

United States District Court
For the Northern District of California

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

TECHNOLOGY PROPERTIES LIMITED LLC,)
et al.,)

Plaintiffs,)

v.)

SAMSUNG ELECTRONICS CO., LTD. et al.,)

Defendants.)

Case No. 3:12-cv-03877-VC
**ORDER GRANTING-IN-PART
MOTIONS TO STRIKE AND
DENYING MOTIONS TO COMPEL**
(Re: Docket Nos. 58, 62)

TECHNOLOGY PROPERTIES LIMITED LLC,)
et al.,)

Plaintiffs,)

v.)

LG ELECTRONICS, INC. et al.,)

Defendants.)

Case No. 3:12-cv-03880-VC
(Re: Docket Nos. 80, 81)

In each of these two related cases, Defendants move to strike Plaintiffs' infringement contentions¹ and Plaintiffs move to compel Defendants to produce discovery arising from those

¹ See Case No. 12-cv-3877-VC, Docket No. 58 (Docket No. 83); Case No. 12-cv-3880-VC, Docket No. 80 (Docket No. 97).

1 contentions.² At issue is whether Plaintiffs’ contentions meet the requirements of Patent L.R. 3-1
2 and whether Plaintiffs properly accuse “instrumentalities”—Patent Local Rules—speak for
3 products—that were never made, sold, used or imported, or released before the expiration of the
4 patents-in-suit. Because Plaintiffs’ contentions are insufficient and improperly accuse
5 instrumentalities that could not possibly infringe, the court GRANTS Defendants’ motions to strike
6 by ordering Plaintiff to supplement the contentions and DENIES Plaintiffs’ motions to compel.
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8 **I.**

9 Rule 3-1(c) of the Patent Local Rules states that a patentee must provide “[a] chart
10 identifying specifically where each limitation of each asserted claim is found within each Accused
11 Instrumentality.”³ A plaintiff violates this rule where it fails to “provide reasonable notice to the
12 defendant why the plaintiff believes it has a ‘reasonable chance of proving infringement.’”⁴ The
13 infringement contentions must be sufficient to raise a “reasonable inference that all the accused
14 products infringe.”⁵ A plaintiff cannot satisfy its Rule 3-1 obligations with “nothing more than a
15 conclusion based ‘on information and belief’ that something exists or occurs.”⁶
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17 ² See Case No. 12-cv-3877-VC, Docket No. 62; Case No. 12-cv-3880-VC, Docket No. 81.

18 ³ Patent L.R. 3-1(c); see also *Silicon Labs., Inc. v. Cresta Tech Corp.*, Case No. 5:14-cv-03227-
19 PSG, 2015 WL 846679, at *1 (N.D. Cal. Feb. 25, 2015); *Shared Memory Graphics LLC v. Apple,*
20 *Inc.*, 812 F. Supp. 2d 1022, 1024 (N.D. Cal. 2010); *Bender v. Freescale Semiconductor, Inc.*, Case
21 No. 09-cv-1156-PHJ, 2010 WL 1689465, at *2-3 (N.D. Cal. Apr. 26, 2010); *InterTrust Techs.*
22 *Corp. v. Microsoft Corp.*, Case No. 01-cv-1640-SBA, 2003 WL 23120174, at *2 (N.D. Cal. Dec. 1,
23 2003) (“[The Rules] are designed to make the parties more efficient, to streamline the litigation
24 process, and to articulate with specificity the claims and theory of a plaintiff’s infringement
25 claims.”).

24 ⁴ *Shared Memory Graphics*, 812 F. Supp. 2d at 1025 (quoting *View Eng’g, Inc. v. Robotic Vision*
Sys., Inc., 208 F.3d 981, 986 (Fed. Cir. 2000)).

25 ⁵ *Antonious v. Spalding & Evenflo Cos., Inc.*, 275 F.3d 1066, 1075 (Fed. Cir. 2002).

26 ⁶ *Solannex, Inc. v. MiaSolé, Inc.*, Case No. 11-cv-00171-PSG, 2013 WL 1701062, at *3 (N.D. Cal.
27 April 18, 2013).

1 “A patentee is not require[d] to provide a claim chart for each accused product if the chart
2 provided is representative of [charts for] the other accused products.”⁷ If a patentee elects to
3 proceed by charting certain products as representative of others, “Rule 3-1 . . . requires Plaintiff to
4 articulate how the accused products share the same, or substantially the same infringing [qualities]
5 with any other product or with the . . . ‘representative’ product[.]”⁸

6 Once a plaintiff has served adequate charts, Patent L.R. 3-4(a) requires a defendant to
7 produce “[s]ource code, specifications, schematics, flow charts, artwork, formulas, or other
8 documentation sufficient to show the operation of any aspects or elements of an Accused
9 Instrumentality identified by the patent claimant in its Patent L.R. 3-1(c) chart.”⁹

10 Three years ago Plaintiffs filed these suits, alleging Defendants infringed three patents:
11 U.S. Patent Nos. 5,809,336, 5,440,749 and 5,530,890.¹⁰ The patents generally concern the design
12 and operation of microprocessors that are incorporated into a variety of consumer electronics
13 products. The patents-in-suit issued from a common application filed August 3, 1989, by inventors
14 Charles Moore and Russell Fish, III.¹¹ The ’336 patent has been the subject of six ex parte
15 reexamination challenges before the United States Patent and Trademark Office, leading to the
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⁷ *Infineon Technologies AG v. Volterra Semiconductor*, Case No. 11-cv-06239-MMC, 2013 U.S.
19 Dist. LEXIS 109165, at *5, 15 (N.D. Cal. July 31, 2013) (citing *Renesas Tech. Corp. v. Nanya*
20 *Tech. Corp.*, Case No. 03-cv-5709-JF, 2004 U.S. Dist. LEXIS 23601, at *9, 12 (N.D. Cal. Nov. 10,
21 2004) (holding Patent L.R. 3-1 does not require a claim chart for every accused product; plaintiff
22 need only provide enough information to “permit a reasonable inference that all accused products
23 infringe.”).

24 ⁸ *Silicon Labs., Inc. v. Cresta Tech. Corp.*, Case No. 5:14-cv-03227-PSG, 2015 U.S. Dist. LEXIS
25 22951, at *3 (N.D. Cal. Nov. 10, 2004) (citing *Bender*, 2010 WL 1689465, at *3).

26 ⁹ Patent L.R. 3-4(a).

27 ¹⁰ See Case No. 12-cv-3877-VC, Docket No. 1.

28 ¹¹ See Case No. 12-cv-3877-VC, Docket No. 66-1 at ¶ 10; Case No. 12-cv-3880-VC, Docket No.
90-1 at ¶ 10.

1 issuance of two reexamination certificates, the first on December 15, 2009, and the second on
2 November 23, 2010.¹² The '890 patent has been the subject of its own reexamination challenge,
3 which led the issuance of a reexamination certificate dated March 1, 2011.¹³

4 These cases—and others—are related to a patent case Plaintiffs tried against HTC before
5 the undersigned involving some of the same microprocessors¹⁴ and the same infringement theory
6 from the same expert—Vojin Oklobdzija—as Plaintiffs now proceed under against Defendants.¹⁵

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8 The court stayed the present cases pending the resolution of an International Trade
9 Commission Section 337 investigation. The investigation considered whether Defendants and
10 others infringed one of the patents disputed here, the '336 patent.¹⁶ In the ITC proceeding,
11 Plaintiffs submitted an expert report from Oklobdzija setting forth his opinion that all modern,
12 advanced microprocessors (including Defendants') operate in the same manner with respect to
13 features relevant to the '336 patent claims at issue in this case.¹⁷ Oklobdzija reiterated this same
14 opinion at the ITC hearing, where, as before, he was subject to cross-examination. On September
15 6, 2013, the Administrative Law Judge issued an initial determination that Samsung and LG's
16 products did not infringe.¹⁸ On February 19, 2014, the full Commission issued a notice affirming

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¹² *See id.*

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¹³ *See id.*

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¹⁴ *See HTC Corp. et al. v. Technology Properties Ltd. et al.*, Case No. 5:08-cv-00882-PSG.

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¹⁵ *See* Case No. 12-cv-3888-VC, Docket No. 90 at 2; Docket No. 90-1 at ¶ 5; Case No. 12-cv-
23 3877-VC, Docket No. 66 at 2.

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¹⁶ *See* Case No. 12-cv-3877-VC, Docket No. 12; Inv. No. 337-TA-853.

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¹⁷ *See id.*

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¹⁸ *See* Case No. 12-cv-3877-VC, Docket No. 20 at 5-6; Case No. 12-cv-3880-VC, Docket No. 37 at
27 5-6.

1 the ALJ's non-infringement findings, determining there was no violation by Samsung or LG, and
2 terminating the investigation. Plaintiffs did not appeal.

3 After lifting the stay, the court held a case management conference for these related cases in
4 November 2014, in which it extended Plaintiffs' deadline to file its Rule 3-1 infringement
5 contentions until January 20, 2015.¹⁹ Discovery commenced, and on January 20, 2015 Plaintiffs
6 served their infringement contentions, accusing approximately 800 Samsung and 437 LG
7 instrumentalities of infringing each of the asserted claims of each of the '336, '749 and '890
8 Patents.²⁰ Plaintiffs' infringement contentions consist of: (1) a short cover pleading;²¹ (2) a
9 separate attachment referred to as "Exhibit A" which purports to list accused instrumentalities from
10 different Defendants²² and (3) three claim charts, one for each patent-in-suit.²³ The charts refer to
11 microprocessors for the accused instrumentalities in large groups, organized by manufacturer, but
12 identify only certain specific microprocessors for only certain specific instrumentalities.²⁴
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16 ¹⁹ See Case No. 12-cv-3877-VC, Docket No. 22.

17 ²⁰ See Case No. 12-cv-3877-VC, Docket No. 58, at 4; Docket No. 58-1 at ¶¶ 2-6; Docket No. 58-2,
18 Ex. 1; Docket No. 58-3, Ex. 2; Docket No. 58-4, Ex. 3; Docket No. 58-5, Ex. 4; Docket No. 58-6,
19 Ex. 5; Case No. 12-cv-3880-VC, Docket No. 80 at 5; Docket No. 80-2, Ex. A; Docket No. 80-3,
Ex. B; Docket No. 80-4, Ex. G-1; Docket No. 80-5, Ex. G-2; Docket No. 80-6, Ex. G-3.

20 ²¹ See Case No. 12-cv-3877-VC, Docket No. 58-2, Ex. 1; Case No. 12-cv-3880-VC, Docket No.
21 80-3, Ex. B.

22 ²² See Case No. 12-cv-3877-VC, Docket No. 58-3, Ex. 2; Case No. 12-cv-3880-VC, Docket No.
23 80-2, Ex. A.

24 ²³ See Case No. 12-cv-3877-VC, Docket No. 58-4, Ex. 3; Docket No. 58-5, Ex. 4; Docket No. 58-
25 6, Ex. 5; Case No. 12-cv-3880-VC, Docket No. 80-4, Ex. G-1; Docket No. 80-5, Ex. G-2; Docket
No. 80-6, Ex. G-3.

26 ²⁴ See Case No. 12-cv-3880-VC, Docket No. 80 at 6. Because the parties did not execute a "cross-
27 use" agreement for discovery produced in the ITC proceeding, Plaintiffs served their infringement
contentions without the benefit of using discovery already obtained from Defendants.

1 Citing what they view as substantial deficiencies in Plaintiffs' disclosure, Defendants
2 refused to produce discovery or technical documents sufficient to show the operation of all of the
3 accused instrumentalities identified in Plaintiffs' infringement contentions²⁵ except for those
4 specific microprocessors disclosed.²⁶ The parties met and conferred,²⁷ but having reached impasse,
5 proceeded to file the instant motions.

6 Defendants request that the court strike Plaintiffs' infringement contentions in their entirety
7 for failure to comply with Patent L.R. 3-1.²⁸ Samsung alternatively requests an order (a)
8 compelling amended contentions limited only to Samsung products previously identified in Table
9 A.4 of Exhibit that include one of the identified microprocessors: Qualcomm's MSM7227,
10 MSM8660 and MSM8960; TI's OMAP4430, OMAP4460, OMAP4470 and OMAP3621; or
11 Samsung's S3C6410; (b) requiring Plaintiffs to identify specifically where each limitation of each
12 asserted claim is found within each accused Samsung instrumentality, including, at a minimum,
13 providing a separate claim chart for each of these eight identified microprocessors within the
14 accused products; (c) excluding any instrumentality for which Plaintiffs do not have a good faith
15 basis to believe infringement occurred in the United States during the life of the patent of which it
16 is accused of infringing and (d) confirming that all other instrumentalities not containing these
17 eight microprocessors (whether identified in Table A.4 of Exhibit A or otherwise) are excluded
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22 ²⁵ See Case No. 12-cv-3880-VC, Docket No. 90-1 at ¶ 5.

23 ²⁶ See Case No. 12-cv-3877-VC, Docket No. 62 at 4; Case No. 12-cv-3880-VC, Docket No. 96 at
24 4.

25 ²⁷ See Case No. 12-cv-3877-VC, Docket No. 58 at 7; Case No. 12-cv-3880-VC, Docket No. 80 at
26 8.

27 ²⁸ See Case No. 12-cv-3877-VC, Docket No. 58 at 1; Case No. 12-cv-3880-VC, Docket No. 80 at
28 1.

1 from the scope of this case for all purposes, including discovery, liability, damages and injunctive
2 relief.²⁹

3 Also in the alternative, LG requests that the court compel Plaintiffs to submit infringement
4 contentions that: (a) are limited to the accused LG instrumentalities that were previously identified
5 in Table A.6 of Exhibit A and that include one of the disclosed microprocessors: Qualcomm's
6 MSM8960, MSM8660, MSM8260 and MSM7227 or TI's OMAP4430, OMAP4460 and
7 OMAP4470; (b) identify specifically where each limitation of each asserted claim is found within
8 each accused LG product, including, at a minimum, providing a separate claim chart for each of the
9 each accused LG product, including, at a minimum, providing a separate claim chart for each of the
10 above seven identified microprocessors and (c) exclude all other instrumentalities (identified in
11 Table A.6 of Exhibit A or otherwise) from the scope of this case for all purposes.³⁰

12 Plaintiffs request an order pursuant to Fed. R. Civ. P. 37(a) compelling Defendants to
13 produce within five business days: (a) discovery related to technical issues in response to
14 Plaintiffs' second set of request for production Nos. 6-12; (b) discovery related to damages in
15 response to Plaintiffs' second set of requests for production No. 4 and (c) discovery from the ITC
16 proceeding in response to Plaintiffs' first set of request for production Nos. 1-3.³¹

18 II.

19 This court has jurisdiction under 28 U.S.C. §§ 1331 and 1338. The presiding judge referred
20 these related cases to the undersigned for all pretrial management.³²

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23 ²⁹ See Case No. 12-cv-3877-VC, Docket No. 58 at 1.

24 ³⁰ See Case No. 12-cv-3880-VC, Docket No. 80 at 1.

25 ³¹ See Case No. 12-cv-3877-VC, Docket No. 62 at 1; Case No. 12-cv-3880-VC, Docket No. 81 at
26 1.

27 ³² See Case No. 12-cv-3863-VC, Docket No. 41 at 1.

III.

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2 Plaintiffs' infringement contentions fall well short of this district's requirements. The court
3 explains each of three key deficiencies in turn.

4 *First*, Plaintiffs' contentions do not properly specify "where each limitation of each asserted
5 claim is found within each Accused Instrumentality."³³ Plaintiffs say their contentions specifically
6 identify each accused instrumentality by name and model number, along with specific accused
7 microprocessors contained in each accused instrumentality to the extent possible.³⁴ In support,
8 Plaintiffs cite to thousands of pages of user manuals, Wikipedia and testimony from their technical
9 expert Oklobdzija.³⁵

10
11 The problem is with Plaintiffs' caveat "to the extent possible." Plaintiffs admit they did not
12 even determine whether some accused instrumentalities in fact include *any* accused
13 microprocessor,³⁶ and that they specifically examined only a few.³⁷ The contentions do not
14 address any product individually on a limitation-by-limitation basis, and none of the accused
15 instrumentalities are charted through an entire claim or against each limitation of each asserted
16 claim.³⁸ Instead, the contentions include a single claim chart for each patent that refers generically
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19 ³³ Patent L.R. 3-1(c).

20 ³⁴ See Case No. 12-cv-3877-VC, Docket No. 66 at 5-7; Case No. 12-cv-3877-VC, Docket No. 58-
21 3, Ex.2 at 31-54. LG does not appear to publicly disclose the specific microprocessor used in all
22 of its product lines. See Case No. 12-cv-3880-VC, Docket No. 90-1 at ¶ 8.

23 ³⁵ See Case No. 12-cv-3877-VC, Docket No. 66 at 8-9; Docket No. 58-4, Ex. 3 at 7-20; Case No.
24 12-cv-3880-VC, Docket No. 90 at 8-9.

25 ³⁶ See Case No. 12-cv-3877-VC, Docket No. 66 at 7; Case No. 12-cv-3880-VC, Docket No. 90 at
26 7.

27 ³⁷ See Case No. 12-cv-3877-VC, Docket No. 66 at 7; Docket No. 58-4, Ex. 3 at 7-20; Case No. 12-
28 cv-3880-VC, Docket No. 90 at 8.

³⁸ See Case No. 12-cv-3778-VC, Docket No. 80 at 2.

1 to “each Accused Product” and “each Accused Microprocessor.”³⁹ Considering that the whole
2 point of the Local Rule is to give notice to accused infringers of where within their
3 instrumentalities they allegedly infringe, this is insufficient.

4 Plaintiffs say the claim limitations apply to all of the accused microprocessors, such that
5 Plaintiffs completely charted the microprocessors.⁴⁰ They say they specifically identified the
6 location and implementation of oscillators on the accused microprocessors.⁴¹ They also say they
7 provided concrete examples of technologies that satisfy the claim limitations of the “off-chip
8 clock” and “I/O interface” and other structural limitations.⁴² For the ’890 and ’749 patents,
9 Plaintiffs say they make use of available literature regarding ARM architectures generally, and the
10 charts themselves analyze documents specific to the accused microprocessors.⁴³

12 But ARM microprocessors are a large family of processing cores developed over the last
13 thirty years. Even the subset of ARM processing cores allegedly found in the accused
14 instrumentalities contains several different design generations and different members within those
15 generations. Plaintiffs do not provide any contentions regarding any of the relevant characteristics
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20 ³⁹ Case No. 12-cv-3877-VC, Docket No. 58-4, Ex. 3; Docket No. 58-5, Ex. 4; Docket No. 58-6, Ex.
21 5.

22 ⁴⁰ See Case No. 12-cv-3877-VC, Docket No. 66 at 10-11; Case No. 12-cv-3880-VC, Docket No. 90
23 at 11-12.

24 ⁴¹ See Case No. 12-cv-3877-VC, Docket No. 66 at 7; Case No. 12-cv-3880-VC, Docket No. 90 at
25 7-8.

26 ⁴² See Case No. 12-cv-3877-VC, Docket No. 66 at 9; Case No. 12-cv-3880-VC, Docket No. 90 at
27 9-10.

28 ⁴³ See Case No. 12-cv-3877-VC, Docket No. 66 at 10; Docket No. 58-4 at 7-12; Case No. 12-cv-
3880-VC, Docket No. 90 at 10.

1 of these different ARM processors.⁴⁴ This undifferentiated treatment throughout its infringement
2 contentions is not sufficiently clear.

3 Plaintiffs also urge that the charted accused microprocessors are representative of other
4 microprocessors contained in the accused products.⁴⁵ They contend they have met their burden to
5 show that all of the listed accused products share the same infringing qualities of the representative
6 accused microprocessors referenced in the claim charts.⁴⁶ Plaintiffs provide a footnote stating the
7 infringement is based on the operation and implementation of their microprocessors, and give
8 examples of this operation and implementation.⁴⁷
9

10 But Plaintiffs' "representative product" approach is deficient. Plaintiffs simply state that
11 the operation and implementation of the seven and eight processors is "representative of the
12 operation of the processors in each of the Accused Products" in Tables A.4 and A.6, "on
13 information and belief."⁴⁸ Plaintiffs have not shown how the listed products share the same
14 allegedly infringing qualities as those for the seven and eight processors referenced in the
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16 ⁴⁴ See Case No. 12-cv-3880-VC Docket No. 80 at 6.

17 ⁴⁵ See Case No. 12-cv-3877-VC, Docket No. 66 at 11; Case No. 12-cv-3880-VC, Docket No. 90 at
18 11-12.

19 ⁴⁶ See Case No. 12-cv-3877-VC, Docket No. 66 at 11; Case No. 12-cv-3880-VC, Docket No. 90 at
20 11-12.

21 ⁴⁷ See Case No. 12-cv-3877-VC, Docket No. 66 at 11; Case No. 12-cv-3880-VC, Docket No. 90 at
22 11-12; *cf. Shared Memory Graphics*, 812 F. Supp. 2d at 1025; *Netlist, Inc. v. Smart Storage Sys.*,
23 Case No. 13-cv-05889-YGR, 2014 U.S. Dist. LEXIS 45670, at *15-16 (N.D. Cal. Apr. 1, 2014)
24 ("Rather than cite to a particular claim element that it believes is insufficiently linked to the
25 accused product, [the alleged infringer] merely cites to the entire contentions and asserts that '[a]
26 review' of the contentions 'confirms' that not every claim element is mapped on to the accused
27 product."); *ASUS Computer Int'l v. Round Rock Research, LLC*, Case No. 12-cv-02099-JST, 2013
28 U.S. Dist. LEXIS 145824, at *3 (N.D. Cal. Oct. 8, 2013) (holding the infringement contentions
provided adequate notice when alleged infringer did not explain why the infringement contentions
did not give notice of patentee's theories of infringement of representative products).

⁴⁸ *E.g.* Case No. 12-cv-3877-VC, Docket No. 58-4, Ex. 3; Docket No. 58-5, Ex. 4; Docket No. 58-
6, Ex. 5 (footnote 1 of each chart).

1 (deficient) claim charts.⁴⁹ The listed products contain dozens of different makes and models of
2 processors. And while some of the products are made by Qualcomm, Texas Instruments and
3 Samsung, this alone does not render them “representative” of the two or three models identified in
4 the claim charts.⁵⁰ Many of the products in the tables do not identify any processor whatsoever,
5 and many more include processors from manufacturers such as Zoran, Motorola, Marvell,
6 STMicroelectronics, STEricsson and Nvidia, not mentioned anywhere in the claim charts.⁵¹
7
8 Plaintiffs must either chart these processors or demonstrate how they are substantially similar to the
9 allegedly representative processors for purposes of each asserted claim.

10 Plaintiffs may be right that Defendants’ claims of confusion are dubious given the ITC and
11 HTC proceedings, or that Defendants know well Plaintiffs’ position that all modern, advanced
12 microprocessors share the same infringing qualities relevant to the ’336 patent.⁵² But the Rules do
13 not give Plaintiffs an out simply because an accused infringer has other ways of understanding
14 their infringement contentions. Focusing on the contentions themselves, Plaintiffs clearly have not
15 provided enough information to permit a reasonable inference that all accused products infringe in
16 these related cases, which they must do for their contentions to stand.
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20 ⁴⁹ See *Silicon Labs.*, 2015 WL 846679, at *1; *Bender v. Infineon Technologies N. Am. Corp.*, Case
21 No. 09-cv-02112-JW, 2010 WL 964197, at *1 (N.D. Cal. Mar. 16, 2010). Like *Silicon Labs*,
22 publicly available documents demonstrate “different structures that are material to the infringement
of at least one of the asserted claims.” *Silicon Labs.*, 2015 WL 846679, at *1. Defendants cite the
ARM1176 processor as an example, *see, e.g.*, Case No. 12-cv-3877-VC, Docket No. 58 at 11,
which Plaintiffs dispute, *see, e.g.*, Case No. 12-cv-3877-VC, Docket No. 66 at 12-13.

23 ⁵⁰ See *Bender*, 2010 WL 1689465 at *1-2; *Ameranth, Inc. v. Pizza Hut, Inc.*, Case No. 12-cv-1627-
24 JLS, 2013 WL 3894880, at *1 (S.D. Cal. July 26, 2013).

25 ⁵¹ See Case No. 12-cv-3877-VC, Docket No. 58 at 3, 11; Case No. 12-cv-3880-VC Docket No. 80
at 12.

26 ⁵² See Case No. 12-cv-3877-VC, Docket No. 66 at 3, 11; Case No. 12-cv-3880-VC Docket No. 90
27 at 2, 11, 12; *cf. Infineon*, 2013 U.S. Dist. LEXIS 109165, at *5.

1 *Second*, Plaintiffs’ contentions provide only conclusory allegations. Plaintiffs argue their
2 contentions are based on far more than an unsupported conclusion based “on information and
3 belief.”⁵³ This is because their contentions are based on Oklobdzija’s analysis, “publicly available
4 information” of Defendants and of third parties on all modern microprocessors, “reasonable
5 conclusions” and specific analysis of several accused products and their accused microprocessors.⁵⁴
6 The Patent Local Rules do not “require the disclosure of specific evidence [or] require a plaintiff to
7 prove its infringement case.”⁵⁵
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9 But reliance on “information and belief,” Wikipedia, legal conclusions and cursory
10 analysis⁵⁶ rather than evidence to establish specific claim limitations and representativeness does
11 not comport with Patent L.R. 3-1.⁵⁷ Plaintiffs’ contention that they rely on public understandings
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15 ⁵³ See Case No. 12-cv-3877-VC, Docket No. 66 at 14; Case No. 12-cv-3880-VC, Docket No. 90 at
16 3, 14-15; *cf. Solannex, Inc.*, 2013 WL 1701062, at *3 (holding a plaintiff cannot satisfy its Rule 3-1
17 obligations by responding with “nothing more than a conclusion based ‘on information and belief’
18 that something exists or occurs.”) (citations omitted).

19 ⁵⁴ See Case No. 12-cv-3877-VC, Docket No. 66 at 14; Case No. 12-cv-3880-VC, Docket No. 90 at
20 3, 14-15.

21 ⁵⁵ *Solannex*, 2013 WL 1701062, at *4 (citations omitted).

22 ⁵⁶ See Case No. 12-cv-3877-VC, Docket No. 58-4, Ex. 3; Docket No. 58-5, Ex. 4; Docket No. 58-
23 6, Ex. 5. See also Case No. 12-cv-3880-VC, Docket No. 80 at 7 (“This lack of support could not
24 have resulted from lack of available information regarding the design and operation of the accused
25 functionality, because Plaintiffs admit that they had public technical information available at the
26 time they prepared the Contentions.”); Docket No. 80-8, Ex. D at 2 (“For example, PDS provided
27 numerous citations to public ARM documents to support its contentions.”).

28 ⁵⁷ See, e.g., *Solannex*, 2013 WL 1701062, at *3; *Theranos, Inc. v. Fuisz Pharma LLC*, Case No. 11-
CV-05236-YGR, 2012 WL 6000798, at *3 (N.D. Cal. Nov. 30, 2012) (“simply alleging ‘on
information and belief’ and representing ‘vague, conclusory, and confusing statements’ does not
satisfy the requirement that the identifications be ‘as specific as possible.’”); *CSR Tech. Inc. v.*
Freescale Semiconductor, Inc., Case No. 12-cv-02619-RS, 2013 WL 503077, at *7-8 (N.D. Cal.
Feb. 8, 2013).

1 of microprocessors as a whole is inapposite because to do so their infringement contentions would
2 have to be based upon “industry standards,” and they are not.⁵⁸

3 Plaintiffs also contend the ’336 claim charts for elements 6.d and ’890 element 12.b do not
4 rely upon conclusory statements such that a person having ordinary skill in the art would not
5 understand the infringement contentions. Plaintiffs say the ’336 chart identifies the representative
6 infringing structure, the operation of accused instrumentalities and how accused microprocessors of
7 accused instrumentalities perform the recited limitations, and the ’890 chart includes a diagram and
8 links to literature.⁵⁹ This, to Plaintiffs, puts Defendants on reasonable notice of Plaintiffs’
9 reasonable chance of proving infringement.⁶⁰

11 But a bare assertion that a PHOSITA could locate the element in the accused
12 instrumentality, without more evidence, does not comply with Rule 3-1.⁶¹ Concluding that a
13 PHOSITA could locate the element—as Plaintiffs do—does not “provide reasonable notice to the
14 defendant why the plaintiff believes it has a ‘reasonable chance of proving infringement.’”⁶²
15 Plaintiffs cannot wait for discovery before complying with their obligations under Rule 3-1; they
16 must perform an analysis of information reasonably available to it, and disclose what
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21 ⁵⁸ Cf. *France Telecom, S.A. v. Marvell Semiconductor, Inc.*, Case No. 12-cv-04967-WHA, 2013
22 WL 1878912, at *1 (N.D. Cal. May 3, 2013) (dealing with infringement allegations based upon
“industry standards.”).

23 ⁵⁹ See Case No. 12-cv-3877-VC, Docket No. 66 at 14-15; Case No. 12-cv-3880-VC, Docket No. 90
24 at 3, 15.

25 ⁶⁰ See *Shared Memory Graphics*, 812 F. Supp. 2d at 1025.

26 ⁶¹ See, e.g., *Bender*, 2010 WL 1689465, at *4 (“Merely alluding to the fact that any electrical
27 engineer would understand the infringement contentions is not sufficient.”).

28 ⁶² *Shared Memory Graphics*, 812 F. Supp. 2d at 1025; *Bender*, 2010 WL 1689465, at *4.

1 instrumentality in each individual accused product allegedly practices each limitation of every
2 asserted claim.⁶³

3 **Third**, without explanation, Plaintiffs improperly accuse hundreds of instrumentalities of
4 infringing, even though they either were never made, sold, used or imported in the United States;
5 or they were released after the expiration of the '749 and '890 Patents. "Whoever without
6 authority makes, uses, offers to sell, or sells any patented invention, within the United States or
7 imports into the United States any patented invention **during the term of the patent** therefor,
8 infringes the patent."⁶⁴ The '749 patent expired on August 8, 2012, and the '890 patent expired on
9 June 25, 2013.⁶⁵

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11 At least 208 of the 437 accused LG products LG has never made, sold, used or imported
12 into the United States.⁶⁶ Publicly available information shows many accused products were not
13 released until after the '890 and '749 patents expired.⁶⁷ Plaintiffs say they believe the products
14 would have been used, sold or offered for sale to carriers or at trade shows or submitted to the FCC
15 before the patents expired, and Defendants are in the best position to verify the earliest possible
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19 ⁶³ See *Bender v. Maxim Integrated Prods., Inc.*, Case No. 09-cv-01152-SI, 2010 WL 2991257, at
20 *2 (N.D. Cal. July 29, 2010).

21 ⁶⁴ 35 U.S.C. § 271(a) (emphasis added).

22 ⁶⁵ See Case No. 12-cv-3877-VC, Docket No. 1-2, Ex. A; Docket No. 1-3; Ex. B.

23 ⁶⁶ See Case No. 12-cv-38880-VC, Docket No. 80 at 3; Docket No. 80-10, Ex. F; *Microsoft Corp. v.*
24 *AT & T Corp.*, 550 U.S. 437, 441 (2007) (“[The] general rule under United States patent law is
25 that no infringement occurs when a patented product is made and sold in another country.”). There
is a “strong policy against extraterritorial liability” in the patent law. See *Halo Elecs., Inc. v. Pulse*
Elecs., Inc., 769 F.3d 1371, 1378 (Fed. Cir. 2014).

26 ⁶⁷ See Case No. 12-cv-3877-VC, Docket No. 58-1 at ¶ 13; Docket No. 58-3, Ex. 2 at 48; Docket
27 No. 58-13, Ex. 11.

1 date of infringement associated with the accused products.⁶⁸ Plaintiffs claim they were diligent by
2 only identifying accused products that could have infringed on a date prior to the expiration of
3 Patent Nos. '749 and '890.⁶⁹

4 Plaintiffs' attempt to shift the burden to Defendants to identify which of the accused
5 products post-dated the expiration of the patents is improper,⁷⁰ and Plaintiffs' listing of hundreds of
6 products with no explanation does not show diligence.

7 IV.

8 Plaintiffs' infringement contentions are plainly deficient. As for what exactly to do about
9 that, while Defendants argue they will be unfairly prejudiced if Plaintiffs are allowed to amend
10 beyond the seven or eight charted processors, the court is persuaded that a nondispositive order
11 requiring supplementation strikes the right balance. "Where appropriate, courts treat a motion to
12 strike as a motion to compel amendment to include additional information infringement
13 contentions."⁷¹

14 Plaintiffs have 14 days to amend their infringement contentions to comply with Patent L.R.
15 3-1. Plaintiffs must identify specifically where each limitation of each asserted claim is found
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18 ⁶⁸ See Case No. 12-cv-3877-VC, Docket No. 66 at 16; See Case No. 12-cv-38880-VC, Docket No.
19 90 at 4, 16.

20 ⁶⁹ See Case No. 12-cv-3877-VC, Docket No. 66 at 16; See Case No. 12-cv-38880-VC, Docket No.
21 90 at 16-17.

22 ⁷⁰ See Case No. 12-cv-3877-VC, Docket No. 58-6, 58-7, Ex. 5; *Bender v. Maxim Integrated Prods.,*
23 *Inc.*, Case No. 09-cv-01152-SI, 2010 WL 1135762, at *2 (N.D. Cal. March 22, 2010) ("[P]laintiff
24 bears the burden of providing infringement contentions that specify the location of every claim
25 element within the accused products, so that the Court can make a principled decision on whether
26 discovery will proceed.").

27 ⁷¹ *Blue Spike, LLC v. Adobe Sys., Inc.*, Case No. 14-cv-01647-YGR, 2015 WL 335842, at *4 (N.D.
28 Cal. Jan. 26, 2015) (citing *Innovative Automation LLC v. Kaleidescape, Inc.*, Case No. 13-cv-
05651 JD, 2014 WL 5408454, at *3 (N.D. Cal. Oct. 23, 2014); *France Telecom*, 2013 WL
1878912, at *2 (citations omitted); *FusionArc, Inc. v. Solidus Networks, Inc.*, Case No. 06-cv-
06760-RMW, 2007 WL 1052900, at *2 (N.D. Cal. Apr. 5, 2007)).

1 within each accused instrumentality, including, at a minimum, providing a separate claim chart for
2 each accused microprocessor. Plaintiffs must exclude any product for which Plaintiffs cannot
3 show a good faith basis to believe infringement occurred in the United States during the life of the
4 patent of which it is accused of infringing. Because “[c]ourts in this district generally do not order
5 defendants to proceed with discovery in patent cases until the plaintiff provides infringement
6 contentions that comply with Patent L.R. 3– 1,”⁷² Plaintiffs’ motion to compel is DENIED.

7 **SO ORDERED.**

8 Dated: July 11, 2015

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11 PAUL S. GREWAL
12 United States Magistrate Judge
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27 ⁷² *Digital Reg of Texas, LLC v. Adobe Systems Inc.*, 2013 WL 633406, at *5 (N.D. Cal. Feb. 20,
28 2013).