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8 9	(Additional Counsel on Signature Page)		
9 10	Attorneys for Plaintiffs Lewis Booth and Stephen Drews		
11			
12	UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA		
13			
14	LEWIS BOOTH, as Trustee for the Booth Trust	CASE NO: 13-CV-04921-JST	
15	dated 11-20-96, and STEPHEN DREWS, on	CTIDULATION AND IDDODOGEDI ODDED TO	
16	behalf of themselves and all others similarly situated,	STIPULATION AND <del>[PROPOSED]</del> ORDER TO CONTINUE HEARING ON PLAINTIFFS'	
17	Plaintiffs,	MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT	
18	i iantinis,		
	V.	Judge: Jon S. Tigar Courtroom: 9	
19	STRATEGIC REALTY TRUST, INC. (f/k/a	Date: May 7, 2015	
20	TNP STRATEGIC RETAIL TRUST, INC.), THOMPSON NATIONAL PROPERTIES, LLC,	Time: 2:00 p.m.	
21	TNP STRATEGIC ADVISOR, LLC, TNP		
22	SECURITIES, LLC, ANTHONY W. THOMPSON, CHRISTOPHER S. CAMERON,		
23	JAMES R. WOLFORD, JACK R. MAURER, PHILLIP I. LEVIN, ARTHUR M. FRIEDMAN,		
24	JEFFREY S. ROGERS, ROBERT N. RUTH, and		
25	PETER K. KOMPANIEZ,		
26	Defendants.		
27			
28			
	MOTION FOR PRELIMINARY APPRO	R TO CONTINUE HEARING ON PLAINTIFFS' DVAL OF CLASS ACTION SETTLEMENT 3-CV-04921-JST	
		Dockets.Justia.com	

Plaintiffs Stephen Drews and Lewis Booth (as Trustee for the Booth Trust dated 11-20-96) and all Defendants in this action—namely, Strategic Realty Trust, Inc. ("SRT"), Thompson National Properties, LLC ("TNP"), TNP Strategic Advisor, LLC ("TNP Advisor"), TNP Securities, LLC ("TNP Securities"), Anthony W. Thompson, Christopher S. Cameron, James R. Wolford, Jack R. Maurer, Phillip I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ("Defendants")—by and through their respective counsel, hereby stipulate, subject to the Court's approval, to continue the date of the hearing on Plaintiff's Motion for Preliminary Approval of Class Settlement from May 7, 2015 at 2:00 p.m., to May 14, 2015 at 2:00 p.m.:

WHEREAS, Plaintiffs filed the above-captioned putative class action against Defendants, asserting claims for violations of the federal Securities Act, breach of fiduciary duty, negligence and unjust enrichment;

WHEREAS, the parties reached an agreement on the terms of a proposed settlement on January 16, 2015, after a mediation and continued negotiations before the Honorable Edward Infante (Ret.);

WHEREAS, on March 27, 2015, Plaintiffs filed a Motion for Preliminary Approval of Class Action Settlement and noticed the hearing for May 7, 2015;

WHEREAS, Defendants filed a statement of non-opposition to Plaintiffs' Motion for Preliminary Approval of Class Settlement on April 8, 2015;

WHEREAS, Plaintiffs' lead counsel, Daniel C. Girard, was responsible for negotiating the terms of the settlement and preparing the moving papers in support of preliminary approval;

WHEREAS, Mr. Girard is also scheduled to argue a contested motion for class certification in a matter pending in the San Jose division of the Northern District of California on May 7, 2015 at 2:00 p.m.;

WHEREAS, counsel for the parties have conferred are available for the preliminary approval hearing in this matter on May 14, 2015 at 2:00 p.m.;

IT IS HEREBY STIPULATED AND AGREED, by and between the undersigned counsel for Plaintiffs and Defendants, and upon order by the Court that:

For the reason set forth above, the parties respectfully request that the May 7, 2015 hearing date on Plaintiffs' Motion for Preliminary Approval of Class Action Settlement be continued to May 14,

STIPULATION AND [PROPOSED] ORDER TO CONTINUE HEARING ON PLAINTIFFS' MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT CASE NO: 13-CV-04921-JST

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1	2015, subject to this Court's availability.	
2		
3	Dated: April 17, 2015	Respectfully Submitted,
4	DLA PIPER LLP (US)	GIRARD GIBBS LLP
5	/s/ David Priebe	/s/ Daniel C. Girard
6	David Priebe	Daniel C. Girard
7	2000 University Avenue East Palo Alto, CA 94303-2214	Dena C. Sharp Adam E. Polk
8	david.priebe@dlapiper.com	601 California Street, 14th Floor
9	Phone: (650) 833-2000 Fax: (650) 833-2001	San Francisco, California 94108 Telephone: (415) 981-4800
10	David Clarke, Jr.	Facsimile: (415) 981-4846 Email: dcg@girardgibbs.com
11	david.clarke@dlapiper.com	Email: chc@girardgibbs.com
12	500 Eighth Street, NW Washington, DC 20004	Email: aep@girardgibbs.com
13	Phone: (202) 799-4000 Fax: (202) 799-5000	Lead Counsel for Plaintiffs
14		Joseph C. Peiffer (pro hac vice)
15	Attorneys for Defendants Strategic Realty Trust, Inc. (f/k/a TNP Strategic Retail Trust, Inc.), Phillip	PEIFFER ROSCA WOLF ABDULLAH CARR & KANE
1.5	Inc. (I/Na IIII Strategic Retail IIust, Inc.), I imp	
16	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers,	201 St. Charles Avenue, Suite 4160
16 17	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter	201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710
	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez	201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434
17	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ORRICK, HERRINGTON & SUTCLIFF LLP	201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710
17 18	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez	201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434 Facsimile: (504) 523-2464 Email: jpeiffer@praclawfirm.com Alan L. Rosca (pro hac vice)
17 18 19	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ORRICK, HERRINGTON & SUTCLIFF LLP <u>/s/ James N. Kramer</u> James N. Kramer	<ul> <li>201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434 Facsimile: (504) 523-2464 Email: jpeiffer@praclawfirm.com</li> <li>Alan L. Rosca (pro hac vice) PEIFFER ROSCA WOLF</li> </ul>
17 18 19 20	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ORRICK, HERRINGTON & SUTCLIFF LLP /s/ James N. Kramer James N. Kramer M. Todd Scott The Orrick Building	<ul> <li>201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434 Facsimile: (504) 523-2464 Email: jpeiffer@praclawfirm.com</li> <li>Alan L. Rosca (pro hac vice) PEIFFER ROSCA WOLF ABDULLAH CARR &amp; KANE 526 Superior Avenue, Suite 1255</li> </ul>
17 18 19 20 21	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ORRICK, HERRINGTON & SUTCLIFF LLP /s/ James N. Kramer James N. Kramer M. Todd Scott The Orrick Building 405 Howard Street	<ul> <li>201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434 Facsimile: (504) 523-2464 Email: jpeiffer@praclawfirm.com</li> <li>Alan L. Rosca (pro hac vice) PEIFFER ROSCA WOLF ABDULLAH CARR &amp; KANE 526 Superior Avenue, Suite 1255 Cleveland, Ohio 44114</li> </ul>
<ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> </ol>	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ORRICK, HERRINGTON & SUTCLIFF LLP /s/ James N. Kramer James N. Kramer M. Todd Scott The Orrick Building 405 Howard Street San Francisco, CA 94105-2669 Telephone: (415) 773-5700	<ul> <li>201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434 Facsimile: (504) 523-2464 Email: jpeiffer@praclawfirm.com</li> <li>Alan L. Rosca (pro hac vice) PEIFFER ROSCA WOLF ABDULLAH CARR &amp; KANE 526 Superior Avenue, Suite 1255 Cleveland, Ohio 44114 Telephone: (888) 998-0520 Facsimile: (504) 586-5250</li> </ul>
<ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> </ol>	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ORRICK, HERRINGTON & SUTCLIFF LLP /s/ James N. Kramer James N. Kramer M. Todd Scott The Orrick Building 405 Howard Street San Francisco, CA 94105-2669 Telephone: (415) 773-5700 Facsimile: (415) 773-5759 Email: jkramer@orrick.com	<ul> <li>201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434 Facsimile: (504) 523-2464 Email: jpeiffer@praclawfirm.com</li> <li>Alan L. Rosca (pro hac vice) PEIFFER ROSCA WOLF ABDULLAH CARR &amp; KANE 526 Superior Avenue, Suite 1255 Cleveland, Ohio 44114 Telephone: (888) 998-0520</li> </ul>
<ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> </ol>	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ORRICK, HERRINGTON & SUTCLIFF LLP /s/ James N. Kramer James N. Kramer M. Todd Scott The Orrick Building 405 Howard Street San Francisco, CA 94105-2669 Telephone: (415) 773-5700 Facsimile: (415) 773-5759	<ul> <li>201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434 Facsimile: (504) 523-2464 Email: jpeiffer@praclawfirm.com</li> <li>Alan L. Rosca (pro hac vice) PEIFFER ROSCA WOLF ABDULLAH CARR &amp; KANE 526 Superior Avenue, Suite 1255 Cleveland, Ohio 44114 Telephone: (888) 998-0520 Facsimile: (504) 586-5250</li> </ul>
<ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> </ol>	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ORRICK, HERRINGTON & SUTCLIFF LLP /s/ James N. Kramer James N. Kramer M. Todd Scott The Orrick Building 405 Howard Street San Francisco, CA 94105-2669 Telephone: (415) 773-5700 Facsimile: (415) 773-5759 Email: jkramer@orrick.com Email: tscott@orrick.com Irvine, CA 92618	201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434 Facsimile: (504) 523-2464 Email: jpeiffer@praclawfirm.com Alan L. Rosca (pro hac vice) <b>PEIFFER ROSCA WOLF</b> <b>ABDULLAH CARR &amp; KANE</b> 526 Superior Avenue, Suite 1255 Cleveland, Ohio 44114 Telephone: (888) 998-0520 Facsimile: (504) 586-5250 Email: arosca@praclawfirm.com
<ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> <li>26</li> </ol>	I. Levin, Arthur M. Friedman, Jeffrey S. Rogers, Robert N. Ruth, and Peter K. Kompaniez ORRICK, HERRINGTON & SUTCLIFF LLP /s/ James N. Kramer James N. Kramer M. Todd Scott The Orrick Building 405 Howard Street San Francisco, CA 94105-2669 Telephone: (415) 773-5700 Facsimile: (415) 773-5759 Email: jkramer@orrick.com Email: tscott@orrick.com	201 St. Charles Avenue, Suite 4160 New Orleans, Louisiana 70710 Telephone: (504) 523-2434 Facsimile: (504) 523-2464 Email: jpeiffer@praclawfirm.com Alan L. Rosca (pro hac vice) <b>PEIFFER ROSCA WOLF</b> <b>ABDULLAH CARR &amp; KANE</b> 526 Superior Avenue, Suite 1255 Cleveland, Ohio 44114 Telephone: (888) 998-0520 Facsimile: (504) 586-5250 Email: arosca@praclawfirm.com

STIPULATION AND [PROPOSED] ORDER TO CONTINUE HEARING ON PLAINTIFFS' MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT CASE NO: 13-CV-04921-JST

1	STRAGGAS & ASSOCIATES		
2	/s/ George D. Straggas		
3	George D. Straggas		
4	8911 Research Drive		
5	Irvine, CA 92618 Telephone: (949) 660-9100		
6	Fax: (949) 660-9100 Email: gds@straggaslaw.com		
7			
8	Attorney for Defendants Thompson National Properties, LLC, TNP Strategic Advisor, LLC, TNP Securities, LLC, and Anthony W. Thompson		
9			
10	ECF CERTIFICATION		
11	I, Daniel C. Girard, am the ECF User whose ID and Password are being used to file this		
12	Stipulation and [Proposed] Order to Continue Hearing on Plaintiffs' Motion for Preliminary Approval of		
13	Class Action Settlement. In compliance with Local Rule 5-1(i)(3), I hereby attest that all other		
14	signatories listed have concurred in this filing.		
15	Dated: April 16, 2015 GIRARD GIBBS LLP		
16	By: <u>/s/ Daniel C. Girard</u>		
17	Daniel C. Girard		
18			
19	[PROPOSED] ORDER		
20	The above Stipulation of the parties having been considered by the Court and good cause		
21	appearing therefore, the Court hereby orders that:		
22	1. The May 7, 2015 hearing date on Plaintiff's Motion for Preliminary Approval of Class		
23	Action Settlement is continued to May 14, 2015 at 2:00 p.m.		
24	PURUSANT TO STIPULATION, IT IS SO ORDERED.		
25	$\cap$ $1$		
26	Dated: April 17, 2015		
27	The Honorable Jon. S. Tigar UNITED STATES DISTRICT JUDGE		
28			
	<u>3</u>		
	STIPULATION AND [ <del>PROPOSED</del> ] ORDER TO CONTINUE HEARING ON PLAINTIFFS' MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT CASE NO: 13-CV-04921-JST		