

United States District Court
Northern District of California

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

ROBERT MCCREE,
Plaintiff,
v.
STATE OF CALIFORNIA DEPARTMENT
OF CONSERVATION, et al.,
Defendants.

Case No. 12-cv-04127-JST
**ORDER GRANTING MOTION FOR
JUDGMENT ON THE PLEADINGS**
Re: ECF No. 25

In this employment discrimination suit between Plaintiff Robert McCree and Defendants the California Department of Conservation (“Department”), Thomas Gibbs, and Theresa Green, Defendants move for judgment on the pleadings. For the reasons set forth below, the motion will be granted, but Plaintiff will be given leave to amend some claims.

I. BACKGROUND

Plaintiff was hired as the equal employment opportunity (EEO) officer for the California Department of Conservation in September 2010.¹ Compl. ¶ 13. His duties included ensuring the Department was in compliance with state and federal discrimination laws. Id. Defendant Gibbs was the Deputy Director of the Department, employed at the Department’s Sacramento office. Id. ¶ 8. Defendant Green was the Chief of the Employee Relations Office at the Department, also employed at the Sacramento office. Id. ¶ 9.

From August 2011 to October 2011, Gibbs stripped Plaintiff of certain “salient” duties as EEO Officer and “unilaterally gave them to Defendant Green.” Id. ¶¶ 24–25. Plaintiff alleges that

¹ The Court accepts the allegations of the Complaint as true for the purpose of resolving this Rule 12(c) motion. See Cahill v. Liberty Mutual Ins. Co., 80 F.3d 336, 337–38 (9th Cir. 1996); infra, § III (discussing Rule 12(c) legal standards).

1 Gibbs acted in violation of California Government Code section 19795(a), which states, in
2 relevant part: “The appointing power of each state agency and the director of each state
3 department shall appoint, at the managerial level, an equal employment opportunity officer, who
4 shall report directly to, and be under the supervision of, the director of the department, to develop,
5 implement, coordinate, and monitor the agency’s equal employment opportunity program.”
6 According to Plaintiff, Green was not “qualified nor hired to oversee Plaintiff’s duties” as EEO
7 Officer. Id. ¶ 25. “Additionally, Plaintiff no longer had authority over his staff, was excluded
8 from the management team meetings, and his duties and the schedule of his staff were restructured
9 without his input.” Id.

10 In October 2011, Plaintiff “was subjected to workplace violence” that is not otherwise
11 relevant here. Id. ¶ 14. Plaintiff was instructed by Defendant Gibbs to report to his office for a
12 “one-on-one” meeting. Id. However, Defendants Green and Gibbs both attended that meeting due
13 to the above-described restructuring. Id. ¶ 15. Gibbs stated at the outset of the meeting: “This is
14 going to be a different one-on-one meeting, let me start off by saying that I am very disappointed
15 in you as EEO Officer, and I don’t trust you. You have totally lost my trust in you and when that
16 happens, I don’t know if you’ll ever get that back.” Id. ¶ 16. Gibbs criticized Plaintiff McCree,
17 his work, and his ethics. Id. ¶ 17–18. According to Plaintiff, Gibbs told him that he had prayed
18 for Plaintiff, and that he had gone through counseling because of him. Id. ¶ 18.

19 Plaintiff alleges that Gibbs’ statements and actions “were violent in nature, and are clear
20 evidence of a hostile work environment in which Plaintiff could not fulfill his duties effectively.”
21 Id. ¶ 20. Plaintiff developed “serious trepidation attending” the weekly meetings with Gibbs and
22 Green and suffered from increased stress levels and hypertension. Id.

23 On or about October 21, 2011, Plaintiff requested information from Green “regarding the
24 reportability of his position given the recent restructuring.” Id. ¶ 21. According to Plaintiff,
25 Green told him he was Plaintiff’s direct supervisor, which Plaintiff believes is unlawful. Id.
26 Following that discussion, Plaintiff was instructed not to attend on- or off-site “association or
27 member meetings” without approval; previously, prior approval had not been required. Id. ¶ 22.
28 Plaintiff was also removed from the bi-monthly Executive Staff Meetings “until further notice

1 from Defendant Green.” Id. ¶ 23.

2 Plaintiff took an early retirement on December 5, 2011. Id. ¶ 26. He alleges that he retired
3 because he was constructively discharged. Id. ¶ 26. In particular, Plaintiff alleges that he was
4 constructively discharged: (1) in retaliation for Plaintiff “requesting further information on the
5 restructuring of the Equal Employment Office, and the removal of his managerial duties in
6 contradiction to California statute,” which he says constituted a protected activity, id. ¶¶ 25, 27;
7 and (2) on the basis of Plaintiff’s age, sex, and disability, id. ¶¶ 27, 32–33.

8 Plaintiff filed a complaint with the Equal Employment Opportunity Commission (EEOC)
9 in which he claimed discrimination based upon his age, sex and disability. On May 10, 2012, the
10 EEOC issued a notice of right-to-sue letter. Compl., Ex A. Plaintiff then filed this case.

11 **II. REQUEST FOR JUDICIAL NOTICE**

12 Although a court’s review on a motion to dismiss² is generally limited to the allegations in
13 the complaint, Lee v. City of Los Angeles, 250 F.3d 668, 688 (9th Cir. 2001), courts may properly
14 take judicial notice of material attached to the complaint and of matters in the public record
15 pursuant to Federal Rule of Evidence 201(b). See, e.g., Castillo-Villagra v. INS, 972 F.2d 1017,
16 1026 (9th Cir. 1992). The “incorporation by reference” doctrine applies when a “plaintiff’s claim
17 depends on the contents of a document, the defendant attaches the document to its motion to
18 dismiss, and the parties do not dispute the authenticity of the document, even though the plaintiff
19 does not explicitly allege the contents of that document in the complaint.” Knievel v. ESPN, 393
20 F.3d 1068, 1076 (9th Cir. 2005). A court may thus take judicial notice of matters of public record
21 without converting a motion to dismiss into a motion for summary judgment, but it may not take
22 judicial notice of a fact that is subject to reasonable dispute. Lee v. City of Los Angeles, 250 F.3d
23 at 689. A court “shall take judicial notice if requested by a party and supplied with the necessary
24 information.” Fed. R. Evid. 201(d). See Sato v. Wachovia Mortg., FSB, No. 11-cv-00810-EJD,
25 2011 WL 2784567, at *2 (N.D. Cal. July 13, 2011).

26 _____
27 ² The Court evaluates requests for judicial notice in conjunction with a Rule 12(c) motion for
28 judgment on the pleadings as it would in conjunction with a Rule 12(b)(6) motion to dismiss. See
infra § III (discussing Rule 12(c) legal standards).

1 With their motion, Defendants request that the Court take judicial notice of documents
2 pertaining to Plaintiff’s EEOC proceedings. Def.s’ RJN, ECF No. 26, Ex. A at 2. The documents
3 are public agency records, they are incorporated by reference into Plaintiff’s Complaint, and
4 Plaintiff does not dispute their authenticity. The Court therefore GRANTS Defendants’ request
5 for judicial notice of Plaintiff’s EEOC Complaint and related documents.

6 **III. LEGAL STANDARDS**

7 “After the pleadings are closed – but early enough not to delay trial – a party may move for
8 judgment on the pleadings.” Fed. R. Civ. P. 12(c). Analysis under Rule 12(c) motions for
9 judgment on the pleadings is “substantially identical to analysis under Rule 12(b)(6) because,
10 under both rules, a court must determine whether the facts alleged in the complaint, taken as true,
11 entitle the plaintiff to a legal remedy.” Chavez v. United States, 683 F.3d 1102, 1108 (9th Cir.
12 2012) (quotation omitted).

13 On a Rule 12(b)(6) motion to dismiss, the court accepts the material facts alleged in the
14 complaint, together with reasonable inferences to be drawn from those facts, as true. Navarro v.
15 Block, 250 F.3d 729, 732 (9th Cir. 2001). However, “the tenet that a court must accept a
16 complaint’s allegations as true is inapplicable to threadbare recitals of a cause of action’s
17 elements, supported by mere conclusory statements.” Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009).
18 To be entitled to the presumption of truth, a complaint’s allegations “must contain sufficient
19 allegations of underlying facts to give fair notice and to enable the opposing party to defend itself
20 effectively.” Starr v. Baca, 652 F.3d 1202, 1216 (9th Cir. 2011), reh’g den’d 659 F.3d 850 (9th
21 Cir. 2011), cert. den’d, ___ U.S. ___, 132 S.Ct. 2101 (2012).

22 In addition, to survive a motion to dismiss, a plaintiff must plead “enough facts to state a
23 claim to relief that is plausible on its face.” Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 570
24 (2007). Plausibility does not mean probability, but it requires “more than a sheer possibility that a
25 defendant has acted unlawfully.” Iqbal, 556 U.S. at 678. “A claim has facial plausibility when the
26 plaintiff pleads factual content that allows the court to draw the reasonable inference that the
27 defendant is liable for the misconduct alleged.” Id.

28

1 **IV. ANALYSIS**

2 The claims in Plaintiff’s Complaint are not as clearly described as they might be, to the
3 point that they do not put Defendant on sufficient notice. Plaintiff refers to federal and state “civil
4 rights laws” and other statutes, often without enumeration, and Plaintiff’s opposition to this
5 motion does not clarify matters. Reading the Complaint generously,³ the Court finds that Plaintiff
6 asserts the following potential common law causes of action: (1) wrongful termination; (2)
7 harassment; and (3) intentional infliction of emotional distress. Plaintiff asserts the following
8 potential statutory causes of action: (4) age discrimination in violation of the Age Discrimination
9 in Employment Act; (5) age discrimination in violation of Title VII; (6) disability discrimination
10 in violation of the Civil Rights Act; (7) age discrimination in violation of the California Fair
11 Employment and Housing Act (“FEHA”); (8) retaliation based on disability in violation of FEHA;
12 (9) harassment in violation of FEHA; (10) failure to prevent discrimination in violation of FEHA
13 (against the Department only); and (11) violation of the California Unfair Competition Law. In
14 addition, the Complaint asserts two potential contract claims for (12) breach of contract and (13)
15 breach of the implied covenant of good faith and fair dealing against the Department. Plaintiff
16 also argues in his opposition that his Complaint asserts claims for racial discrimination in violation
17 of Title VII and the Civil Rights Act, even though the Complaint does not contain any reference to
18 Plaintiff’s race or racial discrimination.

19 Defendants move for judgment on the pleadings on nearly all of Plaintiff’s claims, and, as
20 to Defendant Gibbs, because Plaintiff failed to substitute a new defendant following the filing of a
21 suggestion of death.

22 **A. Defendant Gibbs**

23 Federal Rule of Civil Procedure 25(a)(1) provides: “If a party dies and the claim is not
24 extinguished, the court may order substitution of the proper party. A motion for substitution may
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27 ³ In any future, amended complaint, Plaintiff shall clearly separate each claim, i.e., discrimination
28 on the basis of age, discrimination on the basis of sex, etc., and clearly state the statutory basis for
each claim not grounded on the common law.

1 be made by any party or by the decedent’s successor or representative.” However, if no such
2 motion is made within ninety days of service of a statement noting the death, “the action by or
3 against the decedent must be dismissed.” Fed. R. Civ. P. 25(a)(1).

4 Defendants move to dismiss Defendant Gibbs with prejudice because no motion for
5 substitution was filed within ninety days of the filing of Defendants’ Suggestion of Death on
6 November 8, 2012, ECF No. 9. Plaintiff responds: “Plaintiff has yet to receive a statement of
7 death from Defendant regarding the demise of Defendant Gibbs. Therefore, Plaintiff may still file
8 a motion requesting substitution of party to name the estate of Defendant Gibbs, and Defendant’s
9 motion should be overruled.” ECF No. 28 p. 5.

10 Rule 25(a)(3) provides that service of a statement of death “must be served on the parties
11 as provided in Rule 5.” Fed. R. Civ. P. 25(a)(3). Rule 5, in turn, authorizes service of a paper by
12 “sending it by electronic means if the person consented in writing — in which event service is
13 complete upon transmission.” Fed. R. Civ. P. 5(b)(2)(E). “If a local rule so authorizes, a party
14 may use the court’s transmission facilities to make service” by electronic means. Fed. R. Civ. P.
15 5(b)(3).

16 Plaintiff McCree’s counsel registered to use the Court’s Electronic Case Filing (“ECF”)
17 system, and Attorney Porrino has filed several documents in this action using the ECF system,
18 including the opposition to Defendants’ instant motion, as well as a certificate of service of the
19 summons, which was filed prior to the suggestion of death. ECF No. 7 (Oct. 9, 2012).

20 The suggestion of death was filed November 8, 2012, at 3:59 p.m., and the Notice of
21 Electronic Filing demonstrates that it was served on three of Plaintiff’s counsel’s e-mail addresses.
22 Further, it has been available publicly on the Court’s electronic docket since the date it was filed.
23 Plaintiff does not provide any reason to question whether Plaintiff’s counsel received the Notice of
24 Electronic Filing, and the deadline to file a motion for substitution passed nearly nine months
25 ago.⁴ To date, Plaintiff has not filed a motion to substitute Defendant Green. As required by Rule

26 _____
27 ⁴ Not only did the deadline to file a motion for substitution pass nearly nine months ago, but more
28 than ninety days passed again from the date of filing of Defendants’ Motion, on May 16, 2013.

1 25(a)(1), Plaintiff’s claims against Defendant Gibbs are hereby DISMISSED with prejudice.

2 **B. State Common Law Claims**

3 California law is very clear that “except as otherwise provided by statute” public entities
4 are not subject to liability for tortious injuries caused by a “public entity or a public employee or
5 any other person.” Cal. Gov. Code § 815. Government liability in California can only be based
6 on statutes that specifically allow for it, not on common law. See, e.g., Miklosy v. Regents of
7 Univ. of Cal., 44 Cal. 4th 876, 899 (2008); Zelig v. Cnty. of Los Angeles, 27 Cal. 4th 1112, 1127
8 (2002). Plaintiff brings multiple common law claims, each of which is addressed below.

9 **1. Wrongful Termination**

10 Plaintiff alleges he was wrongfully terminated by the Department. Plaintiff retired in
11 December 2011, after taking a period of medical leave. Compl. ¶¶ 26, 31. He alleges, however,
12 that he was constructively discharged, amounting to wrongful termination. Defendants argue that
13 California state employees may not assert claims for wrongful termination in violation of public
14 policy against a public entity.

15 Multiple California courts, citing Government Code section 815, have recognized the
16 futility of so-called Tameny claims,⁵ like the ones Plaintiff asserts here. See Miklosy, 44 Cal. 4th
17 at 900 (“[S]ection 815 bars Tameny actions against public entities”); Ross v. San Francisco Bay
18 Area Rapid Transit Dist., 146 Cal. App. 4th 1507, 1514 (2007). Nor can such claims be brought
19 against an individual. “[A] Tameny action for wrongful discharge can only be asserted against an
20 employer. An individual who is not an employer cannot commit the tort of wrongful discharge in
21 violation of public policy.” Miklosy, 44 Cal. 4th at 900 (original emphasis); see also Reno v.
22 Baird, 18 Cal. 4th 640, 663 (1998) (holding employee “may not sue [her supervisor] individually
23 for wrongful discharge in violation of public policy”).

24
25 _____
26 ⁵ In Tameny v. Atlantic Richfield Co., 27 Cal. 3d 167 (1980), the California Supreme Court held
27 that an employee who was allegedly fired because he refused to participate in an illegal scheme to
28 fix the price of gasoline could maintain a tort action for wrongful discharge against his employer.

1 Plaintiff's wrongful termination claim against all Defendants is DISMISSED with
2 prejudice.

3 **2. Harassment**

4 There are six elements to a common law harassment claim: (1) "a knowing and willful
5 course of conduct"; (2) "directed at a specific person"; (3) "which seriously alarms, annoys, or
6 harasses the person"; (4) "which serves no legitimate purpose"; (5) which would and actually does
7 "cause a reasonable person to suffer substantial emotional distress"; and (6) which is not a
8 "[c]onstitutionally protected activity." Schild v. Rubin, 232 Cal. App. 3d 755, 762 (1991).

9 As discussed above, public entities in California are immune from tort liability unless
10 otherwise provided for by statute. See Cal. Gov. Code § 815; Miklosy, 44 Cal. 4th at 899.

11 With regard to Defendant Green, the Complaint fails to allege facts "specifically
12 connecting [Green] to the alleged" harassment. Brennan v. Concord EFS, Inc., 369 F. Supp. 2d
13 1127, 1136 (N.D. Cal. 2005).

14 Plaintiff's claim for common law harassment against the Department is DISMISSED with
15 prejudice. Plaintiff's claim for common law harassment against Defendant Green is DISMISSED
16 with leave to amend.

17 **3. Intentional Infliction of Emotional Distress**

18 There are four elements to a claim for intentional infliction of emotional distress: (1)
19 outrageous conduct; (2) intent by the defendant to cause emotional distress, or reckless disregard
20 for the possibility that its conduct will cause emotional distress; (3) the plaintiff's suffering of
21 severe emotional distress; and (4) the defendant's conduct as the actual or proximate cause of the
22 emotional distress. Hughes v. Pair, 46 Cal. 4th 1035, 1050 (2009).

23 The Department of Conservation is immune from liability for common law claims of
24 intentional infliction of emotional distress for the reasons stated above. With regard to Defendant
25 Green, the Complaint fails to allege facts specifically connecting her to the alleged intentional
26 infliction of emotional distress.

27 Plaintiff's claim for intentional infliction of emotional distress against Defendant Green is
28 DISMISSED with leave to amend. Plaintiff's claim for intentional infliction of emotional distress

1 against the Department is DISMISSED with prejudice.

2 **C. Federal Discrimination Claims**

3 The third cause of action has the subheading “Age Discrimination,” but Plaintiff does not
4 actually link his age discrimination claim to a particular statute. The cover page lists three
5 statutes: the Civil Rights Act, 42 U.S.C. § 1981a; Title VII, 42 U.S.C. § 2000e, et seq.; and the
6 Age Discrimination in Employment Act (“ADEA”), 29 U.S.C. §§ 621–34. Defendants move for
7 judgment as a matter of law on those claims because either the statutes do not cover the type of
8 discrimination Plaintiff has alleged, or because the Department has sovereign immunity under the
9 Eleventh Amendment.

10 Turning first to the doctrine of sovereign immunity, there are only two circumstances in
11 which an individual may sue a state. First, Congress can authorize such a suit “in the exercise of
12 its power to enforce the Fourteenth Amendment.” Coll. Sav. Bank v. Florida Prepaid
13 Postsecondary Educ. Expense Bd., 527 U.S. 666, 670 (1999). Second, the state may waive its
14 sovereign immunity by consenting to suit. Id. Absent either of those circumstances, the sovereign
15 immunity enjoyed by states prior to entering the Union remains in effect. Id.

16 For Congress to abrogate state sovereign immunity pursuant to the Fourteenth
17 Amendment, it must both (1) unequivocally express its intent to do so, and (2) act pursuant to a
18 valid exercise of its power. Id.; Seminole Tribe of Florida v. Florida, 517 U.S. 44, 55 (1996).

19 Sovereign immunity applies to states as well as arms of the state, and it is uncontested that
20 the Department of Conservation is an arm of the State of California. Northern Ins. Co. of New
21 York v. Chatham County, Ga., 547 U.S. 189, 193 (2006); Compl. ¶ 7. Suits against state officials
22 in their official capacity are treated as suits against the state itself; if the suit against the state is
23 barred by sovereign immunity, so too is the suit against the state official. Hafer v. Melo, 502 U.S.
24 21, 25 (1991).

25 The Court now turns to each of the potential bases for Plaintiff’s age discrimination claim.

26 **1. ADEA (Age Discrimination)**

27 The first potential basis is the ADEA. In Kimel v. Florida Bd. of Regents, 528 U.S. 62
28 (2000), the Supreme Court held that “in the ADEA, Congress did not validly abrogate the States’

1 sovereign immunity to suits by private individuals.” Id. at 91. Plaintiff does not dispute that his
2 claims against Defendants for violation of the ADEA are therefore barred, as those claims are
3 asserted against a state agency and state employees in their official capacity. Plaintiff’s ADEA
4 claim is therefore DISMISSED with prejudice.

5 **2. Title VII (Age Discrimination)**

6 The next potential basis cited by Plaintiff is Title VII.⁶ Defendants correctly argue that
7 Plaintiff’s Title VII age discrimination claim must be dismissed because Title VII does not extend
8 to age discrimination. 42 U.S.C. § 2000e-2(a) (“It shall be an unlawful employment practice for
9 an employer (1) to . . . discharge any individual, or otherwise to discriminate against any
10 individual . . . because of such individual's race, color, religion, sex, or national origin.”).

11 Plaintiff’s Title VII age discrimination claim is DISMISSED with prejudice. Plaintiff may
12 have leave to amend his complaint to allege a sex discrimination claim as to Defendant Green, but
13 not as to the Department.

14 **3. Civil Rights Act (Disability Discrimination)**

15 The Complaint makes reference to the fact that Plaintiff has a disability and implies that
16 his disability was another basis for the alleged discriminatory conduct. Id. ¶¶ 32, 33. But the
17 Complaint falls short of stating a claim based on disability discrimination, as Plaintiff concedes in
18 requesting leave to amend. See Opp. at 6.

19 _____
20 ⁶ In his opposition to the motion, Plaintiff argues that his Complaint also alleges discrimination on
21 the basis of sex in violation of Title VII. Plaintiff’s Complaint does include stray sentences to that
22 effect, Compl. ¶¶ 2, 27, but in light of the listed causes of action and the overall context of the
23 Complaint, those sentences are inadequate to state a claim.

24 Even if Plaintiff had adequately pleaded a Title VII sex discrimination claim, it could be asserted
25 only against the Department, not the individual Defendants. See Pink v. Modoc Indian Health
26 Project, 157 F.3d 1185, 1189 (9th Cir. 1998) (“civil liability for employment discrimination does
27 not extend to individual agents of the employer who committed the violations, even if that agent is
28 a supervisory employee”).

The Court will grant Plaintiff leave to bring a claim for sex discrimination against the Department
only.

1 Plaintiff's claims for disability discrimination against the Department and Defendant
2 Green in violation of the Civil Rights Act are DISMISSED with leave to amend.⁷

3 **D. California Fair Employment and Housing Act Claims**

4 Plaintiff brings a number of claims under California's Fair Employment and Housing Act
5 ("FEHA"), Cal. Gov. Code § 12900, et seq. FEHA is California's principal law banning
6 employment discrimination, and it prohibits discrimination in the workplace on the basis of race,
7 sex, gender, and disability, among other classifications. Cal. Gov. Code § 12940(a). Plaintiff
8 asserts four FEHA claims against Defendants: age discrimination (section 12940(a)); retaliation
9 based on disability (section 12940(h)); harassment (section 12940(j)); and failure to prevent
10 discrimination (section 12940(k)), only as to the Department.⁸ Defendants seek judgment on the
11 pleadings for all of the FEHA claims with respect to the individual Defendants.

12 **1. Discrimination**

13 The California Supreme Court has long held that individual supervisors may not be held
14 liable for discriminatory conduct under FEHA. Reno, 18 Cal. 4th at 663. In Reno, the California
15 Supreme Court held that the California legislature, in passing FEHA, did not intend to hold
16 individual employees liable, despite defining the term employer to include any person acting as an

17
18 ⁷ There is a possibility that Plaintiff's disability claims under Section 1981a are barred by the
19 Eleventh Amendment. To the extent that Plaintiff alleges discriminatory conduct that violates the
20 Fourteenth Amendment, his suit would not be barred. See U.S. v. Georgia, 546 U.S. 151, 158
21 (2006) ("[N]o one doubts that § 5 (of the Fourteenth Amendment) grants Congress the power to
22 'enforce ... the provisions' of the Amendment by creating private remedies against the States for
23 actual violations of those provisions."). But if Plaintiff alleges something short of a Fourteenth
24 Amendment violation, sovereign immunity likely bars the claim. Board of Trustees of the Univ.
of Ala. v. Garrett, 531 U.S. 356 (2001) (holding that states had Eleventh Amendment immunity
from suits brought under Title I of the Americans with Disabilities Act because Congress was
seeking to remedy conduct that it had not sufficiently shown to be a violation of the Fourteenth
Amendment).

25 The Court will refrain from evaluating whether Plaintiff is alleging discrimination that violates the
26 Fourteenth Amendment until Plaintiff has the opportunity to amend his complaint with more facts.

27 ⁸ The Court is attaching FEHA as a statutory basis to each of these claims, even though the
28 statutory basis is not clear from the Complaint.

1 agent of an employer. Id. at 645. Rather, the legislature simply intended to ensure that employers
2 could be held liable for the discriminatory conduct of their supervisory employees. Id. at 655.

3 Plaintiff's FEHA discrimination claim against Defendant Green is therefore DISMISSED
4 with prejudice.

5 **2. Retaliation**

6 FEHA retaliation claims may not be asserted against individual defendants. Jones v.
7 Lodge at Torrey Pines Partnership, 42 Cal. 4th 1158, 1173 (2008) (“[W]e conclude that the
8 employer is liable for retaliation under section 12940, subdivision (h), but nonemployer
9 individuals are not personally liable for their role in that retaliation.”).

10 Plaintiff's FEHA retaliation claim against Defendant Green is therefore DISMISSED with
11 prejudice.

12 **3. Harassment**

13 Plaintiff's ninth cause of action is styled “Harassment,” but it does not specify whether he
14 is bringing a FEHA claim under section 12940 or a common law claim. The Court interprets the
15 Complaint as bringing a claim on each basis. See supra, § IV.B.2.

16 As to the statutory claim, Defendants are incorrect in arguing that individuals may not be
17 held liable for harassment under FEHA. Unlike the FEHA sections addressing discrimination and
18 retaliation, the section banning harassment explicitly states that “[a]n employee of an entity
19 subject to this subdivision is personally liable for any harassment prohibited by this section that is
20 perpetrated by the employee.” Cal. Gov. Code § 12940(j)(3). “Harassment is not conduct . . .
21 necessary for management of the employer's business or performance of the supervisory
22 employee's job.” Reno, 18 Cal. 4th at 645–46. Accordingly, Plaintiff may sue both the
23 Department and Defendant Green personally.

24 However, Defendants correctly argue that all claims against Defendant Green are defective
25 because they fail to plead facts with the requisite specificity. The Complaint discusses Defendant
26 Gibbs' conduct at length, but barely addresses Defendant Green's involvement in the allegedly
27 unlawful conduct. Plaintiff's FEHA harassment claim is therefore DISMISSED with leave to
28 amend as to Defendant Green.

1 precludes Plaintiff from bringing a claim of breach of covenant of good faith and fair dealing.
2 Shoemaker v. Myers, 52 Cal. 3d 1, 24 (1990). Plaintiff does not dispute that he may not bring
3 contract claims against the Department arising out of a constructive discharge. Plaintiff's claims
4 for breach of contract and breach of the implied covenant of good faith and fair dealing are
5 therefore DISMISSED with prejudice.

6 **G. Leave to Amend to Add Race Discrimination Claims**

7 The Complaint does not allege race discrimination. In opposition to the instant motion,
8 Plaintiff argues for the first time that he was the victim of discrimination based on his race, and
9 seeks leave to amend the Complaint to assert the new claim. Defendants oppose the request on the
10 grounds that Plaintiff failed first to exhaust his administrative remedies with respect to his race
11 discrimination claims.

12 In order for a plaintiff asserting workplace discrimination claims to establish federal
13 subject matter jurisdiction he must have first exhausted the remedies available through the EEOC.
14 Sosa v. Hiraoka, 920 F.2d 1451, 1456 (9th Cir. 1990). The administrative exhaustion requirement
15 is satisfied if Plaintiff is making allegations "within the scope of the EEOC's actual investigation
16 or . . . which can reasonably be expected to grow out of the charge of discrimination." E.E.O.C.
17 v. Farmer Bros. Co., 31 F.3d 891, 899 (9th Cir. 1994) (emphasis in original). Allegations of
18 discrimination in the complaint must be "like and reasonably related to" the allegations of the
19 EEOC charge. Id. Where a plaintiff seeks to add claims based of theories of discrimination never
20 investigated by the EEOC, the "like and reasonably related to" test is not satisfied. Shah v. Mt.
21 Zion Hosp. & Med. Ctr., 642 F.2d 268, 272 (9th Cir. 1981).

22 Plaintiff's EEOC complaint alleges discrimination on the basis of sex, age, and disability,
23 but not race. The EEOC did not investigate any allegations of race discrimination, and Plaintiff's
24 allegations of discrimination based on sex, age, and disability could not reasonably have been
25 expected to trigger such an investigation. See id. Thus, Plaintiff could not satisfy the requirement
26 that he have exhausted his administrative remedies with respect to his race discrimination claims.

27 For this reason, and because the Court concludes that the proposed amendment would be
28 futile, Sylvia Landfield Trust v. City of Los Angeles, 729 F.3d 1189, 1196 (9th Cir. 2013),

1 Plaintiff's request to amend his complaint to include allegations of race discrimination is
2 DENIED.

3 **V. CONCLUSION**

4 For the foregoing reasons, the Court orders as follows:

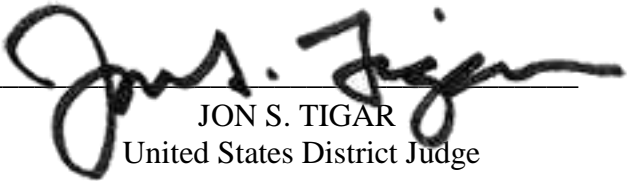
- 5 1. Defendant Gibbs is DISMISSED from this action with prejudice.
- 6 2. Plaintiff's common law claims for wrongful termination, harassment, and
7 intentional infliction of emotional distress against the Department are DISMISSED with prejudice.
- 8 3. Plaintiff's common law claim for wrongful termination against Defendant Green is
9 DISMISSED with prejudice. Plaintiff's common law claims for harassment and intentional
10 infliction of emotional distress against Defendant Green are DISMISSED with leave to amend.
- 11 4. Plaintiff's ADEA claim is DISMISSED with prejudice.
- 12 5. Plaintiff's Title VII age discrimination claim against all Defendants is DISMISSED
13 with prejudice.
- 14 6. Plaintiff has leave to amend his complaint to allege discrimination on the basis of
15 sex in violation of Title VII as to the Department only.
- 16 7. Plaintiff has leave to amend his complaint to more clearly allege claims for
17 disability discrimination against the Department and Defendant Green in violation of the Civil
18 Rights Act.
- 19 8. Plaintiff's FEHA discrimination and retaliation claims against Defendant Green are
20 DISMISSED with prejudice. Plaintiff's FEHA harassment claim against Defendant Green is
21 DISMISSED with leave to amend. Plaintiff's FEHA discrimination, retaliation, harassment, and
22 failure to prevent discrimination claims against the Department are not subjects of Defendants'
23 Motion for Judgment on the Pleadings and are not affected by this Order.
- 24 9. Plaintiff's UCL claim against the Department is DISMISSED with prejudice.
25 Plaintiff's UCL claim against Defendant Green is DISMISSED with leave to amend.
- 26 10. Plaintiff's contract claims against all Defendants are DISMISSED with prejudice.
- 27 11. Plaintiff's request to amend the Complaint to assert claims for discrimination based
28 on race is DENIED.

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12. Any amendment to the Complaint shall be filed within thirty days from the date of this Order.

IT IS SO ORDERED.

Dated: November 4, 2013



JON S. TIGAR
United States District Judge