

United States District Court  
Northern District of California

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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

RICHARD CADENASSO,  
Plaintiff,  
v.  
METROPOLITAN LIFE INSURANCE  
COMPANY, et al.,  
Defendants.

Case No. 13-cv-05491-JST

**ORDER GRANTING DEFENDANTS’  
MOTION TO TRANSFER VENUE**

Re: ECF Nos. 35, 40

**I. INTRODUCTION**

Before the Court is Defendant Metropolitan Life Insurance Company’s (“MetLife”) Motion to Stay this case under the “first-to-file” rule. MetLife’s Motion to Stay, (“MetLife Mot.”), ECF No. 35. In the alternative, MetLife asks the Court to transfer the case to the Southern District of Florida pursuant to 28 U.S.C. § 1404(a). Id. Defendants Storick Group Company, The Storick Group Corporation, and Scott R. Storick (collectively “Storick Defendants”) have filed a Motion to Dismiss for lack of personal jurisdiction under Rule 12(b)(2). Storick Motion to Dismiss (“Storick Mot.”), ECF No. 40. In the alternative, the Storick Defendants request the Court to transfer the case to the Southern District of Florida pursuant to 28 U.S.C. § 1404(a).<sup>1</sup> Id. For the following reasons, the Court will transfer the case to the Southern District of Florida under the federal statute.

The Court finds that the parties’ briefs have thoroughly addressed the issues presented, and

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<sup>1</sup> As set forth more fully infra, the Court finds transfer appropriate based on MetLife’s motion alone, and therefore only fully addresses that motion in this order. Since MetLife and the Storick Defendants both ask the Court to transfer this case to the Southern District of Florida, an order granting MetLife’s motion to transfer will effectively moot the Storick Defendants’ motion, and the Storick Defendant’s arguments regarding personal jurisdiction can only strengthen the case for transfer. For the sake of completeness and clarity, the Court will make reference to the Storick Motion in its resolution of MetLife’s motion.

1 accordingly VACATES the hearing scheduled for June 5.

2 **II. BACKGROUND**

3 **A. Factual Background and Procedural History**

4 This case involves a putative class action filed by counsel from firms Schubert Jonckheer  
5 & Kolbe and Anderson & Wanca (“Anderson” or “the Anderson firm”) on behalf of Richard  
6 Cadenasso against Defendants MetLife, Storick Group Company, The Storick Group Corporation,  
7 and Scott Storick, for violation of the Telephone Consumer Protection Act (“TPCA”) of 1991, as  
8 amended by the Junk Fax Prevention Act of 2005 (“JFPA”), 47 U.S.C. § 227. Complaint  
9 (“Compl.”), ECF No. 1 at ¶ 2. Defendant MetLife is a life insurance company with its principal  
10 place of business in New York City. *Id.* at ¶ 9. Defendant Storick Company, a Florida  
11 corporation with its principal place of business in Boca Raton, Florida, markets and sells MetLife  
12 products. *Id.* at ¶ 10. The Storick Group Corporation, a North Carolina corporation with its  
13 principal place of business in Charlotte, North Carolina, also markets and sells MetLife products.  
14 *Id.* at ¶ 11. Scott Storick, a principal of both Storick Company and The Storick Group, allegedly  
15 played an integral role in the purportedly illegal facsimile advertisement scheme of the other  
16 named Defendants. *Id.* at ¶ 13.

17 The JFPA “prohibits a person or entity from faxing or having an agent fax advertisements  
18 without the recipient’s prior express invitation or permission.” *Id.* at ¶ 2. Plaintiff claims that  
19 “Defendants have sent facsimile transmissions of unsolicited advertisements to Plaintiff in  
20 violation of the JFPA, including, but not limited to, the facsimile transmission of [an] unsolicited  
21 advertisement on September 5, 2012.” *Id.* at ¶¶ 2, 15. Plaintiff proposes the following class  
22 definition:

23 All persons who (1) on or after four years prior to the filing of this  
24 action, (2) were sent telephone facsimile messages of material  
25 advertising the commercial availability of any property, goods, or  
26 services by or on behalf of Defendants, (3) from whom Defendants  
did not obtain prior express permission or invitation to send those  
faxes, and (4) which did not display a proper opt-out notice.

27 *Id.* at ¶ 23. Explicitly excluded from the proposed class are “all persons in the state of Missouri.”

28 *Id.* The Court denied as premature Plaintiff’s Motion for Class Certification on January 16, 2014

1 without prejudice. See ECF No. 20.

2 On January 9, 2014, MetLife filed a Notice of Pendency, asking the Court to transfer this  
3 case to the Southern District of Florida, which has before it a more advanced putative class action  
4 involving the same defendants and nearly identical questions of law and fact. MetLife’s Notice of  
5 Pendency, ECF No. 12. At the February 12, 2014 Case Management Conference, ECF No. 27, the  
6 Court informed Defendants that they should file a Motion to Transfer if they wished to seek such  
7 relief, which they did on March 5, 2014. ECF No. 35.

8 Defendant MetLife now asks the Court to take one of several actions. MetLife’s first  
9 preference is to have the Court stay this case pending the outcome of the similar Southern District  
10 of Florida case, C-Mart, Inc. v. Metro. Life Ins. Co., No. 9:13-cv-80561 (S.D. Fla. June 3, 2013).  
11 MetLife Mot. at 6:12-14. In the alternative, MetLife requests the Court to transfer this case to the  
12 Southern District of Florida, either under the “first-to-file” rule or 28 U.S.C. § 1404(a). MetLife  
13 Mot. at 14:6-7. Before evaluating the merits of Defendants’ various arguments, it is necessary to  
14 first examine the history of this case and the purportedly related actions.

15 On August 24, 2012, Environmental Progress Inc. (“EPI”), a South Carolina corporation,  
16 filed a putative class action against each of this case’s four named defendants in the Southern  
17 District of Florida for violation of the JFPA. Envtl. Progress, Inc. v. Metro. Life Ins. Co., No.  
18 9:12-cv-80907-DMM (S.D. Fla. Aug. 24, 2012) (Middlebrooks, J.) (hereinafter EPI). EPI’s  
19 complaint was submitted by law firm Anderson & Wanca. Declaration of Judd Grutman  
20 (“Grutman Decl.”), Ex. A, ECF No. 35-2 at 2.<sup>2</sup> During discovery, the parties exchanged nearly  
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22 <sup>2</sup> In deciding a motion to transfer venue, the Court may consider factual submissions, including  
23 declarations, by defendants, who have the burden to justify a change of venue. Everlast World’s  
24 Boxing Headquarters Corp. v. Ringside, 928 F. Supp. 2d 753, 737 n.1 (S.D.N.Y. 2013).  
25 Therefore, the Court will consider the contents of the “Grutman Declaration” and the “Stabile  
26 Declaration” attached to MetLife’s Motion to Stay. The Court also will consider the contents of  
27 the second “Grutman Declaration,” attached to MetLife’s Reply. To the extent that the exhibits  
28 contained within the “Good Declaration” attached to Plaintiff’s Opposition are not duplicative of  
exhibits present in other Declarations, the Court finds them unnecessary for the resolution of this  
matter.

Additionally, district courts “may take notice of proceedings in other courts, both within and  
without the federal judicial system, if those proceedings have a direct relation to the matters at

1 150,000 documents and participated in seven depositions. Declaration of Daniel Stabile, (“Stabile  
2 Decl.”), ECF No. 35-5, at ¶ 3. Judge Middlebrooks denied Defendant MetLife’s Motion for  
3 Summary Judgment on April 3, 2013 and set a bench trial date for April 15, 2013. Grutman Decl.,  
4 Ex. A, ECF No. 35-2, at Dkt. Nos. 121, 126. However, three days before the trial date, EPI  
5 voluntarily dismissed the action. Id. at Dkt. No. 130.

6 While the EPI case was pending before the Southern District of Florida, each named  
7 defendant faced another proposed class action lawsuit for violation of the JFPA, this time brought  
8 in the Eastern District of Missouri on January 10, 2013 by lead plaintiff C-Mart, Inc. Grutman  
9 Decl., ECF No. 35-2, Ex. B at 19. Once again, the lead plaintiff was represented by counsel from  
10 Anderson. Id. at 34-35. MetLife filed a motion to transfer the case to the Southern District of  
11 Florida pursuant to 28 U.S.C. § 1404(a), which the court granted on May 31, 2013. C-Mart, Inc.  
12 v. Metro. Life Ins. Co., No. 4:13-CV-00052-AGF, 2013 WL 2403666, at \*5 (E.D. Mo. May 31,  
13 2013).

14 Once in Florida, the parties engaged in and completed discovery, during which more  
15 depositions were taken and thousands of additional pages of documents were exchanged. Stabile  
16 Decl. at ¶¶ 5-6. On November 21, 2013, MetLife filed an affirmative motion to deny class  
17 certification of the nationwide class proposed in C-Mart’s complaint. Grutman Decl., Ex. C, ECF  
18 No. 35-2, at Dkt. No. 114. Shortly thereafter, C-Mart filed a new motion to certify only a subclass  
19 of Missouri residents who allegedly received a facsimile advertisement during a 30-day period in  
20 August and September of 2012. Id. at Dkt. No. 127. On February 4, 2014, Judge Donald  
21 Middlebrooks, assigned to C-Mart, certified Plaintiff’s Missouri-only class and set an April 7,  
22 2014 trial date.<sup>3</sup> Grutman Decl., Ex. E at 41. C-Mart is currently pending before Judge

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23  
24 issue.” U.S. ex rel. Robinson Rancheria Citizens Council v. Borneo, Inc., 971 F.2d 244 (9th Cir.  
1992). The EPI v. Metro. Life Ins. Co., No. 9:12-cv-80907-DMM (S.D. Fla. Aug. 24, 2010) and  
25 C-Mart, Inc. v. Metro. Life Ins. Co., No. 4:13-CV-00052-AGF (E.D. Mo. May 31, 2013)  
26 proceedings before the Southern District of Florida and the Eastern District of Missouri are  
“directly related” to this action and may in fact be dispositive. Accordingly, the Court will take  
27 notice of those courts’ judgments and related filings.

28 <sup>3</sup> MetLife notes that Judge Middlebrooks did not require C-Mart to respond to MetLife’s motion to  
deny the nationwide class certification. MetLife Mot. at 5-6. After a motion hearing, and without  
a response from C-Mart, Judge Middlebrooks certified C-Mart’s proposed Missouri-only class.

1 Middlebrooks in the Southern District of Florida as both parties prepare for trial.

2 **B. Legal Standards**

3 **1. Transfer of Venue under 28 U.S.C. § 1404(a)**

4 “For the convenience of parties and witnesses, in the interest of justice, a district court may  
5 transfer any civil action to any other district where it might have been brought.” 28 U.S.C. §  
6 1404(a). The purpose of section 1404(a) is to “prevent the waste of time, energy, and money and  
7 to protect litigants, witnesses and the public against unnecessary inconvenience and expense.”  
8 Van Dusen v. Barrack, 376 U.S. 612, 616 (1964) (quotation omitted). A motion for transfer lies  
9 within the broad discretion of the district court, and must be determined on an individualized  
10 basis. See Jones v. GNC Franchising, Inc., 211 F.3d 495, 498 (9th Cir. 2000).

11 Courts considering transfer must engage in a two-step analysis. First, courts determine  
12 whether the action could have been brought in the target district. Hoffman v. Blaski, 363 U.S.  
13 335, 344 (1960). Second, courts undertake an “individualized, case-by-case consideration of  
14 convenience and fairness.” Stewart Org., Inc. v. Ricoh Corp., 487 U.S. 22, 29 (1988) (quoting  
15 Van Dussen, 376 U.S. at 622). That analysis considers the convenience of the parties, the  
16 convenience of the witnesses, and the interests of justice, as understood through the following  
17 eight factors: “(1) the location where the relevant agreements were negotiated and executed,  
18 (2) the state that is most familiar with the governing law, (3) the plaintiff’s choice of forum, (4) the  
19 respective parties’ contacts with the forum, (5) the contacts relating to the plaintiff’s cause of  
20 action in the chosen forum, (6) the differences in costs of litigation in the two forums, (7) the  
21 availability of compulsory process to compel attendance of unwilling non-party witnesses, and  
22 (8) the ease of access to sources of proof.” Jones, 211 F.3d at 498-99. The moving party bears the  
23 burden of establishing the factors in favor of transfer. Id.

24 **2. First-to-File Rule**

25 The well-established “first to file” rule allows a district court to transfer, stay or dismiss an  
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27 Grutman Decl., Ex. E, ECF No. 35-3. Judge Middlebrooks’s Certification Order is the subject of  
28 Petitions to Appeal to the Eleventh Circuit that are currently are pending in that Circuit. MetLife  
Mot. at 5:20-28; see also Grutman Decl., Ex. F, ECF No. 35-4.

1 action when a similar complaint has been filed in another federal court. Alltrade, Inc. v. Uniweld  
2 Prods. Inc., 946 F.3d 622, 623 (9th Cir. 1991). A district court examines three threshold factors in  
3 deciding whether to apply the first to file rule: the chronology of the two actions, the similarity of  
4 the parties, and the similarity of the issues. Pacesetter Sys., Inc. v. Medtronic, Inc., 678 F.2d 93,  
5 95 (9th Cir. 1982); Alltrade, 946 F.2d at 625-26.

6 While it has been said that the “first to file” rule “should not be disregarded lightly,”  
7 Church of Scientology v. U.S. Dep’t of the Army, 611 F.2d 738, 750 (9th Cir. 1979), district  
8 courts can, in the exercise of their discretion, dispense with the first-filed principle for reasons of  
9 equity. Ward v. Follett Corp., 158 F.R.D. 645, 648 (N.D. Cal. 1994). A court may also relax the  
10 “first-to-file” rule if the balance of convenience weighs in favor of the later-filed action. Id.  
11 While the Alltrade court cautioned that the respective convenience of the two courts normally  
12 “should be addressed to the court in the first filed action” rather to the court in the later-filed  
13 action, Alltrade, 946 F.2d at 628, it observed that “[i]n appropriate cases it would be relevant for  
14 the court in the second-filed action to give consideration to the convenience of the parties and  
15 witnesses.” Id.

16 **III. ANALYSIS**

17 MetLife proposes that the Court take one of three courses of action. First, MetLife asks  
18 the Court to find that the “first-to-file” rule applies and to stay the current action until C-Mart and  
19 the interlocutory appeal associated with the case are resolved. MetLife Mot. at 6:12-13.  
20 Alternatively, MetLife asks the Court to transfer the case to the Southern District of Florida, either  
21 pursuant to the “first-to-file” rule or 28 U.S.C. § 1404(a). Id. at 14:6-7. The Storick Defendants  
22 ask the court to either dismiss the case against them for lack of personal jurisdiction or to transfer  
23 the case to the Southern District of Florida pursuant to 28 U.S.C. § 1404(a). Storick Mot. at 6:18,  
24 15:5.

25 **A. Transfer of Venue under 28 U.S.C. § 1404(a)**

26 **1. Venue in the Target District**

27 MetLife and the Storick Defendants assert that venue is proper in the Southern District of  
28 Florida and that Plaintiff Cadenasso could have originally filed this case in that district. MetLife

1 Mot. at 15:17-16:6; Storick Mot. at 16:1-22. In his Opposition, Plaintiff Cadenasso notes that he  
2 “does not dispute this case could have been brought in the Southern District of Florida.”  
3 Plaintiff’s Opposition to MetLife’s Motion to Stay, (“Opp.”), ECF No. 38, at 9:16 n.3. Moreover,  
4 Defendants are currently litigating a nearly-identical class action in the Southern District of  
5 Florida, filed by counsel from the Anderson firm whose facts stem from the same allegedly illegal  
6 facsimile scheme at issue here. See C-Mart, Inc. v. Metro. Life Ins. Co., No. 13-90561-CIV, 2014  
7 WL 457580 (S.D. Fla. Feb. 4, 2014) (Middlebrooks, J.).

8 **2. Transfer in the Interests of Justice**

9 Under the second step of the analysis, the Court must evaluate the convenience and  
10 fairness of a transfer of venue on the parties and the potential witnesses in the case. See Jones, 211  
11 F.3d at 498-99. Turning to the fairness element, MetLife alleges that Plaintiff is plainly “forum  
12 shopping.” MetLife Mot. at 20:1.

13 **a. Forum Shopping**

14 The Court ordinarily begins with “a strong presumption in favor of plaintiff’s choice of  
15 forum.” Piper Aircraft Co. v. Reyno, 454 U.S. 235, 255 (1981). However, the plaintiff’s choice  
16 of forum is entitled to minimal deference if the plaintiff chose the forum to escape an unfavorable  
17 ruling in a different district. See Wireless Consumers Alliance, Inc. v. T-Mobile USA, Inc., No.  
18 03-cv-3711-MHP, 2003 WL 22387598, at \*6 (N.D. Cal. Oct. 14, 2003); see also Forrand v. Fed.  
19 Exp. Corp., No. 07-cv-4674-TEH, 2008 WL 276389, at \*3 (N.D. Cal. Jan. 31, 2008).

20 This Court faced a similar issue in True Health Chiropractic Inc. v. McKesson Corp., No.  
21 13-cv-02219-JST, 2013 WL 6000539 (N.D. Cal. Nov. 12, 2013), a putative class action in which  
22 plaintiffs alleged that McKesson violated the JFPA by sending unsolicited facsimile  
23 advertisements. In that case, the Court denied McKesson’s motion to transfer for several reasons  
24 not present here. First, the Court found that transfer to the Northern District of Ohio was  
25 inappropriate because no party to the case resided in that district. Id. at \*4. In addition, the Court  
26 declined to infer forum shopping because “no case [was] currently pending in [another] district[.]”  
27 and “there was no unfavorable ruling [for Plaintiff] to escape.” Id. at \*4. Finally, the Court found  
28 that McKesson had not established that transfer would be convenient for the parties and witnesses

1 because McKesson was located within the Northern District of California, witnesses and evidence  
2 were likely located in that district, and plaintiff True Health was located in the Southern District of  
3 Ohio, not the Northern District of Ohio. Id. at \*5. For all of those reasons, the Court concluded  
4 that McKesson had not met its burden to establish the factors that weighed in favor of transfer.

5 This case is distinguishable from True Health Chiropractic Inc. First, the Court can infer  
6 impermissible forum-shopping. MetLife argues that the Court can infer forum shopping “where,  
7 as here, Plaintiff has chosen a forum that has no factual nexus to the case and where plaintiff has  
8 another forum equally available to him.” MetLife Mot. at 20:11-13. Cadenasso refutes MetLife’s  
9 accusation of forum shopping by offering that Plaintiff’s counsel would have no motivation to  
10 forum shop because “Plaintiff to date has fared well in the Southern District of Florida.” Opp. at  
11 13:12-13. Cadenasso then notes that the Florida court has “certified the Missouri-only class that  
12 Plaintiff requested” and “denied MetLife’s preemptory motion to deny class certification.” Id. at  
13 13:13-14. Oddly, after listing the allegedly unfavorable decisions MetLife has suffered in Florida,  
14 Cadenasso accuses MetLife of forum shopping by “attempting to send this case to the Southern  
15 District of Florida” in an effort to deny Plaintiff the benefit of full discovery. Id. at 13:23-26.

16 Plaintiff cannot have it both ways. He cannot argue in one breath that MetLife has been  
17 facing unfavorable rulings in the Southern District of Florida, while in the next breath accusing  
18 MetLife of forum-shopping itself by attempting to send the case to the same district that has been  
19 levying unfavorable decisions against it.

20 Moreover, Plaintiff selectively recites Florida court decisions he views as favorable to him,  
21 but he does not address the unfavorable ruling MetLife accuses the Anderson firm of wishing to  
22 avoid, namely the EPI court’s failure to rule on Anderson’s motion to extend the time for  
23 discovery to support EPI’s nationwide class certification. See MetLife Mot. at 20:16 n.1; see also  
24 Grutman Decl., EFC No. 35-2, Ex. A, at Dkt. No. 103. While the motion to extend the time for  
25 discovery was submitted jointly, there is little question the decision was unfavorable to the  
26 Anderson firm because it prevented Plaintiff from garnering evidence to certify a nationwide class  
27 that Anderson originally sought to represent in EPI.

28 There is also evidence that Plaintiff seeks to avoid bringing this case, involving a



1 nationwide class, before Judge Middlebrooks in the Southern District of Florida. The district  
2 court in the Eastern District of Missouri, the district in which the C-Mart action was originally  
3 filed, found that “Plaintiff’s choice of forum creates the perception of impermissible forum  
4 shopping.” C-Mart, Inc. v. Metro. Life Ins. Co., No. 4:13-CV-00052-AGF, 2013 WL 2403666, at  
5 \*5 (E.D. Mo. May 31, 2013). After examining the materials accompanying the original EPI  
6 lawsuit, the court concluded there is a “likelihood that Plaintiff’s counsel, Anderson + Wanca,  
7 dismissed the class allegations and the complaint in the [EPI lawsuit] in order to find a more  
8 favorable forum.” Id. (citing Thatcher v. Hanover Ins. Grp., Inc., 659 F.3d 1212, 1214 (8th Cir.  
9 2011) (“[I]t is inappropriate for a plaintiff to use voluntary dismissal as an avenue for seeking a  
10 more favorable forum.”)). It should also be noted that in EPI, Judge Middlebrooks denied EPI’s  
11 motion to certify a nationwide class of plaintiffs because it “failed to specifically delineate the  
12 nature and number or potential claims and claimants or provide a justification for class  
13 certification, aside from the conclusory allegations set forth in its Motion.” Grutman Decl., Ex. A,  
14 ECF No. 35-2, at Dkt. No. 35. Moreover, any recent success that C-Mart has had before Judge  
15 Middlebrooks does not undercut the Court’s inference of forum shopping. While it is true that  
16 Judge Middlebrooks recently certified C-Mart’s Missouri-only class in C-Mart, Anderson filed its  
17 Complaint in this district only one day after they filed their motion to certify the Missouri-only  
18 class in C-Mart. See Grutman Decl., Ex. C, ECF No. 35-2, at Dkt, No. 127; ECF No. 1. Any  
19 favorable ruling submitted in C-Mart after Anderson filed its complaint in this district has no  
20 bearing on the question of whether there is evidence of forum shopping.

21 Both parties posit different reasons why Anderson narrowed the class definition in C-Mart  
22 and filed this action in this district. Plaintiff’s counsel contends that it moved to certify the  
23 Missouri class in C-Mart “based on the strength of the evidence obtained in discovery, and, just as  
24 importantly, on the basis of the evidence not obtained, including key records from Comcast, one  
25 of the two telephone service providers used to transmit the faxes during the multi-year faxing  
26 campaign.” Opp. at 2:10-12. The other service provider, MegaPath, “produced electronic data  
27 giving the plaintiff the evidence needed to certify the Missouri-only class, which is limited to  
28 MegaPath faxes.” Id. at 2:12-16. Therefore, because C-Mart was unable to secure its discovery

1 documents from Comcast, “it was not prepared to move for a nationwide class.” Id. at 2:17-18.

2 MetLife, however, offers a different explanation. According to MetLife, “narrowing a  
3 class to those allegedly sent faxes during the timeframe that MegaPath was the service provider . .  
4 . does not result in a class of 35,690 Missouri-residents, as Plaintiff has stated.” MetLife’s Reply  
5 at 2:27-3:2. Citing Anderson’s own expert’s testimony in C-Mart, MetLife explains that  
6 MegaPath’s records contain more than 7 million transmissions between February 7, 2012 and  
7 September 6, 2012, of which nearly 4.5 million were “successfully received error-free” individuals  
8 throughout the country. MetLife Mot. at 3:2-5 (citing Second Declaration of Judd Grutman,  
9 (“Second Grutman Decl.”), ECF No. 41-1, Ex. A at 9). Moreover, even if C-Mart based its action  
10 on only those faxes sent between August 7, 2012 and September 6, 2012, for which there is a  
11 second set of records,<sup>4</sup> Anderson’s expert concluded that during that timeframe, over 600,000  
12 faxes sent through MegaPath were “successfully received error-free.” Id. More damning,  
13 according to MetLife, is that the fax that serves as the basis of Plaintiff Cadenasso’s complaint  
14 was received on September 5, 2012, a period of time covered by the “already-produced MegaPath  
15 . . . records.” MetLife’s Reply at 4: 8-11. Therefore, according to MetLife, “the [missing]  
16 Comcast records are not what drives [sic] this new litigation.” Id. at 4:3-4.

17 Though MetLife’s theory is not bulletproof, it does cast doubt on the Anderson firm’s  
18 explanation for bringing this action in this district. The Court certainly recognizes that the  
19 successful transmission of thousands or millions of faxes does not automatically create a class of  
20 the same number of individuals. There also may be legitimate reasons for Plaintiff’s counsel to  
21 narrow the class definition the extent they did in C-Mart, including, for example, issues  
22 surrounding availability of evidence or timely class member identification.

23 Considering both parties’ arguments, the Court is left with the inference of forum-  
24 shopping. Whatever the reason, Plaintiff seems to be, once again, attempting to avoid bringing a  
25 nationwide class action before Judge Middlebrooks in the Southern District of Florida. The  
26 combined effect of Anderson voluntarily dismissing the EPI case, re-filing the case in the Eastern  
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28 <sup>4</sup> This is the time period of the class definition that was certified by the C-Mart court. See  
Grutman Decl., ECF No. 35-3, Ex. E at 17.

1 District of Missouri, and later drastically refining the class once the Missouri court transferred the  
2 case back to Judge Middlebrooks in the Southern District of Florida, creates a strong inference of  
3 forum-shopping.

4 **b. Judicial Economy**

5 The need to conserve judicial resources also weighs heavily in favor of transfer. The  
6 Supreme Court has recognized that “[t]o permit a situation in which two cases involving precisely  
7 the same issues are simultaneously pending in different District Courts leads to wastefulness of  
8 time, energy and money that § 1404(a) was designed to prevent.” Cont’l Grain Co. v. Barge FBL-  
9 585, 364 U.S. 19, 26 (1960). With this in mind, MetLife argues that while litigation in this case  
10 “has scarcely begun,” the Florida court has “a docket chock full of litigation and discovery . . .  
11 [with] numerous motions still pending . . . that relate to the same central facts and issues as here.”  
12 MetLife Mot. at 21:21-22:4. In response, Plaintiff contends, without support, that this case cannot  
13 be consolidated with C-Mart because of that case’s imminent trial date and the fact that C-Mart  
14 involves different classes. See Opp. at 15:11-14. Moreover, that Judge Middlebrooks is familiar  
15 with the issues in both cases “is not enough [to support transfer].” Id. at 15:15-16.

16 The Court concludes that the transfer of this case to the Southern District of Florida is  
17 warranted because it would be likely to promote judicial efficiency. First, C-Mart is far more  
18 advanced than this case, as the parties have already engaged in extensive discovery and are  
19 preparing for trial. Moreover, because C-Mart and this action involve very similar questions of  
20 law and fact, the resolution of which will involve much of the same evidence and testimony,  
21 requiring this Court to start this litigation anew would lead to the “wastefulness of time, energy  
22 and money that § 1404(a) was designed to prevent.” Cont’l Grain Co., 364 U.S. at 26.  
23 Additionally, the Court is not persuaded by Plaintiff’s argument that, if transferred, the Florida  
24 would be unable to consolidate this action with C-Mart because each involves a different  
25 representative plaintiff. Opp. at 15. The fact that two putative class actions have different class  
26 representatives does not, by itself, prevent consolidation of the two cases, as the determination to  
27 consolidate related cases turns on a number of different factors. See Fed. R. Civ. P. 42 (allowing  
28 federal courts to consolidate actions that “involve a common question of law or fact”)

1 Even if the case is not consolidated with C-Mart, the Southern District of Florida is an  
2 appropriate forum because that court, and particularly Judge Middlebrooks, is familiar with the  
3 facts underlying this dispute and has already facilitated discovery between the two parties. See B  
4 & B Hardware, Inc. v. Hargis Indus., Inc., CV-06-4871-PA, 2006 WL 4568798, at \*6 (C.D. Cal.  
5 Nov. 30, 2006) (finding transfer appropriate where first-filed court was in the best position “to  
6 formulate the most efficient discovery and pretrial plan for the parties to avoid duplicative,  
7 unnecessary discovery efforts by both parties”). The Internal Operating Procedures of the  
8 Southern District of Florida indicate that if this case is transferred to that district, there is a high  
9 likelihood that the court will assign the case to Judge Middlebrooks, even if the case is not  
10 consolidated with the ongoing case. That district’s Internal Operating Procedures § 2.15.00(c)  
11 provides:

12 Whenever an action or proceeding is filed in the Court which  
13 involves a subject matter which is a material part of the subject  
14 matter of another action or proceeding then pending before this  
15 Court, or for other reasons the disposition thereof would appear to  
16 entail the unnecessary duplication of judicial labor if heard by a  
17 different Judge, the Judges involved shall determine whether the  
18 newly filed action or proceeding shall be transferred to the Judge to  
19 whom the earlier filed action or proceeding is assigned.

17 Internal Operating Procedures of the U.S. District Court for the Southern District of Florida, §  
18 2.15.00(c), available at [https://www.flsd.uscourts.gov/?page\\_id=211](https://www.flsd.uscourts.gov/?page_id=211).<sup>5</sup> Finally, although both  
19 complaints allege different class definitions, such differences are insufficient to undermine the  
20 increased efficiency and convenience gained by transferring this case to a different venue.

### 21 3. Convenience Factors

22 Having found a strong inference of forum shopping, the Court will give Plaintiff’s choice  
23 of forum less deference. In addition, two other factors further diminish the deference the Court  
24 will afford Plaintiff’s choice of venue. First, Plaintiff Cadenasso represents a putative nationwide  
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27 <sup>5</sup> The Court will sua sponte take Judicial Notice of the Internal Operating Procedures of the United  
28 States District Court for the Southern District of Florida. See F.R.E. 201. Because the Internal  
Operating Procedures are found on that court’s official website, they “can be accurately and  
readily determined from sources whose accuracy cannot be reasonably questioned.” Id.

1 class of plaintiffs, and the Court will therefore give his choice of venue less weight than it would if  
2 he brought the suit on an individual basis. See Lou v. Belzberg, 834 F.2d 730, 739 (9th Cir. 1987)  
3 (“[W]hen an individual brings a derivative suit or represents a class, the named plaintiff’s choice  
4 of forum is given less weight.”). Second, a great majority of the operative facts occurred outside  
5 of this district and the state of California has no greater stake in this case than any other state. Id.  
6 (“If operative facts have not occurred within the forum and the forum has no interest in the parties  
7 or subject matter” a class action plaintiff’s choice of forum is entitled to only “minimal  
8 consideration.”).

9 MetLife argues, without opposition, that Florida is a convenient forum. Indeed, several of  
10 Plaintiff’s counsel are currently litigating a substantially similar case against the same Defendants  
11 in the Southern District of Florida and the same counsel recently litigated the EPI case in that  
12 district throughout the pretrial stage before voluntarily dismissing it.

13 Furthermore, MetLife argues that the Southern District of Florida will be a more  
14 convenient forum for potential witnesses in the case. MetLife Mot. at 17:1-20. As support,  
15 MetLife offers the Storick Defendants’ witness list from the C-Mart case, Grutman Decl., Ex. H,  
16 ECF No. 35-4, surmising that Plaintiff “will almost certainly rely . . . on the same depositions,  
17 documents, and witnesses from the earlier-filed [C-Mart] case[.]” MetLife Mot. at 16:10-12. The  
18 witness list makes clear that most of the individuals listed either as “will call” or “may call” reside  
19 in Florida. Grutman Decl., Ex. H, ECF No. 35-3, (showing that 27 of the 34 individuals listed  
20 reside in Florida, while none reside in California). In addition to the location of the witnesses,  
21 MetLife adds that the “fax blaster” behind the allegedly illegal faxing scheme, Robert Martino,  
22 lives in Florida, the Storick Defendants reside in Florida, and the MetLife’s Fort Lauderdale  
23 office, where the illegal faxing allegedly took place, is located in Florida.

24 The gravamen of Plaintiff’s argument against transfer is that MetLife has come “woefully  
25 short” of meeting its burden to support its motion for transfer. Opp. at 10:24. Plaintiff cites to  
26 various district court opinions suggesting that MetLife must provide with specificity the content of  
27 each witness’ testimony and the importance the testimony will have on the case. Id. at 11:11-12.  
28 From there, Plaintiff notes that MetLife “has not stated why the testimony of the four will calls

1 cannot be presented by deposition at trial,” “has made no showing as to the specific identity of  
2 th[e] non-party witnesses,” and “has not provided any evidence that its employees will not testify  
3 in this district if asked to do so.” Opp. at 11:13-27.

4 On balance, the Court concludes that MetLife has met its burden of showing  
5 inconvenience. Other than the named Plaintiff, Cadenasso, none of the parties resides in or near  
6 California. More importantly, however, Defendants have demonstrated that the majority of the  
7 witnesses and evidence is located in Florida. That a Florida witnesses’ deposition can be  
8 presented at trial in California does not, by itself, weigh against transfer to Florida. See C-Mart,  
9 2013 WL 2403666, at \*4 (citing Griman v. Makousky, 76 F.3d 151, 153 (7th Cir. 1996) (noting  
10 that federal courts prefer live oral testimony).

11 Plaintiff further supports his argument against transfer to the Southern District of Florida  
12 by arguing that California “has an interest in this case” because “MetLife sent hundreds of  
13 thousands of faxes to California residents, tying up their lines and requiring the targets to pay for  
14 MetLife’s advertising.” Opp. at 13:4-8. MetLife does not dispute that the state of California  
15 “could have an interest in the controversy” if California residents had received thousands of  
16 purported faxes. MetLife’s Reply, ECF No. 41, at 11:10-12.

17 Plaintiff’s unremarkable observation that California residents may have received faxes as a  
18 part of the alleged nationwide faxing scheme does little to weaken MetLife’s argument in favor of  
19 transfer. First, the Complaint makes no reference as to the location of any proportion of class  
20 members and only alleges that the number of class members “is at least forty.” Compl. ¶ 24. The  
21 allegation in Plaintiff’s Opposition that MetLife sent hundreds of thousands of faxes to California  
22 residents is unsupported even by the Complaint, much less by additional probative evidence.  
23 Furthermore, Plaintiff makes no argument that Florida residents were excluded from the faxing  
24 operation, leaving open the question of whether the state of Florida could have the same or even a  
25 greater interest in the case than California does.

26 More convincingly, however, MetLife correctly notes that the only alleged violation of the  
27 TCPA occurred in Florida, the state from which the unsolicited faxes were sent. The TCPA does  
28 not make illegal the receipt of an unsolicited facsimile advertisement. It only prohibits a person

1 from using “any telephone facsimile machine, computer, or other device to send, to a telephone  
2 facsimile machine, an unsolicited advertisement.” 47 U.S.C. § 227(b)(1)(C) (emphasis added).  
3 Accordingly, the only violation of law alleged to have taken place occurred in MetLife’s Fort  
4 Lauderdale office where the faxes were purportedly sent. This weighs strongly for transferring the  
5 case. See Van Dusen, 376 U.S. at 628 (explaining that “the most convenient forum is frequently  
6 the place where the cause of action arose”).

7 For the aforementioned reasons, the Court concludes that transfer of this case to the  
8 Southern District of Florida pursuant to 28 U.S.C. § 1404(a) is appropriate.

9 **B. First-to-File Rule**

10 Having found that transfer under 28 U.S.C. § 1404(a) is warranted, the Court does not need  
11 to address MetLife’s analysis of the “first-to-file” rule’s application to this case. Nonetheless, for  
12 the reasons set forth below, the Court finds, as an alternative basis for transfer, that the “first-to-  
13 file” rule applies to this case and weighs strongly in favor of transferring the case to the Southern  
14 District of Florida. However, the “first-to-file” rule does not support a decision to stay this case in  
15 this district pending the resolution of C-Mart.

16 In the Ninth Circuit, the principles of federal comity are embodied in the “first-to-file”  
17 rule. Ross v. U.S. Bank Nat. Ass’n, 542 F. Supp. 2d 1014, 1020 (N.D. Cal 2008). Accordingly, a  
18 district court has the discretion to transfer, stay, or dismiss an action when a federal action with  
19 similar parties and issues has been filed in another district court. Id. (citing Pacesetter Sys., Inc.,  
20 678 F.2d at 94-95). Importantly, for purposes of this analysis, in a class action, the classes, rather  
21 than the class representatives, are compared. Id. (citing Cal. Jur.3d Actions § 284).

22 **1. Chronology of the Actions**

23 Both parties agree that the C-Mart action was filed prior to this case. Plaintiff’s counsel  
24 initially filed C-Mart in the Eastern District of Missouri on January 10, 2013. See Grutman Decl.,  
25 Ex. C, ECF No. 35-2, at 37-38. Plaintiff filed his Complaint in this case on November 26, 2013.  
26 See ECF No. 1. The C-Mart action was the first to be filed.

27 **2. Similarity of the Issues**

28 Additionally, both parties agree that C-Mart and this case involve similar issues. Indeed,

1 as MetLife’s Motion makes clear, when arranged side-by-side, the complaint in C-Mart and the  
 2 complaint in this action are virtually identical. See MetLife Mot. at 10-11. Moreover, the  
 3 resolution of the claims in both cases will turn on similar determinations of fact in an effort to  
 4 answer the same central question: whether Defendants violated the TPCA by allegedly sending or  
 5 authorizing others to send unsolicited facsimile advertisements to consumers. Taking into account  
 6 the parties’ agreement and the fact that both cases will turn on substantially similar issues, the  
 7 Court is satisfied that C-Mart and this case involve similar issues.

8 **3. Similarity of the Parties**

9 The parties disagree as to the similarity of the parties between the C-Mart and Cadenasso  
 10 actions. MetLife accurately points out that the four Defendants named in both actions—MetLife  
 11 and the three Storick Defendants—are exactly the same. MetLife Mot. at 8:1-2. However, even  
 12 though the representative plaintiffs are different, MetLife cites various cases for the proposition  
 13 that the “first-to-file” rule only requires the parties to be “substantial similar,” rather than identical.  
 14 MetLife Mot. at 7-8 (citing Inherent.com v. Martindale-Hubbell, 420 F. Supp. 2d 1093, 1097  
 15 (N.D. Cal. 2006) (“The ‘sameness’ requirement does not mandate that the two actions be identical,  
 16 but is satisfied if they are ‘substantially similar.’”). In addition, MetLife notes that the Court must  
 17 compare the classes, rather than the class representatives, when comparing the parties. Id. at 8:10-  
 18 12.

19 Plaintiff Cadenasso repudiates MetLife’s argument and contends that for the “first-to-file”  
 20 rule to apply there must be a “substantial overlap” between the parties of both actions. Opp. at  
 21 4:24-25. Though the class definitions in both cases are nearly identical, both classes are mutually  
 22 exclusive; the C-Mart class consists of only Missouri residents, while the Cadenasso class  
 23 unequivocally contains residents only of the remaining 49 states. Because there is “no overlap of  
 24 class members, let alone a ‘substantial’ one,” Cadenasso urges the Court to find the “first-to-file”  
 25 rule inapplicable to this case.

26 Despite the geographic dissimilarity between the classes involved in C-Mart and  
 27 Cadenasso, the Court is convinced that, taken together, the “first-to-file” factors weigh in favor of  
 28 transfer. Courts in the Ninth District have adopted a flexible approach in evaluating the similarity



1 of the parties in a “first-to-file” rule analysis. See PETA, Inc. v. Beyond the Frame, Ltd., 2011  
2 WL 686158, at \*2 (C.D. Cal. Feb. 16, 2011) (citing Intersearch Worldwide, Ltd. v. Intersearch  
3 Grp., Inc., 544 F. Supp.2d 949, 959 n.6 (N.D. Cal. 2008). “The [“first-to-file”] rule is satisfied if  
4 some [of] the parties in one matter are also in the other matter, regardless of whether there are  
5 additional unmatched parties in one or both matters.” Id. (citing Kerotest Mfg. v. C-O-Two Fire  
6 Equip. Co., 189 F.2d 31, 34-35 (3d Cir. 1951)). Given that C-Mart was clearly filed prior to this  
7 case, both cases involve nearly identical issues, and each case is brought against the same four  
8 Defendants, the Court concludes that the “first-to-file” rule correctly applies to this case. See  
9 Pacesetter Sys., Inc., 678 F.2d at 95 (emphasizing that “the ‘first-to-file’ rule is not a rigid or  
10 inflexible rule to be mechanically applied, but rather is to be applied with a view to the dictates of  
11 sound judicial discretion”).

12 Having found that the “first-to-file” rule applies to this case, the Court is faced with one of  
13 three options: (1) stay this case pending the resolution of the first-filed case; (2) transfer this case  
14 to the district in which the first-filed action was brought; or (3) dismiss this case in its entirety.  
15 See Alltrade, Inc., 946 F.3d at 623.

16 In its Motion, in the event that the Court finds the “first-to-file” rule applicable, MetLife  
17 asks the court to stay this case pending the resolution of C-Mart. MetLife Mot. at 2:22-3:15. For  
18 support, MetLife explains that there are jurisdictional issues “unsettled” in C-Mart, which have  
19 been submitted for interlocutory appeal to the Eleventh Circuit.<sup>6</sup> Additionally, because there is a  
20 “real risk of inconsistent rulings,” a stay “is the most appropriate course of action under the  
21 circumstances here, so that events can play out in the pending and substantially similar Florida  
22 action before the parties proceed further before this Court.” Id. at 13:25-14:2. Accordingly,  
23 MetLife argues that the Court should stay this action until the C-Mart action is resolved because  
24 its “essential standing question . . . will necessarily be relevant to the instant action.” Id. at 12:19-

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27 <sup>6</sup> MetLife has appealed Judge Middlebrooks’ certification of the Missouri-only class, submitting to  
28 the Eleventh Circuit the question: Does a class member who did not receive a facsimile have Article III standing in federal court to pursue a claim under the TCPA? See MetLife Mot. 5–6; ECF No. 35-4 at 11.

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Contrary to MetLife’s assertion, the Court finds that a transfer, rather than a stay, is most appropriate in this case. Although a stay would afford this Court the benefit of the Southern District of Florida’s sound resolution of C-Mart, that decision would not be binding on this Court. Moreover, any resolution of MetLife’s jurisdictional appeal by the Eleventh Circuit, again, would not be binding on this Court, since this Court is situated in the Ninth Circuit. See Zuinga v. United Can Co., 812 F.2d 443, 450 (9th Cir. 2087) (“District courts are, of course, bound by the law of their own circuit.”)

Additionally, under the Internal Operating Procedures of the Southern District of Florida, a transferred action that bears substantial similarity to a pending case before a Judge of that district may to be assigned to that particular Judge. See Internal Operating Procedures of the U.S. District Court for the Southern District of Florida, § 2.15.00(c). Though not guaranteed, there is a strong possibility that this case will be assigned to Judge Middlebrooks, who could then either consolidate this case with C-Mart or issue a stay of this case pending the resolution of MetLife’s appeal to the Eleventh Circuit, which would be binding on the Southern District of Florida. Or, if a stay is warranted, he could be the judge to issue one. Moreover, even if the case did not get assigned to Judge Middlebrooks, the Court is convinced that, for the reasons set forth supra, the convenience of the parties and witnesses, and the interests of justice, weigh heavily in favor of transfer.

**IV. CONCLUSION**

For the foregoing reasons, the Court GRANTS MetLife’s Motion to Transfer under 28 U.S.C. § 1404(a). Storick Defendants’ Motion to Dismiss for Lack of Personal Jurisdiction or to Transfer, ECF No. 40, is GRANTED as to the motion to transfer and DENIED AS MOOT as to

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the motion to dismiss for lack of personal jurisdiction.<sup>7</sup> This action shall be transferred to the United States District Court for the Southern District of Florida pursuant to 28 U.S.C. § 1404(a).

**IT IS SO ORDERED.**

Dated: April 14, 2014

  
JON S. TIGAR  
United States District Judge

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<sup>7</sup> Because the crux of the Storick Defendants’ personal jurisdiction argument hinges on the Storick Defendants’ alleged lack of connection to the state of California, a transfer of this case to the state of Florida renders that argument moot. Moreover, “[t]o address a venue challenge does not require a preliminary finding that the ‘transferring court has personal jurisdiction over the defendants.’” Everlast World’s Boxing Headquarters Corp., 928 F. Supp. 2d at 741 (quoting Goldlawr, Inc. v. Heiman, 369 U.S. 463, 465 (1962)).