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| 6 | Facsimile: 415.268.7522 | | |
| 7 | Attorneys for Defendant ANDREW M. MILLER | | |
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| 9 | UNITED STATES DISTRICT COURT | | |
| 10 | NORTHERN DISTRICT OF CALIFORNIA | | |
| 11 | SAN FRANCISCO DIVISION | | |
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| 13 | SECURITIES AND EXCHANGE COMMISSION, | Case No. 3:15-cv-01461-SC | |
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| 15 | Plaintiff, v. | STIPULATION AND [PROPOSED] SCHEDULING ORDER | |
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| 17 | ANDREW M. MILLER, | | |
| 18 | Defendant. | | |
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Pursuant to Civil Local Rules 6-1, 6-2, and 7-12, plaintiff Securities and Exchange Commission (the "Commission") and defendant Andrew Miller (collectively, the "Parties") respectfully request that the Court adopt the Parties' stipulation below as the order of the Court, which (i) sets forth a schedule for the Parties to request a settlement conference before a magistrate judge; (ii) extends the time for Mr. Miller to respond to the Commission's complaint (ECF No. 1) (the "Complaint"), and (iii) continues the Initial Case Management Conference currently set for June 26, 2015.

FACTUAL BACKGROUND TO THE PARTIES' STIPULATION

In support of this stipulation, the undersigned Parties provide the following facts, which are verified in the supporting Declaration of Philip T. Besirof:

- 1. On March 31, 2015, the Commission filed the Complaint in this action.
- 2. On April 2, 2015, the Commission sent a waiver of service form to counsel for Mr. Miller. (ECF No. 7.)
- 3. On April 17, 2015, this action was reassigned to the Honorable Samuel Conti. (ECF No. 5.)
- 4. On April 17, 2015, the Court set an Initial Case Management Conference for June 26, 2015. (ECF No. 6.)
- 5. On May 4, 2015, Mr. Miller's counsel executed the waiver of service form. (ECF No. 7.)
- 6. Under Rule 4(d) of the Federal Rules of Civil Procedure, Mr. Miller has 60 days from the date the request was sent to respond to the Complaint, which is June 1, 2015.
- 7. The Parties have met and conferred and believe that an early settlement conference before a magistrate judge would provide substantial assistance to the Parties' understanding of the case and could substantially narrow the issues in dispute, which could lead to resolution.
- 8. The Parties believe that the interests of judicial economy and efficiency will be well served by extending Mr. Miller's response deadline to the Complaint and continuing the Initial Case Management Conference until after a settlement conference is held with a magistrate judge.

1 STIPULATION 2 In light of these facts, the undersigned parties jointly request the Court to enter the 3 following stipulation as an Order of the Court: Pursuant to ADR Local Rules 2-3, 3-5(d), and 7-3 (and in lieu of a Notice of Need 4 5 for ADR Phone Conference under ADR Local Rule 3-5(c)), the Parties request an early 6 settlement conference before a magistrate judge to occur within the next 90 days, or as soon 7 thereafter as the Court's schedule may permit. The Parties will file a joint request expressing the 8 Parties' preferences for one or more magistrate judges within the next seven (7) days. 9 B. The deadline for Mr. Miller to respond to the Complaint is extended until 20 days 10 after the settlement conference. 11 C. The Initial Case Management Conference currently set for June 26, 2015, at 12 10:00 a.m., is hereby vacated, and the Initial Case Management Conference shall be set to such 13 date and time as the Court may order. 14 D. In the event that the case has not settled within 90 days of the Court's order 15 approving this stipulation, the Parties shall file a case management statement updating the Court 16 on the status of this matter and/or requesting an Initial Case Management Conference. 17 18 19 20 21 22 23

STIPULATION AND [PROPOSED] SCHEDULING ORDER Case No. 3:15-cv-01461-SC

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| 1 | IT IS SO STIPULATED. | |
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| 2 | Dated: May 29, 2015 | MORRISON & FOERSTER LLP |
| 3 | | Pro /c/Craig D. Martin |
| 4 | | By: /s/ Craig D. Martin Craig D. Martin |
| 5 | | Paul T. Friedman |
| 6 | | Craig D. Martin Philip T. Besirof |
| 7 | | 425 Market Street San Francisco, California 94105-2482 |
| 8 | | Telephone: 415.268.7000 Facsimile: 415.268.7522 |
| 9 | | Email: PFriedman@mofo.com Email: CMartin@mofo.com |
| 10 | | Email: PBesirof@mofo.com |
| 11 | | Attorneys for Defendant Andrew Miller |
| 12 | Dated: May 29, 2015 | SECURITIES AND EXCHANGE COMMISSION |
| 13 | | |
| 14 | | By: /s/ Susan F. La Marca Susan F. La Marca |
| 15 | | Susan F. La Marca |
| 16 | | David S. Johnson David A. Berman |
| 17 | | 44 Montgomery Street, Suite 2800 San Francisco, CA 94104 |
| 18 | | Telephone: 415.705.2500 Facsimile: 415.705.2501 |
| 19 | | lamarcas@sec.gov johnsonds@sec.gov |
| 20 | | bermand@sec.gov |
| 21 | | Attorneys for Plaintiff Securities and Exchange Commission |
| 22 | | Exercitive Commission |
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| I, Philip Besirof, am the ECF User whose ID and Password are being used to fill this motion. In compliance with Civil Local Rule 5-1(i)(3), I hereby attest that Paul Friedman concurred in this filing. Dated: May 29, 2015 MORRISON & FOERSTER LLP By: /s/ Philip T. Besirof Philip T. Besirof Philip T. Besirof Philip T. Besirof 425 Market Street San Francisco, California 94105-2482 Telephone: 415.268.7522 Email: Pfriedman@mofo.com Email: CMartin@mofo.com Email: CMartin@mofo.com Email: PBesirof@mofo.com Email: PBesirof@mofo.com Attorneys for Defendant Andrew Miller | |
|---|----|
| I, Philip Besirof, am the ECF User whose ID and Password are being used to fil this motion. In compliance with Civil Local Rule 5-1(i)(3), I hereby attest that Paul Friedman concurred in this filing. Dated: May 29, 2015 MORRISON & FOERSTER LLP | 1 |
| this motion. In compliance with Civil Local Rule 5-1(i)(3), I hereby attest that Paul Friedman concurred in this filing. Dated: May 29, 2015 MORRISON & FOERSTER LLP By: /s/ Philip T. Besirof Philip T. Besirof Philip T. Besirof Philip T. Besirof Paul T. Friedman Craig D. Martin Philip T. Besirof 425 Market Street San Francisco, California 94105-2482 Telephone: 415.268.7000 Facsimile: 415.268.7522 Email: PFriedman@mofo.com Email: CMartin@mofo.com Email: PBesirof@mofo.com Attorneys for Defendant Andrew Miller | |
| concurred in this filing. MORRISON & FOERSTER LLP By: /s/ Philip T. Besirof Philip T. Besirof Paul T. Friedman Craig D. Martin Philip T. Besirof 425 Market Street San Francisco, California 94105-2482 Telephone: 415.268.7000 Facsimile: 415.268.7522 Email: PFriedman@mofo.com Email: CMartin@mofo.com Email: CMartin@mofo.com Email: PBesirof@mofo.com Attorneys for Defendant Andrew Miller | |
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1 DECLARATION OF PHILIP T. BESIROF 2 I, PHILIP T. BESIROF, hereby declare as follows: 3 1. I am an attorney licensed to practice law in the State of California and am admitted to practice before this Court. I am a member of the law firm of Morrison & Foerster LLP, and 4 5 counsel of record for defendant Andrew Miller. I submit this Declaration in support of the 6 parties' Stipulation and [Proposed] Scheduling Order (hereafter, the "Stipulation"). If called as a 7 witness, I would testify to the facts listed below. 8 2. Pursuant to Civil Local Rule 6-2, I attest that the facts set forth in the Stipulation, 9 paragraphs 1 through 8 inclusive, are all true and accurate. 10 3. This is the parties' first request for a modification of time. Other than the case management conference currently set for June 26, 2015, the proposed schedule will not impact 11 12 any other deadlines or dates set by the Court. 13 I declare under penalty of perjury under the laws of the United States of America that the 14 foregoing is true and correct and that this Declaration was executed in San Francisco, California, 15 on this 29th day of May, 2015. 16 MORRISON & FOERSTER LLP 17 By: /s/ Philip T. Besirof 18 Philip T. Besirof 19 20 21 [PROPOSED] ORDER 22 PURSUANT TO STIPULATION, IT IS SO ORDERED. Case Management Conference is set for September 25, 2015 at 23 10:00 am. A joint case management statement shall be filed by 24 September 18, 2015.

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Dated:

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June 4, 2015

THE HONORABLE SAMUEL CONTI

United States District Judge