1

1.

PURPOSES AND LIMITATIONS

2 Disclosure and discovery activity in this action are likely to involve production of 3 confidential, proprietary, or private information for which special protection from public 4 disclosure and from use for any purpose other than prosecuting this litigation may be warranted. 5 Accordingly, the parties hereby stipulate to and petition the court to enter the following 6 Stipulated Protective Order. The parties acknowledge that this Order does not confer blanket 7 protections on all disclosures or responses to discovery and that the protection it affords from 8 public disclosure and use extends only to the limited information or items that are entitled to 9 confidential treatment under the applicable legal principles. The parties further acknowledge, as 10 set forth in Section 14.3, below, that this Stipulated Protective Order does not entitle them to file 11 confidential information under seal; Civil Local Rule 79-5 and General Order 62 set forth the 12 procedures that must be followed and the standards that will be applied when a party seeks 13 permission from the court to file material under seal. 14 2. DEFINITIONS 15 2.1 Challenging Party: a Party or Non-Party that challenges the designation of 16 information or items under this Order. 17 2.2 Counsel (without qualifier): Outside Counsel of Record and House Counsel (as 18 well as their support staff). 19 2.3 Designating Party: a Party or Non-Party that designates information or items that it produces in disclosures or in responses to discovery as "HIGHLY CONFIDENTIAL 20 21 TECHNICAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL NON-22 TECHNICAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL SOURCE 23 CODE - ATTORNEYS' EYES ONLY". 24 2.4 Designated House Counsel: no more than one (1) House Counsel per party who 25 seeks access to "HIGHLY CONFIDENTIAL TECHNICAL - ATTORNEYS' EYES ONLY," 26 "HIGHLY CONFIDENTIAL NON-TECHNICAL – ATTORNEYS' EYES ONLY" or 27 "HIGHLY CONFIDENTIAL SOURCE CODE - ATTORNEYS' EYES ONLY" information in 28 this matter and to whom disclosure is reasonably necessary for this litigation, provided that -1-STIPULATED PROTECTIVE ORDER Case No. 12-CV-06441-JST Designated House Counsel (1) has no involvement in competitive decision-making for a Party or
a Party's corporate affiliate or parent entity, as defined in *U.S. Steel Corp. v. U.S.*, 730 F.2d
1465, 1468 n.3 (Fed. Cir. 1984); (2) is not involved in patent prosecution and does not actively
manage patent prosecution relating to electrochromic glass and methods for manufacturing the
same in accordance with Section 8 of this Protective Order; (3) has signed the "Acknowledgment
and Agreement to Be Bound" (Exhibit A); and (4) as to whom the procedures set forth in Section
7.5 have otherwise been followed.

8 2.5Specially Designated House Counsel: no more than one (1) House Counsel per 9 party who seeks access solely to "HIGHLY CONFIDENTIAL NON-TECHNICAL -10 ATTORNEYS' EYES ONLY" information in this matter and to whom disclosure is reasonably 11 necessary for this litigation, provided that Specially Designated House Counsel (1) has no 12 involvement in competitive decision-making for a Party or a Party's corporate affiliate or parent 13 entity, as defined in U.S. Steel Corp. v. U.S., 730 F.2d 1465, 1468 n.3 (Fed. Cir. 1984); (2) has 14 signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A); and (3) as to whom the 15 procedures set forth in Section 7.5 have otherwise been followed.

16 2.6 <u>Disclosure or Discovery Material</u>: all items or information, regardless of the
17 medium or manner in which it is generated, stored, or maintained (including, among other things,
18 testimony, transcripts, and tangible things), that are produced or generated in disclosures or
19 responses to discovery in this matter.

20 2.7 Expert: a person with specialized knowledge or experience in a matter pertinent to 21 the litigation who (a) has been retained by a Party or its counsel to serve as an expert witness or 22 as a consultant in this action, (b) is not a past or current officer, director, or employee of a Party 23 or of a Party's competitor, and (c) at the time of retention, is not anticipated to become an 24 officer, director, or employee of a Party or of a Party's competitor. Nothing in this order 25 purports to change the requirements for offering testimony under Fed. R. Evid. 703, or to define 26 the term "expert" for purposes other than those addressed in this Protective Order. Support staff 27 working at the direction of such experts or consultants where disclosure is reasonably necessary 28 in order for such experts or consultants to perform the duties for which they have been retained

-2-

1 may have access to Protected Material.

2 2.8 "HIGHLY CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY" 3 Information or Items: sensitive confidential technical information or tangible things (regardless 4 of how it is generated, stored or maintained) that qualify for protection under Federal Rule of 5 Civil Procedure 26(c) that discloses technical information or technical descriptions regarding the 6 Parties' products, methods or processes, the disclosure of which to another Party or Non-Party 7 would create a substantial risk of serious harm that could not be avoided by less restrictive 8 means. Technical documentation and embodiments of the parties' products and methods, 9 including, but not limited to, product samples, shall presumptively fall within this category of 10 protection. 11 2.9 "HIGHLY CONFIDENTIAL NON-TECHNICAL – ATTORNEYS' EYES 12 ONLY" Information or Items: sensitive confidential information or tangible things (regardless of 13 how it is generated, stored or maintained) that qualify for protection under Federal Rule of Civil 14 Procedure 26(c) that discloses information, the disclosure of which to another Party or Non-Party 15 would create a substantial risk of serious harm that could not be avoided by less restrictive 16 means. Financial information, marketing information, sales data, licensing and other 17 agreements, and email correspondence of a non-technical nature shall presumptively fall within 18 this category of protection. 19 2.10 "HIGHLY CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY" 20Information or Items: extremely sensitive confidential information or tangible items representing 21 computer code and associated comments and revision histories that define or otherwise describe 22 in detail the algorithms or structure of software designs, the disclosure of which to another Party 23 or Non-Party would create a substantial risk of serious harm that could not be avoided by less 24 restrictive means. 25 2.11 House Counsel: attorneys who are employees of a Party or a Party's corporate 26 affiliate or parent entity or who are an independent contractor of a Party or a Party's corporate 27 affiliate or parent entity who provides legal advice on an exclusive basis to that Party. House 28 Counsel does not include Outside Counsel of Record or any other outside counsel.

-3-

2.12 <u>Non-Party</u>: any natural person, partnership, corporation, association, or other legal
 entity not named as a Party to this action.

2.13 <u>Outside Counsel of Record</u>: attorneys who are not employees of a party to this
action but are retained to represent or advise a party to this action and have appeared in this
action on behalf of that party or are affiliated with a law firm which has appeared on behalf of
that party, and paralegal and legal support staff working at the direction of such attorneys.

2.14 <u>Party</u>: any party to this action, including all of its officers, directors, employees,
consultants, retained experts, and Outside Counsel of Record (and their support staffs).

9 2.15 <u>Producing Party</u>: a Party or Non-Party that produces Disclosure or Discovery
10 Material in this action.

2.16 <u>Professional Vendors</u>: persons or entities that provide litigation support services
 (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and
 organizing, storing, or retrieving data in any form or medium; jury consulting, mock trial
 coordination) and their employees and subcontractors.

2.17 <u>Protected Material</u>: any Disclosure or Discovery Material that is designated as
 "HIGHLY CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY," "HIGHLY
 CONFIDENTIAL NON-TECHNICAL – ATTORNEYS' EYES ONLY," or "HIGHLY
 CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY."

19 2.18 <u>Receiving Party</u>: a Party that receives Disclosure or Discovery Material from a
20 Producing Party.

21 3. <u>SCOPE</u>

The protections conferred by this Stipulation and Order cover not only Protected Material
(as defined above), but also (a) any information copied or extracted from Protected Material; (b)
all copies, excerpts, summaries, or compilations of Protected Material; and (c) any testimony,
conversations, or presentations by Parties or their Counsel that might reveal Protected Material.
However, the protections conferred by this Stipulation and Order do not cover the
following information: (a) any information that is in the public domain at the time of disclosure
to a Receiving Party or becomes part of the public domain after its disclosure to a Receiving

-4-

Party as a result of publication not involving a violation of this Order, including becoming part
 of the public record through trial or otherwise; and (b) any information known to the Receiving
 Party prior to the disclosure or obtained by the Receiving Party after the disclosure from a source
 who obtained the information lawfully and under no obligation of confidentiality to the
 Designating Party.

6 4. <u>DURATION</u>

Even after final disposition of this litigation, the confidentiality obligations imposed by
this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court
order otherwise directs. Final disposition shall be deemed to be the later of (a) dismissal of all
claims and defenses in this action, with or without prejudice; and (b) final judgment herein after
the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this
action, including the time limits for filing any motions or applications for extension of time
pursuant to applicable law.

14

15

5. <u>DESIGNATING PROTECTED MATERIAL</u>

5.1 <u>Exercise of Restraint and Care in Designating Material for Protection</u>.

16 Each Party or Non-Party that designates information or items for protection under this 17 Order must take care to limit any such designation to specific material that qualifies under the 18 appropriate standards. Mass, indiscriminate or routinized designations are prohibited. 19 Designations that are shown to be clearly unjustified or that have been made for an improper 20 purpose (e.g., to unnecessarily encumber or retard the case development process or to impose 21 unnecessary expenses and burdens on other parties) expose the Designating Party to sanctions. 22 If it comes to a Designating Party's attention that information or items that it designated 23 for protection do not qualify for protection at all or do not qualify for the level of protection 24 initially asserted, that Designating Party must promptly notify all other parties that it is 25 withdrawing the mistaken designation.

26

5.2 <u>Manner and Timing of Designations</u>.

Except as otherwise provided in this Order (see, e.g., second paragraph of Section 5.2(a)
below), or as otherwise stipulated or ordered, Disclosure or Discovery Material that qualifies for

-5-

protection under this Order must be clearly so designated before the material is disclosed or
 produced. Designation in conformity with this Order requires:

(a) for information in documentary form (e.g., paper or electronic documents,
but excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing
Party affix the legend "HIGHLY CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES
ONLY," "HIGHLY CONFIDENTIAL NON-TECHNICAL – ATTORNEYS' EYES ONLY," or
"HIGHLY CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY" conspicuously
to each page that contains protected material.

9 A Party or Non-Party that makes original documents or materials available for 10 inspection need not designate them for protection until after the inspecting Party has indicated 11 which material it would like copied and produced. During the inspection and before the 12 designation, all of the material made available for inspection shall be deemed "HIGHLY 13 CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY." After the inspecting Party 14 has identified the documents it wants copied and produced, the Producing Party must determine 15 which documents, or portions thereof, qualify for protection under this Order. Then, before 16 producing the specified documents, the Producing Party must affix the appropriate legend 17 ("HIGHLY CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY," "HIGHLY 18 CONFIDENTIAL NON-TECHNICAL – ATTORNEYS' EYES ONLY," or "HIGHLY 19 CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY") to each page that 20 contains Protected Material.

21 for testimony given in deposition or in other pretrial or trial proceedings, (b) 22 that the Designating Party identify on the record during the deposition, or in writing up to 23 twenty-one (21) days thereafter, the specific portions of the transcript that should be treated as 24 "HIGHLY CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY," "HIGHLY 25 CONFIDENTIAL NON-TECHNICAL – ATTORNEYS' EYES ONLY," or "HIGHLY 26 CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY," or in the alternative 27 whether the transcript should be so designated in its entirety. Transcripts containing Protected 28 Material shall have an obvious legend on the title page that the transcript contains Protected

-6-

1 Material, and, unless designated in its entirety, the title page shall be followed by a list of all 2 pages (including line numbers as appropriate) that have been designated as Protected Material 3 and the level of protection being asserted by the Designating Party. The Designating Party shall 4 inform the court reporter of these requirements. Any transcript that is prepared before the 5 expiration of a 21-day period for designation shall be treated during that period as if it had been designated "HIGHLY CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY" in its 6 7 entirety unless otherwise agreed. After the expiration of that period, the transcript shall be 8 treated only as actually designated. In the event the deposition is videotaped, the original and 9 all copies of the videotape shall be marked by the video technician to indicate that the contents 10 of the videotape are subject to this Protective Order, substantially along the lines of "This 11 videotape contains confidential testimony used in this case and is not to be viewed or the 12 contents thereof to be displayed or revealed except pursuant to the terms of the operative 13 Protective Order in this matter or pursuant to written stipulation of the parties." Counsel for any 14 Designating Party shall have the right to exclude from oral depositions, other than the deponent, 15 deponent's counsel, the reporter and videographer (if any), any person who is not authorized by 16 this Protective Order to receive or access Protected Material based on the designation of such 17 Protected Material. Such right of exclusion shall be applicable only during periods of 18 examination or testimony regarding such Protected Material.

19 (c) for information produced in some form other than documentary and for 20 any other tangible items, that the Producing Party affix in a prominent place on the exterior of 21 the medium, container or containers in which the information or item is stored the legend 22 "HIGHLY CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY," "HIGHLY 23 CONFIDENTIAL NON-TECHNICAL – ATTORNEYS' EYES ONLY," or "HIGHLY 24 CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY," except that tangible 25 samples of accused products or other instrumentalities exchanged between the parties (if any), 26 shall presumptively be treated as "HIGHLY CONFIDENTIAL TECHNICAL - ATTORNEYS' 27 EYES ONLY," whether or not such a legend is affixed thereto.

28

5.3 Inadvertent Failures to Designate.

2 If timely corrected, an inadvertent failure to designate qualified information or items does 3 not, standing alone, waive the Designating Party's right to secure protection under this Order for 4 such material. Upon timely correction of a designation, the Receiving Party must make 5 reasonable efforts to assure that the material is treated in accordance with the provisions of this 6 Order. The Designating Party shall provide substitute copies of documents bearing the 7 confidentiality designation. Upon receiving substitute copies, the Receiving Party shall return or 8 securely destroy, at the Designating Party's option, all material that was not designated properly. 9 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

10

1

6.1 <u>Timing of Challenges</u>.

Any Party or Non-Party may challenge a designation of confidentiality at any time.
Unless a prompt challenge to a Designating Party's confidentiality designation is necessary to
avoid foreseeable, substantial unfairness, unnecessary economic burdens, or a significant
disruption or delay of the litigation, a Party does not waive its right to challenge a confidentiality
designation by electing not to mount a challenge promptly after the original designation is
disclosed.

17

6.2 <u>Meet and Confer</u>.

18 The Challenging Party shall initiate the dispute resolution process by providing written 19 notice of each designation it is challenging and describing the basis for each challenge. To avoid 20 ambiguity as to whether a challenge has been made, the written notice must recite that the 21 challenge to confidentiality is being made in accordance with this specific paragraph of the 22 Protective Order. The parties shall attempt to resolve each challenge in good faith and must 23 begin the process by conferring directly (in voice to voice dialogue; other forms of communication are not sufficient) within 14 days of the date of service of notice. In conferring, 24 25 the Challenging Party must explain the basis for its belief that the confidentiality designation was 26 not proper and must give the Designating Party an opportunity to review the designated material, 27 to reconsider the circumstances, and, if no change in designation is offered, to explain the basis 28 for the chosen designation. A Challenging Party may proceed to the next stage of the challenge

-8-

process only if it has engaged in this meet and confer process first or establishes that the
 Designating Party is unwilling to participate in the meet and confer process in a timely manner.

3

6.3 <u>Judicial Intervention</u>.

4 If the Parties cannot resolve a challenge without court intervention, the party seeking to 5 challenge a designation may move for appropriate relief under Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5 and General Order 62, if applicable) within twenty-one 6 7 (21) days of the initial notice of challenge or within fourteen (14) days of the parties agreeing 8 that the meet and confer process will not resolve their dispute, whichever is earlier. Each such 9 motion must be accompanied by a competent declaration affirming that the movant has complied 10 with the meet and confer requirements imposed in the preceding paragraph. Failure by the 11 Designating Party to make such a motion including the required declaration within twenty-one 12 (21) days (or fourteen (14) days, if applicable) shall automatically waive the contesting party's 13 challenge to the confidentiality designation. Throughout the pendency of any such challenge, the 14 all parties shall continue to afford the material in question the level of protection to which it is 15 entitled under the Producing Party's designation until the court rules on the challenge.

16

7. ACCESS TO AND USE OF PROTECTED MATERIAL

17

7.1 <u>Basic Principles</u>.

A Receiving Party may use Protected Material that is disclosed or produced by another Party or by a Non-Party in connection with this case only for prosecuting, defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to the categories of persons and under the conditions described in this Order. When the litigation has been terminated, a Receiving Party must comply with the provisions of Section 15 below (FINAL DISPOSITION).

Protected Material must be stored and maintained by a Receiving Party at a location and
 in a secure manner that ensures that access is limited to the persons authorized under this Order.
 Nothing in this Order shall be construed as limiting a Designating Party's use of its own
 Protected Material

28

7.2 Disclosure of "HIGHLY CONFIDENTIAL NON-TECHNICAL – ATTORNEYS'

1 <u>EYES ONLY" Information or Items</u>.

3 Receiving Party may disclose any information or item designated "HIGHLY CONFIDENTIAL 4 NON-TECHNICAL – ATTORNEYS' EYES ONLY" only to: 5 (a) the Receiving Party's Outside Counsel of Record in this action; 6 (b) Designated House Counsel and Specially Designated House Counsel; 7 (c) Experts of the Receiving Party to whom disclosure is reasonably necessar; 8 for this litigation and who have signed and provided to the Producing Party an executed copy of 9 the "Acknowledgment and Agreement to Be Bound" (Exhibit A) prior to receiving Protected 10 Material; 11 (d) the court and its personnel; 12 (e) any designated arbitrator or mediator who is assigned to hear this matter, 13 or who has been selected by the Parties, and his or her staff; 14 (f) court reporters and their staff, professional jury or trial consultants, and 15 Professional Vendors to whom disclosure is reasonably necessary for this litigation; 16 (g) during their depositions and/or at trial, witnesses in the action to whom 17 disclosure is reasonably necessary and who are either (1) a present director, officer, and/or 18 employee of the Designating Party (for avoidance of doubt, a party that produces a third party's 10 subpoena or other dis	1	<u>LTLS ONLT</u> mornation of items.		
4NON-TECHNICAL – ATTORNEYS' EYES ONLY" only to:5(a) the Receiving Party's Outside Counsel of Record in this action;6(b) Designated House Counsel and Specially Designated House Counsel;7(c) Experts of the Receiving Party to whom disclosure is reasonably necessar;8for this litigation and who have signed and provided to the Producing Party an executed copy of9the "Acknowledgment and Agreement to Be Bound" (Exhibit A) prior to receiving Protected10Material;11(d) the court and its personnel;12(e) any designated arbitrator or mediator who is assigned to hear this matter,13or who has been selected by the Parties, and his or her staff;14(f) court reporters and their staff, professional jury or trial consultants, and15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g) during their depositions and/or at trial, witnesses in the action to whom17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's10subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent12is disclosed during the course of his or her association with the Designating Party; or (3) a1330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits14to depositions that reveal Protected Material must be separately bound by the court reporter and15may not be disclosed to anyone except a	2	Unless otherwise ordered by the court or permitted in writing by the Designating Party, a		
5(a) the Receiving Party's Outside Counsel of Record in this action;6(b) Designated House Counsel and Specially Designated House Counsel;7(c) Experts of the Receiving Party to whom disclosure is reasonably necessar,8for this litigation and who have signed and provided to the Producing Party an executed copy of9the "Acknowledgment and Agreement to Be Bound" (Exhibit A) prior to receiving Protected10Material;11(d) the court and its personnel;12(e) any designated arbitrator or mediator who is assigned to hear this matter,13or who has been selected by the Parties, and his or her staff;14(f) court reporters and their staff, professional jury or trial consultants, and15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g) during their depositions and/or at trial, witnesses in the action to whom17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's19confidential documents that previously were obtained by the producing party in litigation, via21subpoena or other discovery, is not the "Designating Party"; (2) a former director, officer, agent22is disclosed during the course of his or her association with the Designating Party; or (3) a2330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits24to depositions that reveal Protected Material must be separately bound by the court reporter and25	3	Receiving Party may disclose any information or item designated "HIGHLY CONFIDENTIAL		
6(b) Designated House Counsel and Specially Designated House Counsel;7(c) Experts of the Receiving Party to whom disclosure is reasonably necessar;8for this litigation and who have signed and provided to the Producing Party an executed copy of9the "Acknowledgment and Agreement to Be Bound" (Exhibit A) prior to receiving Protected10Material;11(d) the court and its personnel;12(e) any designated arbitrator or mediator who is assigned to hear this matter,13or who has been selected by the Parties, and his or her staff;14(f) court reporters and their staff, professional jury or trial consultants, and15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g) during their depositions and/or at trial, witnesses in the action to whom17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's19confidential documents that previously were obtained by the producing party in litigation, via20subpoena or other discovery, is not the "Designating Party"; (2) a former director, officer, agent21consultant and/or employee of the designating party, and who had access to the information that22is disclosed during the course of his or her association with the Designating Party; or (3) a2330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits24to depositions that reveal Protected Material must be separately bound by the court rep	4	NON-TECHNICAL – ATTORNEYS' EYES ONLY" only to:		
7(c) Experts of the Receiving Party to whom disclosure is reasonably necessar8for this litigation and who have signed and provided to the Producing Party an executed copy of9the "Acknowledgment and Agreement to Be Bound" (Exhibit A) prior to receiving Protected10Material;11(d) the court and its personnel;12(e) any designated arbitrator or mediator who is assigned to hear this matter,13or who has been selected by the Parties, and his or her staff;14(f) court reporters and their staff, professional jury or trial consultants, and15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g) during their depositions and/or at trial, witnesses in the action to whom17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's19confidential documents that previously were obtained by the producing party in litigation, via20subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent21is disclosed during the course of his or her association with the Designating Party; or (3) a2330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits24to depositions that reveal Protected Material must be separately bound by the court reporter and25(h) the author or recipient of a document containing the information or a26(h) the author or recipient of a document containing the information or a </td <td>5</td> <td>(a) the Receiving Party's Outside Counsel of Record in this action;</td>	5	(a) the Receiving Party's Outside Counsel of Record in this action;		
8for this litigation and who have signed and provided to the Producing Party an executed copy of9the "Acknowledgment and Agreement to Be Bound" (Exhibit A) prior to receiving Protected10Material;11(d) the court and its personnel;12(e) any designated arbitrator or mediator who is assigned to hear this matter,13or who has been selected by the Parties, and his or her staff;14(f) court reporters and their staff, professional jury or trial consultants, and15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g) during their depositions and/or at trial, witnesses in the action to whom17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's19confidential documents that previously were obtained by the producing party in litigation, via20subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent21consultant and/or employee of the designating party, and who had access to the information that22is disclosed during the course of his or her association with the Designating Party; or (3) a2330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits24to depositions that reveal Protected Material must be separately bound by the court reporter and25may not be disclosed to anyone except as permitted under this Stipulated Protective Order;26(h) the author or recipient of a document c	6	(b) Designated House Counsel and Specially Designated House Counsel;		
9the "Acknowledgment and Agreement to Be Bound" (Exhibit A) prior to receiving Protected10Material;11(d) the court and its personnel;12(e) any designated arbitrator or mediator who is assigned to hear this matter,13or who has been selected by the Parties, and his or her staff;14(f) court reporters and their staff, professional jury or trial consultants, and15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g) during their depositions and/or at trial, witnesses in the action to whom17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's19confidential documents that previously were obtained by the producing party in litigation, via20subpoena or other discovery, is not the "Designating Party"; (2) a former director, officer, agent21is disclosed during the course of his or her association with the Designating Party; or (3) a2330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits24to depositions that reveal Protected Material must be separately bound by the court reporter and25may not be disclosed to anyone except as permitted under this Stipulated Protective Order;26(h) the author or recipient of a document containing the information or a27(i) any other person with the prior written consent of the Producing Party.	7	(c) Experts of the Receiving Party to whom disclosure is reasonably necessary		
10Material;11(d) the court and its personnel;12(e) any designated arbitrator or mediator who is assigned to hear this matter,13or who has been selected by the Parties, and his or her staff;14(f) court reporters and their staff, professional jury or trial consultants, and15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g) during their depositions and/or at trial, witnesses in the action to whom17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's19confidential documents that previously were obtained by the producing party in litigation, via20subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent21consultant and/or employee of the designating party, and who had access to the information that22is disclosed during the course of his or her association with the Designating Party; or (3) a2330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits24to depositions that reveal Protected Material must be separately bound by the court reporter and25(h) the author or recipient of a document containing the information or a26(i) any other person with the prior written consent of the Producing Party.	8	for this litigation and who have signed and provided to the Producing Party an executed copy of		
11(d) the court and its personnel;12(e) any designated arbitrator or mediator who is assigned to hear this matter,13or who has been selected by the Parties, and his or her staff;14(f) court reporters and their staff, professional jury or trial consultants, and15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g) during their depositions and/or at trial, witnesses in the action to whom17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's19confidential documents that previously were obtained by the producing party in litigation, via20subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent21consultant and/or employee of the designating party, and who had access to the information that22is disclosed during the course of his or her association with the Designating Party; or (3) a2330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits24to depositions that reveal Protected Material must be separately bound by the court reporter and25may not be disclosed to anyone except as permitted under this Stipulated Protective Order;26(h) the author or recipient of a document containing the information or a27custodian or other person who otherwise possessed or knew the information;28(i) any other person with the prior written consent of the Producing Party.	9	the "Acknowledgment and Agreement to Be Bound" (Exhibit A) prior to receiving Protected		
12(e) any designated arbitrator or mediator who is assigned to hear this matter,13or who has been selected by the Parties, and his or her staff;14(f) court reporters and their staff, professional jury or trial consultants, and15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g) during their depositions and/or at trial, witnesses in the action to whom17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's19confidential documents that previously were obtained by the producing party in litigation, via20subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent21consultant and/or employee of the designating party, and who had access to the information that22is disclosed during the course of his or her association with the Designating Party; or (3) a2330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits24to depositions that reveal Protected Material must be separately bound by the court reporter and25may not be disclosed to anyone except as permitted under this Stipulated Protective Order;26(h) the author or recipient of a document containing the information or a27(i) any other person who otherwise possessed or knew the information;28(i) any other person with the prior written consent of the Producing Party.	10	Material;		
13or who has been selected by the Parties, and his or her staff;14(f)15Professional Vendors to whom disclosure is reasonably necessary for this litigation;16(g)17disclosure is reasonably necessary and who are either (1) a present director, officer, and/or18employee of the Designating Party (for avoidance of doubt, a party that produces a third party's19confidential documents that previously were obtained by the producing party in litigation, via20subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent21consultant and/or employee of the designating party, and who had access to the information that22is disclosed during the course of his or her association with the Designating Party; or (3) a2330(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits24to depositions that reveal Protected Material must be separately bound by the court reporter and25may not be disclosed to anyone except as permitted under this Stipulated Protective Order;26(h)the author or recipient of a document containing the information or a27custodian or other person who otherwise possessed or knew the information;28(i)any other person with the prior written consent of the Producing Party.	11	(d) the court and its personnel;		
 (f) court reporters and their staff, professional jury or trial consultants, and Professional Vendors to whom disclosure is reasonably necessary for this litigation; (g) during their depositions and/or at trial, witnesses in the action to whom disclosure is reasonably necessary and who are either (1) a present director, officer, and/or employee of the Designating Party (for avoidance of doubt, a party that produces a third party's confidential documents that previously were obtained by the producing party in litigation, via subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent consultant and/or employee of the designating party, and who had access to the information that is disclosed during the course of his or her association with the Designating Party; or (3) a 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	12	(e) any designated arbitrator or mediator who is assigned to hear this matter,		
 Professional Vendors to whom disclosure is reasonably necessary for this litigation; (g) during their depositions and/or at trial, witnesses in the action to whom disclosure is reasonably necessary and who are either (1) a present director, officer, and/or employee of the Designating Party (for avoidance of doubt, a party that produces a third party's confidential documents that previously were obtained by the producing party in litigation, via subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent consultant and/or employee of the designating party, and who had access to the information that is disclosed during the course of his or her association with the Designating Party; or (3) a 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	13	or who has been selected by the Parties, and his or her staff;		
 (g) during their depositions and/or at trial, witnesses in the action to whom disclosure is reasonably necessary and who are either (1) a present director, officer, and/or employee of the Designating Party (for avoidance of doubt, a party that produces a third party's confidential documents that previously were obtained by the producing party in litigation, via subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent consultant and/or employee of the designating party, and who had access to the information that is disclosed during the course of his or her association with the Designating Party; or (3) a 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	14	(f) court reporters and their staff, professional jury or trial consultants, and		
 disclosure is reasonably necessary and who are either (1) a present director, officer, and/or employee of the Designating Party (for avoidance of doubt, a party that produces a third party's confidential documents that previously were obtained by the producing party in litigation, via subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent consultant and/or employee of the designating party, and who had access to the information that is disclosed during the course of his or her association with the Designating Party; or (3) a 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	15	Professional Vendors to whom disclosure is reasonably necessary for this litigation;		
employee of the Designating Party (for avoidance of doubt, a party that produces a third party's confidential documents that previously were obtained by the producing party in litigation, via subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent consultant and/or employee of the designating party, and who had access to the information that is disclosed during the course of his or her association with the Designating Party; or (3) a 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information;	16	(g) during their depositions and/or at trial, witnesses in the action to whom		
 confidential documents that previously were obtained by the producing party in litigation, via subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent consultant and/or employee of the designating party, and who had access to the information that is disclosed during the course of his or her association with the Designating Party; or (3) a 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	17	disclosure is reasonably necessary and who are either (1) a present director, officer, and/or		
 subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent consultant and/or employee of the designating party, and who had access to the information that is disclosed during the course of his or her association with the Designating Party; or (3) a 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	18	employee of the Designating Party (for avoidance of doubt, a party that produces a third party's		
 consultant and/or employee of the designating party, and who had access to the information that is disclosed during the course of his or her association with the Designating Party; or (3) a 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	19	confidential documents that previously were obtained by the producing party in litigation, via		
 is disclosed during the course of his or her association with the Designating Party; or (3) a 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	20	subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent,		
 30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	21	consultant and/or employee of the designating party, and who had access to the information that		
 to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	22	is disclosed during the course of his or her association with the Designating Party; or (3) a		
 may not be disclosed to anyone except as permitted under this Stipulated Protective Order; (h) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information; (i) any other person with the prior written consent of the Producing Party. 	23	30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits		
 26 (h) the author or recipient of a document containing the information or a 27 custodian or other person who otherwise possessed or knew the information; 28 (i) any other person with the prior written consent of the Producing Party. 	24	to depositions that reveal Protected Material must be separately bound by the court reporter and		
 27 custodian or other person who otherwise possessed or knew the information; 28 (i) any other person with the prior written consent of the Producing Party. 	25	may not be disclosed to anyone except as permitted under this Stipulated Protective Order;		
28 (i) any other person with the prior written consent of the Producing Party.	26	(h) the author or recipient of a document containing the information or a		
	27	custodian or other person who otherwise possessed or knew the information;		
-10-	28	(i) any other person with the prior written consent of the Producing Party.		
		-10- STIPULATED PROTECTIVE ORDER		

1	7.3 <u>Disclosure of "HIGHLY CONFIDENTIAL TECHNICAL – ATTORNEYS'</u>		
2	EYES ONLY" or "HIGHLY CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES		
3	ONLY," Information or Items.		
4	Unless otherwise ordered by the court or permitted in writing by the Designating Party, a		
5	Receiving Party may disclose any information or item designated "HIGHLY CONFIDENTIAL		
6	TECHNICAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL SOURCE		
7	CODE – ATTORNEYS' EYES ONLY" only to:		
8	(a) the Receiving Party's Outside Counsel of Record in this action;		
9	(b) Designated House Counsel (and expressly excluding Specially Designated		
10	House Counsel);		
11	(c) Experts of the Receiving Party to whom disclosure is reasonably necessary		
12	for this litigation and who have signed and provided to the Producing Party an executed copy of		
13	the "Acknowledgment and Agreement to Be Bound" (Exhibit A) prior to receiving Protected		
14	Material, and as to whom the procedures set forth in paragraph 7.4 have been followed;		
15	(d) the court and its personnel;		
16	(e) any designated arbitrator or mediator who is assigned to hear this matter,		
17	or who has been selected by the Parties, and his or her staff;		
18	(f) court reporters and their staff, professional jury or trial consultants, and		
19	Professional Vendors to whom disclosure is reasonably necessary for this litigation;		
20	(g) during their depositions and/or at trial, witnesses in the action to whom		
21	disclosure is reasonably necessary and who are either (1) a present director, officer, and/or		
22	employee of the Designating Party (for avoidance of doubt, a party that produces a third party's		
23	confidential documents that previously were obtained by the producing party in litigation, via		
24	subpoena or other discovery, is not the "Designating Party"); (2) a former director, officer, agent,		
25	consultant and/or employee of the designating party, and who had access to the information that		
26	is disclosed during the course of his or her association with the Designating Party; or (3) a		
27	30(b)(6) witness of the Designating Party. Pages of transcribed deposition testimony or exhibits		
28	to depositions that reveal Protected Material must be separately bound by the court reporter and		
	-11- Stipulated Protective Order		
	Case No. 12 CV 06441 IST		

1 may not be disclosed to anyone except as permitted under this Stipulated Protective Order; 2 (h) the author or recipient of a document containing the information or a 3 custodian or other person who otherwise possessed or knew the information; and 4 (i) any other person with the prior written consent of the Producing Party. 7.4 Procedures for Disclosure of "HIGHLY CONFIDENTIAL TECHNICAL -5 ATTORNEYS' EYES ONLY," "HIGHLY CONFIDENTIAL NON-TECHNICAL -6 7 ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL SOURCE CODE -8 ATTORNEYS' EYES ONLY," Information or Items to Experts. 9 (a) Unless otherwise ordered by the court or agreed to in writing by the 10 Designating Party, a Party that seeks to disclose to an Expert (as defined in this Order) any 11 information or item that has been designated "HIGHLY CONFIDENTIAL TECHNICAL – 12 ATTORNEYS' EYES ONLY," "HIGHLY CONFIDENTIAL NON-TECHNICAL -13 ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL SOURCE CODE -14 ATTORNEYS' EYES ONLY," pursuant to paragraphs 7.2(c) and 7.3(c) by an opposing party 15 must first make a written request to the Designating Party that (1) sets forth the full name of the 16 Expert and the city and state of his or her primary residence; (2) attaches a copy of the Expert's 17 current resume; (3) identifies the Expert's current employer(s); (4) identifies each person or 18 entity from whom the Expert has received compensation or funding for work in his or her areas 19 of expertise or to whom the expert has provided professional services, including in connection 20 with a litigation, at any time during the preceding five (5) years; and (5) identifies (by name and 21 number of the case, filing date, and location of court) any litigation in connection with which the 22 Expert has offered expert testimony, including through a declaration, report, or testimony at a 23 deposition or trial, during the preceding five (5) years. The Party that seeks to make such 24 disclosure to an expert of any information or item that has been designated Protected Material 25 need not identify to the Designating Party the information or items to be disclosed. 26 (b) A Party that makes a request and provides the information specified in the 27 preceding respective paragraphs may disclose the subject Protected Material to the identified 28 Expert unless, within seven (7) business days of delivering the request, the Party receives a -12written objection from the Designating Party. Any such objection must be for good cause and set
 forth in detail the grounds on which it is based. In the absence of an objection at the end of the
 seven (7) day period, the Expert shall be deemed approved under this Protective Order.

4 (c) A Party that receives a timely written objection must meet and confer with 5 the Designating Party (through direct voice to voice dialogue) to try to resolve the matter by 6 agreement within three (3) days of the written objection. If no agreement is reached, the Party 7 seeking to make the disclosure to the Expert may file a motion as provided in Civil Local Rule 7 8 (and in compliance with Civil Local Rule 79-5 and General Order 62, if applicable) seeking 9 permission from the court to do so within seven (7) days from the date of the meet and confer. 10 Any such motion must describe the circumstances with specificity, set forth in detail the reasons 11 why the disclosure to the Expert is reasonably necessary, assess the risk of harm that the 12 disclosure would entail, and suggest any additional means that could be used to reduce that risk. 13 In addition, any such motion must be accompanied by a competent declaration describing the 14 parties' efforts to resolve the matter by agreement (i.e., the extent and the content of the meet and 15 confer discussions) and setting forth the reasons advanced by the Designating Party for its refusal 16 to approve the disclosure. If relief is sought, designated material shall not be disclosed to the 17 Expert in question until the Court resolves the objection.

In any such proceeding, the Party opposing disclosure to an Expert shall bear the burden
of proving that the risk of harm that the disclosure would entail (under the safeguards proposed)
outweighs the Receiving Party's need to disclose the Protected Material to its Expert.

7.5 21 Procedures for Approving Disclosure of "HIGHLY CONFIDENTIAL 22 TECHNICAL - ATTORNEYS' EYES ONLY," "HIGHLY CONFIDENTIAL NON-23 TECHNICAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL SOURCE CODE - ATTORNEYS' EYES ONLY," Information or Items to Designated House Counsel and 24 25 Specially Designated House Counsel. 26 (a) Unless otherwise ordered by the Court or agreed in writing by the 27 Designating Party, a Party that seeks to designate a Designated House Counsel or Specially 28 Designated House Counsel, or replace its existing Designated House Counsel or Specially

Designated House Counsel with a new designee, must first make a written request to the
 Designating Party that (1) sets forth the full name of the House Counsel; (2) the city and state of
 his or her primary residence; (3) identifies the House Counsel's current employer, job title, and
 description of job responsibilities; and (4) indicates whether the House Counsel is being
 proposed as either Designated House Counsel or Specially Designated House Counsel.

6 (b) A Party that makes a request and provides the information specified in the
7 preceding paragraph may disclose Protected Material to the identified House Counsel unless,
8 within seven (7) business days of delivering the request, the Party receives a written objection
9 from the Designating Party. Any such objection must set forth in detail the grounds on which it is
10 based.

11 (c) A Party that receives a timely written objection must meet and confer with 12 the Designating Party (through direct voice to voice dialogue) to try to resolve the matter by 13 agreement. If no agreement is reached, the Party seeking to make the disclosure to the House 14 Counsel may file a motion as provided in Civil Local Rule 7 (and in compliance with Civil Local 15 Rule 79-5, if applicable) seeking permission from the court to do so. Any such motion must 16 describe the circumstances with specificity, set forth in detail the reasons for which the 17 disclosure to the House Counsel is reasonably necessary, assess the risk of harm that the 18 disclosure would entail and suggest any additional means that might be used to reduce that risk. 19 In addition, any such motion must be accompanied by a competent declaration in which the 20 movant describes the parties' efforts to resolve the matter by agreement (i.e., the extent and the 21 content of the meet and confer discussions) and sets forth the reasons advanced by the 22 Designating Party for its refusal to approve the disclosure. Alternatively, the parties may raise 23 with the appointed Magistrate Judge, and the Magistrate Judge may approve and/or Order, an 24 alternative or expedited procedure for resolving any disagreements regarding disclosure to House 25 Counsel.

26 (d) In any such proceeding the Party opposing disclosure to the House
27 Counsel shall bear the burden of proving that the risk of harm that the disclosure would entail

-14-

28

(under the safeguards proposed) outweighs the Receiving Party's need to disclose the Protected
 Material to the proposed House Counsel.

7.6 <u>Disclosure of Third Party Materials, Or Materials Subject to Protective Orders In</u>
 <u>Other Litigation.</u>

5 In the event that a Party reasonably believes that, due to a confidentiality obligation 6 owed to a nonparty, it cannot produce certain information ("Restricted Information") in this 7 action, said Party shall within seven (7) days of discovering such obligation: (1) provide written 8 notification to the nonparty that Restricted Information is subject to disclosure in this action; and 9 (2) provide the nonparty with a copy of this Order. No more than ten (10) business days after 10 making such notification, the Party in possession of the Restricted Information shall inform the 11 Party requesting such information of the third party's response to the notification, or lack thereof. 12 8. PROSECUTION BAR

13 Absent written consent from the Producing Party, any individual who receives access to 14 an adverse party's "HIGHLY CONFIDENTIAL TECHNICAL - ATTORNEYS' EYES ONLY" 15 or "HIGHLY CONFIDENTIAL SOURCE CODE - ATTORNEYS' EYES ONLY," information 16 shall not be involved in the prosecution of patents or patent applications relating to 17 electrochromic glass and methods for manufacturing the same, including without limitation the 18 patents asserted in this action and any patent or application claiming priority to or otherwise 19 related to the patents asserted in this action, before any foreign or domestic agency, including the 20 United States Patent and Trademark Office ("the Patent Office"). For purposes of this paragraph, 21 "prosecution" includes drafting, amending, or advising regarding the drafting or amending of 22 patent claims. To avoid any doubt, "prosecution" as used in this paragraph does not include 23 representing a party in connection with a challenge to a patent before a domestic or foreign 24 agency (including, but not limited to, a reissue proceeding, ex parte reexamination, inter partes 25 reexamination, inter partes review, or other post-grant review), as long as the individual is not 26 involved in directly or indirectly drafting, amending, or advising regarding the drafting or 27 amending of patent claims. This Prosecution Bar shall begin when access to "HIGHLY 28 CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY" or "HIGHLY

-15-

CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY," information is first
 received by the affected individual and shall end one (1) year after final termination of this
 action.

4 9. SOURCE CODE

5 (a) To the extent production of source code becomes necessary in this case, a
6 Producing Party may designate source code as "HIGHLY CONFIDENTIAL SOURCE CODE 7 ATTORNEYS' EYES ONLY" if it comprises or includes confidential, proprietary or trade
8 secret source code.

9 (b) Protected Material designated as "HIGHLY CONFIDENTIAL SOURCE
10 CODE - ATTORNEYS' EYES ONLY" shall be subject to all of the protections afforded to
11 "HIGHLY CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY" information, and
12 may be disclosed only to the individuals to whom "HIGHLY CONFIDENTIAL TECHNICAL –
13 ATTORNEYS' EYES ONLY" information may be disclosed, as set forth in Paragraphs 7.3 and
14 7.4.

15 (c) Any source code produced in discovery shall be made available for 16 inspection, in a format allowing it to be reasonably reviewed and searched, during normal 17 business hours or at other mutually agreeable times, at an office of the Producing Party's counsel 18 or another mutually agreed upon location. If in electronic form, the source code shall be made 19 available for inspection on a secured computer in a secured room without Internet access or 20 network access to other computers, and the Receiving Party shall not copy, remove, or otherwise 21 transfer any portion of the source code onto any recordable media or recordable device (except 22 as provided below). The Producing Party may visually monitor the activities of the Receiving 23 Party's representatives during any source code review, but only to ensure that there is no unauthorized recording, copying, or transmission of the source code. 24

(d) The Receiving Party may request or print on-site paper copies of limited
portions of source code that are reasonably necessary for the preparation of court filings,
pleadings, expert reports, or other papers, or for deposition or trial, but shall not request or print
on-site paper copies for the purpose of reviewing the source code in the first instance. The

-16-

Producing Party shall provide all such source code in paper form including bates numbers and
 the label "HIGHLY CONFIDENTIAL SOURCE CODE - ATTORNEYS' EYES ONLY." The
 Producing Party may challenge the amount of source code requested in hard copy form pursuant
 to the dispute resolution procedure and timeframes set forth in Paragraph 6 whereby the
 Producing Party is the "Challenging Party" and the Receiving Party is the "Designating Party"
 for purposes of dispute resolution.

7 The Receiving Party shall maintain a record of any individual who has (e) 8 inspected any portion of the source code in electronic or paper form, and maintain a record of the 9 existence of any electronic or paper copies of the code. The Receiving Party shall maintain all 10 paper copies of any printed portions of the source code in a secured area. Absent permission by 11 the Producing Party, the Receiving Party shall only make additional paper or electronic (.pdf) 12 copies if such additional copies are (1) necessary to prepare court filings, pleadings, or other 13 papers (including a testifying expert's expert report), (2) necessary for deposition, or (3) 14 otherwise necessary for the preparation of its case. Additional paper or electronic copies are 15 prohibited. Any paper copies used during a deposition shall be retrieved by the Producing or 16 Receiving Party at the end of each day and must not be given to or left with a court reporter or 17 any other individual. 18 PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER 10. 19 LITIGATION. 20 If a Party is served with a subpoena or a court order issued in other litigation that

21 compels disclosure of any information or items designated in this action as "HIGHLY 22 CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY," "HIGHLY 23 CONFIDENTIAL NON-TECHNICAL - ATTORNEYS' EYES ONLY," or "HIGHLY 24 CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY," that Party must: 25 (a) promptly notify in writing the Designating Party. Such notification shall 26 include a copy of the subpoena or court order; 27 (b) promptly notify in writing the party who caused the subpoena or order to 28 issue in the other litigation that some or all of the material covered by the subpoena or order is -17-STIPULATED PROTECTIVE ORDER Case No. 12-CV-06441-JST subject to this Protective Order. Such notification shall include a copy of this Stipulated
 Protective Order; and

3 (c) cooperate with respect to all reasonable procedures sought to be pursued
4 by the Designating Party whose Protected Material may be affected.

5 If the Designating Party timely seeks a protective order, the Party served with the 6 subpoena or court order shall not produce any information designated in this action as "HIGHLY 7 CONFIDENTIAL TECHNICAL - ATTORNEYS' EYES ONLY," "HIGHLY 8 CONFIDENTIAL NON-TECHNICAL – ATTORNEYS' EYES ONLY," or "HIGHLY 9 CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY," before a determination by 10 the court from which the subpoena or order issued, unless the Party has obtained the Designating 11 Party's permission. The Designating Party shall bear the burden and expense of seeking 12 protection in that court of its confidential material – and nothing in these provisions should be 13 construed as authorizing or encouraging a Receiving Party in this action to disobey a lawful 14 directive from another court.

By entering this order and limiting the disclosure of information in this case, the
Court does not intend to preclude another court from finding that information may be relevant
and subject to disclosure in another case. Any person or party subject to this order who becomes

18 subject to a motion to disclose another Party's information designated "HIGHLY

19 CONFIDENTIAL TECHNICAL – ATTORNEYS' EYES ONLY," "HIGHLY

20 CONFIDENTIAL NON-TECHNICAL – ATTORNEYS' EYES ONLY," or "HIGHLY

21 CONFIDENTIAL SOURCE CODE – ATTORNEYS' EYES ONLY," pursuant to this order

shall promptly notify that party of the motion so that the party may have an opportunity to appearand be heard on whether that information should be disclosed.

24 11. <u>A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS</u>
 25 <u>LITIGATION</u>

26 (a) The terms of this Order are applicable to information produced by a Non27 Party in this action and designated as "HIGHLY CONFIDENTIAL TECHNICAL –

28 ATTORNEYS' EYES ONLY," "HIGHLY CONFIDENTIAL NON-TECHNICAL –

-18-

1	ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL SOURCE CODE –			
2	ATTORNEYS' EYES ONLY." Such information produced by Non-Parties in connection with			
3	this litigation is protected by the remedies and relief provided by this Order. Nothing in these			
4	provisions should be construed as prohibiting a Non-Party from seeking additional protections.			
5	(b) In the event that a Party is required, by a valid discovery request, to			
6	produce a Non-Party's confidential information in its possession, and the Party is subject to an			
7	agreement with the Non-Party not to produce the Non-Party's confidential information, then the			
8	Party shall:			
9	1. promptly notify in writing the Requesting Party and the Non-Party that			
10	some or all of the information requested is subject to a confidentiality agreement with a Non-			
11	Party;			
12	2. promptly provide the Non-Party with a copy of the Stipulated Protective			
13	Order in this litigation, the relevant discovery request(s), and a reasonably specific description of			
14	the information requested; and			
15	3. make the information requested available for inspection by the Non-Party.			
16	(c) If the Non-Party fails to object or seek a protective order from this court			
17	within fourteen (14) days of receiving the notice and accompanying information, the Receiving			
18	Party may produce the Non-Party's confidential information responsive to the discovery request.			
19	If the Non-Party timely seeks a protective order, the Receiving Party shall not produce any			
20	information in its possession or control that is subject to the confidentiality agreement with the			
21	Non-Party before a determination by the court. Absent a court order to the contrary, the Non-			
22	Party shall bear the burden and expense of seeking protection in this court of its Protected			
23	Material.			
24	(d) Notwithstanding any other provision in this Order, documents or other			
25	information, including pleadings or discovery responses, that are produced by a Party in this			
26	action, but that were originally produced or prepared by an opposing party or third party in			
27	another litigation, and which are designated pursuant to a Protective Order or similar Order or			
28	stipulated agreement in the prior action, must be treated in accordance with the confidentiality			
	-19-			
	STIPULATED PROTECTIVE ORDER Case No. 12-CV-06441-JST			

designations made by the party that originally produced or provided the materials. For example,
 if a document was produced by a subpoenaed party as "Outside Counsel's Eyes Only" in a prior
 litigation, and that document is produced by a Party in this action that originally obtained the
 document pursuant to the subpoena in the prior action, it must be treated as "Outside Counsel's
 Eyes Only" in this action

6

12.

UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

7 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected 8 Material to any person or in any circumstance not authorized under this Stipulated Protective 9 Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the 10 unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the 11 Protected Material, (c) inform the person or persons to whom unauthorized disclosures were 12 made of all the terms of this Order, and (d) request such person or persons to execute the 13 "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A. 14 13. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED

15 MATERIAL

Inadvertent production of information shall be handled as follows, but this is without
prejudice to the right of any party to apply to the Court for further protection or disclosure
relating to discovery:

(a) Notice By Producing Party. Pursuant to Federal Rules of Civil Procedure
26(b)(5), immediately upon receiving notice from the producing party that information subject to
the attorney-client privilege or work-product immunity has been inadvertently produced, the
receiving party shall not review, copy, or otherwise disseminate the documents or materials, nor
shall it disclose their substance. The receiving party shall return or destroy the documents or
materials and all copies within three (3) business days from receiving notice;

(b) Discovery By Receiving Party. If the receiving party, without notice from the
producing party, determines that information subject to the attorney-client privilege or workproduct immunity has been inadvertently produced, the receiving party shall immediately contact
the producing party and advise them of the inadvertent disclosure. Pursuant to Federal Rules of

-20-

Civil Procedure 26(b)(5), the receiving party shall not review, copy, or otherwise disseminate the
 documents or materials, nor shall it disclose their substance. In addition, the receiving party
 shall return or destroy the documents or materials and all copies within three (3) business days
 from discovery of the inadvertent disclosure;

(c) Challenges. If the receiving party believes that it has a good-faith basis for
challenging the privilege claim, the receiving party shall provide the producing party with a
written explanation of the good-faith basis for the belief that the inadvertently produced
documents or materials are not privileged within three (3) business days of the producing party's
request for return. The producing party shall respond in writing to the receiving party's timely
challenge to the privilege or immunity claim within five (5) business days from receipt of the
challenge;

12 (d) Motion To Compel. In the event the parties cannot agree as to the privilege or 13 immunity status of the inadvertently produced documents or materials, the receiving party shall 14 have five (5) business days from receipt of the producing party's written response to the 15 privilege challenge to file a motion (in accordance with any applicable standing orders or local 16 rules) seeking an order compelling production of the inadvertently produced documents or 17 materials. The receiving party shall not use the substantive content of the inadvertently produced 18 documents or materials to challenge their status as privileged or immune, but may submit the 19 document under confidential seal for the Court's review. In the event that a motion is made, the 20 producing party shall have the burden of proving that the inadvertently produced documents or 21 materials are privileged or immune from discovery;

(e) No Waiver - Order. Inadvertent disclosure of information subject to the attorneyclient privilege, work-product immunity, or any other applicable privilege or immunity shall not
constitute a waiver of such privilege(s). Pursuant to Rule 502(d) of the Federal Rules of
Evidence, the Court hereby orders that the attorney-client privilege or work product protection is
not waived by disclosure connected with the above-referenced matter and any such disclosure is
also not waived in any other Federal or State proceeding.

28

1

14. <u>MISCELLANEOUS</u>

2 14.1 <u>Right to Further Relief</u>. Nothing in this Order abridges the right of any person to
3 seek further or additional protection of any Disclosure or Discovery Material or to seek its
4 modification by the court in the future.

14.2 <u>Right to Assert Other Objections</u>. By stipulating to the entry of this Protective
Order no Party waives any right it otherwise would have to object to disclosing or producing any
information or item on any ground not addressed in this Stipulated Protective Order. Similarly,
no Party waives any right to object on any ground to use in evidence of any of the material
covered by this Protective Order.

10 14.3 Filing Protected Material. Without written permission from the Designating Party 11 or a court order secured after appropriate notice to all interested persons, a Party may not file in 12 the public record in this action any Protected Material. A Party that seeks to file under seal any 13 Protected Material must comply with Civil Local Rule 79-5 and General Order 62. Protected 14 Material may only be filed under seal pursuant to a court order authorizing the sealing of the 15 specific Protected Material at issue. Pursuant to Civil Local Rule 79-5 and General Order 62, a 16 sealing order will issue only upon a request establishing that the Protected Material at issue is 17 privileged, protectable as a trade secret, or otherwise entitled to protection under the law. If a Receiving Party's request to file Protected Material under seal pursuant to Civil Local Rule 79-18 19 5(d) and General Order 62 is denied by the court, then the Receiving Party may file the Protected 20 Material in the public record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed by 21 the court.

14.4 <u>No Restriction on Advising Client</u>. Nothing in this Order shall be construed to
prevent Counsel from advising their respective clients regarding this case, even if Counsel must
rely on Protected Information in formulating such advice, as long as no Protected Information is
disclosed in violation of this Order.

26 14.5 <u>Limitation on Discovery from Experts</u>. Absent good cause, drafts of expert
27 reports and other expert work product is not discoverable. Additionally, communications
28 between an Expert and a Party's Counsel are not discoverable except as provided in Rule

-22-

26(b)(4)(C) of the Federal Rules of Civil Procedure. Reports and materials exempt from
 discovery under this section shall be treated as attorney work product for the purposes of this
 case and Protective Order.

4 15. <u>FINAL DISPOSITION</u>

19

20

21

22

23

24

25

26

27

28

to the Producing Party.

5 Within sixty (60) days after the final disposition of this action, as defined in paragraph 4, 6 each Receiving Party must return all Protected Material to the Producing Party or destroy such 7 material, unless otherwise ordered or agreed in writing by the Producing Party. As used in this 8 subdivision, "all Protected Material" includes all copies, abstracts, compilations, summaries, and 9 any other format reproducing or capturing any of the Protected Material. Whether the Protected 10 Material is returned or destroyed, the Receiving Party must submit a written certification to the 11 Producing Party (and, if not the same person or entity, to the Designating Party) by the 60-day 12 deadline that affirms that the Receiving Party has not retained any copies, abstracts, 13 compilations, summaries or any other format reproducing or capturing any of the Protected 14 Material. Notwithstanding this provision, Counsel are entitled to retain an archival copy of all 15 pleadings, motion papers, trial, deposition, and hearing transcripts, legal memoranda, 16 correspondence, deposition and trial exhibits, expert reports, attorney work product, and 17 consultant and expert work product, even if such materials contain Protected Material. Any such 18 archival copies that contain or constitute Protected Material remain subject to this Protective

Order as set forth in Section 4 (DURATION). In addition, notwithstanding the preceding

section, Counsel are entitled to retain any copies that exist on backup tapes or other media used

for disaster recovery purposes, provided that such copies will not be accessed except upon notice

1	IT IS SO STIPULATED, THR	OUGH COUNSEL OF RECORD.	
2			
3	DATED: July 2, 2013	/s/ Terry W. Ahearn	
4		Attorneys for Plaintiff	
5			
6	DATED: July 2, 2013	/s/ Toby Mock	
7		Attorneys for Defendant	
8			
9	SIGNATURE ATTESTATION		
10		45(X)(B), I hereby attest that I have obtained the	
11	concurrence in the filing of this document from all the signatories for whom a signature is		
12		/s/) within this e-filed document and I have on file records	
13	to support this concurrence for subsequ	ent production for the court if so ordered or for inspection	
14	upon request.		
15	Dated: July 2, 2013	/s/ Terry W. Ahearn	
16		Terry W. Ahearn	
17		TC DISTRE	
18	PURSUANT TO STIPULATION, IT IS SO ORDERED, ATES DISTRICT CO		
19 20			
20	DATED:July 2, 2013	The Provide The Pr	
21 22			
22		Z Judge Jon S. Tigar	
23 24			
25		PERV DISTRICT OF CS	
26		DISTRICI	
27			
28			
		-24-	
		STIPULATED PROTECTIVE ORDER Case No. 12-CV-06441-JST	

1	EXHIBIT A				
2	ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND				
3	I,, of, declare under				
4	penalty of perjury that I have read in its entirety and understand the Stipulated Protective Order				
5	that was issued by the United States District Court for the Northern District of California on				
6	in the case of SAGE Electrochromics, Inc. v. View, Inc., Case No. 12-cv-06441-JST. I				
7	agree to comply with and to be bound by all the terms of this Stipulated Protective Order and I				
8	understand and acknowledge that failure to so comply could expose me to sanctions and				
9	punishment in the nature of contempt. I solemnly promise that I will not disclose in any manner				
10	any information or item that is subject to this Stipulated Protective Order to any person or entity				
11	except in strict compliance with the provisions of this Order.				
12	I further agree to submit to the jurisdiction of the United States District Court for				
13	the Northern District of California for the purpose of enforcing the terms of this Stipulated				
14	Protective Order, even if such enforcement proceedings occur after termination of this action.				
15	I hereby appoint of				
16	as my California agent for service of process in				
17	connection with this action or any proceedings related to enforcement of this Stipulated				
18	Protective Order.				
19					
20	Date:				
21	City and State where sworn and signed:				
22	Printed name:				
23	[printed name]				
24	Signature:				
25	[signature]				
26					
27	DM_US 42607120-8.092184.0011				
28					
	-25- STIPULATED PROTECTIVE ORDER				
	Case No. 12-CV-06441-JST				