Brewer v. General Nutrition Corporation

	Case3:11-cv-03587-JW Document3	6 Filed02/21/12 Page1 of 15
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17	situated current and former employees of Defend	
18	THE UNITED STAT	<b>FES DISTRICT COURT</b>
19		RICT OF CALIFORNIA
20		
21	CHARLES BREWER, individually and on behalf of all others similarly situated current	Case No. 11-cv-03587-JW
22	and former employees of Defendants,	STIPULATED PROTECTIVE ORDER
23	Plaintiff (s),	Judge: Honorable James Ware
24	v.	
25		
26	GENERAL NUTRITION CORPORATION, a Pennsylvania corporation,	
27	Defendant.	
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	0102000011	1 ROTECTIVE ORDER
		Dockets.Justia

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## PURPOSES AND LIMITATIONS

2 Disclosure and discovery activity in this action are likely to involve production of 3 confidential, proprietary, or private information for which special protection from public disclosure 4 and from use for any purpose other than prosecuting this litigation may be warranted. Accordingly, 5 the parties hereby stipulate to and petition the court to enter the following stipulated protective order. The parties acknowledge that this order does not confer blanket protections on all disclosures or 6 7 responses to discovery and that the protection it affords from public disclosure and use extends only 8 to the limited information or items that are entitled to confidential treatment under the applicable 9 legal principles. The parties further acknowledge, as set forth in section 12.3, below, that this 10 stipulated protective order does not entitle them to file confidential information under seal; civil 11 local rule 79-5 sets forth the procedures that must be followed and the standards that will be applied 12 when a party seeks permission from the court to file material under seal.

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DEFINITIONS

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14 2.1 <u>Challenging Party</u>: a Party or Non-Party that challenges the designation of
 15 information or items under this Order.

16 2.2 <u>"CONFIDENTIAL" Information or Items</u>: information (regardless of how it is
17 generated, stored or maintained) or tangible things that qualify for protection under Federal Rule of
18 Civil Procedure 26(c).

19 2.3 <u>Counsel (without qualifier)</u>: Outside Counsel of Record and House Counsel (as
20 well as their support staff).

21 2.4 <u>Designating Party</u>: a Party or Non-Party that designates information or items
 22 that it produces in disclosures or in responses to discovery as "CONFIDENTIAL."

23 2.5 <u>Disclosure or Discovery Material</u>: all items or information, regardless of the
 24 medium or manner in which it is generated, stored, or maintained (including, among other things,
 25 testimony, transcripts, and tangible things), that are produced or generated in disclosures or
 26 responses to discovery in this matter.

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1	2.6 <u>Expert</u> : a person with specialized knowledge or experience in a matter
2	pertinent to the litigation who has been retained by a Party or its counsel to serve as an expert
3	witness or as a consultant in this action.
4	2.7 <u>House Counsel</u> : attorneys who are employees of a party to this action. House
5	Counsel does not include Outside Counsel of Record or any other outside counsel.
6	2.8 <u>Non-Party</u> : any natural person, partnership, corporation, association, or other
7	legal entity not named as a Party to this action.
8	2.9 <u>Outside Counsel of Record</u> : attorneys who are not employees of a party to this
9	action but are retained to represent or advise a party to this action and have appeared in this action
10	on behalf of that party or are affiliated with a law firm which has appeared on behalf of that party.
11	2.10 <u>Party</u> : any party to this action, including all of its officers, directors,
12	employees, consultants, retained experts, and Outside Counsel of Record (and their support staffs).
13	2.11 <u>Producing Party</u> : a Party or Non-Party that produces Disclosure or Discovery
14	Material in this action.
15	2.12 <u>Professional Vendors</u> : persons or entities that provide litigation support
16	services (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and
17	organizing, storing, or retrieving data in any form or medium) and their employees and
18	subcontractors.
19	2.13 <u>Protected Material</u> : any Disclosure or Discovery Material that is designated as
20	"CONFIDENTIAL."
21	2.14 <u>Receiving Party</u> : a Party that receives Disclosure or Discovery Material from
22	a Producing Party.
23	3. <u>SCOPE</u>
24	The protections conferred by this Stipulation and Order cover not only Protected
25	Material (as defined above), but also (1) any information copied or extracted from Protected
26	Material; (2) all copies, excerpts, summaries, or compilations of Protected Material; and (3) any
27	testimony, conversations, or presentations by Parties or their Counsel that might reveal Protected
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	STIPULATED PROTECTIVE ORDER

## Case3:11-cv-03587-JW Document36 Filed02/21/12 Page4 of 15

1 Material. However, the protections conferred by this Stipulation and Order do not cover the 2 following information: (a) any information that is in the public domain at the time of disclosure to a 3 Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as a 4 result of publication not involving a violation of this Order, including becoming part of the public 5 record through trial or otherwise; and (b) any information known to the Receiving Party prior to the 6 disclosure or obtained by the Receiving Party after the disclosure from a source who obtained the 7 information lawfully and under no obligation of confidentiality to the Designating Party. Any use of 8 Protected Material at trial shall be governed by a separate agreement or order.

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#### DURATION

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Even after final disposition of this litigation, the confidentiality obligations imposed by this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and defenses in this action, with or without prejudice; and (2) final judgment herein after the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action, including the time limits for filing any motions or applications for extension of time pursuant to applicable law.

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## DESIGNATING PROTECTED MATERIAL

5.1 Exercise of Restraint and Care in Designating Material for Protection. Each
Party or Non-Party that designates information or items for protection under this Order must take
care to limit any such designation to specific material that qualifies under the appropriate standards.
The Designating Party must designate for protection only those parts of material, documents, items,
or oral or written communications that qualify – so that other portions of the material, documents,
items, or communications for which protection is not warranted are not swept unjustifiably within
the ambit of this Order.

25 Mass, indiscriminate, or routinized designations are prohibited. Designations that are 26 shown to be clearly unjustified or that have been made for an improper purpose (e.g., to

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## Case3:11-cv-03587-JW Document36 Filed02/21/12 Page5 of 15

unnecessarily encumber or retard the case development process or to impose unnecessary expenses
 and burdens on other parties) expose the Designating Party to sanctions.

If it comes to a Designating Party's attention that information or items that it designated for protection do not qualify for protection, that Designating Party must promptly notify all other Parties that it is withdrawing the mistaken designation.

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5.2 <u>Manner and Timing of Designations</u>. Except as otherwise provided in this Order (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered, Disclosure or Discovery Material that qualifies for protection under this Order must be clearly so designated before the material is disclosed or produced.

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Designation in conformity with this Order requires:

(a) <u>for information in documentary form</u> (e.g., paper or electronic documents, but
excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing Party
affix the legend "CONFIDENTIAL" to each page that contains protected material. If only a portion
or portions of the material on a page qualifies for protection, the Producing Party also must clearly
identify the protected portion(s) (e.g., by making appropriate markings in the margins).

16 A Party or Non-Party that makes original documents or materials available for 17 inspection need not designate them for protection until after the inspecting Party has indicated which 18 material it would like copied and produced. During the inspection and before the designation, all of 19 the material made available for inspection shall be deemed "CONFIDENTIAL." After the inspecting 20 Party has identified the documents it wants copied and produced, the Producing Party must 21 determine which documents, or portions thereof, qualify for protection under this Order. Then, 22 before producing the specified documents, the Producing Party must affix the "CONFIDENTIAL" 23 legend to each page that contains Protected Material. If only a portion or portions of the material on 24 a page qualifies for protection, the Producing Party also must clearly identify the protected portion(s) 25 (e.g., by making appropriate markings in the margins).

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(b) for testimony given in deposition or in other pretrial or trial proceedings, that the
 Designating Party identify on the record, before the close of the deposition, hearing, or other
 proceeding, all protected testimony.

4 (c) for information produced in some form other than documentary and for any other
5 tangible items, that the Producing Party affix in a prominent place on the exterior of the container or
6 containers in which the information or item is stored the legend "CONFIDENTIAL." If only a
7 portion or portions of the information or item warrant protection, the Producing Party, to the extent
8 practicable, shall identify the protected portion(s).

5.3 <u>Inadvertent Failures to Designate</u>. If timely corrected, an inadvertent failure to
designate qualified information or items does not, standing alone, waive the Designating Party's
right to secure protection under this Order for such material. Upon timely correction of a
designation, the Receiving Party must make reasonable efforts to assure that the material is treated in
accordance with the provisions of this Order.

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## CHALLENGING CONFIDENTIALITY DESIGNATIONS

15 6.1 <u>Timing of Challenges</u>. Any Party or Non-Party may challenge a designation of
16 confidentiality. Unless a prompt challenge to a Designating Party's confidentiality designation is
17 necessary to avoid foreseeable, substantial unfairness, unnecessary economic burdens, or a
18 significant disruption or delay of the litigation, a Party does not waive its right to challenge a
19 confidentiality designation by electing not to mount a challenge promptly after the original
20 designation is disclosed.

6.2 <u>Meet and Confer</u>. The Challenging Party shall initiate the dispute resolution process by providing written notice of each designation it is challenging and describing the basis for each challenge. To avoid ambiguity as to whether a challenge has been made, the written notice must recite that the challenge to confidentiality is being made in accordance with this specific paragraph of the Protective Order. The parties shall attempt to resolve each challenge in good faith and must begin the process by conferring directly (in voice to voice dialogue; other forms of communication are not sufficient) within 14 days of the date of service of notice. In conferring, the Challenging

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#### Case3:11-cv-03587-JW Document36 Filed02/21/12 Page7 of 15

Party must explain the basis for its belief that the confidentiality designation was not proper and must give the Designating Party an opportunity to review the designated material, to reconsider the circumstances, and, if no change in designation is offered, to explain the basis for the chosen designation. A Challenging Party may proceed to the next stage of the challenge process only if it has engaged in this meet and confer process first or establishes that the Designating Party is 6 unwilling to participate in the meet and confer process in a timely manner.

7 6.3 Judicial Intervention. If the Parties cannot resolve a challenge without court 8 intervention, the Designating Party shall file and serve a motion to retain confidentiality under Civil 9 Local Rule 7 (and in compliance with Civil Local Rule 79-5, if applicable) within 21 days of the 10 initial notice of challenge or within 14 days of the parties agreeing that the meet and confer process 11 will not resolve their dispute, whichever is earlier. Each such motion must be accompanied by a 12 competent declaration affirming that the movant has complied with the meet and confer 13 requirements imposed in the preceding paragraph. Failure by the Designating Party to make such a 14 motion including the required declaration within 21 days (or 14 days, if applicable) shall 15 automatically waive the confidentiality designation for each challenged designation. In addition, the 16 Challenging Party may file a motion challenging a confidentiality designation at any time if there is 17 good cause for doing so, including a challenge to the designation of a deposition transcript or any 18 portions thereof. Any motion brought pursuant to this provision must be accompanied by a 19 competent declaration affirming that the movant has complied with the meet and confer 20 requirements imposed by the preceding paragraph.

21 The burden of persuasion in any such challenge proceeding shall be on the 22 Designating Party. Frivolous challenges, and those made for an improper purpose (e.g., to harass or 23 impose unnecessary expenses and burdens on other parties) may expose the Challenging Party to 24 sanctions. Unless the Designating Party has waived the confidentiality designation by failing to file a 25 motion to retain confidentiality as described above, all parties shall continue to afford the material in 26 question the level of protection to which it is entitled under the Producing Party's designation until 27 the court rules on the challenge.

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## ACCESS TO AND USE OF PROTECTED MATERIAL

7.1 <u>Basic Principles</u>. A Receiving Party may use Protected Material that is disclosed or produced by another Party or by a Non-Party in connection with this case only for prosecuting, defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to the categories of persons and under the conditions described in this Order. When the litigation has been terminated, a Receiving Party must comply with the provisions of section 13 below (FINAL DISPOSITION).

8 Protected Material must be stored and maintained by a Receiving Party at a location
9 and in a secure manner that ensures that access is limited to the persons authorized under this Order.

10 7.2 <u>Disclosure of "CONFIDENTIAL" Information or Items</u>. Unless otherwise
 11 ordered by the court or permitted in writing by the Designating Party, a Receiving Party may
 12 disclose any information or item designated "CONFIDENTIAL" only to:

(a) the Receiving Party's Outside Counsel of Record in this action, as well as
employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the
information for this litigation and who have signed the "Acknowledgment and Agreement to Be
Bound" that is attached hereto as Exhibit A;

(b) the officers, directors, and employees (including House Counsel) of the Receiving
Party to whom disclosure is reasonably necessary for this litigation and who have signed the
"Acknowledgment and Agreement to Be Bound" (Exhibit A);

(c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is
reasonably necessary for this litigation and who have signed the "Acknowledgment and Agreement
to Be Bound" (Exhibit A);

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(d) the court and its personnel;

(e) court reporters and their staff, professional jury or trial consultants, mock jurors,
and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who
have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

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## Case3:11-cv-03587-JW Document36 Filed02/21/12 Page9 of 15

1	(f) during their depositions, witnesses in the action to whom disclosure is reasonably
2	necessary and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A),
3	unless otherwise agreed by the Designating Party or ordered by the court. Pages of transcribed
4	deposition testimony or exhibits to depositions that reveal Protected Material must be separately
5	bound by the court reporter and may not be disclosed to anyone except as permitted under this
6	Stipulated Protective Order.
7	(g) the author or recipient of a document containing the information or a custodian or
8	other person who otherwise possessed or knew the information.
9	8. <u>PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN</u>
10	OTHER LITIGATION
11	If a Party is served with a subpoena or a court order issued in other litigation that
12	compels disclosure of any information or items designated in this action as "CONFIDENTIAL," that
13	Party must:
14	(a) promptly notify in writing the Designating Party. Such notification shall include a
15	copy of the subpoena or court order;
16	(b) promptly notify in writing the party who caused the subpoena or order to issue in
17	the other litigation that some or all of the material covered by the subpoena or order is subject to this
18	Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and
19	(c) cooperate with respect to all reasonable procedures sought to be pursued by the
20	Designating Party whose Protected Material may be affected.
21	If the Designating Party timely seeks a protective order, the Party served with the
22	subpoena or court order shall not produce any information designated in this action as
23	"CONFIDENTIAL" before a determination by the court from which the subpoena or order issued,
24	unless the Party has obtained the Designating Party's permission. The Designating Party shall bear
25	the burden and expense of seeking protection in that court of its confidential material – and nothing
26	in these provisions should be construed as authorizing or encouraging a Receiving Party in this
27	action to disobey a lawful directive from another court.
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## 9. <u>A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN</u> THIS LITIGATION

(a) The terms of this Order are applicable to information produced by a Non-Party in
this action and designated as "CONFIDENTIAL." Such information produced by Non-Parties in
connection with this litigation is protected by the remedies and relief provided by this Order.
Nothing in these provisions should be construed as prohibiting a Non-Party from seeking additional
protections.

8 (b) In the event that a Party is required, by a valid discovery request, to produce a
9 Non-Party's confidential information in its possession, and the Party is subject to an agreement with
10 the Non-Party not to produce the Non-Party's confidential information, then the Party shall:

promptly notify in writing the Requesting Party and the Non-Party that
 some or all of the information requested is subject to a confidentiality agreement with a Non-Party;
 promptly provide the Non-Party with a copy of the Stipulated

Protective Order in this litigation, the relevant discovery request(s), and a reasonably specific
description of the information requested; and

 16
 3. make the information requested available for inspection by the Non 

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 Party.

(c) If the Non-Party fails to object or seek a protective order from this court within 14
days of receiving the notice and accompanying information, the Receiving Party may produce the
Non-Party's confidential information responsive to the discovery request. If the Non-Party timely
seeks a protective order, the Receiving Party shall not produce any information in its possession or
control that is subject to the confidentiality agreement with the Non-Party before a determination by
the court.<sup>1</sup> Absent a court order to the contrary, the Non-Party shall bear the burden and expense of
seeking protection in this court of its Protected Material.

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<sup>&</sup>lt;sup>1</sup> The purpose of this provision is to alert the interested parties to the existence of confidentiality rights of a Non-Party and to afford the Non-Party an opportunity to protect its confidentiality interests in this court.
<sup>1</sup> The purpose of this provision is to alert the interested parties to the existence of confidentiality interests in this court.
<sup>1</sup> The purpose of this provision is to alert the interested parties to the existence of confidentiality rights of a Non-Party and to afford the Non-Party an opportunity to protect its confidentiality interests in this court.
<sup>1</sup> The purpose of this provision is to alert the interested parties to the existence of confidentiality interests in this court.

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### UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected Material to any person or in any circumstance not authorized under this Stipulated Protective Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Order, and (d) request such person or persons to execute the "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A.

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## 11. <u>INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE</u> PROTECTED MATERIAL

11 When a Producing Party gives notice to Receiving Parties that certain inadvertently 12 produced material is subject to a claim of privilege or other protection, the obligations of the 13 Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). Specifically, if 14 information produced in discovery is subject to a claim of privilege or of protection as trial 15 preparation material, the party making the claim may notify any party that received the information 16 of the claim and the basis for it. After being notified, a party must promptly return, sequester, or 17 destroy the specified information and any copies it has; must not use or disclose the information until 18 the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it 19 before being notified; and may promptly present the information to the court under seal for a 20 determination of the claim. The producing party must preserve the information until the claim is 21 resolved. This provision is not intended to modify whatever procedure may be established in an e-22 discovery order that provides for production without prior privilege review. Pursuant to Federal Rule 23 of Evidence 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a 24 communication or information covered by the attorney-client privilege or work product protection, 25 the parties may incorporate their agreement in the stipulated protective order submitted to the court.

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### 12. <u>MISCELLANEOUS</u>

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12.1Right to Further Relief. Nothing in this Order abridges the right of any personto seek its modification by the court in the future.

12.2 <u>Right to Assert Other Objections</u>. By stipulating to the entry of this Protective
Order no Party waives any right it otherwise would have to object to disclosing or producing any
information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no
Party waives any right to object on any ground to use in evidence of any of the material covered by
this Protective Order.

9 12.3 Filing Protected Material. Without written permission from the Designating 10 Party or a court order secured after appropriate notice to all interested persons, a Party may not file 11 in the public record in this action any Protected Material. A Party that seeks to file under seal any 12 Protected Material must comply with Civil Local Rule 79-5. Protected Material may only be filed 13 under seal pursuant to a court order authorizing the sealing of the specific Protected Material at 14 issue. Pursuant to Civil Local Rule 79-5, a sealing order will issue only upon a request establishing 15 that the Protected Material at issue is privileged, protectable as a trade secret, or otherwise entitled to 16 protection under the law. If a Receiving Party's request to file Protected Material under seal pursuant 17 to Civil Local Rule 79-5(d) is denied by the court, then the Receiving Party may file the information 18 in the public record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed by the court.

19 13. FINAL DISPOSITION. Within 60 days after the final disposition of this action, as 20 defined in paragraph 4, each Receiving Party must return all Protected Material to the Producing 21 Party or destroy such material. As used in this subdivision, "all Protected Material" includes all 22 copies, abstracts, compilations, summaries, and any other format reproducing or capturing any of the 23 Protected Material. Whether the Protected Material is returned or destroyed, the Receiving Party 24 must submit a written certification to the Producing Party (and, if not the same person or entity, to 25 the Designating Party) by the 60 day deadline that (1) identifies (by category, where appropriate) all 26 the Protected Material that was returned or destroyed and (2) affirms that the Receiving Party has not 27 retained any copies, abstracts, compilations, summaries or any other format reproducing or capturing

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# Case3:11-cv-03587-JW Document36 Filed02/21/12 Page13 of 15

1	any of the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an
2	archival copy of all pleadings, motion papers, trial, deposition, and hearing transcripts, legal
3	memoranda, correspondence, deposition and trial exhibits, expert reports, attorney work product, and
4	consultant and expert work product, even if such materials contain Protected Material. Any such
5	archival copies that contain or constitute Protected Material remain subject to this Protective Order
6	as set forth in Section 4 (DURATION).
7	IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.
8	
9	DATED: February 21, 2012 McGuire Woods LLP
10	By: s/ Susan L. Germaise
11	Susan L. Germaise
12	Attorneys for Defendant GENERAL NUTRITION CORPORATION, a Pennsylvania corporation
13	DATED: February 21, 2012
14	
15	By: <u>/s/ Michael Hoffman</u>
16 17	Michael Hoffman Attorneys for Plaintiff Charles Brewer, individually and on babalf of all others similarly
18	individually and on behalf of all others similarly situated current and former employees of Defendants
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20	
21	PURSUANT TO STIPULATION, IT IS SO ORDERED.
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23	
24	DATED: February 24, 2012 The Honorable James Ware
25	United States District Court Judge
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	STIPULATED PROTECTIVE ORDER

	Case3:11-cv-03587-JW Document36 Filed02/21/12 Page14 of 15
1 2 3 4 5 6 7 8 9 10 11 12	Case3:11-cv-03587-JW Document36 Filed02/21/12 Page14 of 15 <u>EXHIBIT A</u> <u>ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND</u> I, [print or type full name], of [print or type full address], declare under penalty of perjury that I have read in its entirety and understand the Stipulated Protective Order that was issued by the United States District Court for the Northern District of California on [date] in the case of <i>Charles Brewer, et al., v. GENERAL NUTRITION CORPORATION, a Pennsylvania corporation.,</i> Case No. 11-cv-03587-JW. I agree to comply with and to be bound by all the terms of this Stipulated Protective Order and I understand and acknowledge that failure to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in any manner any information or item that is subject to this Stipulated Protective Order to any person or entity except in strict compliance with the provisions of this Order.
<ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> </ol>	I further agree to submit to the jurisdiction of the United States District Court for the Northern District of California for the purpose of enforcing the terms of this Stipulated Protective Order, even if such enforcement proceedings occur after termination of this action. I hereby appoint [print or type full name] of
<ol> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> </ol>	my California agent for service of process in connection with this action or any proceedings related to enforcement of this Stipulated Protective Order. Date: City and State where sworn and signed: Printed name:
<ul> <li>23</li> <li>24</li> <li>25</li> <li>26</li> <li>27</li> </ul>	[printed name] Signature: [signature]
28	37320883.1 14 STIPULATED PROTECTIVE ORDER

1	<u>CERTIFICATE OF SERVICE</u>
2	I, Susan L. Germaise, certify that the Stipulated Protective Order was filed electronically on
3	February 21, 2012 in the Court's ECF; thereby upon completion the ECF system automatically
4	generated a "Notice of Electronic Filing" ("NEF") as service through CM/ECF to registered e-mail
5	addresses of parties of record in the case, in particular on the following:
6	
7	Attorneys for Plaintiff Charles Brewer, individually and on behalf of all others similarly situated current and former employees of Defendants:
8	Leonard Thomas Emma; Email: lemma@sfemployment-lawyers.com Michael Hoffman; Email: mhoffman@employment-lawyers.com
9	Internet Horman, Eman. mnorman@employment-lawyers.com
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11	/s/ Susan L. Germaise Susan L. Germaise
12	Susan L. Germaise
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