

**FILED**  
2012 SEP 27 P 2:27  
RICHARD W. WIEKING  
CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

1 MICHAEL G. SHANNON (*Pro Hac Vice to be filed*)  
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15 A Professional Corporation  
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Telephone: (415) 398-6000  
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18 Attorneys for Defendants DAVID LERNER  
19 ASSOCIATES, INC. and DAVID LERNER

20 **UNITED STATES DISTRICT COURT**  
21 **NORTHERN DISTRICT OF CALIFORNIA**

22 PIPING ROCK PARTNERS, INC., a California )  
corporation, and CHRISTOPHER K. )  
23 GERMAIN, an individual, )

24 Plaintiffs, )

25 vs. )

26 DAVID LERNER ASSOCIATES, INC., a New )  
York corporation, DAVID LERNER, an )  
27 individual, and GEORGE DOBBS, an individual, )

28 Defendants. )

Case No. C 12-4634 SI

**MICHAEL G. SHANNON'S APPLICATON  
FOR ADMISSION PRO HAC VICE**

1 Pursuant to Civil L.R. 11-3, Michael G. Shannon, an active member in good standing  
2 of the bar of New York, hereby applies for admission to practice in the Northern District of California  
3 on a *pro hac vice* basis representing Defendants DAVID LERNER ASSOCIATES, INC. and DAVID  
4 LERNER in the above-entitled action.

5 In support of this application, I certify on oath that:

6 1. I am an active member in good standing of the bar of the State of New York, as  
7 indicated above. A true and correct copy of a Certificate of Good Standing is attached hereto as  
8 Exhibit A;

9 2. I agree to abide by the Standards of Professional Conduct set forth in Civil  
10 Local Rule 11-4, to comply with General Order No. 45, Electronic Case Filing, and to become  
11 familiar with the Local Rules and the Alternative Dispute Resolution programs of this Court; and

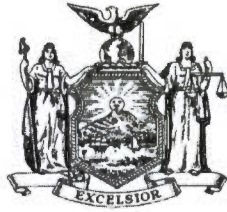
12 3. An attorney who is a member of the bar of this Court in good standing and who  
13 maintains an office within the State of California has been designated as co-counsel in the above-  
14 entitled action. The name, address and telephone number of that attorney is:

15 Stephen Young (CASB No. 58711)  
16 Christopher A. Stecher (CASB No. 215329)  
17 Nathan R. Jaskowiak (CASB No. 248007)  
18 Keesal, Young & Logan  
19 450 Pacific Avenue  
20 San Francisco, CA 94133  
21 Phone: (415) 398-6000

22 I declare under penalty of perjury that the foregoing is true and correct.

23 DATED: September 24, 2012

24   
25 Michael G. Shannon



**Appellate Division of the Supreme Court  
of the State of New York  
Second Judicial Department**

I, **Aprilanne Agostino**, Clerk of the Appellate Division of the Supreme Court of the State of New York, Second Judicial Department, do hereby certify that **Michael George Shannon** was duly licensed and admitted to practice as an Attorney and Counselor-at-Law in all the courts of the State, according to the laws of the State and the court rules and orders, on the 5<sup>th</sup> day of **March 1975**, has duly taken and subscribed the oath of office prescribed by law, has been enrolled in the Roll of Attorneys and Counselors-at-Law on file in my office, has duly registered with the administrative office of the courts, and according to the records of this court is in good standing as an Attorney and Counselor-at-Law.

In Witness Whereof, I have hereunto set my hand and affixed the seal of said Appellate Division on September 11, 2012.

*Aprilanne Agostino*

Clerk of the Court





Court Name: U.S. District Court, NDCA  
Division: 3  
Receipt Number: 34611879865  
Cashier ID: sprinka  
Transaction Date: 09/27/2012  
Payer Name: THOMPSON HINE LLP

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PRO HAC VICE  
For: MICHAEL G SHANNON  
Case/Party: D-CAN-3-12-AT-PROHAC-881  
Amount: \$385.00

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PAPER CHECK CONVERSION  
Check/Money Order Num: 474941  
Amt Tendered: \$385.00

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Total Due: \$385.00  
Total Tendered: \$385.00  
Change Amt: \$0.00

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12-463451

Checks and drafts are accepted  
subject to collections and full  
credit will only be given when the  
check or draft has been accepted by  
the financial institution on which  
it was drawn.

**UNITED STATES DISTRICT COURT  
Northern District of California  
450 Golden Gate Avenue  
San Francisco, California 94102**

[www.cand.uscourts.gov](http://www.cand.uscourts.gov)

Richard W. Wieking  
Clerk

General Court Number  
415.522.2000

September 27, 2012

San Mateo County Superior Court  
**400 County Center**  
**Redwood City, Ca 94063**

RE: CV 12-04645 SI EQUITY GROWTH ASSET-v-ROMEO FERNANDO

Your Case Number: (CLJ206396)

Dear Clerk,

Pursuant to an order remanding the above captioned case to your court, transmitted herewith are:

- Certified copies of docket entries
- Certified copies of Remand Order
- Other

Please acknowledge receipt of the above documents on the attached copy of this letter.

Sincerely,

RICHARD W. WIEKING, Clerk

/s/

by: Yumiko Saito  
Case Systems Administrator

Enclosures  
Copies to counsel of record

RECEIVED  
2012 SEP 27 P 2:31  
DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

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27 individual, and GEORGE DOBBS, an individual, )  
28 )  
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Case No. C 12-4634 SI

~~PROPOSED~~ ORDER GRANTING PRO  
HAC VICE APPLICATIONS OF MICHAEL  
G. SHANNON, JENNIFER ROACH, AND  
MATTHEW D. RIDINGS

1 IT IS HEREBY ORDERED that the applications of Michael G. Shannon, Jennifer  
2 Roach, and Matthew D. Ridings are granted, subject to the terms and conditions of Civil L.R. 11-3.  
3 All papers filed by these attorneys must indicate appearance *pro hac vice*. Service of papers upon, and  
4 communication with, local co-counsel designated in the application will constitute notice to the party.

5  
6 DATED: 10/1, 2012



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THE HONORABLE SUSAN ILLSTON