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3
4 UNITED STATES DISTRICT COURT
5 NORTHERN DISTRICT OF CALIFORNIA

6 SHERRILL LYNISE HAMPTON,

7 Plaintiff,

8 v.

9 CAROLYN W. COLVIN,

10 Defendant.

Case No. 14-cv-04597-HSG

**ORDER DENYING PLAINTIFF'S
MOTION FOR SUMMARY JUDGMENT
AND GRANTING DEFENDANT'S
CROSS-MOTION FOR SUMMARY
JUDGMENT**

Re: Dkt. Nos. 15,19

11
12 On October 15, 2014, Plaintiff Sherrill Lynise Hampton filed this action seeking judicial
13 review of Administrative Law Judge (“ALJ”) Philip E. Callis’ decision that she is not disabled
14 under the Social Security Act. Pending before the Court are the parties’ cross-motions for
15 summary judgment. Dkt. Nos. 15, 19. Plaintiff argues that the ALJ committed reversible errors
16 that warrant remand directly for the payment of benefits. Defendant Commissioner Carolyn W.
17 Colvin contends that the ALJ made no reversible errors and that substantial evidence supported
18 the ALJ’s decision.

19 Having carefully considered the papers submitted and the pleadings in this action, and for
20 the reasons set forth below, the Court hereby **DENIES** Plaintiff’s Motion for Summary Judgment
21 and **GRANTS** Defendant’s Cross-Motion for Summary Judgment.

22 **I. BACKGROUND**

23 Plaintiff filed an application for disability and disability insurance benefits and for
24 supplemental security income on June 16, 2011, alleging disability beginning May 31, 2003.
25 Administrative Record (“AR”) 11. Her claim was first denied on November 7, 2011, and then
26 again upon reconsideration on May 2, 2012. *Id.* On May 23, 2012, Hampton filed a written
27 request for hearing. *Id.* On February 6, 2013, the ALJ held a hearing where both Plaintiff and an
28 impartial vocational expert testified. *Id.* On May 8, 2013, the ALJ issued a written decision

1 finding Plaintiff not disabled as defined by the Social Security Act, and denied her application for
2 disability and disability insurance benefits and for supplemental security income. AR 21. The
3 decision followed the requisite five-step process, which proceeds sequentially as each required
4 finding is made.

5 At Step One, the ALJ must determine whether the claimant is engaged in substantial
6 gainful activity. 20 C.F.R. §§ 416.920(b), 404.1520(b). Substantial gainful activity is defined as
7 work activity that is both substantial and gainful. A person is involved in “substantial work
8 activity” if she engages in work that involves significant physical or mental activities. 20 C.F.R.
9 § 416.972(a). “Gainful work activity” is defined as “work usually done for pay or profit,”
10 regardless of whether the claimant actually receives a profit. 20 C.F.R. § 416.972(b). If the
11 claimant is engaged in substantial gainful activity, she is not disabled. If the claimant does not
12 engage in substantial gainful activity, the ALJ proceeds to Step Two of the evaluation. In this
13 case, the ALJ determined that Plaintiff had not engaged in substantial gainful activity since May
14 31, 2013, the alleged onset date, and proceeded to Step Two. AR 13.

15 At Step Two, the ALJ must determine whether the claimant has an impairment or
16 combination of impairments that is severe. 20 C.F.R. §§ 416.920(c), 404.1520(c). A “severe”
17 impairment is defined in the regulations as one that significantly limits an individual’s ability to
18 perform basic work activities. If the claimant does not have a severe impairment or combination
19 of impairments, she is not disabled. If the claimant does have a severe impairment or combination
20 of impairments, the ALJ proceeds to Step Three. Here, the ALJ found that Plaintiff suffered from
21 several severe impairments: chronic left ankle pain, bilateral knee pain, chronic lumbar pain, and
22 neuropathy. AR 14. The ALJ addressed the evidence concerning the existence of Plaintiff’s
23 claimed mental health symptoms and—based on his consideration of the four functional areas set
24 out in the disability regulations for evaluating mental disorders, see 20 C.F.R., Part 404, Subpart
25 P, Appendix 1 (the “Paragraph B” criteria)—found only mild limitations in Plaintiff’s daily living,
26 social functioning, and concentration, persistence, or pace, with no episodes of decompensation.
27 AR 14-15. Based on these findings, the ALJ determined that Plaintiff’s medically determinable
28 mental impairments are “nonsevere.” AR 16 (citing 20 CFR §§ 404.1520a(d)(1) and

1 416.920a(d)(1)).

2 At Step Three, the ALJ must determine whether a claimant’s impairment or combination
3 of impairments “meets or equals” the criteria of an impairment listed in 20 C.F.R. Part 404,
4 Subpart P, App. 1. See 20 C.F.R. §§ 416.920(d), 416.925, 416.926. If the claimant’s impairment
5 or combination of impairments meets the criteria of a listing and the duration requirement, the
6 claimant is disabled. 20 C.F.R. § 416.909. If the impairment or combination of impairments does
7 not meet the criteria of a listing or does not meet the duration requirement, the ALJ proceeds to the
8 next step. At Step Three, the ALJ found that Plaintiff does not have an impairment listed in 20
9 C.F.R. Part 404, Subpart P, App. 1, or a combination of impairments equal to those listed, and
10 proceeded to the next step. AR 17.

11 Before reaching Step Four in the sequential evaluation, the ALJ must determine the
12 claimant’s residual functional capacity (“RFC”). 20 C.F.R. §§ 404.1520(e); 416.920(e). A
13 claimant’s RFC consists of her ability to engage in physical and mental work activity on an
14 ongoing basis, despite any physical or mental limitations from her impairments. The ALJ
15 considers both severe and non-severe impairments in determining the claimant’s RFC. 20 C.F.R.
16 §§ 416.920(e), 416.945.

17 Here, the ALJ considered Plaintiff’s testimony, medical records, and the opinions of four
18 examining medical providers and two state agency medical consultants: Patricia Spivey, Psy.D;
19 Katherine Wiebe, Ph.D; Aliyeh Kohbod, Ph.D; Susan Dawkins, LCSW; D.V. Lucila, M.D.; and
20 Eugene Campbell, Ph.D. AR 14-16. The ALJ afforded “great weight” to the opinion of Dr.
21 Spivey, who found only mild mental limitations, AR 15, gave “little weight” to the opinions of Dr.
22 Wiebe, Dr. Kohbod, and Ms. Dawkins, who generally found more severe limitations, AR 16, gave
23 “some weight” to Dr. Lucila and Dr. Campbell’s evaluation, AR 15-16, and found Plaintiff’s
24 testimony about the severity of her symptoms “not entirely credible,” AR 20. After considering
25 the medical evidence in the record and the relative weights of the medical opinions, the ALJ
26 concluded that Plaintiff had the following RFC:

27 [T]he claimant has the residual functional capacity to perform
28 sedentary work as defined in 20 CFR 416.967(a) and 416.967(a)
except the claimant is capable of occasional pushing and pulling

1 with the bilateral lower extremities; and occasional crouching,
2 balancing, stooping, climbing, kneeling, and crawling. The claimant
is not capable of operating foot controls with her left lower
extremity and requires a cane for ambulation.

3 AR 17.

4 At Step Four, the ALJ must determine whether the claimant has the RFC to perform past
5 relevant work. 20 C.F.R. § 416.920(f). If the claimant has such capacity, she is not disabled. If
6 the claimant is unable to do past relevant work or has no past relevant work, the ALJ proceeds to
7 the final step in the sequential evaluation. In this case, the ALJ determined that Plaintiff could
8 return to her previous occupation as a receptionist and a secretary. AR 20. The ALJ relied on the
9 testimony of a vocational expert, who stated that an individual with Plaintiff's RFC "could
10 perform the claimant's past relevant work as a receptionist and secretary" and thus did not proceed
11 to Step Five. AR 21. Based on this conclusion, the ALJ determined that Plaintiff is not disabled
12 under section 1614(a)(3)(A) of the Social Security Act, and thus not entitled to the benefits for
13 which she applied. Id.

14 **II. STANDARD OF REVIEW**

15 The Commissioner's denial of benefits should be disturbed only if it is not supported by
16 substantial evidence or is based on legal error. *Stout v. Comm'r Social Sec. Admin.*, 454 F.3d
17 1050, 1054 (9th Cir. 2006); *Thomas v. Barnhart*, 278 F.3d 947, 954 (9th Cir. 2002). "Substantial
18 evidence" means "more than a mere scintilla, but less than a preponderance." *Bayliss v. Barnhart*,
19 427 F.3d 1211, 1214 n.1 (9th Cir. 2005). "It is such relevant evidence as a reasonable mind might
20 accept as adequate to support a conclusion." *Burch v. Barnhart*, 400 F.3d 676, 679 (9th Cir. 2005)
21 (internal quotation marks omitted). The Court is required to review the record as a whole and to
22 consider evidence detracting from the decision as well as evidence supporting the decision.
23 *Robbins v. Soc. Sec. Admin.*, 466 F.3d 880, 882 (9th Cir. 2006); *Verduzco v. Apfel*, 188 F.3d 1087,
24 1089 (9th Cir. 1999). "Where the evidence is susceptible to more than one rational interpretation,
25 one of which supports the ALJ's decision, the ALJ's conclusion must be upheld." *Thomas*, 278
26 F.3d at 954 (citing *Morgan v. Comm'r of Soc. Sec. Admin.*, 169 F.3d 595, 599 (9th Cir. 1999)).
27 Even where the evidence is not susceptible to any rational interpretation supporting the ALJ's
28 decision, the Court may not reverse if the ALJ's error is harmless. See *Molina v. Astrue*, 674 F.3d

1 1104, 1111 (9th Cir. 2012).

2 **III. DISCUSSION**

3 Plaintiff argues that the ALJ improperly denied her petition for benefits on the grounds that
4 the ALJ: (1) failed to consider whether Plaintiff’s depression, anxiety, and antisocial personality
5 disorder are severe impairments; (2) did not follow proper procedure when evaluating the severity
6 of Plaintiff’s mental limitations; (3) improperly weighed relevant examining source opinions; (4)
7 failed to provide sufficient reasons for rejecting Plaintiff’s testimony; and (5) did not include any
8 mental limitations in the hypothetical submitted to the vocational expert.

9 **A. Plaintiff’s Depression, Anxiety, and Antisocial Personality Disorder**

10 Plaintiff contends that at Step 2 the ALJ failed to articulate whether Plaintiff’s depression
11 and anxiety are severe impairments and erred in finding that the antisocial personality disorder is
12 not severe. Dkt. No. 15 at 8-10. The Court finds that any errors are harmless.

13 To begin with, Plaintiff prevailed at Step 2. The ALJ found that Plaintiff had severe
14 impairments, allowing Plaintiff to proceed to Step 3. See Burch, 400 F.3d at 682 (concluding any
15 error ALJ committed at Step Two was harmless because the step was resolved in claimant’s
16 favor); Lewis v. Astrue, 498 F.3d 909, 911 (9th Cir. 2007).

17 Moreover, even assuming that the ALJ erred in failing to mention depression and anxiety
18 or erred in finding that the personality disorder caused only minimal limitations, these errors were
19 harmless because the ALJ still considered the impairments in his analysis. The ALJ expressly
20 discussed the opinions of Drs. Wiebe and Kohbod, both of whom recognized these impairments,
21 and, as explained infra, provided specific and legitimate reasons for discounting their opinions.
22 Moreover, the ALJ explained that he “considered all symptoms and the extent to which these
23 symptoms can reasonably be accepted as consistent with the objective medical evidence and other
24 evidence,” specifically acknowledging Plaintiff’s allegations of depression and anxiety, AR 17-18.
25 Similarly, the ALJ found that the “treatment records generally revealed the claimant was
26 cooperative and her mood appropriate.” AR 15. In light of the “little weight” given to these
27 examining source opinions, that the ALJ expressly recognized Plaintiff’s allegations of depression
28 and anxiety, and that the Plaintiff ultimately prevailed at Step 2, the Court finds any failure on the

1 ALJ’s part harmless. See Stout, 454 F.3d 1050, 1055 (finding errors harmless where they are
2 “inconsequential to the ultimate nondisability determination”).

3 **B. The Legal Standard to Determine Severity of Mental Limitations**

4 Plaintiff contends that the ALJ applied the wrong standard of law in determining that her
5 mental impairments were not severe. Dkt. No. 15. at 11. Specifically, Plaintiff claims that “the
6 ALJ’s application of part B criteria of the listings to the severe impairment analysis is legal error.”
7 Id. In response, Defendant argues that the ALJ applied the correct standard of law for evaluation
8 of mental impairments. Dkt. No. 19 at 8.

9 The Court agrees with Defendant that the ALJ applied the appropriate standard. See
10 Hamilton v. Colvin, No. 12-cv-9459-SH, 2013 WL 3935369, at *2 (C.D. Cal. July 30, 2013) (“[I]t
11 was proper for the ALJ to apply the paragraph B criteria in determining that plaintiff’s mental
12 impairment was not severe.”). A claimant’s allegations of severe mental impairment are evaluated
13 pursuant to a “special technique,” whereby relevant symptoms, signs, and laboratory findings are
14 evaluated to determine whether the claimant has a medically determinable mental impairment. 20
15 C.F.R. § 416.920a(b)(1). If such impairments are shown, the ALJ evaluates the claimant’s degree
16 of functional limitation. 20 C.F.R. § 416.920 a(b)(2). The ALJ then identifies the existence of
17 any potentially disabling mental impairment under the “A” criteria. If an impairment is
18 established, the ALJ then evaluates the general severity of the impairment under the “paragraph
19 B” criteria. 20 C.F.R. pt. 404, subpt. P, app. 1, § 12.00(A). Severity of a mental impairment is
20 measured by “assess[ing] functional limitations using the four criteria in paragraph B of the
21 listings: [a]ctivities of daily living, social functioning; concentration, persistence, or pace; and
22 episodes of decompensation.” 20 C.F.R. Pt. 404, Subpt. P, App. 1, § 12.00(C).

23 Here, the ALJ found that Plaintiff’s “antisocial personality disorder . . . do not cause more
24 than minimal limitation in the claimant’s ability to perform basic mental work activities.” AR 14.
25 And, as required, the ALJ evaluated the severity of the impairment using the “paragraph B”
26 criteria. Id. Accordingly, there was no legal error.

27 Although a “district court need not consider arguments raised for the first time in a reply
28 brief,” see Zamani v. Carnes, 491 F.3d 990, 997 (9th Cir. 2007), the Court will address the

1 Plaintiff's belated contention that, in addition to considering the Part B criteria, the ALJ was
2 required to make an independent finding that there was "no more than a minimal limitation in
3 [Plaintiff's] ability to do basic work activities." Dkt. No. 21 at 2 (quoting 20 C.F.R.
4 § 404.1520a(d)(1)). This argument is a red herring, as the ALJ made such a finding. See AR 14
5 ("[O]bjective findings support the claimant's antisocial personality disorder; ongoing alcohol use;
6 and history of cocaine abuse, currently in remission singly and in combination, do not cause more
7 than minimal limitation in claimant's ability to perform basic mental work activities.").

8 **C. Evaluation of "Medical Source" and "Other Source" Opinions**

9 When evaluating an ALJ's treatment of medical source opinions, the Court distinguishes
10 among three types of medical opinions: "(1) those who treat the claimant (treating physicians); (2)
11 those who examine but do not treat the claimant (examining physicians); and (3) those who neither
12 examine nor treat the claimant (nonexamining physicians)." *Lester v. Chater*, 81 F.3d 821, 830
13 (9th Cir. 1995). The opinion of a treating physician is "entitled to greater weight than that of an
14 examining physician, and the opinion of an examining physician is entitled to greater weight than
15 that of a non-examining physician," *Garrison v. Colvin*, 759 F.3d 995, 1012 (9th Cir. 2014). "If a
16 treating or examining doctor's opinion is contradicted by another doctor's opinion, an ALJ may
17 only reject it by providing specific and legitimate reasons that are supported by substantial
18 evidence." *Bayliss*, 427 F.3d at 1216.

19 Except in situations when an ALJ gives a treating source's opinion controlling weight, an
20 ALJ is required to "evaluate every medical opinion" received when deciding the weight to give to
21 a medical opinion. 20 C.F.R. § 404.1527(c) (emphasis added). Section 404.1527(c) identifies
22 specific factors that the ALJ should consider, including (1) length of the treatment relationship and
23 the frequency of examination, (2) nature and extent of the treatment relationship, (3) supportability
24 (i.e., amount of evidentiary support for an opinion), (4) consistency with the record, (5)
25 specialization (i.e., more weight given to opinions within a physician's specialty), and (6) "[o]ther
26 factors" that tend to support or contradict an opinion. 20 C.F.R. § 1527(c).

27 Here, Plaintiff contests the weight the ALJ gave the following examining sources: Dr.
28 Spivey, Dr. Wiebe, Dr. Kohbod, and Clinical Social Worker Dawkins. As both parties

1 acknowledge, these examining sources offered conflicting opinions as to Plaintiff’s impairments.
2 See Dkt. No. 15 at 12; Dkt. No. 19 at 8. Because the medical record was inconclusive, the ALJ
3 was required to resolve conflicts and questions of credibility by providing specific, legitimate
4 reasons supported by substantial evidence for his decision to rely on some opinions while rejecting
5 others. See Morgan, 169 F.3d at 601 (9th Cir. 1999); Bayliss, 427 F.3d at 1216.

6 **i. Dr. Spivey**

7 Plaintiff contends that the ALJ afforded Dr. Spivey’s opinion too much weight. Dkt. No.
8 15 at 13; see Exhibit 4F. Dr. Spivey evaluated Plaintiff once in September 2011; the evaluation
9 included a “mental status exam and clinical interview.” AR 602. Based on the evaluation, Dr.
10 Spivey concluded that Plaintiff had no impairments in any work-related abilities, with the
11 exception of suffering from “mild” impairments in her ability “to maintain emotional
12 stability/predictability” and to “verbally communicate effectively with others.” AR 602-03.

13 The ALJ offered four reasons to support the “great weight” he gave Dr. Spivey’s opinion.
14 First, the ALJ noted that Dr. Spivey’s “assessment was based on an in-person examination and
15 psychometric testing.” AR 15. Acknowledgment of the examining relationship between a doctor
16 and the claimant is persuasive, see § 404.1527 (when weighing medical opinions, more weight
17 should be afforded “to the opinion of a source who has examined [the claimant] than to the
18 opinion of a source who has not.”). That Drs. Wiebe and Kohbod also examined Plaintiff in
19 person does not negate the persuasive value of Dr. Spivey’s examining relationship with Plaintiff.

20 Second, the ALJ noted that Dr. Spivey’s evaluation was “consistent with the claimant’s
21 activities of walking, using public transportation alone, shopping in stores, reading, watching
22 movies, and socializing with friends and family.” AR 15. This constitutes a specific, legitimate
23 reason for giving the doctor’s opinion great weight. The Ninth Circuit has recognized that a
24 medical opinion’s consistency with the claimant’s activities of daily living is a relevant factor. See
25 Morgan, 169 F.3d at 600-02 (considering an inconsistency between a treating physician’s opinion
26 and a claimant’s daily activities a specific and legitimate reason to discount the treating
27 physician’s opinion); Rollins v. Massanari, 261 F.3d 853, 856 (9th Cir. 2001) (holding ALJ’s
28 reason was adequate where he provided that claimant’s restrictions were “inconsistent with the

1 level of activity that Rollins engaged in by maintaining a household and raising two young
2 children”).

3 Third, the ALJ noted Dr. Spivey’s familiarity with the Social Security Administration’s
4 disability programs and evidentiary requirements; this is another recognized factor. AR 15; see §
5 404.1527 (recognizing the “amount of understanding of our disability programs and their
6 evidentiary requirements that an acceptable medical source has”). And, fourth, the ALJ stated that
7 Dr. Spivey’s opinion was consistent with the substantial medical record. See AR 15 (citing
8 Exhibit 4F at 3). For example, the ALJ cited Plaintiff’s “clear and goal directed thought process,”
9 absence of “unusual or bizarre idea[s],” “fair insight and judgment,” an IQ in the “average range,”
10 and a score of 27/30 on the Mini Mental State Examination. AR 14. These further constitute
11 specific and legitimate reasons for affording Dr. Spivey’s opinion great weight.

12 **ii. Dr. Kohbod**

13 Dr. Kohbod examined Plaintiff on three separate instances in February and March 2013.
14 AR 1010. He also reviewed Dr. Wiebe’s March 2012 psychological report, and administered the
15 following tests to form his opinion: (1) Wechsler Adult Intelligence Scale-Fourth Edition, (2)
16 Wide Range Assessment of Memory and Learning - Second Edition (“WRAML-2”), (3) Ray
17 Complex Figure, and (4) Million Clinical Multiaxial Inventory-III (x2). AR 1010. Dr. Kohbod
18 found that testing confirmed Plaintiff’s depression and anxiety, a low IQ, and fairly pronounced
19 mental impairments. He concluded that when this is “compounded by her inability to receive
20 guidance from authority figures, and her wide fluctuation in mood,” it was difficult to see how she
21 would be able to withstand the demands of a standard work environment. AR 1018.

22 Dr. Kohbod’s opinion recounts a number of contradictions and discrepancies in Plaintiff’s
23 claims, including differing ages of when she was sexually assaulted, when she learned her
24 daughter was being molested, and why she did not finish high school, as well as evidence of a
25 “tendency to magnify her illness” and “incidents of excessive complaining.” AR 1011-12, 16.
26 Her low IQ score led Dr. Kohbod to conclude that there were only two possible explanations,
27 either “she did not fully engage in the assessment or that there is some fabrication regarding her
28 scholastic history.” AR 1015. Similarly, the low scores from the WRAML-2 testing led Dr.

1 Kohbod to question “how she was able to perform the more complex tasks required of an
2 administrative assistant at her previous employment.” AR 1015. Dr. Kohbod found that these
3 discrepancies weighed in favor of a disability finding; for instance, he concluded that the
4 Plaintiff’s claims of past employment are “doubtful given her cognitive functioning, memory
5 impairments, lack of emotional control, anxiety, and depressive symptoms.” AR 1019.

6 On the other hand, the ALJ relied on these discrepancies as a basis for giving Dr.
7 Kohbod’s opinion “little weight.” AR 16. Relying on “claimant’s past employment history as an
8 administrative assistant, which required her to perform past complex tasks” as well as “her
9 activities of daily living, including shopping in stores for groceries, using public transportation
10 alone, handling money, and reading,” the ALJ concluded that the testing results were more likely
11 due to Plaintiff’s lack of engagement in testing and not a “fabrication of scholastic history.” *Id.*
12 Additionally, the ALJ found that Dr. Kohbod’s opinion “overstate[d] the claimant’s limitations,”
13 in light of her failure “to cooperate with some aspects of her assessment.” AR 16; see also AR 20
14 (“[T]he record contains evidence that the claimant did not fully participate in psychometric testing,
15 which reasonably indicates the claimant was attempting to portray limitations that are not actually
16 present.”).

17 An ALJ may evaluate medical opinions based on their consistency with the record. *Orn v.*
18 *Astrue*, 495 F.3d 625, 631 (9th Cir. 2007); see § 404.1527(c)(4) (consistency with the record as a
19 whole is a valid factor for weighing medical opinions). Moreover, this Court must accept an
20 ALJ’s reasonable interpretation of the evidence, even if that evidence may be “susceptible to more
21 than one rational interpretation.” See *Tommasetti v. Astrue*, 533 F.3d 1035, 1038 (9th Cir. 2008).

22 Accordingly, the Court finds that, although the ALJ’s interpretation differed from that of
23 Dr. Kohbod’s opinion, his interpretation was not only reasonable but supported by substantial
24 evidence. The ALJ chose to disregard aspects of Dr. Kohbod’s opinion and to give more weight
25 to Dr. Spivey’s, and the Court finds that it was within the ALJ’s discretion to do so for the specific
26 and legitimate reasons articulated. See *Saelee v. Chater*, 94 F.3d 520, 522 (9th Cir. 1996). Given
27 that conflicting medical evidence is at least susceptible to the ALJ’s interpretation, the Court finds
28 that the ALJ’s specific and legitimate reasons support his treatment of Dr. Kohbod’s opinion. See

1 Andrews v. Shalala, 53 F.3d 1035, 1039-40 (9th Cir. 1995) (“We must uphold the ALJ’s decision
2 where the evidence is susceptible to more than one rational interpretation.”).

3 **iii. Dr. Wiebe**

4 Dr. Wiebe evaluated Plaintiff in December 2011 and February 2012, and concluded that
5 Plaintiff has major depression, generalized anxiety disorder, indications of paranoid personality
6 disorder and borderline personality disorder, and that her mental illnesses are debilitating. AR
7 713, 724.

8 The ALJ afforded Dr. Wiebe’s evaluation “little weight,” identifying specific and
9 legitimate reasons in support. The ALJ determined that Dr. Wiebe’s evaluation overstated
10 Plaintiff’s limitations in light of claimant’s past employment history as an administrative assistant,
11 contradictions with other medical evidence (specifically, Dr. Spivey’s mental status testing from
12 six-months earlier), and the “longitudinal medical record,” which indicated no more than mild
13 limitations. AR 16. The ALJ also cited the contradictions between Dr. Wiebe’s findings of
14 significant memory and concentration impairments and Plaintiff’s daily activities, such as using
15 public transportation alone, reading, and watching movies. AR 15. These reasons are supported
16 by substantial evidence in the medical record. For instance, Plaintiff indicated in her application
17 for disability benefits “I do not need help in personal care, hygiene or upkeep of a home,” AR 190,
18 and that she was previously employed as an assistant administrator and office technician, where
19 she engaged in typing, filing, office support, greeting customers, putting together boxes of office
20 supplies, coordinating office management, and answering phones. AR 294-95. Additionally, the
21 extended medical record does not indicate significant mental impairments. See, e.g., AR 373
22 (indicating that “she really wants to work on herself to be there for her children”); AR 374 (noting
23 that Plaintiff was “high energy”); AR 413 (“[F]unctional assessment performed; independent with
24 the activities of daily living.”); AR 425 (“Alert. Oriented X3. No acute distress. Does not appear
25 to be anxious or in pain.”); AR 433 (“Mood/affect normal.”).

26 Given the substantial evidence in the record supporting the ALJ’s determination, (even if
27 that evidence could be susceptible to more than one rational interpretation) the Court finds that the
28 ALJ did not err in affording Dr. Wiebe’s opinion only “little weight.”

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iv. LCSW Dawkins

Similarly, the Court concludes that the ALJ properly evaluated LCSW Dawkins' assessment. LCSW Dawkins examined Plaintiff on four separate occasions from February through March 2013. AR 1020. LCSW Dawkins concluded that Plaintiff suffered from post-traumatic stress and major depression, finding that she would be unable to "ever be gainfully employed." AR 1022.

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As a clinical social worker, LCSW Dawkins is not an "[a]cceptable medical source," see 20 C.F.R. § 404.1513. Accordingly to disregard her opinion, the ALJ needed to provide germane reasons for doing so. *Turner v. Comm'r of Soc. Sec.*, 613 F.3d 1217, 1224 (9th Cir. 2010); see also Social Security Ruling ("SSR") 06-3p.¹ Here, the ALJ provided similar reasons (consistency with the longitudinal medical record, overstatement of Plaintiff's limitations, and contradictions with Plaintiff's activities of daily living) for discounting LCSW Dawkins' opinion. See AR 16. For the reasons discussed above, the Court finds these germane reasons are supported by substantial evidence in the record. Therefore, there is no error.

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D. The ALJ's Credibility Analysis

Plaintiff argues that the ALJ erred in finding Plaintiff's statements about the intensity, persistence, and limiting effects of the symptoms "not entirely credible." The Court disagrees.

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Absent affirmative evidence or an explicit finding of malingering, the ALJ may reject a Plaintiff's testimony only with clear and convincing reasons supported by substantial evidence. See *Taylor v. Comm'r of Soc. Sec. Admin.*, 659 F.3d 1228, 1234 (9th Cir. 2011) (absent explicit finding of malingering, clear and convincing standard applies). The ALJ is the sole judge of the claimant's credibility. *Reddick v. Chater*, 157 F.3d 715, 722 (9th Cir. 1998). The ALJ relies on "ordinary techniques of credibility evaluation," such as (1) inconsistencies either in the testimony or between the testimony and the claimant's conduct, (2) variations between the claimant's daily activities and his symptoms, and (3) the medical record including observations of the claimant's

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¹ "[T]he adjudicator generally should explain the weight given to opinions from these 'other sources,' or otherwise ensure that the discussion of the evidence in the determination or decision allows a claimant or subsequent reviewer to follow the adjudicator's reasoning, when such opinions may have an effect on the outcome of the case." SSR 06-3p.

1 symptoms. *Molina*, 674 F.3d at 1112 (citation and internal quotation marks omitted); see *Thomas*,
2 278 F.3d at 958-59; SSR 96-7p.

3 Here, the ALJ followed SSR 96-7p’s two-step process for evaluating symptoms. First, he
4 noted that “the claimant’s medically determinable impairments could reasonably be expected to
5 cause the alleged symptoms.” AR 20; see *Lingenfelter v. Astrue*, 504 F.3d 1028, 1036 (9th Cir.
6 2007). Then, he concluded Plaintiff’s “statements concerning the intensity, persistence, and
7 limiting effects of these symptoms are not entirely credible.” AR 20; see SSR 96-7p. Because
8 there was no affirmative finding of malingering, the Court applies the “clear and convincing
9 reasons” standard.

10 The Court finds that the ALJ articulated clear and convincing reasons supported by
11 substantial evidence to conclude that Plaintiff’s testimony was not entirely credible. The ALJ
12 found that Plaintiff’s failure to fully participate in psychometric testing indicated “that claimant
13 was attempting to portray limitations that are not actually present.” AR 20; see also AR 15. He
14 concluded that such lack of cooperation, her “past employment history as an administrative
15 assistant,” and the “longitudinal medical record” indicated inconsistencies that weighed against a
16 positive credibility finding. AR 15, 20; see, e.g., AR 15 (“Despite the claimant’s reported
17 problems with sleep, the vast majority of treatment records do not indicate observable fatigue.”);
18 *id.* (“Furthermore, the claimant uses public transportation alone, reads, and watches movies
19 (Exhibit 13E), which indicates she is capable of concentrating and completing tasks.”). The Ninth
20 Circuit has recognized such factors as sufficient reasons supporting an ALJ’s decision to find a
21 claimant’s testimony less credible. See *Light v. Soc. Sec. Admin.*, 119 F.3d 789, 792 (9th Cir.
22 1997) (“In weighing a claimant’s credibility, the ALJ may consider his reputation for truthfulness,
23 inconsistencies either in his testimony or between his testimony and his conduct, his daily
24 activities, his work record, and testimony from physicians and third parties concerning the nature,
25 severity, and effect of the symptoms of which he complains.” (citing *Smolen*, 80 F.3d at 1284)).

26 The Court does not find Plaintiff’s arguments to the contrary persuasive. Plaintiff
27 contends that “[t]he ALJ cherry picks Ms. Hampton’s statements . . . and repeatedly asserts broad
28 generalizations about Ms. Hampton’s daily activities and abilities.” Dkt. No. 15 at 18. That a

1 claimant’s “activities suggest some difficulty functioning” does not mean there are no grounds for
2 discrediting the claimant’s testimony to the extent that they contradict claims of a totally
3 debilitating impairment.” See *Molina*, 674 F.3d at 1112-13. The Court agrees with Plaintiff that
4 she does not need to be “utterly incapacitated to be eligible for benefits.” See *id.* at 19. Indeed,
5 the ALJ here specifically acknowledged that Plaintiff was not “at all times symptom free.” AR 20.
6 Nonetheless, he concluded, despite the presence of some symptoms, that Plaintiff’s daily activities
7 were “not as limited as one would expect considering the complaints of disabling symptoms.” AR
8 20. Such a finding is supported by substantial evidence in the record. For example, although
9 Plaintiff reported that she needed phone call reminders to remember what she needs to do, see AR
10 304, the ALJ found that Dr. Spivey’s testing confirmed an ability to maintain attention and
11 concentration and clear and goal directed thought processes, AR 602. It is not for this Court to
12 second-guess the ALJ’s evaluation of such conflicting evidence. See *Morgan*, 169 F.3d at 600
13 (noting that ALJ may properly rely on conflicts between claimant’s testimony of subjective
14 complaints and objective medical evidence in the record). For the same reason, it was reasonable
15 for the ALJ to rely on the inconsistencies in Plaintiff’s self-reported medical history, as
16 highlighted in Dr. Kohbod’s evaluation, when evaluating Plaintiff’s credibility, see AR 1010-19.
17 Furthermore, contrary to Plaintiff’s contention, the ALJ specifically addressed Plaintiff’s
18 allegations regarding her ability to concentrate, her depression, sleeping problems, anxiety, mood
19 swings, and discomfort. See, e.g., AR 15 (noting that psychometric testing and her daily activities
20 contradicted Drs. Wiebe and Kohbod and LCSW Dawkins’ impairments in concentration); see
21 also *Thomas*, 278 F.3d at 958-59 (ALJ properly discounts credibility based on ability to perform
22 basic household chores); *Molina*, 674 F.3d at 1113.

23 Finally, Plaintiff contests the ALJ’s reliance on Plaintiff’s demeanor, finding it “generally
24 unpersuasive.” AR 20. This argument lacks merit. SSR 96-7p allows the ALJ to “consider any
25 personal observations in the overall evaluation of the credibility of the individual statements.”
26 Consistent with the regulation, the ALJ “emphasized that this observation [referring to Plaintiff’s
27 demeanor] is only one among many being relied on in assessing credibility and is not
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1 determinative.”² AR 20.

2 Because the ALJ provided clear and convincing reasons for discounting Plaintiff’s
3 credibility, there is no error in the ALJ’s credibility determination.

4 **E. The ALJ’s RFC Determination**

5 Plaintiff contends that the ALJ failed to incorporate all of the limitations supported by the
6 evidence in deciding that Plaintiff could return to her past relevant work as a receptionist or
7 secretary. Dkt. No. 15 at 24. The Court rejects this argument.

8 An ALJ posing a hypothetical question to a vocational expert “must include all of the
9 claimant’s functional limitations, both physical and mental supported by the record.” Thomas,
10 278 F.3d at 956 (citation and internal quotation marks omitted). But, a proper hypothetical need
11 only include those restrictions that were supported by substantial evidence in the record. See
12 Magallanes v. Bowen, 881 F.2d 747, 756 (9th Cir. 1989). The ALJ does not have to accept every
13 limitation Plaintiff identified; the “hypothetical question is objectionable only if the assumed facts
14 could not be supported by the record.” Id. (citation and internal quotation marks omitted). Here,
15 there was no error as the ALJ’s hypothetical paralleled the RFC determination and included those
16 limitations that were supported by substantial evidence. Accordingly, the ALJ properly
17 discounted the opinions relating to her mental limitations, and his question posed to the Vocational
18 Expert was not erroneous.

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27 ² Additionally, assuming the ALJ erred in referencing Plaintiff’s inability to lose weight, see AR
28 20, the Court finds that any error was “inconsequential” to the overall nondisability determination
given the substantial weight of the record evidence and the ALJ’s interpretation of such evidence.
See generally Orn, 495 F.3d at 636-37.

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IV. CONCLUSION

Accordingly, the Court **DENIES** Plaintiff's motion for summary judgment, and **GRANTS** Defendant's summary judgment motion. The clerk is directed to enter judgment in favor of Defendant and close the file.

IT IS SO ORDERED.

Dated: 3/17/2016


HAYWOOD S. GILLIAM, JR.
United States District Judge