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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

JOSEPH SABATINO, Individually and on  
Behalf of All Others Similarly Situated,

Plaintiff,

v.

THOMAS S. ROONEY, JR., *et al.*,

Defendants.

THOMAS C. MOWDY, Individually and on  
Behalf of All Others Similarly Situated,

Plaintiff,

v.

THOMAS S. ROONEY, JR., *et al.*,

Defendants.

No. C-15-0265 EMC

No. C-15-0374 EMC

**CONSOLIDATED CASES**

**ORDER GRANTING THE MOTION OF  
HENRY LOW FOR CONSOLIDATION,  
APPOINTMENT AS LEAD PLAINTIFF,  
AND APPROVAL OF LEAD COUNSEL**

**(Docket No. 13)**

Having considered the papers filed in support of the Motion of class member Henry Low (“Movant”) for Consolidation of the Actions, Appointment as Lead Plaintiff, and Approval of Lead Counsel pursuant to the Private Securities Litigation Reform Act of 1995 (the “PSLRA”), 15 U.S.C. § 78u-4(a)(3)(B), and for good cause shown, the Court hereby enters the following Order:

**I. CONSOLIDATION OF RELATED ACTIONS**

1. The above-captioned securities fraud class actions pending in this Judicial District are hereby consolidated for all purposes pursuant to Rule 42(a) of the Federal Rules of Civil Procedure.

1 Any actions that have been filed, or may be filed, which are related and which may be considered  
2 herewith, are consolidated under Case No. 3:15-cv-00265-EMC (the “Consolidated Action”).

3 2. A Master File is hereby established for the consolidated proceedings in the  
4 Consolidated Action. The docket number for the Master File shall be Master File No.  
5 3:15-cv-00265-EMC. The original of this Order shall be filed by the Clerk in the Master File. The  
6 Clerk shall e-file a copy of this Order in each of the above-captioned actions.

7 3. Every pleading filed in the Consolidated Action shall bear the following caption:

8  
9 IN RE ENERGY RECOVERY INC. Master File No. 3:15-cv-00265 EMC  
10 SECURITIES LITIGATION  
11 \_\_\_\_\_/

12  
13 **II. APPOINTMENT OF LEAD PLAINTIFF AND LEAD COUNSEL**

14 4. Movant Low has moved this Court to be appointed as Lead Plaintiff in the  
15 Consolidated Action and to approve the counsel he retained to be Lead Counsel.

16 5. Having considered the provisions of Section 21D(a)(3)(B) of the PSLRA, 15 U.S.C. §  
17 78u-4(a)(3)(B), the Court hereby determines that Movant is the most adequate plaintiff and satisfies  
18 the requirements of the PSLRA. The Court hereby appoints Movant as Lead Plaintiff to represent  
19 the interests of the class.

20 6. Pursuant to Section 21D(a)(3)(B)(v) of the PSLRA, 15 U.S.C. § 78u-4(a)(3)(B)(v),  
21 Movant has selected and retained the law firm Levi & Korsinsky LLP to serve as Lead Counsel and  
22 Punzalan Law, P.C. to serve as Liaison Counsel. The Court approves Movant’s selection of Lead  
23 Counsel and Liaison Counsel for the Consolidated Action. Counsel shall review and abide by the  
24 Court’s guidelines to limit costs and expenses used in other cases. *See, e.g., In Re Carrier IQ*, No.  
25 C-12-2330 EMC (N.D. Cal.) (Docket No. 110).

26 7. Lead Counsel shall have the following responsibilities and duties, to be carried out  
27 either personally or through counsel whom Lead Counsel shall designate:

28 a. to coordinate the briefing and argument of any and all motions;

- 1                   b.       to coordinate the conduct of any and all discovery proceedings;
- 2                   c.       to coordinate the examination of any and all witnesses in depositions;
- 3                   d.       to coordinate the selection of counsel to act as spokesperson at all pretrial
- 4 conferences;
- 5                   e.       to call meetings of the plaintiffs’ counsel as they deem necessary and
- 6 appropriate from time to time;
- 7                   f.       to coordinate all settlement negotiations with counsel for defendants;
- 8                   g.       to coordinate and direct the pretrial discovery proceedings and the preparation
- 9 for trial and the trial of this matter, and to delegate work responsibilities to selected counsel as may
- 10 be required;
- 11                  h.       to coordinate the preparation and filings of all pleadings; and
- 12                  i.       to supervise all other matters concerning the prosecution or resolution of the
- 13 claims asserted in the Consolidated Action.

14           8.       No motion, discovery request, or other pretrial proceedings shall be initiated or filed

15 by any plaintiffs without the approval of Lead Counsel, so as to prevent duplicative pleadings or

16 discovery by plaintiffs. No settlement negotiations shall be conducted without the approval of the

17 Lead Counsel.

18           9.       Service upon any plaintiff of all pleadings, motions, or other papers in the

19 Consolidated Action, except those specifically addressed to a plaintiff other than Lead Plaintiff, shall

20 be completed upon service of Lead Counsel.

21           10.      Lead Counsel shall be the contact between plaintiffs’ counsel and defendants’

22 counsel, as well as the spokespersons for all plaintiffs’ counsel, and shall direct and coordinate the

23 activities of plaintiffs’ counsel. Lead Counsel shall be the contact between the Court and plaintiffs

24 and their counsel.

25                                   **III.   NEWLY FILED OR TRANSFERRED ACTIONS**

26           11.      When a case that arises out of the subject matter of this action is hereinafter filed in

27 this Court or transferred from another Court, the Clerk of this Court shall:

- 28                  a.       file a copy of this Order in the separate file for such action;

1                   b.       deliver a copy of this Order to the attorneys for the plaintiff(s) in the newly  
2 filed or transferred case and to any new defendant(s) in the newly filed or transferred case; and


3                   c.       make the appropriate entry on the docket for this action.

4           12.     Each new case that arises out of the subject matter of the action that is filed in this  
5 Court or transferred to this Court shall be consolidated with the Consolidated Action and this Order  
6 shall apply thereto, unless a party objecting to this Order or any provision of this Order shall, within  
7 ten (10) days after the date upon which a copy of this Order is served on counsel for such party, file  
8 an application for relief from this Order or any provision herein and this Court deems it appropriate  
9 to grant such application.

10           This order disposes of Docket No. 13.

12           IT IS SO ORDERED.

14           Dated: May 5, 2015

  
\_\_\_\_\_  
EDWARD M. CHEN  
United States District Judge