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11		ES DISTRICT COURT CRICT OF CALIFORNIA
12		CISCO DIVISION
13	VERINATA HEALTH, INC.,) Lead Case No. 3:12-cv-05501-SI
14	Plaintiff and) Case No. 3:14-cv-01921-SI) Case No. 3:15-cv-02216-SI
15	Counterclaim-Defendant,)
16	VS.))
17	ARIOSA DIAGNOSTICS, INC.,))
18	Defendant and Counterclaim-Plaintiff.)
19	ILLUMINA, INC.,	_)
20	Plaintiff and Counterclaim-)
2122	Defendant)
23	VS.)
24	ARIOSA DIAGNOSTICS, INC.,)
25	Defendant and Counterclaim- Plaintiff.))
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1	ILLUMINA, INC.,
2	Plaintiff and Counterclaim-
3	Defendant
4	vs.
5	ARIOSA DIAGNOSTICS, INC.,
6	Defendant and Counterclaim- Plaintiff.
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2	When answering the following questions and filling out this Verdict Form, please follow
3	the directions provided throughout the form. Your answer to each question must be unanimous.
4	Some of the questions contain legal terms that are defined and explained in detail in the Jury
5	Instructions. Please refer to the Jury Instructions if you are unsure about the meaning or usage of
6	any legal term that appears in the questions below.
7	We, the jury, unanimously agree to the answers to the following questions and return them
8	under the instructions of this court as our verdict in this case.
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11	FINDINGS ON INFRINGEMENT CLAIMS FOR THE '794 PATENT
12	A. Version 1 of Harmony
13	1. Has Illumina proven that it is more likely than not that Version 1 of Ariosa's
14	Harmony test infringes any of claims 1, 2, 3, 9, or 13 of U.S. Patent No. 7,955,794 (the "'794
15	patent")?
16	For each of the claims below, please check "Yes" (for Illumina) or "No" (for Ariosa).
17	Yes (Illumina) No (Ariosa)
18	a. Claim 1
19	b. Claim 2
20	c. Claim 3
21	d. Claim 9
22	e. Claim 13
23	B. Express License
24	2. Has Ariosa proven that it is more likely than not that it received an express license
25	from Illumina for Version 1 of Ariosa's Harmony test?
26	Yes (for Ariosa) No (for Illumina)
27	
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1	C.	Versio	on 2 of	Harmony		
2		3.	Has I	llumina prove	n that it is more li	ikely than not that Version 2 of Ariosa's
3	Harmo	ny test	infring	ses any of clain	ns 1, 2, 3, 9, or 13 of	f the '794 patent?
4		For ea	ch of tl	ne claims below	w, please check "Yes	s" (for Illumina) or "No" (for Ariosa).
5					Yes (Illumina)	No (Ariosa)
6			a.	Claim 1		
7			b.	Claim 2		
8			c.	Claim 3		
9			d.	Claim 9		
10			e.	Claim 13		
11						
12						
13						
14			F	INDINGS ON	INVALIDITY FO	OR THE '794 PATENT
15	A.	Antici	ipation			
16		4.	Has A	Ariosa proven t	that it is highly prob	pable that any of claims 1, 2, 3, 9, or 13 of
17	the '79	4 pater	nt were	"anticipated,"	as described in the I	Instructions you were given?
18		For ea	ch of tl	ne claims below	w, please check "Yes	s" (for Ariosa) or "No" (for Illumina).
19					Yes (Ariosa)	No (Illumina)
20			a.	Claim 1		
21			b.	Claim 2		
22			c.	Claim 3		
23			d.	Claim 9		
24			e.	Claim 13		
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1	FINDINGS ON WILLFUL INFRINGEMENT FOR THE '794 PATENT (IF APPLICABLE)
2	A. Willful Infringement
3	If there are any claims of the '794 patent for which you answered "Yes" to question 1 and
4	"No" to question 2 and all parts of question 4 and/or "Yes" to question 3 and "No" to all parts of
5	question 4, please answer the following question. Otherwise, proceed to "FINDINGS ON
6	INFRINGEMENT CLAIMS FOR THE '430 PATENT."
7	5. Has Illumina proven that it is highly probable that Ariosa's infringement was
8	willful?
9	Yes (for Illumina) No (for Ariosa)
10	
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12	FINDINGS ON INFRINGEMENT CLAIMS FOR THE '430 PATENT
13	A. Infringement
14	6. Has Verinata proven that it is more likely than not that Version 1 of Ariosa's
15	Harmony test infringed any of claims 1, 4, or 7 of U.S. Patent No. 8,318,430 (the "'430 patent")?
16	For each of the claims below, please check "Yes" (for Verinata) or "No" (for Ariosa).
17	Yes (Verinata) No (Ariosa)
18	a. Claim 1
19	b. Claim 4
20	c. Claim 7
21	
22	FINDINGS ON INVALIDITY FOR THE '430 PATENT
23	A. Enablement
24	7. Has Ariosa proven that it is highly probable that the specification of the '430 patent
25	does not contain a description of the claimed invention that is sufficiently full and clear to enable
26	persons of ordinary skill in the field to make and use the invention for any of claims 1, 4, or 7 of
27	the '430 patent?
28	

1	For each of the claims below, please check "Yes" (for Ariosa) or "No" (for Verinata).
2	Yes (Ariosa) No (Verinata)
3	a. Claim 1
4	b. Claim 4
5	c. Claim 7
6	
7	B. Written Description Requirement
8	8. Has Ariosa proven that it is highly probable that the specification of the '430 pater
9	does not contain an adequate written description of the claimed invention for any of claims 1, 4, or
10	7 of the '430 patent?
11	For each of the claims below, please check "Yes" (for Ariosa) or "No" (for Verinata).
12	Yes (Ariosa) No (Verinata)
13	a. Claim 1
14	b. Claim 4
15	c. Claim 7
16	
17	
18	FINDINGS ON WILLFUL INFRINGEMENT (IF APPLICABLE)
19	A. Willful Infringement
20	If there are any claims of the '430 patent for which you answered "Yes" to question 6 an
21	"No" to questions 7 and 8, please answer the following question. Otherwise, proceed t
22	"FINDINGS ON PATENT DAMAGES (IF APPLICABLE)."
23	9. Has Verinata proven that it is highly probable that Ariosa's infringement wa
24	willful?
25	Yes (for Verinata) No (for Ariosa)
26	
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1	FINDINGS ON PATENT DAMAGES (IF APPLICABLE)
2	A. Damages for the '794 patent (If Applicable)
3	If you answered "Yes" to question 1 and "No" to questions 2 and all parts of question 4
4	and/or "Yes" to question 3 and "No" to all parts of question 4, please answer the question below.
5	Otherwise, please proceed to the "Damages for the '430 Patent (If Applicable)" section to
6	determine whether that section is applicable.
7	Because this case involves two different plaintiffs and two different patents, the answer to
8	the question below should not take into account any of your answers to the questions relating to
9	Verinata or the '430 patent. You should not include in your response any damages that you believe
10	Verinata or any other entity is entitled to, or any damages that you award below for the '430
11	<u>patent</u> .
12	10. What damages has Illumina proven that it is more likely than not entitled to as a
13	result of Ariosa's infringement of the '794 patent?
14	(words)
15	\$ (numbers)
16	
17	B. Damages for the '430 Patent (If Applicable)
18	If, for any claims of the '430 patent, you answered "Yes" to question 6 and "No" to
19	questions 7 and 8, please answer the question below. Otherwise, please proceed to "FINDINGS
20	ON ARIOSA'S COUNTERCLAIMS."
21	Because this case involves two different plaintiffs and two different patents, the answer to
22	the question below should not take into account any of your answers to the questions relating to
23	Illumina or the '794 patent. You should not include in your response any damages that you
24	believe Illumina or any other entity are entitled to, or any damages that you awarded above for the
25	<u>'794 patent</u> .
26	11. What amount has Verinata proven that it is more likely than not entitled to as a
	11. What amount has verman proven that it is more likely than not entired to as a
27	result of Ariosa's infringement of the '430 patent?

1	\$ (numbers)
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4	FINDINGS ON ARIOSA'S COUNTERCLAIMS
5	A. Breach of Contract
6	12. Has Ariosa proven that it is more likely than not that Illumina breached its
7	contractual obligations under the 2012 Sale and Supply Agreement?
8	Yes (for Ariosa) No (for Illumina)
9	
10	B. Willful or Intentional Injury
11	13. Has Ariosa proven that it is more likely than not that Illumina willfully or
12	intentionally injured Ariosa through its breach of contract?
13	Yes (for Ariosa) No (for Illumina)
14	
15	C. Breach of Covenant of Good Faith and Fair Dealing
16	14. Has Ariosa proven that it is more likely than not that Illumina breached the
17	covenant of good faith and fair dealing implied in the 2012 Sale and Supply Agreement?
18	Yes (for Ariosa) No (for Illumina)
19	If you answered "Yes" to question 12 or 13, proceed to question 14. Otherwise, proceed to
20	the end of the verdict form.
21	
22	FINDINGS ON ARIOSA'S CONTRACT DAMAGES (IF APPLICABLE)
23	15. What is the dollar amount that Ariosa is entitled to receive from Illumina's breach
24	of contract and/or breach of covenant of good faith and fair dealing?
25	(words)
26	\$ (numbers)
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1	You have now reached the end of the verdict form and should review it to ensure it
2	accurately reflects your unanimous determinations. The Presiding Juror should then sign and date
3	the verdict form in the spaces below and notify the Courtroom Deputy that you have reached a
4	verdict. The Presiding Juror should retain possession of the verdict form and bring it when the jury
5	is brought back into the courtroom.
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8	Date: By:
9	Presiding Juror
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