Coney v. Couder et al

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1	JERMAINE CONEY, Derivatively on Behalf of OCLARO, INC.,	) Case No. 11-cv-03214-HRL
2	Plaintiff,	)
3		)
4	VS.	)
5	ALAIN COUDER, JERRY TURIN, JAMES HAYNES, BERNARD COUILLAUD,	)
6	GIOVANNI BARBAROSSA, EDWARD COLLINS, GREG DOUGHERTY, LORI	)
7	HOLLAND, JOEL A. SMITH, and DOES 1-10, inclusive,	)
8	Defendants,	)
9		)
10	and	)
11	OCLARO, INC., a Delaware corporation,	)
12	Nominal Defendant.	) )
Ī		, a
13	JEFFERSON BRAMAN, derivatively on behalf of OCLARO, INC.,	) Case No. 11-cv-03322-RS
14	Plaintiff,	)
15	VS.	)
16		)
17	BERNARD COUILLAUD, GREG DOUGHERTY, EDWARD COLLINS, LORI HOLLAND, GIOVANNI	)
18	BARBAROSSA, ALAIN COUDER, JOEL A. SMITH III, JERRY TURIN, and JAMES	)
19	HAYNES,	)
20	Defendants	)
21	Defendants,	)
22	and	)
23	OCLARO, INC.,	)
24	Nominal Defendant.	) )
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- 1. There are presently three related shareholder derivative actions (collectively "Oclaro Derivative Litigation") in this Court against certain officers and directors of nominal defendant Oclaro, Inc. ("Oclaro"). Oclaro and the individual officers and directors named as defendants are referred to collectively as "defendants."
- 2. In an effort to assure consistent rulings and decisions and the avoidance of unnecessary duplication of effort, the undersigned counsel for parties in the Oclaro Derivative Litigation currently on file in this Court enter into this stipulation. The counsel are: (1) Johnson & Weaver, LLP on behalf of plaintiff Matteo Guindani; (2) the Pacific Coast Law Group on behalf of plaintiff Jermaine Coney; (3) Levi & Korsinsky, LLP on behalf of plaintiff Jefferson Braman; and (4) Alston & Bird LLP on behalf of nominal defendant Oclaro.
- 3. The parties agree that the following actions should be related and consolidated for all purposes, including pre-trial proceedings and trial:

<u>Case Name</u>	Case No.	<b>Filing Date</b>
Guindani v. Couder, et al.	11-cv-03176-PSG	June 27, 2011
Coney v. Couder, et al.	11-cv-03214-HRL	June 28, 2011
Braman v. Couillaud, et al.	11-cv-03322-RS	July 7, 2011

4. The parties agree that every pleading filed in these consolidated actions, or in any separate action included herein, must bear the following caption:

## UNITED STATES DISTRICT COURT

## NORTHERN DISTRICT OF CALIFORNIA

IN RE OCLARO, INC. DERIVATIVE LITIGATION	) Lead Case No. 11-cv-03176-EMC
LITIGATION	(Derivative Action)
This Document Relates To:	)
ALL ACTIONS.	)

5. The parties agree that the files of these consolidated actions will be maintained in one file under Lead Case No. 11-cv-03176-EMC.

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- 6. The parties agree that this Order will apply to each case, arising out of the same or substantially the same transaction or events as these cases, which is subsequently filed in, removed to or transferred to this Court.
- 7. The parties agree that when a case which properly belongs as part of the *In re Oclaro*, Inc. Derivative Litigation, Lead Case No. 11-cv-03176-EMC, is hereafter filed in this Court or transferred here from another court, counsel will call to the attention of the clerk of the Court the filing or transfer of any case that might properly be consolidated as part of the *In re Oclaro, Inc.* Derivative Litigation, Lead Case No. 11-cv-03176-EMC, and counsel are to assist in assuring that counsel in subsequent actions receive notice of this Order.
- 8. Plaintiffs are attempting to agree upon an appropriate leadership structure. If plaintiffs cannot reach an agreement, plaintiffs will file motions for lead counsel within 7 days after entry of this Order, responsive briefs 14 days thereafter, and set for hearing at the Court's first available hearing date.
- 9. The Oclaro Derivative Litigation is related to the securities class action currently pending in this Court with the Honorable Edward M. Chen, Westley v. Oclaro, Inc., Case No. 3:11-CV-02448-EMC (N.D. Cal. filed May 19, 2011) ("Related Securities Class Action").
- 10. On July 7, 2011, plaintiff Guindani filed an Administrative Motion pursuant to Civil Local Rule 3-12(b) in the Related Securities Class Action seeking to have his case declared a related case and reassigned to the Court presiding over the Related Securities Class Action. Defendants filed a Statement of Nonopposition to plaintiff's Administrative Motion pursuant to Civil Local Rule 3-12(b) in the Related Securities Class Action.
- 11. The parties agree that it would be duplicative and wasteful of the Court's resources for any defendant who has been properly served to have to respond to the individual complaints before the Court appoints a lead plaintiff and lead plaintiff's counsel and a consolidated complaint is filed in the Oclaro Derivative Litigation. Therefore, the parties agree that any defendant who has been properly served need not respond to any complaint in this action or to any other related complaints that are subsequently assigned, removed to, or transferred to this Court. After the Court appoints a lead plaintiff and lead plaintiff's counsel and a consolidated complaint is filed, the parties

1	will submit a proposed scheduling order setting forth defendants' time to respond to the consolidated		
2	complaint.		
3	12. The parties further agree, subject	to the Court's approval, the Initial Case	
4	Management Conferences in <i>Guindani</i> and <i>Coney</i> scheduled for September 13, 2011, the Initial Case		
5	Management Conference in <i>Braman</i> scheduled for October 20, 2011, and the related mandatory		
6	disclosures and other requirements are adjourned until such time as (a) the reassignment of this		
7	action to the Court presiding over the Related Securities Class Action; (b) sixty (60) days after		
8	defendants respond to the consolidated complaint in the Oclaro Derivative Litigation, or (c) to such		
9	other date and time as this Court shall order.		
10	13. This Stipulation and Order is without prejudice to, or waiver of, any rights,		
11	arguments, or defenses otherwise available to the parties to this action, including but not limited to, a		
12	defense as to the sufficiency of service of process.		
13	IT IS SO STIPULATED.		
14	11	OHNSON & WEAVER, LLP	
15	. 11	RANK J. JOHNSON EITH M. COCHRAN	
16		s/ Frank J. Johnson	
17		FRANK J. JOHNSON	
18	. 11	01 West Broadway, Suite 1720 an Diego, CA 92101	
19		elephone: (619) 230-0063 acsimile: (619) 238-0622	
20	$C_{i}$	ounsel Plaintiff Matteo Guindani	
21		ounsel I tunning manee Guntaum	
22	DATED: July 19, 2011	HE PACIFIC COAST LAW GROUP	
23		ARK A. GOLOVACH	
24	. <u> </u>	s/ Mark A. Golovach	
25		MARK A. GOLOVACH	
26	Sa	80 California Street, 16th Floor an Francisco, CA 94104	
27		el: (415) 493-0400 ax:: (415) 493-0232	
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2		Counsel for Plaintiff Jermaine Coney
3	DATED: July 19, 2011	LEVI & KORSINSKY LLP SHANNON L. HOPKINS
4		SIMILATOR E. HOT IMAG
5		s/ Shannon L. Hopkins
6		30 Broad Street, 15th Floor
7		New York, NY 10004 Telephone: (212) 363-7500
		Facsimile: (212) 363-7171
8 9		Attorneys for Plaintiff Jefferson Braman
10	DATED: July 19, 2011	ALSTON & BIRD LLP
11		GIDON M. CAINE s/ Gidon M. Caine
12		GIDON M. CAINE
13		275 Middlefield Road, Suite 150
14		Menlo Park, CA 94025 Telephone: (650) 838-2060
15		Facsimile: (650) 838-2001
16		Counsel for Nominal Defendant Oclaro, Inc.
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1	I, Frank J. Johnson, am the ECF User whose ID and password are being used to file this
2	Stipulation and Order Consolidating Actions and [Proposed] Order. In compliance with General
3	Order No. 45, X.B., I hereby attest that Mark A. Golovach, Shannon L. Hopkins, and Gidon M.
4	Caine have concurred in this filing.
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6	s/ Frank J. Johnson FRANK J. JOHNSON
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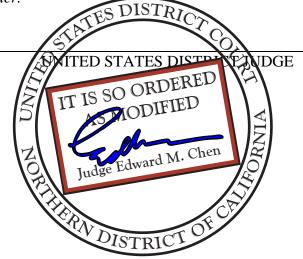
- 5. This Order will apply to each case, arising out of the same or substantially the same transactions or events as these cases, which is subsequently filed in, removed to or transferred to this Court.
- 6. When a case which properly belongs as part of the *In re Oclaro, Inc. Derivative Litigation*, Lead Case No. 11-cv-03176-EMC, is hereafter filed in this Court or transferred here from another court, counsel will call to the attention of the clerk of the Court the filing or transfer of any case that might properly be consolidated as part of the *In re Oclaro, Inc. Derivative Litigation*, Lead Case No. 11-cv-03176-EMC, and counsel are to assist in assuring that counsel in subsequent actions receive notice of this Order.
- 7. If plaintiffs cannot reach an agreement as to a leadership structure, plaintiffs will file motions for lead counsel within 7 days after entry of this Order, responsive briefs 14 days thereafter, and the Court will hear the matter on such date at it will subsequently Order.
- 8. Any defendant who has been properly served need not respond to any complaint in this action or to any other related complaints that are subsequently assigned, removed to, or transferred to this Court. After the Court appoints a lead plaintiff and lead plaintiff's counsel and a consolidated complaint is filed, the parties will submit a proposed scheduling order setting forth defendants' time to respond to the consolidated complaint.
- 9. The Initial Case Management Conferences in *Guindani* and *Coney* scheduled for September 13, 2011, the Initial Case Management Conference in *Braman* scheduled for October 20, 2011, and the related mandatory disclosures and other requirements are adjourned until such time as (a) the reassignment of this action to the Court presiding over the Related Securities Class Action; (b) sixty (60) days after defendants respond to the consolidated complaint in the Oclaro Derivative Litigation; or (c) to such other date and time as this Court shall order.
  - Unless further order to the contrary, the CMC is this consolidated case will be held on 12/2/11 at 9:00 a.m. A joint CMC Statement shall be filed by 11/23/11.

10. This Stipulation and Order is without prejudice to, or waiver of, any rights, arguments, or defenses otherwise available to the parties to this action, including but not limited to, a defense as to the sufficiency of service of process.

IT IS SO ORDERED as modified on p. 1, line 25 and p. 2 lines 24 of the Order.

Ord

7/20/11



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