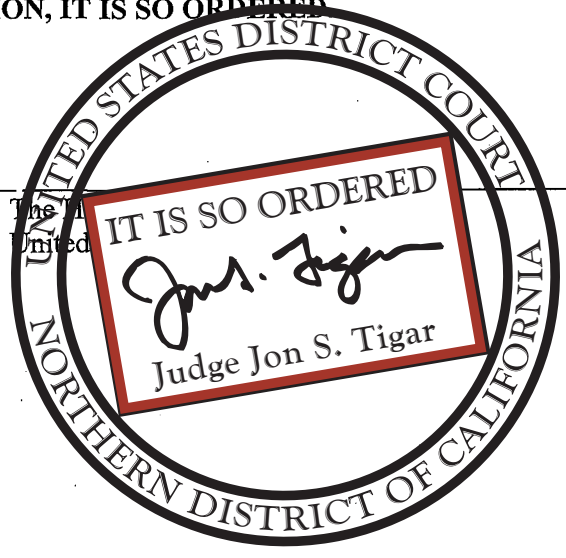


EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, _____ [print or type full name], of
_____ [print or type full address],

declare under penalty of perjury that I have read in its entirety and understand the Stipulated Protective Order that was issued by the United States District Court for the Northern District of California on ___, 2014 in the case of *Ruiz v. Sawaya, et al.*, No. 11-3126 JST. I agree to comply with and to be bound by all the terms of this Stipulated Protective Order, and I understand and acknowledge that failure to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in any manner any information or item that is subject to this Stipulated Protective Order to any person or entity except in strict compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the Northern District of California for the purpose of enforcing the terms of this Stipulated Protective Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint _____ [print or type full name] of
_____ [print or type full address and telephone number] as my California agent for service of process in connection with this action or any proceedings related to enforcement of this Stipulated Protective Order.

Date: _____

City and State where sworn and signed: _____

Printed name: _____

Signature: _____

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