

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIAKYLE DAVID BIEDMA,
Plaintiff,
v.
MICHAEL CLARK, et al.,
Defendants.Case No. [14-cv-02853-RS](#)**ORDER REGARDING MOTIONS IN
LIMINE****I. INTRODUCTION**

Plaintiff Kyle Biedma asserts five claims for violations of state law and the Fourth Amendment of the U.S. Constitution against defendants Santa Rosa Police Department (“SRPD”) Officer Michael Clark, the City of Santa Rosa: negligence (Claim 1); negligent training and supervision (Claim 2); false imprisonment (Claim 3); battery (Claim 4); and federal constitutional violations (Claim 5). Under the federal constitutional umbrella, Biedma asserts two claims for Fourth Amendment violations for unreasonable seizure and malicious prosecution. In addition, he asserts that Clark and the City violated the Equal Protection Clause of the Fourteenth Amendment. Biedma seeks to hold Clark and the City liable for Claims 1, 3, 4, and 5. Only the City is potentially liable for Claim 2. These claims arise from a stake-out gone awry, when Clark ordered his dog, Taz, to attack Biedma. Clark and the other SRPD officers were searching for Eric Diaz, a fugitive on the loose. Following the dog bite, Clark completed an incident report and forwarded the case to the Santa Rosa County Prosecutor’s Office to determine whether to file a charge of

1 resisting arrest.

2 Biedma has filed three motions in limine to exclude the following evidence: (1) Biedma's
3 prior misdemeanor convictions and injury to his right arm; (2) various documents and physical
4 exhibits; and (3) testimony about SRPD's training and recordkeeping. Defendants press six
5 motions in limine to prohibit admission of the following: (1) a photograph of Clark pointing his
6 service weapon at Diaz's girlfriend and child; (2) the order denying defendants' motion for
7 summary judgment; (3) testimony about the absence of a video recording of the dog bite; (4)
8 undiscounted medical bills; (5) testimony of Scott Defoe, Biedma's proposed rebuttal expert; and
9 (6) testimony about Biedma's criminal trial for resisting arrest.

10
11 **II. PLAINTIFF'S MOTIONS IN LIMINE**

12 **A. Motion in Limine No. 1: To Exclude Evidence of Prior Misdemeanor Convictions**
13 **and Prior Injury to Biedma's Right Arm**

14 Biedma moves to exclude any evidence related to two prior misdemeanor convictions for
15 vandalism, Cal. Penal Code § 594(a), and selling alcohol to a person under the age of 18, Cal. Bus.
16 & Prof. Code § 25658(a). Biedma pleaded no contest to both charges. The convictions are plainly
17 not admissible to prove Biedma's character for truthfulness because neither offense is punishable
18 by death or imprisonment of more than one year or is a crime of dishonesty. See Fed. R. Evid.
19 609(a).

20 Defendants insist, however, that these convictions are relevant for reasons other than to
21 attack Biedma's character. Instead, defendants argue that the vandalism conviction will assist the
22 jury to assess the reasons the prosecutor chose to prosecute Biedma for resisting arrest, Biedma's
23 behavior, and the degree of his emotional distress. First, they contend that the conviction is
24 relevant to defend against the claim of malicious prosecution because Biedma was initially
25 charged with a felony and the prosecutor may have decided to prosecute Biedma for resisting
26 arrest because of the pending felony charge. At this stage in the trial, defendants' argument on
27 this point is purely speculative. Absent foundation establishing that the prosecutor considered

1 information other than the police report evidence of this conviction is not probative of any
2 material issue of fact. Even if defendants can lay a proper foundation, however, they must proffer
3 the testimony to enable full evaluation of whether the probative value of the testimony outweighs
4 the obvious prejudice.

5 Second, defendants contend that the pending charges would have alerted Biedma of the
6 need to follow police officers' orders immediately. Defendants also speculate that Biedma's
7 ongoing legal troubles caused him to harbor ill will towards the police. These arguments are
8 purely speculative and do not substantiate any need to delve into Biedma's criminal history.
9 Evidence of Biedma's conviction is of marginal relevance given that defendants may probe bias
10 by asking other questions.

11 Third, they insist that evidence of Biedma's prior convictions is relevant to the issue of
12 damages to rebut the expected claim that Biedma was shocked to learn by mail that he had been
13 criminally charged despite the fact that he had not been arrested. In addition, defendants wish to
14 use this evidence to challenge the extent of Biedma's emotional distress and to argue that
15 Biedma's experience in the criminal justice system suggests the prospect of a second conviction
16 would be less distressing for him. That a person has been convicted of a crime has an uncertain
17 bearing at best on whether a second prosecution causes stress. The prospect of conviction—
18 wrongful or otherwise—is inherently stress-inducing. Defendants have not shown how the
19 probative value of this evidence outweighs its obvious prejudice. Accordingly, Biedma's motion
20 to exclude testimony about his prior misdemeanor convictions is granted.

21 In addition, Biedma moves to exclude testimony about a prior injury to his right forearm,
22 which he injured and scarred when he broke a window. Biedma was prosecuted as a result of this
23 incident. Defendants contend that this testimony is admissible to rebut Biedma's claim that the
24 scar caused him emotional distress. Biedma's scar on his right arm may become relevant
25 depending on how he discusses his emotional distress flowing from the dog bite, and therefore
26 ruling on the motion in limine will be held in reserve. Defendants may not, however, question
27 Biedma about the circumstances surrounding the injury or his prosecution for vandalism.

28

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

B. Motion in Limine No. 2: To Exclude SRPD’s Criminal Report Manual, Clark’s Prior Incident Reports, and a Sweatshirt

Biedma moves to exclude the SRPD Criminal Report Manual, three incident reports authored by Clark, and a sweatshirt, arguing that these items are not relevant to any material issues of fact to be decided at trial. Defendants contend that the manual and prior reports are relevant to establish that Clark forwarded the incident report to the prosecutor, as he does in every case. “Evidence of a person’s habit or an organization’s routine practice may be admitted to prove that on a particular occasion the person or organization acted in accordance with the habit or routine practice.” Fed. R. Evid. 406. According to the manual, SRPD officers must select one of fifteen possible dispositions when completing an incident report. That Clark had these options is probative of whether Clark exercised discretion when he referred the report to the district attorney for review. Whether three reports establish a pattern, practice or habit is another matter. While Clark may testify about his common practices and use incident reports as demonstrative exhibits, at this juncture, defendants have not demonstrated a basis to admit these three reports into evidence. Accordingly, the motion to exclude is granted.

Biedma also argues that the manual should be excluded because defendants did not timely disclose it. Biedma argues that the late disclosure prejudiced his ability to combat this evidence at trial, but does not provide a specific explanation as to how. That neither party has complied with Federal Rule of Civil Procedure 26’s disclosure requirements undermines Biedma’s claimed prejudice because he could have requested the initial disclosures far sooner had he prompted defense counsel to make the required disclosures. Moreover, Clark mentioned the manual during his deposition, but Biedma never requested that Clark or the SRPD produce the manual during the discovery period. He has therefore failed to show how the late disclosure prejudiced him in any way, and so the motion to exclude the manual is denied.

Finally, Biedma moves to exclude admission of a sweatshirt. This sweatshirt is indisputably not the sweatshirt Biedma actually wore on the night of the incident, which undercuts

1 its probative value significantly. Defendants have not laid any foundation to support their
2 contention that this sweatshirt is similar in size, shape, hoodie size, pouch size, or wear and tear.
3 Absent these critical details, the sweatshirt is not relevant and potentially misleading.
4 Accordingly, at this juncture, Biedma’s motion to exclude the sweatshirt is granted for absence of
5 foundation.

6 **C. Motion in Limine No. 3: To Exclude Testimony Regarding SRPD’s Training,
7 Record Keeping, and Report Preparation**

8 Biedma also moves to exclude the testimony of four SRPD officers—Hank Schreeder,
9 John Snetsinger, Tommy Isachsen, and John Cregan—regarding their training and recordkeeping
10 practices. He contends that this testimony is irrelevant. Their testimony, however, is relevant
11 because Biedma has asserted claims against the City of Santa Rosa for negligent training and
12 supervision. Biedma’s motion to exclude this testimony is therefore denied with the
13 understanding that defendants may not introduce cumulative evidence.

14 **III. DEFENDANT’S MOTIONS IN LIMINE**

15 **D. Motion in Limine No. 1: To Exclude Photograph of Clark**

16 Defendants move to exclude a still image from a video of Clark pointing his service
17 weapon. They contend that the image is more prejudicial than probative and therefore
18 inadmissible under Federal Rule of Evidence 403. The image is potentially inflammatory and
19 offers little probative value to support or to undercut any material facts. Biedma may use the full
20 video as appropriate, however, to impeach the reliability of Clark’s reporting, depending upon
21 Clark’s direct testimony. Defendants’ motion to exclude the still image of Clark holding his gun
22 is therefore granted.

23 **E. Motion in Limine No. 2: To Exclude Reference to the Order on the Motion for
24 Summary Judgment**

25 Defendants seek to exclude any reference to the order denying their motion for summary
26 judgment. Biedma does not oppose this request. Because the order on the motion for summary
27 judgment is not relevant, the motion is granted.

28

1 **F. Motion in Limine No. 3: To Exclude Reference to the Absence of Video Evidence**
2 **of the Dog Bite**

3 On the night Taz bit Biedma, Clark was wearing a body camera. Clark activated the
4 camera to record his conversation with Biedma in the hospital, but he did not turn on the camera to
5 tape the events leading up to the dog bite. Defendants wish to exclude reference to the absence of
6 video evidence of the dog bite on the basis that mention of the lack of video evidence is more
7 prejudicial than probative. See Fed. R. Evid. 403. Defendants apparently believe that Biedma
8 must show that Clark deliberately chose not to activate his camera for the testimony to be relevant.
9 This is not, however, a valid basis to exclude testimony about the lack of video evidence. Clark
10 may have a perfectly reasonable explanation as to why he chose not to activate his camera, but the
11 mere fact that he chose not to do so is not unduly prejudicial. Thus, defendant’s motion to exclude
12 testimony about the absence of video evidence of the dog bite must be denied.

13 **G. Motion in Limine No. 4: To Exclude Reference to Undiscounted Medical Bills**

14 Defendants move to exclude testimony or documentary evidence about the costs of
15 Biedma’s undiscounted medical expenses. Under California law, “a plaintiff may recover as
16 economic damages no more than the reasonable value of the medical services received and is not
17 entitled to recover the reasonable value if his or her actual loss was less.” Howell v. Hamilton
18 Meats & Provision, Inc., 52 Cal. 4th 541, 555 (2011). Biedma agrees that evidence of
19 undiscounted medical costs is inadmissible and, indeed, suggests that a stipulation to the total
20 medical costs is proper. Accordingly, the motion to exclude reference to undiscounted medical
21 bills is granted. The parties should meet and confer regarding the proposed stipulation.

22 **H. Motion in Limine No. 5: To Exclude the Testimony of Scott Defoe**

23 Both parties have identified expert witnesses to testify about police training and practices:
24 defendants retained Clarence Chapman, while Biedma has hired Timothy Williams. Following
25 defendants’ expert witness disclosure, Biedma identified Scott Defoe as a rebuttal expert to opine
26 about police practices, use of canines, and the reasonableness of Clark’s actions. Many of Defoe’s
27 opinions are similar to those of Williams, and therefore are cumulative. Some of the opinions in
28 Defoe’s report address Chapman’s specific opinions, such as (1) whether felons on the run are

1 likely to tie their shoelaces; (2) whether people who have been attacked by a canine remember
2 accurately the placement of their hands; and (3) whether Clark and the SRPD officers should have
3 used a bullhorn to announce their presence at Diaz’s residence. See Defoe Report at 5. These
4 opinions are not cumulative and may be admissible if Biedma lays a proper foundation.
5 Defendants’ motion to exclude Defoe’s testimony is therefore granted in part and denied in part.

6 **I. Motion in Limine No. 6: To Exclude Testimony from Biedma’s Criminal Trial**

7 Biedma and defendants have stipulated that Biedma was prosecuted for resisting arrest and
8 found not guilty. Defendants move to exclude testimony about the substance of that criminal trial
9 on the basis that it is more prejudicial than probative or speculative. Specifically, they seek to
10 prohibit testimony about the length of the jury’s deliberation; the attorneys’ arguments; the basis
11 for the jury’s decision; the jury’s conclusions about the credibility of the witnesses; and the
12 judge’s comments throughout the trial. Biedma contends that testimony about the length of trial
13 and jury deliberations and the substance of the prosecutor’s arguments will help the jury evaluate
14 the extent of his emotional distress. He agrees that neither party may speculate about the basis for
15 the jury’s decision.

16 Biedma may talk about the stress and emotional anguish he felt during the trial, listening to
17 the prosecutor’s arguments, and waiting for a verdict. He may also testify that the jury returned a
18 verdict of not guilty. He may not, however, testify about the length of jury deliberations. That
19 evidence will not assist in the understanding of any material fact in dispute. Accordingly,
20 defendants’ motion to exclude extensive testimony about the substance of the criminal trial is
21 granted in part and denied in part. The parties may, of course, use the witnesses’ testimony to
22 impeach provided they lay the appropriate foundation.

23 **IV. DISMISSAL OF DOE DEFENDANTS**

24 Federal Rule of Civil Procedure 4(m) requires plaintiffs to serve defendants within 120
25 days of filing the complaint. Courts may dismiss unidentified Doe defendants who remain
26 unidentified and unserved after 120 days after the case is filed. Spitzer v. Aljoe, No. 13-CV-
27 05442-MEJ, 2015 WL 1843787, at *15 (N.D. Cal. Apr. 6, 2015). In the complaint, Biedma


1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

identifies twenty Doe defendants. To date, however, he has not identified those defendants or supplied proof of service. Accordingly, pursuant to Rule 4(m), defendants John Doe 1-20 are dismissed with prejudice.

Finally, the parties must submit by February 17, 2016, a stipulated neutral statement to be read to the jury venire and a draft Ninth Circuit Pattern Civil Jury Instruction 1.2.

IT IS SO ORDERED.

Dated: February 12, 2016



RICHARD SEEBORG
United States District Judge