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IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

MARK ZAVALA, AE8159,

Petitioner,

v.

MARK BITER, Warden,

Respondent.

No. C 15-2247 CRB (PR)

**ORDER DENYING PETITION FOR A
WRIT OF HABEAS CORPUS**

Petitioner Mark Zavala, a California state prisoner proceeding with the assistance of counsel, seeks a writ of habeas corpus under 28 U.S.C. § 2254 challenging a conviction and sentence from Santa Clara County Superior Court. For the reasons set forth below, the petition is denied.

STATEMENT OF THE CASE

Petitioner was convicted by a jury of three counts of robbery and assault with a firearm, along with various gun and gang-related enhancements. People v. Zavala, No. H036028, 2013 WL 5720149, at *1 (Cal. Ct. App. Oct. 22, 2013), as modified on denial of reh'g (Nov. 21, 2013). On April 26, 2010, the trial court sentenced Petitioner to thirty-three years in state prison. Id., at *2.

On October 22, 2013, the California Court of Appeal affirmed the judgment of the trial court and, on February 11, 2014, the California Supreme Court denied review. See generally Zavala, 2013 WL 5720149, review denied (Feb 11, 2014). On October 6, 2014 the Supreme Court of the United States denied certiorari review. See Zavala v. California, 135 S. Ct. 79 (2014).

1 On May 19, 2015, Petitioner filed a petition for a writ of habeas corpus under 28
2 U.S.C. § 2254 in this Court. See Pet. (dkt. 1). Per order filed on July 2, 2015, the Court
3 found that the petition, when liberally construed, stated cognizable claims under § 2254 and
4 ordered Respondent to show cause why a writ of habeas corpus should not be granted. See
5 Order to Show Cause (dkt. 3). On November 13, 2015, Respondent filed an answer. See
6 Response (dkt. 8). Petitioner, now assisted by counsel, filed a traverse on March 14, 2016.
7 See Traverse (dkt. 19).

8 STATEMENT OF THE FACTS

9 The California Court of Appeal summarized the facts of the Prosecution's case as
10 follows:

11 At trial, R.B., who was then 18 years old, testified that he had been a friend
12 of Kyle Moneyhun, whose street name was Ghost. Before the robbery, R.B.
13 was living on the streets and spending most of his time with Moneyhun. At
that time, R.B. was "kick[ing] it with northerners." Most of the people with
whom he hung out were affiliated with northerners.

14 Earlier on the day of the robbery, R.B. and Moneyhun went to Michelle's
15 house, where they had been four or five times before, to drink. Everybody
there was drinking. R.B. drank beer and smoked a joint.

16 While at Michelle's house, R.B. heard people "talking about doing a
17 robbery." One of the people was Mark, who had dark skin and a ponytail
called a "chongo" at the back of his head. R.B. had heard other people refer
18 to Mark as "Little Savage."

19 Moneyhun was the person who came up with the idea of robbing a
20 marijuana dealer named Mitch. Moneyhun had met Mitch through R.B. and
both of them had bought marijuana from Mitch, who sold it from his
21 garage. R.B. knew that Mitch had a safe, in which he kept his marijuana,
in his garage. Around July 2008, R.B. was smoking marijuana daily,
22 sometimes more than once a day. R.B. did not want to be involved in the
robbery because Mitch was a "good drug dealer" and he wanted to continue
23 buying from him. He was also concerned that Mitch would be able to
identify him.

24 In addition to Mark, J-Dog, and Michelle were among those who "wanted
25 in" on the robbery. R.B. had met Mark and J-Dog once or twice before.
Mark and Michelle had an argument about her participation because "she
26 had a little kid." Mark told Michelle that she could not go and Michelle
seemed upset. There was discussion about the need for cars to get away.
27 The plan was to call SJU, the San Jose United gang, to obtain one or two
cars for the robbery. Michelle was going to make that call to a friend. R.B.
28 heard talk about obtaining guns. Mark indicated that he was willing to
shoot if he had to. Mark left Michelle's house to get a gun.

1 At some point, everybody else left Michelle's house. Moneyhun and R.B.
2 went to the light rail station. R.B. received a call from his friend Gabby,
3 who lived next door to Mitch, while they were waiting for the light rail.
4 Gabby had been Moneyhun's girlfriend for a while. Barrgan and
5 Moneyhun took the light rail downtown, where they waited to be picked up.

6 The next day, R.B. and Moneyhun returned to Michelle's house. As they
7 were leaving, the police arrived and they were taken to the downtown
8 Campbell Police Department. R.B. was interviewed by an officer. He
9 remembered telling officers that someone named J-Dog had been part of
10 the discussion. R.B. testified that he did not want to be a snitch then and he
11 did not want to be a snitch at trial.

12 R.B. testified that he did not recognize Mark or J-Dog in court but R.B.
13 also remarked that the men looked different because their hair had grown
14 out. At trial, R.B. picked out Mark, who had been at Michelle's house,
15 from a six-photograph lineup, which was admitted into evidence. R.B.
16 initially denied mentioning the name Peanut to police. After looking at the
17 transcript of his recorded police interview, R.B. acknowledged that it
18 appeared he had said Peanut was the person who would get the cars but he
19 did not remember saying so and he did not know Peanut.

20 Jeffrey Allen McBee testified that, at roughly 6 p.m. on July 23, 2008, he
21 was at his friend Mitchell French's house on Jones Way in Campbell.
22 French had a recording studio in his detached garage. A male named
23 Richard (also known as Oso) was there.

24 Around 6 p.m., McBee left French's detached garage and walked to the
25 street, where he came upon four people, one woman and three men. It was
26 a very bright summer day. At trial, McBee had no doubt that the three
27 defendants were the three men in that group. McBee "got a strange vibe"
28 from the group and asked, "What's happening, guys?" McBee had known
French since high school and he knew most of his friends but he had not
seen these individuals before.

McBee followed the group, which had entered French's backyard
unannounced. McBee heard someone asking in a loud voice for Craig.
McBee peered into the backyard from the gate and saw French standing
outside the doorway to the garage. The people in the group were walking
around the backyard, "checking out things," and asking for Craig. McBee
estimated he was 15 to 20 feet from defendant Hensley and the others.
French was telling them that there was no Craig there and they needed to
get out of his backyard.

The group left the backyard and filed past McBee. McBee saw them head
down the sidewalk toward the next door neighbor's house. When McBee
asked who those people were, French said, "I have no idea who those
guys were." McBee and Richard remained with French in the garage.

Sometime later, [Petitioner], who McBee described as Hispanic, stepped
into the garage, signaled them to be quiet by putting his finger to his lips,
and pulled out a revolver, which "looked like a snub nose .38 caliber
pistol." Richard hit the deck and lay down. [Petitioner] ordered McBee to
get down on the ground but McBee was paralyzed in fear. Defendant
Hensley entered the doorway and blocked the exit. Hensley was holding a

1 pistol and pointed it at French. Someone commanded McBee to “ ‘put his
2 stuff out on the table.’ ” McBee complied and put his cell phone on an
electrical spool table.

3 [Petitioner] said to McBee, “Get the fuck down or I’ll blow your fucking
4 head off.” He said it a couple of times in an angry and frantic voice and
5 moved closer before McBee, who was in shock, lay down. Defendant
Hensley, whom McBee described as white, was shouting orders at French.

6 McBee heard [Petitioner] tell defendant Hensley, “Watch those mother
7 fuckers.” Defendant Hensley said, “I got these mother fuckers. Don’t
worry. Just get going with the money.” Defendant Hensley put his knee in
8 McBee’s back and put his gun to McBee’s head.

9 Out of the corner of his eye, McBee could see that [Petitioner] had his gun
10 on French, who was standing. French appeared terrified. [Petitioner] was
striking French with a gun, swearing, and shouting commands at him to
11 open his safe.

12 McBee could hear, but could not see, the safe being opened. McBee heard
13 a conversation between [Petitioner] and French regarding a second safe.
French indicated that the safe was not his and he did not know the
14 combination. [Petitioner] or Hensley said to the other to make sure to take
15 everything. McBee heard some rustling around in the room and then felt
16 hands going through his pockets.

17 The victims were told to stay down and count to 100. Out of the corner of
18 his eye, McBee saw someone grab a guitar.

19 When the victims got up, they found that a bass guitar and electric guitar
20 were missing and the safe was wide open. McBee had lost a small amount
21 of cash and some medical marijuana that had been taken from his pocket.

22 When the police arrived, McBee provided descriptions of the robbers. At
23 trial, McBee testified that he definitely remembered defendant Hensley’s
24 and [Petitioner’s] faces and the tattoos on Hensley’s neck. McBee
25 identified them as two of the group that had been there before the robbery.

26 [Petitioner’s] haircut at trial was different from the haircut he had during
27 the robbery. At the time of the robbery, [Petitioner] had a “homey cut,”
28 which McBee described as “a shaved head with a bun in the back and a
little ponytail going down the back of the neck.” McBee described the
second robber, whom he identified as defendant Hensley, as Caucasian or
white, in his late 20’s or early 30’s, between five foot, 10 inches to six feet
tall, and about 180 pounds, with a tattoo on his neck.

McBee admitted at trial that, during the afternoon before the robbery, he
had smoked approximately half of a marijuana cigarette. He had previously
told police and testified that medication, by which he had meant marijuana,
had been stolen from him during the robbery. R.B. indicated that French
and he were medical marijuana patients.

McBee had reported to police that, on the day before the robbery, he had
seen a suspicious person hanging out at the corner of Jones Way and Smith.
He later learned that the person was Ghost.

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McBee was shown multiple photographic lineups on different days by different officers. McBee believed that Richard Dowdy (Oso) was involved in the robbery because he told McBee that he was not going to identify people that he recognized from the incident.

Stephanie French testified that Mitchell French was her husband and they had two children. On the date of the robbery, her family resided at 835 Jones Way in Campbell and she and their children were at home. Her husband had two friends visiting, Jeff McBee and a man they knew as Oso, and the three men were in their detached garage. They had known McBee for about 10 years and he was a good friend of her husband.

At about 6 p.m. that day, three men and a woman entered through a side gate and came to Stephanie's open back door. The woman asked for someone named Craig. Stephanie told them no Craig lived there. Her husband came out of the garage and asked, "Who are you? What are doing in my backyard." She heard someone respond, "You don't know who you're messing with." Stephanie subsequently put her head back out and asked if everything was okay; French indicated they had left. At trial, Stephanie recognized defendant Hensley; she was certain that he was one of the people at her back door. She indicated the other males may have been Hispanic.

Stephanie knew that her husband had a safe in the garage. The safe contained over \$1,000. According to her, French's friend had asked him to keep another safe for a month or two. Stephanie acknowledged that French was a medical marijuana patient. She denied having any knowledge that he sometimes sold marijuana from the garage.

French and McBee subsequently came into the house; French told Stephanie that they had just been robbed. Somebody called 911. The police arrived and separately interviewed each of them. Stephanie gave police a description of the person whom she identified at trial as defendant Hensley.

Wells French, who goes by the name Mitchell, testified. On July 23, 2008, he came out of his garage and saw a woman and some men whom he did not recognize in his backyard. They asked about a person named Craig and he told them a Craig did not live there. The female said, "You don't know who you're fucking with." They left through the gate.

French returned to the garage. At about 6 o'clock, French was in the garage with McBee and Oso. French acknowledged that he had smoked a little marijuana and had drunk a few beers.

When the incident began, the first thing French saw was a gun. He later described it to police as a snub-nose .38. The gun was pointed at his face and he was told to get down. Both his safe and a friend's safe were there. French was ordered to open his safe, which was "kind of hidden, but not fully." It contained "some pot and a lot of cash." He was struck in the back of the left knee and in his mouth. French subsequently told the officers that he was pistol whipped. When asked whether he was scared, French said, "Absolutely."

1 In addition, French's Motley Crue wallet was taken from his person and
2 two guitars were taken from the garage, which was thrashed. He was on the
3 ground during most of the incident. He heard them talking. He remembered
4 being told to count to 100.

5 At trial, he said that he could not be 100 percent sure that anyone in the
6 courtroom was in his backyard that day. French testified that he could not
7 remember what the person holding the gun to his face looked like. He
8 indicated that the incident happened very quickly. He gave descriptions to
9 police after the incident and, at trial, he confirmed that he told the police
10 the truth and the event was then fresh in his recollection. French recalled
11 describing one person as a white adult male, about six feet tall, and about
12 180 pounds and with a tattoo on the right side of his neck.

13 French denied telling an officer that he received a death threat on his cell
14 phone or that he was hiding out at a friend's house because he was scared
15 for his life. He admitted repeatedly telling the prosecutor that he did not
16 want to come to court and he was scared for himself and his family.

17 On cross-examination, French testified that he did not believe Oso had
18 anything to do with setting up the robbery. French had known McBee since
19 he was "a little kid" and he was 39 years old at the time of trial. French and
20 McBee both had medical marijuana licenses; they smoked marijuana together.

21 French acknowledged sometimes keeping marijuana in his safe but he
22 claimed that he ordinarily kept the money paid for using his recording
23 equipment in it. He thought he had \$800 to \$1200 in the safe at the time he
24 was robbed. He admitted that he had marijuana growing in his backyard.

25 French knew Ghost and he admitted giving marijuana to Ghose. French
26 denied ever selling marijuana.

27 French indicated that the descriptions that he had given police were of the
28 people he had seen in his backyard, not the robbers.

On redirect examination, French confirmed that he was 60 percent sure that
defendants Hensley and [Petitioner] were the people that held him at
gunpoint in his garage. On recross-examination, French recalled that, about
a year earlier, he was asked whether anybody in court had come to his
garage that day and he testified that he could not "make 100 percent
identity" and none of the people looked familiar to him.

Richard Dowdy, who testified under a grant of use immunity, indicated that
he did not want to be labeled a snitch. In July 2008, Dowdy was hanging
out in French's detached garage located in the City of Campbell. McBee
was there as well; they were playing music and drinking beer. Dowdy
looked toward the door, saw a snub-nose revolver pointed at his face, and
he immediately went to the ground. Dowdy had a prior robbery conviction
and he knew the routine. He claimed that he kept his face down until the
robbers left and he was not able to identify anyone.

Dowdy heard people talking to French; French was "making little noises
like he's getting beat up." At some point, Dowdy was instructed to count
to 100. Dowdy lost a cell phone, his car keys, and his wallet containing one
dollar in the robbery.

1 Dowdy knew that French had a safe in the garage and there was another
2 safe that belonged to one of French's friends in the garage. He described
3 them as being in plain view.

4 Dowdy remembered talking to an officer shortly after the robbery. He
5 indicated that he subsequently spoke with a detective, who treated him as
6 a suspect and executed a parole search of his house.

7 Maria Elena Vasquez lived at 845 Jones Way at the corner of Smith
8 Avenue. She testified that at around 6 o'clock in the evening on a day in
9 July 2008, she saw her nephew, defendant Rodriguez, whom she identified
10 at trial, outside her house and then he knocked on the door. Defendant
11 Rodriguez told her that he had been dropped off. He was in her house for
12 about five minutes and then he said he was leaving. At trial, she could not
13 remember if she had seen him getting into a car. After she read the police
14 report, she stated that he left in a car.

15 About 25 to 30 minutes later, looking out her south facing window,
16 Vasquez saw a black Lexus stopped near the corner of Smith Avenue and
17 Jones Way. Vasquez saw defendant Rodriguez standing on the street corner
18 and looking around. Vasquez also saw a different nephew, Joseph, who
19 was about 37 years old, getting out of a truck parked near the corner of
20 Smith and Jones. She denied that she told officers that she saw what
21 happened next but she admitted telling officers that she was looking out the
22 south window of her house.

23 Vasquez acknowledged seeing two people run across the grass in front of
24 her house toward Smith Avenue and defendant Rodriguez. She could not
25 recall giving descriptions to police. A portion of the police report was read
26 into the record: "Maria described S-3 as Hispanic male adult with a long
27 ponytail on the back of his head. S-2 was described as a white male adult."
28 Vasquez then recalled that the two people, a white male and a dark male,
were running toward Smith Avenue and defendant Rodriguez and one had
a guitar.

At trial, Vasquez initially could not recall telling an officer that she saw
Joseph start running toward the Lexus but she later remembered that she
had told the officers that information. Vasquez testified that she saw Joseph
screaming and running toward Smith Avenue and the black Lexus when he
was "going after the guys."

Vasquez saw defendant Rodriguez run from the corner toward the Lexus,
but she claimed that she did not see defendant Rodriguez get into the
Lexus. Vasquez could not recall telling an officer that she saw them
loading property into the vehicle or she saw defendant Rodriguez get into
the vehicle's back seat. Another portion of the police report was read into
the record: "Maria said she was looking out of a window on the south side
of her house and observed S-2 and S-3 loading the property into the vehicle."

Vasquez denied telling officers that she saw a person on the passenger side
of the vehicle pull out a gun and shoot at Joseph. She saw the black Lexus
drive away.

1 About 10 to 15 minutes after the incident, Vasquez received a call from
2 defendant Rodriguez.

3 Vasquez said that she remembered being shown photographs by police but
4 she had been unable to identify a photograph of either the driver or front
5 seat passenger of the black Lexus.

6 Gabriella Vasquez testified. She lived at 845 Jones Way, which was on the
7 corner of Smith Avenue. Mitch and his family lived next door.

8 Gabriella admitted that, on the night of the incident, she told police officers
9 that she was scared to talk to them. She also confirmed that the police had
10 come to her house and told her that she had to testify; she had told police
11 that she was scared to testify and she did not want to be a snitch. She
12 testified that she did not want to be a snitch.

13 Gabriella identified defendant Rodriguez in court and confirmed that he
14 was a relative. At first, Gabriella could not remember that, on July 23,
15 2008, her attention was drawn to four or five people walking toward the
16 backyard of the house next door. She denied seeing defendant Rodriguez
17 walk to the next door neighbor's backyard. She admitted talking to a police
18 officer on the night of the incident but she denied saying that she saw
19 defendant Rodriguez walking toward that backyard with others. She could
20 not remember telling police that she saw a male with a braided ponytail and
21 shaved head, a white male with curly hair and glasses, defendant
22 Rodriguez, and a female go to the backyard next door.

23 Gabriella recalled that defendant Rodriguez came to her home, visited, and
24 left. She claimed that he was in her house about 30 minutes and she could
25 not remember telling officers that he had been there for five to 10 minutes.
26 She had been surprised to see defendant Rodriguez because she had not
27 seen him for a year or two.

28 Gabriella did not remember telling an officer that she had seen "a black-
colored, four door car, possibly a Pontiac." She acknowledged that a car
drove away but indicated that she did not see the people with whom
defendant Rodriguez left. She thought she might have told a police officer
that they returned 30 minutes later.

Gabriella did not recall telling officers that she had seen defendant
Rodriguez on the corner and denied saying that she had seen him run over
to the car and get into the back seat. Gabriella did recall hearing her
mother, who was at the window, say, "Oh, that's fucked up," and then
going to the window herself. Gabriella saw her cousin Joe saying
something and then being shot at. She heard the gunshot. She could not
recall telling police that "the guy with the ponytail shot at him." She
explained that a "chongo" was "a ponytail in the back of the head with no
hair around it." After reviewing a transcript of her interview with police,
Gabriella conceded that the interviewing officer had asked the length of the
ponytail and she had indicated about six inches.

Although Gabriella could not remember telling the police many things at
trial, she indicated that, on the evening of the incident, she had tried to tell
the officers everything that she had seen.

1 On cross-examination, Gabriella remembered seeing a male with a ponytail
2 and an otherwise shaven head, a male with brown, curly hair, defendant
3 Rodriguez, and a female. She could not recall seeing a fifth person,
4 specifically an older, more heavysset male wearing a white Raiders jersey.
5 When asked where she was when she saw people walk toward Mitch's
6 house, she indicated she was in the living room of her house looking out
7 a window facing Jones Way. She also remembered the male with curly
8 brown hair driving away in the car that had been parked on Smith Avenue
9 facing west.

10 Gabriella knew Moneyhun and confirmed that he was known as Ghost.
11 Around the time of the robbery, Moneyhun was coming over to her house
12 and hanging around with her. She denied seeing Moneyhun go to Mitch's
13 house and come back with marijuana but she admitted knowing that Mitch
14 had marijuana.

15 Joseph Ramon Esquibel testified. On the night of the incident, Esquibel
16 was returning to his home at 845 Jones Way, which was on the corner.
17 When Esquibel drove up to his house, he saw a male, who he has since
18 learned is related to him, standing on the corner. As Esquibel was walking
19 toward his house, he saw two males, whom he had never seen before,
20 coming from the house of his next-door neighbor Mitch and running across
21 his lawn toward Smith Avenue. They passed within a couple feet of him.
22 They had guitars and a tin can in their hands. Esquibel told the two, " 'This
23 is not going to happen,' " by which he meant "robbing his neighbor." He
24 told the people in his house to call 911. The two men went to a dark-
25 colored vehicle facing westbound on Smith and jumped in.

26 Esquibel was on the sidewalk about five feet from the vehicle. The
27 passenger pulled out a gun and Esquibel was scared that he was going
28 to be shot. The passenger pointed the gun slightly away from Esquibel and
fired. The bullet hit one of the rocks on the side of Esquibel's house and
a piece of rock hit Esquibel in the face. Esquibel started running. He ran to
the front of his house and then went to check on Mitch.

At trial, Esquibel could not recall telling the police many things or
providing particular descriptions of the driver and passenger. He could not
recall telling officers that the person standing on the corner appeared to be
a lookout. Esquibel acknowledged that he had heard that his cousin, Victor
Esquibel, was a category three member of the Nuestra Familia. Esquibel
could not identify anyone in court but he acknowledged that on the night
of the incident he gave descriptions of the two people who ran past him to
police. Esquibel stated that he had told the truth to the police to the best of
his ability and the incident was then fresher in his recollection than it was
at trial. He remembered that the day after the incident he identified the
shooter from a photographic lineup shown to him by an officer.

Esquibel testified that he told the truth to the best of his ability at the
preliminary examination. His prior testimony regarding the heights of the
driver and passenger was read into evidence.

Brian Sessions, an officer with the City of Campbell Police Department,
testified. He was the first officer to arrive at Jones Way on July 23, 2008.

1 The first person with whom Officer Sessions made contact was Esquibel.
2 Esquibel told Officer Sessions that he saw a white male and a Hispanic
3 male running from his neighbor's house; they were carrying guitars.
4 Esquibel told them to stop but they continued running. Esquibel gave
5 descriptions of the two men. A salient feature of the white male was a
6 visible tattoo on his upper chest; he was about five feet, 10 or 11 inches tall
7 and had a thin build. The Hispanic male had a dark complexion and a
8 braided ponytail.

9 Officer Sessions was told by Esquibel about an individual standing on the
10 corner of Smith and Jones. Esquibel referred to him as "a lookout" and
11 described him as a thin Hispanic male, five feet, four inches tall,
12 approximately 22 years of age, who was wearing a black and white hat.

13 The officer said that Esquibel reportedly yelled into the house for his
14 mother to write down the license plate. The man on the corner ran to the
15 black Lexus, grabbed its rear license plate and, Esquibel believed, pulled
16 the plate off the car. That individual then got into the back seat. The white
17 male got into the driver's seat and the male with the ponytail got into the
18 right, front seat of the black Lexus.

19 As Esquibel approached the vehicle, the white male told the front seat
20 passenger, " 'Cap the mother fucker.' " The front seat passenger had
21 pointed a black, snub-nose revolver at him and said something to the effect,
22 " 'I'm going to fucking cap you,' or, 'I'm going to blast you[.]' " Esquibel
23 feared he was going to be shot and backed up. The front seat passenger
24 with a ponytail had turned the gun slightly and fired a shot in Esquibel's direction.

25 Officer Sessions also interviewed Richard Dowdy. Dowdy told him that he
26 believed there had been two robbers because he heard them talking to each
27 other but he had seen only the pistol pointed at him. He heard one tell the
28 other, "Take everything you touch." He described the gun as a black, .38
caliber, snub-nose revolver.

Officer Sessions also spoke with McBee. McBee described the four people
who had walked up to French's residence before the robbery: (1) a
Hispanic male, (2) a white male in his late 20s, approximately 180 pounds,
about five feet, 10 inches to six feet tall, with tattoos on his neck, (3) a
Hispanic male adult, possibly with Pacific Islander heritage as well, with
a dark ponytail and shaved head, and (4) a Hispanic female. McBee
indicated that, during the robbery, the robber with the ponytail took money
and some marijuana out of his pocket while he was lying on the garage floor.

Spencer Billman, a police officer with the Campbell Police Department,
responded to 835 Jones Way at about 6:30 p.m. on July 23, 2008. He
interviewed Stephanie French, who described four individuals who had
come to her home that day. She stated that two of them were "possibly
Mexican guys." A third was a white male adult, approximately six feet, one
inch tall, with a thin build and a "snaggle tooth," in his late 20s. The fourth
person was a Hispanic female adult in her late 20s.

From French, Officer Billman obtained descriptions of four individuals
who had entered his backyard. French described a Hispanic male adult, a
white male adult about six feet tall with tattoos on his neck, a black or

1 Hispanic mixed race male adult who had a braided ponytail of dark brown
2 or black hair and an otherwise shaved head, and a Hispanic female adult.

3 Officer Billman was told by French that two of the original four had
4 returned. The male with the ponytail had a handgun, a black snub-nose
5 revolver, which he pointed at French. That person demanded to know the
6 location of French's safe; he pushed French to the floor and pointed the
7 gun at French's head. That person again asked about the location of the
8 safe and pistol-whipped the right side of French's face. French opened the
9 safe and the person stated, " 'Grab everything you can.' "

10 Carlos Guerrero testified that in July 2008, he was a police officer with the
11 City of Campbell. On the night of the Jones Way robbery, Officer Guerrero
12 interviewed Gabriella Vasquez. Gabriella indicated that she was scared to
13 talk with him because her cousin was one of the suspects and she did not
14 want to be a snitch. She indicated that her cousin and the people he hung
15 out with were gang members.

16 Gabriella told Officer Guerrero that she had seen her cousin, defendant
17 Rodriguez, passing by her house and then standing with others in the
18 driveway of her next-door neighbor. She thought it was a group of about
19 five people. In addition to defendant Rodriguez, she had described a male
20 with a braided ponytail and an otherwise shaved head, a white male with
21 curly hair and glasses, a Hispanic female about 17 or 18 years old, and an
22 older, stocky Mexican male wearing a white Raiders jersey. She said that
23 she saw the male with the braided ponytail and the male with the curly hair
24 and glasses enter her next door neighbor's backyard and then come out
25 after a very short time. The group began moving back toward her house.
26 Defendant Rodriguez broke off from the group, he went to her front door,
27 and he spoke to her very briefly. The rest of the group entered a black
28 vehicle parked to the side of her house on the corner of Smith and Jones.
The black car drove into the court on Jones Way and circled to the front of
her house and yelled for defendant Rodriguez. He told Gabriella that he
had to go, went to the car, and got into its back seat. Gabriella described
the driver as having a light complexion, glasses, and curly brown hair. He
had a tattoo on his upper chest and possibly on his right forearm. She told
the officer that he looked "like a nerd" and the other males looked like
gang members.

21 Officer Guerrero was told by Gabriella that, about 30 minutes later, she
22 again saw a black vehicle. She heard the vehicle's driver say, "Cap that
23 fool." She heard a gunshot.

24 A short time after the robbery, most likely the next day, Officer Guerrero
25 interviewed R.B. R.B. and Moneyhun were together when Officer Guerrero
26 picked them up at Michelle Stojkovic's house on the day after the robbery.
27 The officer was under the impression they had a close relationship.

28 R.B. said that, on July 23, while he was at Michelle Stojkovic's house,
there was talk about robbing someone who lived off Virginia. The
intersection of Jones Way and Smith is approximately a half block off
Virginia. R.B. indicated to him that Moneyhun and he were merely
smoking and listening to the discussion. He thought J-Dog was there and
Mark and J-Dog were "the main leaders of the conversation." R.B. did not

1 tell the officer that it was Moneyhun's idea to target French.

2 The officer testified that R.B. had reported that Mark and J-Dog talked
3 about being desperate for money and drugs. Mark had said they needed
4 some stolen cars to do the robbery. R.B. believed that two cars were going
5 to be used in the robbery; he heard that Peanut from SJU was "the person
6 who was going to come up with the cars." R.B. indicated there was an
exchange in which Michelle said that she wanted to be involved but Mark
told Michelle that she could not be involved in the robbery. Mark had a
ponytail at the back of his otherwise shaven head, which R.B. referred to
as a "chongo," which is Spanish for ponytail.

7 According to Officer Guerrero, R.B. indicated that he did not participate
8 in the robbery because the proposed victim was his marijuana dealer,
9 whom he knew personally, and he wanted to buy marijuana from French
10 in the future. He told Officer Guerrero that he liked French and knew
French had a family. R.B. explained that he did not warn French because
he was afraid of retaliation and he did not want to get involved. He later
backtracked, claiming that he really did not know French was the target.

11 R.B. told Officer Guerrero that, after leaving Michelle's house, Moneyhun
12 and he had walked to the Campbell light rail station to take the light rail to
13 the Discovery Museum. R.B. informed the officer that at 6:06 p.m., after
reaching the light rail station, he received a call from Gabriella Vasquez
and learned of the robbery and the gunshot.

14 Officer Guerrero showed photographic lineups to a number of individuals.
15 French identified a photograph of Michelle Stojkovic as the suspect female
16 and rated his certainty as eight or nine on a scale of 10. McBee was not
able to identify her. French, McBee, and Dowdy did not identify defendant
Rodriquez in a photographic lineup containing his photograph.

17 Natalie Gedman testified that she had met a person by the name of Peanut
18 through acquaintances and seen him a handful of times before July 22,
19 2008. In court, Gedman identified defendant Hensley as Peanut. One night
he came to her house and asked to borrow her car and she gave him
20 permission to use it. She claimed her car was gone for about 24 hours.

21 At about 6:45 on July 23, 2008, Gedman received a telephone call from an
22 unknown male from a private number telling her that her car would be
parked outside. She opened the front door and discovered her keys were on
a table on the front porch; her car was outside. She denied noticing that
anything was wrong with her car.

23 At some point, Campbell police officers came to Gedman's house and
24 asked her if she drove a black Lexus. She told them she did. She
remembered telling the officers that she had lent her car to Peanut. She
25 acknowledged that the police mentioned the license plate cover. She denied
having any recollection that a coworker had pointed out to her that the
26 vehicle's license plate frame was bent.

27 The following day, an officer returned with a photographic lineup. She
28 looked at six photographs and picked out a person whom she said looked
like Peanut but his hair seemed different.

1 Tom Rogers testified that he had been a Campbell police officer for 19
2 years at the time of trial. He was the primary investigating officer assigned
3 to the case.

4 On the night of July 23, 2008, Officer Rogers spoke with Maria Vasquez
5 and recorded their conversation. Vasquez reported observing, from inside
6 her house, a vehicle pull up. Her nephew defendant Rodriguez came to the
7 door and they talked. After leaving her house, defendant Rodriguez had
8 entered a black Lexus vehicle with chrome rims and the car had driven
9 away. The vehicle had contained three males and one female.

10 Officer Rogers was told by Vasquez that the vehicle returned about 30
11 minutes later. Two individuals, who Vasquez described as a Hispanic male
12 with a long ponytail in the back of his head and a white male, started
13 walking toward 835 Jones Way. Looking out from the front doorway, she
14 later noticed them running back toward the vehicle with some property.
15 She moved to the window. She saw the two men putting the property into
16 the car. Defendant Rodriguez, who had been standing in front of her house,
17 ran to the vehicle and got into the rear seat. She saw the male with the
18 ponytail pull out a gun and fire one bullet in the direction of Esquibel.
19 Officer Rogers had gone to the location and seen where the bullet had
20 struck. It was the officer's impression that Vasquez was attempting in good
21 faith to cooperate with the police investigation.

22 The next day, July 24, 2008, Officer Rogers picked up Esquibel and
23 McBee and took them to view Moneyhun at another location where he was
24 being held by detectives. During the field showup, Esquibel recognized
25 Moneyhun and said, "That's Ghost." He said Moneyhun was not present
26 during the robbery but Moneyhun had been at French's house a few days
27 earlier. McBee did not recognize Moneyhun.

28 At about 4 o'clock on July 24, 2008, Officer Rogers showed a
photographic lineup containing a photograph of [Petitioner] to Esquibel.
Esquibel identified [Petitioner] as the person who had shot at him.

The next day, July 25, 2008, Officer Rogers contacted French at another
person's house. French would not come out to the living room; he was in
a back bedroom with the light turned off. The officer went to the bedroom
and was able to convince French to turn on the light so they could talk.
French explained that "he was scared for his life and he didn't want to stay
home." French said that a death threat had been left in his cell phone's
voice mail: "You're dead. We're coming after you."

Officer Rogers showed French the same lineup containing [Petitioner's]
photograph. French stopped at [Petitioner's] photograph and said, "I think
that's him. The face looks the same." French identified him as the person
who entered his garage and pointed a gun at him.

Officer Rogers learned from speaking to other officers and witnesses that
French was a marijuana dealer and he was known to have safes in his garage.

1 On July 29, 2008, Officer Rogers showed a photographic lineup containing
2 a photograph of defendant Hensley to McBee. McBee made a positive
identification of Hensley.

3 Officer Rogers also showed a photographic lineup containing a photograph
4 of defendant Hensley to Esquibel, but he was unable to identify the
5 defendant. Officer Roger also showed a photographic lineup containing a
6 photograph of defendant Rodriguez to Esquibel but Esquibel did not
7 identify anyone.

8 At some point, Officer Rogers spoke to Michelle Stojkovic, who admitted
9 to him that she went to French's house. She gave an innocent explanation
10 for her presence. She said that she did not know anything about a proposed
11 robbery.

12 Officer Rogers testified that defendant Hensley was arrested late on July
13 31, 2008 or in the early morning hours of August 1, 2008. The officer
14 learned that [Petitioner] had surrendered to the Campbell Police
15 Department on the morning of August 1, 2008.

16 On August 6, 2008, Officer Rogers showed French a lineup containing a
17 photograph of defendant Hensley. French was unable to make an identification.

18 Officer Rogers also questioned Dowdy that day. McBee had shared his
19 suspicion that Dowdy had been involved with the robbery because Dowdy
20 told McBee that he was not identifying people whom he recognized in
21 lineups because those people would be his "homies" if he "went away."
22 When confronted, Dowdy told Officer Rogers that he was not going to
23 snitch because that would be a death sentence for him in prison. Dowdy
24 said he did not want anything to do with the case.

25 In the course of the investigation, Officer Rogers received information that
26 the suspect vehicle, a black Lexus with chrome rims, may belong to
27 defendant Hensley's girlfriend who lived off Branham Lane near Camden
28 Avenue. On August 6, 2008, Officer Rogers located a black Lexus in that
neighborhood in a carport; he noted that its rear license plate was bent up.
The officer ran a registration check on the vehicle and obtained the name
and address of the person to whom the vehicle was registered. Officer
Rogers went to the particular unit and Gedman answered the door.
Gedman's boyfriend, to whom the vehicle was registered, was already in
custody and she was driving the car. She denied knowing the suspects and
denied loaning her car to anyone.

The next day, officers conducted a probation search of Gedman's
apartment. This time, Gedman told Officer Rogers that she had loaned the
vehicle to Peanut on July 22 and then received a phone call about the
vehicle at about 6:45 on July 23. A coworker had pointed out the bent
license plate to her. Officer Rogers and another detective searched the
vehicle. Dowdy's DMV medical examiner's certificate was found between
the center console and the passenger seat.

Under the authority of a search warrant, Officer Rogers examined text
messages sent from defendant Hensley's cell phone. A message sent at
approximately 4:04 p.m. on July 22, 2008, said, "[K]eep this to yourself,

1 but I'm pushing against Northern Riders. Two hella fools tried to claim the
2 title but they don't want to live the life." Several minutes later, he sent the
3 message: "I got my own squad called WAR, Warriors and Riders, and we
4 don't accept PCs, period, no rats, no pussies." At approximately 11:20 p.m.
5 on July 22, 2008, defendant Hensley sent the message: "I got my Lexo and
6 I'm mobile, solo. Can we meet?"

7 Dan Livingston, a sergeant with the City of Campbell, whom the court
8 recognized as an expert with respect to Norteno criminal street gangs and
9 street gangs in general, provided background information on the Nuestra
10 Familia (NF) and Nortenos. Norteno street gangs emulate the NF and
11 identify with the color red, the number 14, and the letter "N." Sureno street
12 gangs identify with the color blue, the number 13, and the letter "M" for
13 Mexican Mafia, which is basically their parent group. The Nortenos war
14 with the Surenos on the streets.

15 Sergeant Livingston testified that the Shalu Gardens (SLG) gang has
16 approximately 20 members, is an ongoing organization, and associates with
17 northerners and it shares their common signs and symbols. The focal area
18 of the gang is the "Nido/Adler area of Campbell off Winchester." The gang
19 claims the City of Campbell as their territory and it had committed assaults
20 throughout the city. When asked about the primary activities of the gang,
21 the sergeant indicated that the gang had been involved in the sale of
22 marijuana and methamphetamine, assault with a deadly weapon, auto theft,
23 and robbery. His opinion about the gang's primary activities was based on
24 prior arrests and investigations, conversations with crime victims and
25 witnesses, and criminal histories of active members of the gang.

26 Sergeant Livingston estimated that he personally had been in contact with
27 at least 10 separate Shalu Garden gang members. He identified those
28 persons as gang members based on their tattoos, talking with them,
observing their associates, and talking with victims and community members.

In Sergeant Livingston's opinion, the robbery was committed for the
benefit of, at the direction of, and in association with the Shalu Gardens
criminal street gang. That opinion was based on his review of the facts of
the case, including the persons present at the planning stage, those "people
who assisted along the way," and the manner in which the crime had been
planned and carried out. The sergeant indicated that his opinion partially
rested on the fact that the two primary people planning the crime,
[Petitioner] and Rodriguez, were influential members of the Shalu Garden
gang. This information was based upon "prior contacts, speaking with
other people, reviewing police reports."

In his opinion, everybody else present during the planning stage was either
a Norteno gang member or an associate. He believed that R.B. associated
with Norteno gangs, Moneyhun was a Norteno gang member, Michelle
Stojkovic was a Norteno gang associate.

Sergeant Livingston testified about two "pattern" offenses, one occurring
on March 21, 2008 and another occurring on June 5, 2007. Certified copies
of two conviction packages were admitted into evidence.

1 The March 21, 2008 offense was an assault involving four suspects at an
2 elementary school. The victim was chased by the suspects and one of the
3 suspects yelled, "Shalu," during the assault. Sergeant Livingston indicated
4 that shouting out the name of one's gang during commission of a crime
5 credits the gang, intimidates and scares the victim, and earns the gang and
its member respect in the gang community. A gang member gains
credibility through assaulting people, committing certain crimes, engaging
in displays of violence and having lots of money from drug sales.

6 The June 5, 2007 crime involved three males in a car that approached a
7 male walking in the area of 238 Curtner. Two men got out of the car. One
8 of them, Rigoberto Patino, an SLG member, struck the male victim with a
cane or stick and the other man called the victim a "scrap," a derogatory
term for a Sureno gang member. When the victim tried to get away, the car
ran over him and he was injured.

9 The sergeant explained that anyone who cooperates with police risks being
10 labeled a snitch and being physically assaulted or worse. A community
member that reports gang crimes may be terrorized or harassed by gang members.

11 In reaching his opinion that the crime was for the benefit of a criminal
12 street gang, Sergeant Livingston also took into consideration the fact that
13 they called on members of the Norteno San Jose Unidos to provide
14 transportation for the robbery. He explained that "[o]ftentimes Norteno
15 gangs will commit crimes with other Norteno gangs" and "tend to associate
16 with each other, based on going into custody, family relationships, [and]
going to school." The sergeant also considered the statement that was made
during planning, "I have no problem shooting somebody if I need to." He
stated a person is "not going to garner much respect in the gang
community" if the person is "squeamish about using a gun...."

17 The sergeant's opinion was also buttressed by the fact the target of the
18 robbery was a drug dealer. Gangs are involved with the sale of controlled
19 substances and oftentimes know who is holding quantities of drugs. A
20 targeted drug dealer is less likely to call or cooperate with police because
the victim is himself committing a crime and because the victim may fear
the gang members.

21 With respect to defendant Rodriguez's gang affiliation, Sergeant
22 Livingston testified that defendant Rodriguez has four dots tattooed across
23 the knuckles of his left hand. Defendant Rodriguez's moniker or street
24 name, which is J-Dog, is tattooed on his right arm. The defendant "has an
25 'S' on his right forearm and a 'J' on his left, which stands for San Jose."
26 The sergeant viewed the defendant Rodriguez's "MySpace" page and saw
27 a photo of defendant with other gang members in which the defendant is
28 holding up a "W" with his fingers, which indicates West Side San Jose.
There was also a picture of him with Camilo Parra, an SLG member, who
is holding up a "W" with his right hand. Defendant Rodriguez's
"MySpace" page features the "gangster's prayer" on a red background. In
the "about me" space, he talked "about the gang lifestyle and the
Nido/Adler area." There is a picture of a handgun with several rounds of
ammunition next to it and another picture of a bag of marijuana with the
caption, "The more you smoke, the more ... I make."

1 Sergeant Livingston testified to a number of incidents indicating gang
2 membership. On March 25, 2002, the San Jose Police Department became
3 involved in an incident reportedly involving defendant Rodriguez.
4 Defendant Rodriguez and another male had fought. Four days earlier,
5 defendant Rodriguez challenged the male to fight after approaching and
6 asking him what gang he was in and telling him that he, defendant
7 Rodriguez, was in the West Side Mob. The West Side Mob is one of the
8 larger Norteno gangs on the west side of San Jose.

9 In another reported incident, which occurred September 13, 2003,
10 defendant Rodriguez, who at that time had the four dots tattooed on his
11 knuckles and his moniker tattooed on his arm and was wearing a red shirt,
12 attacked a Sureno affiliate and punched and kicked him. An hour earlier,
13 defendant Rodriguez had called the victim a "scrapa," a derogatory term
14 for a Sureno. The San Jose Police Department report described defendant
15 Rodriguez as "a known affiliate with a Norteno gang."

16 During a January 25, 2004 contact between defendant Rodriguez and the
17 San Jose Police Department, the defendant had a steak knife concealed in
18 a pocket and a red rag in his rear pocket, and he claimed to be a Norteno.
19 Defendant Rodriguez had explained that the knife was for his protection
20 and "it's a rough neighborhood."

21 After a gang-related fight at Prospect High School on March 31, 2004, a
22 vehicle in which defendant Rodriguez was a passenger was stopped by the
23 San Jose police. When defendant Rodriguez was contacted, he indicated
24 his Norteno gang affiliation. The driver was arrested for bringing a knife
25 onto campus.

26 On August 19, 2005, defendant Rodriguez was contacted by Campbell
27 police in the area of Nido and Adler behind 620 Nello, where [Petitioner]
28 lived in unit one and Rigoberto Patino lived in unit four. In his pockets,
defendant Rodriguez had a knife wrapped in a red bandana, a steak knife,
and two large rocks. The previous night there had been a large fight in that
vicinity involving the discharge of a gun. Officers had found a black SJ
hat, a San Jose Sharks hat, and a bat in the street. Norteno gang members
identify with the San Jose Sharks hockey team.

On November 19, 2005, the Campbell police received a report of a
disturbance at a large apartment complex on Nido in the area of Nido and
Adler and a complaint that the manager was being harassed by a large
group. Officers, including Sergeant Livingston, responded. A large group
walking away from the location was contacted. Some were dressed in gang
clothing. Defendant Rodriguez was wearing a red jersey and a red and
black Huelga bird hat; the Huelga bird is a common sign of Nortenos. He
had a motorcycle chain. Defendant Rodriguez's brother was wearing a red
shirt under a red sweatshirt. [Petitioner] was wearing a San Jose Sharks
jersey. Victor Hernandez, a known SLG member, had a red bandana
hanging out of his pocket. Ronald Delgado, a known SLG member, was
wearing a black and white SL hat for Shalu and other members were either
wearing red or black and white. Shalu members wear black and white when
they do not want to draw a lot of attention to themselves. Sergeant
Livingston spoke to Robert Denavario, a known SLG associate, who said
all males with him were SLG members. A minor female, who said she was

1 a relative of Victor Hernandez, also said all males in the group were SLG
2 members.

3 On December 16, 2005, Sergeant Livingston assisted in a probation search
4 at 620 Nello, unit two. Defendant Rodriguez was there as well as the
5 probationer. In a room containing items associated with defendant
6 Rodriguez, Sergeant Livingston found a backpack with XIV written on the
7 bottom and a Huelga bird. Inside the backpack, the sergeant found a CD
8 with XIV and SLG written on it. In the closet, he found a shoe box
9 containing SLG indicia and mail and other items with defendant
10 Rodriguez's name on them. Marijuana packaged for sale was discovered
11 in the backpack. While the search was being conducted, [Petitioner],
12 Rigoberto Patino, and a third male attempted to intimidate the officers and
13 were confrontational. Sergeant Livingston later received a notarized letter
14 from defendant Rodriguez claiming the marijuana and backpack were his.
15

16 On July 24, 2008, during a classification interview, defendant Rodriguez
17 admitted northern affiliation.

18 In an August 20, 2008 letter written to his estranged wife, defendant
19 Rodriguez referred to himself as a "mother fucking soldier at heart."
20 Sergeant Livingston explained that gang members, and Nortenos in
21 particular, often describe themselves as soldiers. The letter also indicated
22 that a certain man would "get handled" if he continued to disrespect the
23 defendant's mother and son and that his estranged wife could tell that man
24 that he was not going to have to deal with only the defendant. According
25 to the sergeant, this meant that the person would have to deal with
26 somebody from the gangs.

27 With regard to defendant Hensley's gang affiliation, Sergeant Livingston's
28 information came from four former male gang members who had dropped
out. One had been a high ranking NF member and three were former
members of Nuestra Raza. Three of the men had pending cases and were
hoping to receive a lesser sentence by working with the sergeant; the fourth
man, Mr. Lastra, had no pending case and was out of custody.

Sergeant Livingston had learned that defendant Hensley formerly was a
member of Nuestra Raza, which is an arm of the NF, but he had left that
organization and joined with the Northern Riders, a group forced out of the
NF organization. A solid star on the left side of the head signifies
membership in Nuestra Raza. The sergeant testified that defendant Hensley
has a broken star on the right side of his forehead, which expresses
disrespect for the NF organization. The defendant also has "W-A-R"
tattooed on his neck. Mr. Lastra had told Sergeant Livingston that
defendant Hensley claimed to be starting a new group called Warriors and
Riders, which explained the significance of the "W-A-R" tattoo.
Defendant Hensley's street name was Peanut.

In a 2002 police report, defendant Hensley was identified as a Norteno
gang member by his ex-girlfriend's mother. At that time, the women were
being harassed and were in fear for their safety because of defendant
Hensley's gang association.

1 In 2007, defendant Hensley was directed to commit an assault at the
2 direction of the Northern Riders because he had disrespected some
3 members and, if he did not do so, defendant Hensley would be assaulted by
4 an unknown Northern Rider. Sergeant Livingston testified about text
5 messages that he believed had been sent by defendant Hensley. One
6 message indicated to the sergeant that defendant Hensley was breaking
away from the Northern Riders. Another text message stated, "I got my
own squad called WAR, Warriors and Riders. And we don't accept PCs,
period, no rats, no pussies." The sergeant explained that "PCs" refers to
persons who have dropped out of a gang and are in protective custody.

7 As to [Petitioner's] membership in a gang, Sergeant Livingston stated that
8 [Petitioner] has "SJ" tattooed on the back of his arms and "408," which is
9 the area code, tattooed across this stomach. [Petitioner] also has a tattoo,
10 which reads, "If I die today, no worries tomorrow." The sergeant explained
that this tattoo reflects the mindset of a lot of gang members, who tend to
live on the edge and believe if something happens to them, it is just part of
"the game."

11 A police report indicated that on November 4, 2005 a police officer made
12 contact with a person wearing a red shirt on Adler Avenue. The self-
13 identified Norteno told the officer that he had been "jumped in behind 620
Nello at [Petitioner's] direction by an associate...." The gang member
identified [Petitioner] "as running Shalu Gardens at that time."

14 On March 15, 2006, a search of [Petitioner's] residence, located at 620
15 Nello, unit one, was conducted by Sergeant Livingston pursuant to a
warrant. The search uncovered gang indicia. Scribblings of "Shalu,"
16 "SLG," and similar terms and handgun ammunition were found in his
room. In the garage, the sergeant found SLG graffiti and monikers carved
into a bench.

17 On June 20, 2006, [Petitioner] was contacted at 240 Adler after police
18 received a call reporting four males fighting and "somebody shouting
something about a Shalu gang."

19 On November 24, 2006, [Petitioner] was contacted near 2369 South
20 Winchester after a disturbance at a bar and the bouncer had asked certain
people to leave. He was with Camilo Parra, whom the sergeant identified
21 as an SLG member. Also, on November 24, 2006, a man flagged down
police officers on Adler Avenue and he told them that three males,
22 including [Petitioner] and Parra, had threatened him. Parra had said, "This
is the north side. We run this area."

23 On April 3, 2008, [Petitioner] and Victor Hernandez were seen walking
24 together through a Safeway parking lot in the area of Nido and Adler.
[Petitioner] was wearing a red and white hat with "San Jose" printed on it.

25 On July 20, 2008, a San Jose police officer in the area of Lick and Alma
26 advised other officers that "multiple car loads" of Nortenos were in the
area looking to jump somebody. Another officer stopped a vehicle leaving
27 the area; [Petitioner] was a passenger. Two other occupants in the vehicle
had "NGN" tattoos, which stands for "Next Generation Nortenos," and
28 were on probation with gang conditions. The vehicle contained a metal

1 wrench and stakes, which the reporting officer believed were weapons.

2 On cross-examination, Sergeant Livingston explained the police's use of
3 field interview cards, which was one way of gathering information,
4 including observations of tattoos and admissions of gang membership. In
5 reviewing the material on [Petitioner's] past contacts with the Campbell
6 Police Department, the sergeant had never found any reference to him
7 being known by the moniker "Little Savage" or "Li'l Savage" aside from
8 what R.B. had told police.

9 According to Sergeant Livingston, the SLG has been around Campbell
10 since 2001 and the gang was formed by Camilo Parra. The sergeant stated
11 that one of the primary purposes of the Shalu Gardens gang is robbery and
12 at least three members had robbery convictions. He admitted that he had
13 previously testified in March 2010, shortly before trial, that the SLG had
14 not committed any robberies of which he was aware but he had also given
15 the qualification that he would have to check the files. The sergeant
16 believed that he had then explained that he had been belatedly brought into
17 the case and he had not yet reviewed all the material. He acknowledged
18 that the three robbers were juveniles at the time of the crimes. None of
19 those robberies had been committed by defendant Rodriguez or [Petitioner].

20 Sergeant Livingston acknowledged that the original summary of Shalu
21 Garden's predicate offenses, which he had written in about late 2005, did
22 not list any robberies. Another summary of predicate offenses included six
23 offenses committed on various dates through March 21, 2008 but it did not
24 include any robberies. [Petitioner] was not named as a suspect or
25 participant in those offenses. Insofar as the sergeant was aware, [Petitioner]
26 had never been previously charged or convicted of robbery or any felony.
27 Sergeant Livingston acknowledged that [Petitioner] did not have gang
28 tattoos identifying him as a member of the SLG and that the police reports
in this case that he reviewed did not indicate that any perpetrator identified
himself as a Norteno or SLG member during the robbery.

On redirect examination, Sergeant Livingston stated that he had a
conversation with defendant Hensley while he was in custody because the
defendant had wanted to offer information in exchange for assistance in
this case. Even though the sergeant specifically informed defendant
Hensley that he did not want to talk about the specific facts of the present
case, defendant Hensley told Sergeant Livingston that he was present
during the crime.

Sergeant Livingston also testified regarding his 2005 encounter, while on
patrol, with [Petitioner] and another man across from the defendant's house
on Nello. Sergeant Livingston had spoken separately to the other man, who
told the sergeant that he was a former NF member and was currently part
of "New Flowers," a group of dropouts from the NF organization. The man
asked the sergeant not to tell [Petitioner] because [Petitioner] was still
active in NF and "he knew what [Petitioner] would do to him if [Petitioner]
found out he was a dropout gang member."

Sergeant Livingston reiterated that the present crime, which he
characterized as brazen, assisted the gang because it increased its status and
provided drugs and property that could be sold. The money could be used

1 to buy guns to protect the gang and to buy alcohol and marijuana to entice
2 others into the gang.

3 On further cross-examination, Sergeant Livingston confirmed that he had
4 done nothing with the information obtained from defendant Hensley until
5 he was called as an expert in this case.

6 On further redirect examination, the sergeant indicated that he does not
7 document a conversation with an inmate who is offering information in
8 exchange for help in a criminal case. He explained that officers try not to
9 break the trust of the inmates willing to provide information to law
10 enforcement since inmates would be less inclined to cooperate if they
11 learned another inmate's statement had been used against him.

12 Janet Lacava testified that she knew [Petitioner] and had worked with him
13 at the Safeway on Winchester in Campbell for a couple of years until he
14 was transferred to another Safeway about the beginning of April 2008.
15 When she knew him, he had a ponytail, which was approximately six to
16 eight inches long. She identified him in court.

17 David Carmichael, a police captain with the Campbell Police Department,
18 photographed [Petitioner] following his arrest on August 1, 2008.
19 [Petitioner's] hair was cut "really short" and he did not have a ponytail.
20 Captain Carmichael identified photographs of [Petitioner's] tattoos. His left
21 arm has a tattooed "S" and his right arm has a tattooed "J." "408" is
22 tattooed on his abdomen. The tattoo on his upper chest reads, "If I die
23 today, no worries tomorrow."

24 Zavala, 2013 WL 5720149, at *2–23 (footnotes omitted). The California Court of
25 Appeal summarized the facts in the defendant's cases, including Petitioner, as follows:

26 B. [Petitioner's] Evidence

27 Raj Jayadev, the executive director of Silicon Valley Debug, a community
28 organization that provides assistance to families and youth, testified that
the organization was contacted in late July 2008 by [Petitioner's] family
who was seeking assistance in helping [Petitioner] turn himself in. Jayadev
met with [Petitioner] and, at trial, he identified [Petitioner] in court.
Jayadev and Aram James, an attorney that volunteered for the organization,
drove with [Petitioner] by car to the Campbell Police Station. Jayadev sat
in the rear passenger seat directly behind [Petitioner], who sat in the front
passenger seat. [Petitioner's] hair was "short-cropped" and Jayadev did not
see anything to distinguish any part of the back of [Petitioner's] head from
the rest of his haircut.

Tiffany Shelton testified that she began dating [Petitioner] when he was 17
years old and she was 20 or 21 years old and she already had a son. Her son
turned one while they were together and they later had a daughter.

1 Shelton was 25 years old at the time of trial. She identified defendant
2 Rodriguez in the court room and indicated he was a friend of [Petitioner].
She had heard defendant Rodriguez called J-Dog.

3 [Petitioner] and Shelton began living together in his mother's Campbell
4 home in 2005 and they moved into their own apartment in 2006. He started
wearing his hair in a ponytail after the birth of their daughter in August 2007.

5 During the last week in January 2008, Shelton asked him to leave because
6 he had been seeing someone else and lied to her about it. At that time,
7 [Petitioner] was still wearing his hair in a "Mongolian," which was a
ponytail at the back of his head and otherwise "bald."

8 After [Petitioner] moved out in January 2008, Shelton continued to see
9 [Petitioner] and he regularly visited the children two or three times a week.
[Petitioner] continued to contribute to her expenses and gave her \$500 a
month for rent.

10 According to Shelton, she discovered that [Petitioner] was no longer
11 wearing his hair in a ponytail on July 5, 2008 when she was picking up her
children from his sister's house and saw him.

12 Shelton testified that, on the afternoon of July 23, 2008, she got off work
13 around 2 p.m., and then picked up both of her children, then about 11
months old and four years old, from daycare. Around 3:30 or 4 p.m., she
14 met [Petitioner] at his cousin Eric's home in east San Jose. [Petitioner] did
not have a ponytail at that time. [Petitioner] and she went to a bedroom and
15 had sex. Her children stayed with Eric, his friend, and two or three of
Eric's children; the children were in a little pool. [Petitioner's] mother also
16 came to Eric's house but Shelton did not speak with her. Shelton left with
her son when it was starting to get dark; [Petitioner] and their daughter did
17 not go with her. According to Shelton, she returned the next morning to
pick up her daughter and take her to school.

18 Shelton testified that [Petitioner] lost his Safeway job in about the middle
19 of July 2008 and she learned about it approximately a week later.

20 Shelton was aware that [Petitioner] had turned himself in to the Campbell
21 Police Department on or about August 1, 2008. She had dropped
[Petitioner] off at the downtown office of Silicon Valley Debug. Since
22 [Petitioner] had turned himself in, his family had been helping Shelton with
her children, including watching them and picking them up from school
when needed. She agreed that her life was going to be a lot tougher
23 financially without the \$500 contribution from [Petitioner].

24 Shelton said that, after [Petitioner] surrendered, she read a newspaper
25 article about the incident that mentioned the date July 23, 2008. According
to Shelton, she realized that was the date on which she had seen [Petitioner].

26 Shelton denied calling the Campbell Police Department to find out the
27 charges or the date of the charged offense. She indicated that she had called
the county jail to find out about the case. Shelton admitted that she had
28 purchased and reviewed the police reports in this case. But Shelton denied
reading them before giving a statement that [Petitioner] and she were

1 together on July 23, 2008.

2 Shelton conceded that she never called the Campbell Police Department to
3 say that they had the “wrong guy.” She acknowledged that she knew the
4 location of the police department. She visited [Petitioner] twice a week
5 while he was in custody. According to Shelton, she told Mr. Braun,
6 [Petitioner’s] trial counsel, and his investigator that she had not given the
7 information that she had been with [Petitioner] at the time of the robbery
8 to the police because of her many negative experiences with the Campbell
9 Police Department. She claimed to have given that information to
10 defendant [Petitioner’s] first attorney.

11 Shelton had attended the preliminary hearing in April 2009. Shelton
12 conceded that the first time anyone who was in the courtroom at trial heard
13 that she was with the defendant at the time of the robbery was October
14 2009. Her 2010 trial testimony was the first time that she had told anyone
15 in the judicial system that an innocent man was being prosecuted.

16 The parties stipulated that if Detective Rogers were recalled as a witness,
17 he would testify that, when he showed the photographic lineup containing
18 [Petitioner’s] picture to witnesses, Detective Rogers knew that defendant
19 [Petitioner] was the suspect.

20 Dr. Robert Shomer, an experimental psychologist, was qualified as an
21 expert regarding the “psychological factors that go into human perception
22 and eyewitness identification.” He indicated that under the best of
23 circumstances, an eyewitness identification is far less accurate than
24 fingerprints, DNA, or blood samples. He described a number of studies.
25 There were studies showing that misidentifications had occurred in
26 criminal cases. He discussed the nature of perception and the factors that
27 affect perception. Eyewitness identification can be very inaccurate.

28 Dr. Shomer indicated that a person’s perception changes when a gun is
being pointed at him. Research has shown that people are significantly less
accurate about the face of a person wielding a weapon than the face of a
person holding a pen or something innocuous.

According to Dr. Shomer, after 24 hours, the accuracy of remembered
perceptions of a crime scene declines very steeply. Live lineups are far
more accurate than photographic lineups because a witness sees the whole
body in three dimensions.

Dr. Shomer stated that, in general, showing photographs of suspects one by
one is more accurate than showing photographs all at the same time. An
exception to this general rule occurs when the administering officer is
aware which photograph shows the suspect. In that circumstance, an officer
is less likely to inadvertently influence the outcome if all the photographs
are presented at the same time because the officer has difficulty knowing
where the viewer is looking. The worst procedure is where the officer
presenting the photographs knows the suspect’s photograph and can tell
which photograph is being examined. A change in the administering
officer’s posture, an intake of breath, a focus of attention, or any verbal
comment may inadvertently influence an identification. Ideally, the officer
presenting the photographs should not know which photograph shows the

1 suspect in the case.

2 Two criteria were important for assembling a photographic lineup. First,
3 every photograph should match the initial description to the same extent.
4 Second, no photo should stick out like a sore thumb.

5 Dr. Shomer had an opportunity to view the photographic array for
6 [Petitioner]. He criticized the lineup because only one photograph showed
7 an open-mouth smile and teeth and the remaining photographs showed
8 closed mouths and the person with the darkest complexion had the open-
9 mouth smile. If the suspect was described as a Hispanic male with a dark
10 complexion, only two photos met that description. If the suspect's
11 description was a Hispanic male with a dark complexion and very short
12 hair except for a ponytail, only one photo met that description. The other
13 photograph of a dark-skinned male depicted a man who looked Persian,
14 had very long hair, and very distinct chin hair.

15 Dr. Shomer judged the Campbell Police Department admonition given to
16 witnesses before viewing a photo lineup to be “[p]retty good.”

17 C. Defendant Hensley's Evidence

18 Defendant Hensley testified in his own behalf. In July 2008, defendant
19 Hensley was using drugs on a daily basis. At the time of the robbery, he
20 was “tweaked out on methamphetamine” and he did not think he would
21 have committed the robbery otherwise. But he admitted that he knew he
22 was committing robbery.

23 According to Hensley, on July 22, 2008, he borrowed a black Lexus from
24 Gedman. He then contacted Smiley. He believed that his text message
25 regarding a black Lexus, to which Sergeant Rogers had testified, had been
26 sent to Smiley.

27 Defendant Hensley testified that, on July 23, 2008, defendant Hensley and
28 Smiley drove their cars, picked up someone named Listo who got into
Smiley's car, and continued to a 7-Eleven. There, the three of them met
Michelle and others. Defendant Hensley stated that four or five people got
into the Lexus with him. [Petitioner], whom he did not know, got into the
front passenger seat. Defendant Rodriguez, whom Hensley did not know,
Michelle, whom he knew as “Little One,” and one or two others got into
the back seat. As defendant Hensley continued driving, following Smiley's
car, there was a conversation about “robbing some white connect” “for
some drugs.” The cars stopped; defendant Hensley got out of the Lexus and
met with others. He understood that they were going to rob a drug dealer
for “dope and money.”

Defendant Hensley drove to Jones court and parked on the south corner of
Smith and Jones behind Smiley. Defendant Hensley stated he did not have
a gun; only [Petitioner] had a gun.

According to defendant Hensley, everyone in the Lexus got out and all of
them, except defendant Rodriguez, went to a house on Jones court. They
knocked on the door, a male opened the door and let them in, and Michelle
asked for “Craig.” They decided they were at the wrong house. They

1 proceeded to the house next door and entered the backyard through the
2 gate. Michelle asked for "Craig" and spoke with a female. French was
3 standing in the doorway of the garage and said that they had the wrong
house. They left the backyard.

4 Defendant Hensley went back to the Lexus, backed it into the court, and
5 told everyone to get in. Defendant Rodriguez had gone to his family's
house to draw their attention away. Somebody in the Lexus called for
defendant Rodriguez.

6 Defendant Hensley and Smiley drove their cars to a gas station. There,
7 defendants [Petitioner], Hensley, and Rodriguez and Michelle, Smiley and
8 Listo made a plan to go back to the house and "rob the connects for
9 everything they had..." Eventually, [Petitioner], Hensley, and Rodriguez
10 headed back to Jones. Defendant Rodriguez stayed on the corner to look
11 out for people coming to "make a drug transaction"; [Petitioner] and
12 Hensley returned to the targeted residence on Jones court.

13 Defendant Hensley described the crime. [Petitioner] entered the garage first
14 and said, "Get the fuck on the ground." People were already getting down
15 as defendant Hensley entered. [Petitioner] said to French, who was not yet
16 on the ground, "[W]here the fuck's the safe's at?" Defendant Hensley said,
17 "Get on the ground."

18 At first, French acted as though there was no safe. French eventually "kind
19 of crawled" toward the safe and opened it; [Petitioner] was with French.
20 Defendant Hensley denied putting a knee on anyone's back. [Petitioner]
21 demanded a second safe and told French, " 'I'm going to blast you.
22 Where's the fucking safe at?' " He put the gun's barrel on French.
23 Defendant Hensley may have said, "Take everything you touch."
24 Defendant Hensley did go through the victims' pockets. He indicated to
25 [Petitioner] that it was time to go.

26 Defendant Hensley grabbed two guitars on the way out and [Petitioner]
27 was carrying a duffle bag. They headed quickly toward the car. A man
28 appeared and tried to grab a guitar from defendant Hensley, saying
something to the effect, " 'What are you doing with my friend's shit?' "
Defendant Hensley was telling him, " 'Back the fuck up. Just back the fuck
up.' " Defendant Hensley heard the male yell, " 'Get the license plate
number.' " Defendant Hensley handed one guitar to defendant Rodriguez
and, with a free hand, he bent the license plate up so it could not be read.
Defendant Hensley threw the guitar into the back seat of the Lexus and
jumped in.

Defendant Rodriguez was in the back seat and [Petitioner] was in the front
passenger seat. Defendant Hensley yelled out to [Petitioner], " 'Blast that
mother fucker.' " [Petitioner] leaned out the window and told the man to
back up or he was going to blast him. [Petitioner] fired a shot and
defendant Hensley thought the man had been hit and he drove away.

Defendant Hensley stopped the car, got out, bent the license plate down,
and then continued driving. He told defendant Rodriguez to lie down so the
car appeared to have only two occupants. Eventually, the three went their
separate ways.

1
2 At trial, defendant Hensley identified [Petitioner] and Rodriguez in the
3 courtroom. [Petitioner] previously had a Mongolian hair style, which is “a
4 short haircut with a big ponytail.”

5 Defendant Hensley explained that the broken star tattoo on his forehead
6 meant “[b]reaking free from oppression.” He affirmed that the broken star
7 was a symbol of the Northern Riders, which is considered to be a gang by
8 law enforcement and the state prison system. Defendant Hensley viewed
9 Northern Riders as a movement, not a gang. Northern Riders would say
10 that leaders in NF oppress the underlings in their organization. Northern
11 Riders are opposed to the philosophies of NF and Nuestra Raza. He
12 identified Aaron and Sean, the names tattooed on his wrists, as his blood
13 brothers. The tattoo “Sicilian” refers to his mother’s side of the family. The
14 tattoo “WAR” on his neck was an incomplete tattoo of the word “warrior.”
15 Members of Northern Riders consider themselves to be warriors. NF or
16 Nuestra Raza members consider themselves to be soldiers. Soldiers take
17 orders while “[w]arriors do what they feel is right.” Nortenos and Northern
18 Riders are enemies.

19 Defendant Hensley admitted sending the text messages about pushing
20 against Northern Riders and WAR. He indicated that he was separating
21 himself from the Northern Riders. Defendant Hensley acknowledged
22 saying in the text that he had his “own squad called WAR, Warriors and
23 Riders” but he claimed that at the time he sent the text he was by himself.

24 Defendant Hensley asserted that he participated in the July 23, 2008
25 robbery to obtain drugs and money for his own benefit. He did not have a
26 gang-related motive and he did not commit the robbery for the benefit of,
27 or in association with, gang members. He admitted, however, that at the
28 time of the robbery, he knew Smiley, Listo, and defendants [Petitioner] and
Rodriguez were Nortenos. Smiley had connections with Varrio Horse
Shoe, a Norteno street gang. Listo was from SJG or San Jose Grande,
which is another Norteno street gang. Defendant Hensley had to assuage
Smiley’s and Listo’s concerns in order to “hang out” with them. Defendant
Hensley confirmed that he was known as Peanut.

Defendant Hensley acknowledged his prior convictions of felony assault
upon a peace officer and grand theft. He was testifying in the hope of
receiving a lesser sentence than he would otherwise. While in custody, he
offered to provide information in exchange for consideration in this case.
Sergeant Dan Livingston had come to see him.

D. Defendant Rodriguez
Defendant Rodriguez presented no evidence.

E. Stipulations

The People and defendant Hensley stipulated that SJU or San Jose Unidos
or United is a Norteno street gang. They also stipulated that Stephanie
French was briefly present in the courtroom during the preliminary hearing.
The People and [Petitioner] stipulated that his last day with Safeway was
July 13, 2008. The People and all the defendants stipulated that, if Officer

1 Billman were recalled to testify, he would testify that when he responded
2 to the crime scene on the night of the crime, he spoke to Mitchell French
3 and French said that he believed he lost approximately \$2,200 from the
safe during the robbery.

4 Zavala, 2013 WL 5720149, at *18–23 (footnotes omitted).

5 **STANDARD OF REVIEW**

6 This Court may entertain a petition for a writ of habeas corpus on “behalf of a person
7 in custody pursuant to the judgment of a state court only on the ground that he is in custody
8 in violation of the Constitution or laws or treaties of the United States.” 28 U.S.C. § 2254(a).
9 The writ may not be granted with respect to any claim that was adjudicated on the merits in
10 state court unless the state court’s adjudication of the claim: “(1) resulted in a decision that
11 was contrary to, or involved an unreasonable application of, clearly established Federal law,
12 as determined by the Supreme Court of the United States; or (2) resulted in a decision that
13 was based on an unreasonable determination of the facts in light of the evidence presented in
14 the state court proceeding.” Id. § 2254(d).

15 “Under the ‘contrary to’ clause, a federal habeas court may grant the writ if the state
16 court arrives at a conclusion opposite to that reached by [the Supreme] Court on a question of
17 law or if the state court decides a case differently than [the] Court has on a set of materially
18 indistinguishable facts.” *Williams v. Taylor*, 529 U.S. 362, 412–13 (2000). “Under the
19 ‘reasonable application clause,’ a federal habeas court may grant the writ if the state court
20 identifies the correct governing legal principle from [the] Court’s decisions but unreasonably
21 applies that principle to the facts of the prisoner’s case.” Id. at 413. “[A] federal habeas
22 court may not issue the writ simply because the court concludes in its independent judgment
23 that the relevant state-court decision applied clearly established federal law erroneously or
24 incorrectly. Rather, that application must also be unreasonable.” Id. at 411. A federal
25 habeas court making the “unreasonable application” inquiry should ask whether the state
26 court’s application of clearly established federal law was “objectively unreasonable.” Id. at
27 409.
28

1 The only definitive source of clearly established federal law under 28 U.S.C.
2 § 2254(d) is in Supreme Court holdings (as opposed to dicta) as of the time of the state court
3 decision. *Id.* at 412; *Clark v. Murphy*, 331 F.3d 1062, 1069 (9th Cir. 2003). While circuit
4 law may be “persuasive authority” for purposes of determining whether a state court decision
5 is an unreasonable application of Supreme Court precedent, only the Supreme Court’s
6 holdings are binding on the state courts and only those holdings need be “reasonably”
7 applied. *Id.*

8 DISCUSSION

9 Petitioner makes seven claims for relief under § 2254: (I) failure to dismiss jurors due
10 to unauthorized communication with the jury; (II) failure to examine jurors due to
11 unauthorized communication with the jury; (III) denial of a requested jury instruction
12 regarding unauthorized communication with the jury; (IV) failure to instruct jurors regarding
13 uncorroborated accomplice testimony; (V) misinstruction of jurors regarding the sufficiency
14 of witness testimony to prove facts; (VI) denial of Petitioner’s motion to bifurcate trial of
15 gang enhancement and the other counts; and (VII) improper admission of testimonial hearsay
16 through the prosecution’s gang expert. The Court will address each of the claims in the
17 foregoing order.

18 I. French’s Communication Only Warranted Dismissal of Juror No. 9

19 Petitioner claims that the trial court’s failure to dismiss jurors who received alleged
20 “private communications” violated his Sixth Amendment rights to a fair jury trial that is
21 based solely on evidence presented at trial. *See* *Pet.* at 2–6. The claim is without merit.

22 A defendant’s due process rights can be violated by private extrajudicial
23 communication, however the standard one must meet is high. “[P]rivate communications,
24 possibly prejudicial, between jurors and third persons, or witnesses, or the officer in charge,
25 are absolutely forbidden, and invalidate the verdict, at least unless their harmlessness is made
26 to appear.” *Mattox v. United States*, 146 U.S. 140, 142 (1892). Thus, to fall under the
27 *Mattox* rule, a communication must be: (1) private, (2) possibly prejudicial, and (3) between
28

1 jurors and third persons, witnesses, or officers in charge. The jurors in Petitioner’s case
2 received no private communication. Therefore, the Mattox rule does not apply.

3 A. The communication in question

4 Petitioner argues that Mitchell French (“French”) communicated privately to the jurors
5 during direct examination by the prosecution. See Traverse at 6. During a bench conference,
6 while counsel and the court were having a discussion, French faced the jury and mouthed
7 “they did it” while pointing to the defendants and making an obscene gesture at them. See id.
8 Neither the judge nor counsel saw this communication. See id. Juror 9 alerted the court as to
9 French’s communication by way of a written note, at a recess toward the end of the
10 prosecution’s direct examination. See id.

11 As a result of this communication, the trial court called the jury back into the
12 courtroom, outside of the presence of witness French, to determine what happened and what
13 course of action the court would take. See id. at 6. During this meeting, the court
14 determined that only Jurors No. 5 and 9, who indicated that they had seen or heard French,
15 were possibly prejudiced by the communication. See id. Jurors No. 2, 3, and 6 informed the
16 court that they had observed “non verbal communication or attempted communication” from
17 French. See id. at 24. However, the court did not inquire as to whether or not they were
18 prejudiced by the communication. See id. Juror No. 9 informed the court that she could not
19 remain neutral after the communication, and was dismissed. See id. at 6. The court
20 questioned Juror No. 5 and determined that she was not prejudiced, and could stay on the
21 jury. See id. at 11.

22 The California Court of Appeal summarized the facts of the communication as
23 follows:

24 Before French left the witness stand, the court and counsel were made
25 aware of a note written by Juror No. 9 indicating that French had been
26 making facial gestures at defendants and, at one point, he had faced the
27 jury, shielded his mouth, pointed at defendants, and mouthed, “They did
it.” The note stated that French had also made a “ ‘FU’ ” finger gesture at
defendants.

28 On further cross-examination at the end of French’s testimony,
[Petitioner’s] counsel asked French whether he had said or mouthed

1 anything to the jury or made any gestures indicating one or more of the
2 defendants while the attorneys were conferring with the judge. French
answered, “Nope.”

3 Following an unreported sidebar conference with counsel and outside the
4 presence of witness French, the court ascertained that two jurors (Jurors
5 No. 5 and 9) had seen or heard French mouth or make a statement not in
6 response to questioning by counsel and three jurors (Jurors No. 2, 3, and
7 6) had seen some sort of a nonverbal or attempted communication by
French. The record indicates that the court confirmed that the jurors who
had heard French say something or had read his lips were Jurors No. 5 and
9 and asked the deputy to remove the other jurors. The court separately
spoke with Jurors No. 5 and 9.

8 Juror No. 9 confirmed that she had witnessed what she had written in her
9 note. The juror indicated that she would not be able to assess French’s
10 credibility without considering what had been seen and the conduct was
coloring her perception of French’s testimony. The court ultimately
excused Juror No. 9.

11 Juror No. 5 had also seen French point to defendants and mouth, “They did
12 it.” This juror indicated that she had not seen any other kind of verbal or
13 nonverbal communication by French. The juror understood that jurors were
the sole judges of witness credibility and that testimony constitutes the
evidence. The juror affirmed that she could put aside what she had seen,
14 she could keep those observations from entering into deliberations, and she
could judge French’s testimony and credibility without reference to that
15 conduct. The court told the juror that the matter could not enter into her
deliberations and could not be discussed with fellow jurors. The court
16 found that there was no need to rehabilitate Juror No. 5 because she had
not “indicated in any fashion that she’s prejudiced as a result” of observing
17 French’s volunteered statement.

18 The court refused the request of [Petitioner’s] counsel to further question
19 Juror No. 5. The court denied the requests of defendants’ counsel to
remove Juror No. 5.

20 With regard to the other three jurors, the court determined that it was
21 unnecessary to question them and they could consider what they had seen
because French’s conduct constituted demeanor, which was relevant to his
22 credibility. [Petitioner’s] counsel asked for Jurors No. 2, 3 and 6 to be
excused and moved for mistrial since there would be an insufficient
23 number of jurors to continue and because the jury had been “hopelessly”
infected. The court denied the motion for mistrial.

24 Zavala, 2013 WL 5720149, at *26.

25 B. The communication was not private, therefore jurors did not need to be
26 dismissed

27 _____
28 Petitioner contends that Jurors No. 2, 3, 5, 6, and 9 witnessed some form of
communication from French, which rendered them unfit for jury service. See Pet. at 5–7.

1 Petitioner claims that French’s communication was private, actually prejudicial, and between
2 a witness and the jurors. See Traverse at 6–7. Thus, Petitioner argues that under the United
3 States Supreme Court decisions in Mattox and Remmer v. United States, 347 U.S. 227
4 (1954), the communications violated his Sixth Amendment rights to fair trial.

5 As a preliminary matter, the Court of Appeal determined that:

6 In this case, as a threshold matter, it is not clear that Juror No. 5’s
7 observation of French’s communication constitutes juror misconduct.
8 French was under oath on the witness stand when he volunteered a
9 communication and gestured while counsel and the court were conferring.
10 Evidence Code section 766 provides: “A witness must give responsive
11 answers to questions, and answers that are not responsive shall be stricken
12 on motion of any party.” According to a well respected treatise,
13 “[t]estimony of a witness that is not in response to any question falls into
14 the same category as a completely nonresponsive answer.” (Jefferson’s Cal.
Evidence Benchbook (4th ed. 2012) § 28.60, p. 536.) In contrast, in
Caliendo v. Warden of California Men’s Colony (9th Cir.2004) 365 F.3d
691, upon which defendants [Petitioner] and Rodriguez rely, a critical
prosecution witness spoke with multiple jurors in the hallway for
approximately 20 minutes. (Id. at pp. 693, 698.)

14 Zavala, 2013 WL 5720149, at *28.

15 The Court of Appeal did not find conclusively that Juror 5’s viewing of French’s
16 communication constituted juror misconduct because French was on the witness stand at the
17 time of the communication. See id. Therefore, the Court of Appeal found that the
18 communication was tantamount to a non-responsive answer made by a witness. See id. The
19 Court agrees with the Court of Appeal that the communication does not qualify as a private
20 communication. Therefore, Petitioner does not satisfy the first prong in the Mattox standard.
21 See Mattox, 146 U.S. at 142. Because the communication is not private, the verdict is not
22 invalidated. See id.

23 Even if the Court found the communication to be private under Mattox, the trial court
24 dispelled any possibility of potential harm by examining the impact of French’s
25 communication on Jurors No. 5 and 9. The court found that Jurors No. 2, 3, and 6 did not
26 read French’s lips or hear his communication. See Zavala, 2013 WL 5720149, at *26. These
27 jurors could not reasonably be affected because they did not witness French’s statement.
28 Therefore, the court’s examination of only Jurors No. 5 and 9 was appropriate as they were

1 the only jurors to actually witness French communicate “they did it.” The court found that
2 Juror No. 9 could not remain impartial, and excused her. See id. However, Juror No. 5 could
3 remain impartial, and she remained on the jury panel. See id. Thus, French’s statement was
4 harmless to Petitioner because the court released from service the only juror who was
5 negatively affected by French’s communication, Juror No. 9. Additionally, French’s
6 communication was arguably harmless because it is wholly consistent with his trial
7 testimony, which also implicated Petitioner’s guilt. See Zavala, 2013 WL 5720149, at
8 *2–13. The communication did not affect the remaining jurors.

9 Because French’s communication was not a private communication, and because the
10 court dismissed the only juror who was biased by the communication, the Court of Appeal
11 did not reject Petitioner’s argument in a manner contrary to clearly established federal law, as
12 is required under § 2254. Accordingly, Petitioner’s claim is denied.

13 II. The Court Did was Not Obligated to Examine Jurors No. 2, 3, and 6

14 _____ Petitioner next argues that the trial court erred by refusing to examine—or allow
15 defense counsel to examine—Jurors No. 2, 3, and 6.¹ See Pet. at 6–7. As discussed supra,
16 French’s communication was not private and therefore the Mattox rule does not apply in
17 Petitioner’s case. See supra Section(1)(B). Additionally, the trial court determined that only
18 Jurors No. 5 and 9 heard French or read his lips while he communicated the statement in
19 question to the jury. Zavala, 2013 WL 5720149, at *26. Because Jurors No. 2, 3, and 6 did
20 not hear or see what French said, his statement could not be prejudicial to the jurors, as
21 Mattox requires. See Mattox, 146 U.S. at 142.

22
23
24
25
26 ¹ Petitioner argues in his traverse that the trial court erred by not allowing counsel to have any
27 part in examining Jurors No. 5 and 9. See Mot. at 8–12. Petitioner did not raise this claim in his
28 petition. See generally Pet. It is the practice of this Court to provide Petitioner with the opportunity to
file a traverse to present additional argument and legal authority. However, a traverse is not the proper
pleading to raise additional grounds for relief. See Cacerdo v. Demosthenes, 37 F.3d 504, 507 (9th
Cir. 1994).

1 Thus, the Court of Appeal’s decision regarding the alleged failure to examine Jurors
2 No. 2, 3, and 6 was made in accordance with clearly established federal law. Petitioner’s
3 claim must therefore be denied.

4 III. No Jury Instruction Regarding Unauthorized Communication with the Jury was
5 Warranted

6 _____Petitioner argues that the trial court unfairly denied his request for a curative jury
7 instruction after French’s communication, in violation of his Fourteenth Amendment rights.
8 See Pet. at 8; Traverse at 29. Petitioner’s argument fails for two reasons: (1) French’s
9 statement was not grounds for juror misconduct, and therefore no instruction to the jury was
10 warranted; and (2) the Court of Appeal did not depart from clearly established federal law in
11 its analysis of Petitioner’s claim.

12 The Court of Appeal thoroughly addressed Petitioner’s argument:

13 After the parties rested, [Petitioner’s] counsel requested the following jury
14 instruction: “This relates to during the discussion at the bench between the
15 attorneys and the Court, the witness, Mr. French, made certain signals and
16 gestures which were observed by some members of the jury which he
17 denied making. You may not discuss or consider any information conveyed
by these gestures, but you may consider the fact that he made the gestures
as serious misconduct on his part, and the fact that he falsely denied
making them in assessing his credibility.” The trial court declined to give
that proposed instruction.

18 On appeal, [Petitioner] argues that the jurors who did not see French make
19 any signal or gesture may have learned of it from one or more of the jurors
20 who saw something and may have been prejudiced. He maintains that the
21 court’s refusal to give the proposed instruction created the “substantial
possibility that one or more jurors was biased” against him as a result of
French’s behavior and violated his rights to jury trial and due process.
Defendant Rodriguez attempts to join in these contentions.

22 Reviewing courts “will not presume greater misconduct than the evidence
23 shows.” (In re Carpenter (1995) 9 Cal.4th 634, 657.) Moreover, it is “the
24 general rule that a trial court may properly refuse an instruction offered by
25 the defendant if it incorrectly states the law, is argumentative, duplicative,
26 or potentially confusing [citation], or if it is not supported by substantial
27 evidence [citation].” (People v. Moon (2005) 37 Cal.4th 1, 30.) It is a trial
28 court’s duty to “inform the jury in all cases that the jurors are the exclusive
judges of all questions of fact submitted to them and of the credibility of
the witnesses.” (§ 1127.) An instruction that “ ‘invite[s] the jury to draw
inferences favorable to one of the parties from specified items of
evidence,’ ” is “considered ‘argumentative’ and therefore should not be
given. [Citations.]” (People v. Earp (1999) 20 Cal.4th 826, 886.)
[Petitioner’s] proposed instruction improperly invited the jury to draw

1 unfavorable inferences regarding French’s credibility and the trial court
2 properly declined to give it.

3 The trial court provided the jurors with adequate guidance on their
4 evaluation of witness credibility and what constituted “evidence” that could
5 be considered by them. They were directed not to let bias or prejudice
6 influence their decision. They were told not to be “biased against the
7 defendants just because they have been arrested, charged with a crime, or
8 brought to trial.” They were instructed that, in deciding the facts, they must
9 “use only the evidence that was presented in this courtroom,” and
10 “[e]vidence is the sworn testimony of witnesses, the exhibits admitted into
11 evidence, anything else [the judge] told [the jurors] to consider as
12 evidence.” The jurors were also told that the attorneys’ questions were not
13 evidence and “[o]nly the witness[es]’ answers are evidence.” The court
14 instructed them to “disregard anything you saw or heard when the court
15 was not in session, even if it was done or said by one of the parties or
16 witnesses.” The court gave specific guidance regarding the factors relevant
17 to their evaluation of witness testimony and told them to set “aside any bias
18 or prejudice you may have.” The court instructed: “If you decide that a
19 witness deliberately lied about something significant in this case, you
20 should consider not believing anything that witness says; or, if you think
21 the witness lied about some things but told the truth about others, you may
22 simply accept the part that you think is true, and ignore the rest.” The trial
23 court’s refusal to give [Petitioner’s] proposed instruction did not deprive
24 any defendant of a fair trial.

25 Zavala, 2013 WL 5720149, at *30-31 (footnotes omitted). Thus, the Court of Appeal found
26 that the trial court’s jury instructions were sufficient to fix any potential harm caused by
27 French’s statements.

28 The Court of Appeal found that French’s statements were not private, and that
therefore there was no misconduct under the Mattox rule as Petitioner argues. See supra
Section(1)(B). Furthermore, the trial court adequately determined the scope of exposure to
French’s statements and addressed any potential juror bias issues by examining Jurors No. 5
and 9, and dismissing Juror No. 9. See id. Thus, any possible prejudice arising from
French’s statement was ameliorated after French left the witness stand, and no limiting or
curative instruction to the jury was necessary.

A state trial court’s refusal to give an instruction does not alone raise a ground
cognizable in a federal habeas corpus proceedings. See Dunckhurst v. Deeds, 859 F.2d 110,
114 (9th Cir. 1988). The error must so infect the trial that the defendant was deprived of the
fair trial guaranteed by the Fourteenth Amendment. See id. Here, the Court of Appeal

1 correctly upheld the trial court’s decision not to grant a limiting instruction to the jury after
2 French’s communication. See Zavala, 2013 WL 5720149, at *30-31. The trial court dealt
3 with French’s communication sufficiently by examining Jurors No. 5 and 9. See supra
4 Section(1)(B). There was no duty to instruct the jury, because any potential harm was
5 corrected after French left the witness stand and Juror No. 9 was released. See id. No error
6 existed that could have infected Petitioner’s trial and deprived him of his Fourteenth
7 Amendment rights. Therefore, the Court of Appeal’s decision is in accordance with clearly
8 established federal law.

9 French’s statement was not grounds for juror misconduct because the trial court acted
10 in an appropriate manner. Additionally, the Court of Appeal’s decision is not contrary to
11 clearly established federal law. Therefore, Petitioner’s § 2254 claim regarding a proposed
12 jury instruction is denied.

13 IV. No Jury Instruction for Accomplice Liability was Warranted

14 _____ Petitioner claims that the trial court’s failure to instruct the jury regarding accomplice
15 liability violated his rights under the United States and California Constitutions. See Pet. at
16 10; Traverse at 31. Petitioner’s argument fails because no instruction was necessary, as
17 decided by the Court of Appeal in accordance with clearly established federal law.

18 The Court of Appeal addressed Petitioner’s claim:

19 [Petitioner] contends that the trial court erred in failing to instruct the jury
20 regarding accomplice testimony and the requirement of corroborating
21 evidence and this error violated his constitutional rights to jury trial and
22 due process. He maintains that both witness R.B. and co-defendant Hensley
qualified as accomplices. Defendant Rodriguez joins in [Petitioner’s]
arguments.

23 Section 1111 states: “A conviction cannot be had upon the testimony of an
24 accomplice unless it be corroborated by such other evidence as shall tend
25 to connect the defendant with the commission of the offense....” It defines
26 an accomplice as “one who is liable to prosecution for the identical offense
27 charged against the defendant on trial in the cause in which the testimony
28 of the accomplice is given.” The statutory definition of accomplice
“encompasses all principals to the crime (People v. Tewksbury (1976) 15
Cal.3d 953, 960), including aiders and abettors and coconspirators. (People
v. Gordon (1973) 10 Cal.3d 460, 468.)” (People v. Stankewitz (1990) 51
Cal.3d 72, 90.)

1 “It is well settled that the phrase ‘liable to prosecution’ in section 1111
2 means, in effect, properly liable. Any issues of fact determinative of the
3 witness’s factual guilt of the offense must be submitted to the jury. Only
4 when such facts are clear and undisputed may the court determine that the
5 witness is or is not an accomplice as a matter of law. [Citations.]” (People
v. Rodriguez (1986) 42 Cal.3d 730, 759; see People v. Williams (1997) 16
6 Cal.4th 635, 679 [“Whether a person is an accomplice within the meaning
7 of section 1111 presents a factual question for the jury ‘unless the evidence
8 permits only a single inference.’ [Citation.]”].)

9 “When a jury receives substantial evidence that a witness who has
10 implicated the defendant was an accomplice, a trial court on its own motion
11 must instruct it on the principles regarding accomplice testimony. (Boyer,
12 supra, 38 Cal.4th at pp. 466–467.) This includes instructing the jury that an
13 accomplice’s testimony implicating the defendant must be viewed with
14 caution and corroborated by other evidence. (Ibid.; see CALJIC Nos. 3.11,
15 3.18; CALCRIM Nos. 334, 335.)” (People v. Houston (2012) 54 Cal.4th
16 1186, 1223–1224.) Since there was evidence showing that defendant
17 Hensley was a principal in the charged crimes (see § 31) and, therefore, an
18 accomplice, the court’s failure to give the appropriate accomplice
19 instruction constituted error. (See People v. Avila (2006) 38 Cal.4th 491,
20 562; People v. Box (2000) 23 Cal.4th 1153, 1209, disapproved on another
21 ground in People v. Martinez (2010) 47 Cal.4th 911, 948, fn. 10.)

22 On the other hand, the evidence was not sufficient to support a finding that
23 witness R.B. was an accomplice. A defendant has the burden of proving
24 that a witness is an accomplice by a preponderance of the evidence.
25 (People v. Tewksbury (1976) 15 Cal.3d 953, 968.) A person’s mere
26 knowledge that a crime might be committed by another in the future or
27 failure to prevent it does not make the person an aider or abettor and,
28 therefore, an accomplice. (See People v. Horton (1995) 11 Cal.4th 1068,
1116; People v. Stankewitz, supra, 51 Cal.3d at pp. 90–91, People v.
Moran (1974) 39 Cal.App.3d 398, 413.) “Providing assistance without
sharing the perpetrator’s purpose and intent is insufficient to establish that
a person is an accomplice. (People v. Sully (1991) 53 Cal.3d 1195, 1227.)”
(People v. Carrington (2009) 47 Cal.4th 145, 191.) There was insufficient
evidence from which to draw a reasonable inference that R.B. intended to,
and did in fact, aid, facilitate, promote, encourage or instigate the robbery.
(See People v. Houston, supra, 54 Cal.4th at pp. 1224–1225.) There was
also insufficient evidence from which to draw a reasonable inference that
R.B. had the requisite specific intents to be a coconspirator in the robbery.
(See People v. Jurado (2006) 38 Cal.4th 72, 120, 123.) Evidence of mere
association with conspirators is not sufficient to support a finding that a
person is a member of a conspiracy. (See People v. Cummings (1959) 173
Cal.App.2d 721, 728; see CALCRIM (2012 ed.) Nos. 415, 416, pp. 186,
193.)

“A trial court’s failure to instruct on accomplice liability under section
1111 is harmless if there is sufficient corroborating evidence in the record.
(People v. Hayes, supra, 21 Cal.4th at p. 1271.)” (People v. Lewis (2001)
26 Cal.4th 334, 370.) “‘[E]vidence independent of the testimony of the
accomplice must tend to connect a defendant with the crime itself (and not
simply with its perpetrators).’ [Citations.]” (People v. Szeto (1981) 29
Cal.3d 20, 44.) “Corroborating evidence may be slight, entirely

1 circumstantial, and entitled to little consideration when standing alone.
2 (People v. Nelson (2011) 51 Cal.4th 198, 218; People v. Gonzales (2011)
3 52 Cal.4th 254, 303.) It need not be sufficient to establish every element of
4 the charged offense or to establish the precise facts to which the
5 accomplice testified. (People v. Hayes, *supra*, 21 Cal.4th at p. 1271; People
6 v. Negra (1929) 208 Cal. 64, 69–70.) It is ‘sufficient if it tends to connect
7 the defendant with the crime in such a way as to satisfy the jury that the
8 accomplice is telling the truth.’ (Fauber, *supra*, 2 Cal.4th at p. 834.)”
9 (People v. Valdez (2012) 55 Cal.4th 82, 147–148.)

6 Here, the record contains adequate corroborating evidence, independent of
7 defendant Hensley’s testimony (and even independent of witness R.B.’s
8 testimony), tending to connect [Petitioner] and Rodriguez to the
9 commission of the crimes. At trial, victim McBee was certain the three
10 defendants were in the group that had gone into French’s backyard and
11 recognized the faces of [Petitioner] and Hensley from the robbery. Victims
12 French and Esquibel identified [Petitioner] as a perpetrator in photographic
13 lineups. Defendant Rodriguez was identified to police by relatives who had
14 seen him at the time and in the vicinity of the crimes. The California
15 Supreme Court has indicated that courts apply the Watson standard of
16 review (People v. Watson (1956) 46 Cal.2d 818, 836) only in the absence
17 of sufficient corroboration. (People v. Gonzales (2011) 52 Cal.4th 254,
18 304.)

13 [Petitioner] nevertheless argues that a court’s failure to give an accomplice
14 instruction violated his rights to a jury trial and due process and the
15 judgment must be reversed unless the instructional omission was harmless
16 beyond a reasonable doubt. He compares the failure to give an accomplice
17 instruction to a failure to instruct on an element of the offense. He cites
18 Neder v. U.S. (1999) 527 U.S. 1 (119 S.Ct. 1827), which held that a court’s
19 failure to instruct a jury on an element of a charged offense is subject to
20 review under the Chapman harmless-error standard of review. (Neder v.
21 U.S., *supra*, 527 U.S. at pp. 8–16; see Chapman v. California (1967) 386
22 U.S. 18, 24 [87 S.Ct. 824] [“before a federal constitutional error can be
23 held harmless, the court must be able to declare a belief that it was
24 harmless beyond a reasonable doubt”].) The Supreme Court concluded in
25 Neder that an erroneous jury instruction that omits an element of the
26 offense did not “render Neder’s trial ‘fundamentally unfair,’ as that term
27 is used in our cases.” (Neder v. U.S., *supra*, 527 U.S. at p. 9.) Likewise, a
28 failure to give an accomplice instruction did not result in a fundamentally
unfair trial in this case.

23 Further, [Petitioner] has not cited any authority establishing that
24 accomplice instructions are grounded in or effectuate any federal
25 constitutional right. We have found only law that undermines his
26 contention. (See People v. Gonzales, *supra*, 52 Cal.4th at pp. 303–304
27 [discussing standard of review]; Cummings v. Sirmons (10th Cir.2007) 506
28 F.3d 1211 [“there is no constitutional requirement that the testimony of an
accomplice be corroborated by independent evidence”], cert. den.(2008)
554 U.S. 907 [128 S.Ct. 2943].) Section 1111 merely “codifies common
law concerns about the reliability of accomplice testimony. (People v.
Tewksbury (1976) 15 Cal.3d 953, 967....)” (People v. Gonzales, *supra*, 52
Cal.4th at p. 303.) The failure to give an accomplice instruction did not
violate the defendants’ constitutional right to have a jury determine

1 whether every element of a charged offense was proved beyond a
2 reasonable doubt (see Apprendi v. New Jersey (2000) 530 U.S. 466, 477
 [120 S.Ct. 2348]); it is not subject to the Chapman standard of review.

3
4 Zavala, 2013 WL 5720149, at *31–32 (footnotes omitted). Thus the Court of Appeal found
5 that even if the trial court erred in failing to instruct the jury regarding accomplice liability,
6 sufficient corroborating evidence existed in the record such that the error would be harmless.

7 The Court of Appeal’s decision is not contrary to clearly established federal law, as is
8 required under § 2254. A state trial court’s refusal to give an instruction does not alone raise
9 a cognizable ground in a federal habeas corpus proceedings. See Dunckhurst, 859 F.2d at
10 114. The error must so infect the trial that the defendant was deprived of the fair trial
11 guaranteed by the Fourteenth Amendment. See id. Whether a constitutional violation has
12 occurred will depend upon the evidence in the case and the overall instructions given to the
13 jury. See Duckett, 67 F.3d at 745. An examination of the record is required to see precisely
14 what was given and what was refused and whether the given instructions adequately
15 embodied the defendant’s theory. See United States v. Tsinnijinnie, 601 F.2d 1035, 1040
16 (9th Cir. 1979), cert. denied, 445 U.S. 966 (1980). In other words, a full examination of the
17 record provides adequate insight as to whether the instruction given was so prejudicial as to
18 infect the entire trial and, in doing so, so deny due process. See id.

19 The omission of an instruction is less likely to be prejudicial than a misstatement of
20 the law. See Walker v. Endell, 850 F.2d at 475–76 (citing Henderson v. Kibbe, 431 U.S.145,
21 155 (1977)). Thus, a habeas petitioner whose claim involves a failure to give a particular
22 instruction bears an “especially heavy burden.” Villafuerte v. Stewart, 111 F.3d 616, 624
23 (9th Cir. 1997) (quoting Henderson, 431 U.S. at 155).

24 Petitioner has not met his burden of showing that the omission of the jury instructions
25 was actually prejudicial to his case as is required under Dunckhurst. See Dunckhurst, 859
26 F.2d at 114. The record contains significant and sufficient evidence to convict Petitioner
27 independent of any instruction given regarding accomplice liability. The Court of Appeal
28 noted extensive evidence in the record proving Petitioner’s guilt. See Zavala, 2013 WL

1 5720149, at *2–23. Petitioner has not met the burden of proof under Dunckhurst, and has not
2 shown actual prejudice in his case resulting from the instruction omitted. Therefore, the
3 Court of Appeal was correct in its decision to deny Petitioner’s claim. Because the court’s
4 decision is not contrary to clearly established federal law, Petitioner’s claim is denied.

5 V. The Trial Court Gave Adequate Instructions Regarding the Sufficiency of Witness
6 Testimony to Prove Facts

7 Petitioner next argues that the trial court misinstructed the jurors by “instructing them
8 that the testimony of any witness sufficed to prove any fact.” See Pet. at 16–17; see also
9 Traverse at 35. Petitioner contends that the trial court gave this instruction without
10 explaining an exception to the instruction regarding testimony given by an accomplice. See
11 Pet. at 17. The Court of Appeal determined that the trial court was in error for failing to
12 explain the accomplice testimony exception—however—the error was harmless. See Zavala,
13 2013 WL 5720149, at *33. Therefore, Petitioner’s argument fails because, as the Court of
14 Appeal correctly explained, the trial court’s error was harmless given the totality of the
15 evidence in the record.

16 The Court of Appeal determined that:

17 In a claim related to the failure to give an accomplice instruction,
18 [Petitioner] argues that the court erred by instructing the jury that the
19 testimony of a single witness sufficed to prove any fact. Defendant
Rodriguez joins in these arguments.

20 The trial court instructed: “The testimony of only one witness can prove
21 any fact. Before you conclude that the testimony of one witness proves a
22 fact, you should carefully review all the evidence.” That instruction
23 correctly states the general law with respect to witness testimony (see Evid.
Code, § 411 [“Except where additional evidence is required by statute, the
24 direct evidence of one witness who is entitled to full credit is sufficient for
proof of any fact”]). The court failed, however, to explain the exception
to that rule for accomplice testimony. (See § 1111; CALCRIM (2012 ed.)
No. 301, p. 81.)

25 Even though the court erred by failing to explain the accomplice testimony
26 exception to the general rule regarding the sufficiency of a single witness’s
27 testimony, the error was harmless. First, the court admonished the jury to
28 carefully review all the evidence. Second, the court gave detailed and
lengthy instructions to the jurors regarding assessing witness credibility.
Third, there was ample corroborating evidence. It is not reasonably
probable that [Petitioner] would have obtained a more favorable result if
the court had instructed regarding the accomplice testimony exception to

1 the general rule regarding the sufficiency of a single witness’s testimony.
2 (Cal. Const., art. VI, § 13; People v. Watson, *supra*, 46 Cal.2d at p. 836.)
3 For the reasons discussed above with respect to the failure to give an
4 accomplice instruction, we do not believe the Chapman standard of review
5 is applicable to this error. The instructional errors related to accomplice
6 testimony did not remove an element of an offense from the jury’s
7 consideration (cf. Neder v. U.S. (1999) 527 U.S. 1, 8–15 [119 S.Ct. 1827];
8 People v. Flood (1999) 18 Cal.4th 470, 491–492).

9 Zavala, 2013 WL 5720149, at *33 (footnotes omitted). Thus, the Court of Appeal held that
10 even though the trial court erred in failing to explain the accomplice testimony exception to
11 the rule regarding sufficiency of the evidence, the error was harmless.

12 As discussed *supra*, Petitioner has an “‘especially heavy burden’” of proving that an
13 error in instruction—particularly a failure to instruct—caused his trial to become so infected
14 that his Fourteenth Amendment rights were violated. *See supra* Section IV. Here, Petitioner
15 has not shown that an instruction regarding the sufficiency of the evidence would have
16 changed the outcome of his trial, due to the overwhelming amount of evidence detailed in the
17 Court of Appeal decision establishing Petitioner’s guilt. *See generally* Zavala, 2013 WL
18 5720149. Thus, the Court of Appeal’s decision to dismiss Petitioner’s claim is not in
19 violation of clearly established federal law.

20 Petitioner’s claim is dismissed as it does not demonstrate a failure to follow clearly
21 established law on the part of the Court of Appeal, as § 2254 requires.

22 VI. No Clearly Established Federal Law Mandates Bifurcation of Trials

23 Petitioner argues next that the trial court erred by failing to bifurcate the trial of his
24 gang enhancement from that of his robbery. *See* Pet. at 17; Traverse at 36. Petitioner
25 contends that the alleged failure to bifurcate led to sustained and repeated attacks on his
26 character that violated his right to a fair trial under both the United States and California
27 Constitutions. *See* Pet. at 20–21. Additionally, Petitioner alleges that the introduction of
28 evidence regarding his gang affiliation was so prejudicial that it rendered his trial
fundamentally unfair. *See* Traverse at 36. Petitioner’s claim fails because no clearly
established federal law establishes a right to bifurcation at trial. Furthermore, the Court of

1 Appeal thoroughly explored Petitioner’s argument and did not find any fundamental
2 unfairness in Petitioner’s trial:

3 Defendants argue that the court erred in refusing to bifurcate the trial and
4 the failure to bifurcate trial denied them a fair trial because the gang
evidence was highly prejudicial and minimally relevant.

5 On a motion to bifurcate trial of a gang enhancement, a defendant has the
6 burden to clearly establish that a unitary trial creates a substantial danger
7 of undue prejudice that requires the gang enhancement to be separately
8 tried. (People v. Hernandez (2004) 33 Cal.4th 1040, 1051.) A denial of
such motion is reviewed for abuse of discretion. (See id. at pp.
1048–1050.)

9 The abuse of discretion standard is deferential and “asks in substance
10 whether the ruling in question ‘falls outside the bounds of reason’ under
11 the applicable law and the relevant facts [citations].” (People v. Williams
12 (1998) 17 Cal.4th 148, 162.) Our review is based on the facts as they
appeared at the time of the motion. (Cf. People v. Lewis (2008) 43 Cal.4th
415, 452 [denial of severance motion is reviewed for abuse of discretion
based on the facts as they appeared when court ruled].)

13 In People v. Hernandez, supra, 33 Cal.4th 1040, the California Supreme
14 Court recognized that sometimes bifurcation of the trial of guilt and gang
15 enhancements is appropriate: “The predicate offenses offered to establish
16 a ‘pattern of criminal gang activity’ (§ 186.22, subd. (e)) need not be
17 related to the crime, or even the defendant, and evidence of such offenses
18 may be unduly prejudicial, thus warranting bifurcation. Moreover, some of
the other gang evidence, even as it relates to the defendant, may be so
extraordinarily prejudicial, and of so little relevance to guilt, that it
threatens to sway the jury to convict regardless of the defendant’s actual
guilt.” (Id. at p. 1049.)

19 On the other hand, since a “criminal street gang enhancement is attached
20 to the charged offense and is, by definition, inextricably intertwined with
21 that offense” (People v. Hernandez, supra, 33 Cal.4th at p. 1048), “less
22 need for bifurcation generally exists with the gang enhancement than with
23 a prior conviction allegation. (See People v. Martin (1994) 23 Cal.App.4th
76, 81.)” (Ibid.) “To the extent the evidence supporting the gang
enhancement would be admissible at a trial of guilt, any inference of
prejudice would be dispelled, and bifurcation would not be necessary. (See
People v. Balderas (1985) 41 Cal.3d 144, 171–172... [discussing severance
of charged offenses].)” (Id. at pp. 1049–1050.)

24 “Even if some of the evidence offered to prove the gang enhancement
25 would be inadmissible at a trial of the substantive crime itself—for
26 example, if some of it might be excluded under Evidence Code section 352
27 as unduly prejudicial when no gang enhancement is charged—a court may
28 still deny bifurcation. In the context of severing charged offenses, [the
Supreme Court has] explained that ‘additional factors favor joinder. Trial
of the counts together ordinarily avoids the increased expenditure of funds
and judicial resources which may result if the charges were to be tried in
two or more separate trials.’ [Citation.]” (Id. at p. 1050.) Although

1 bifurcation is not a perfect analogy to severance because “[s]everance of
2 charged offenses is a more inefficient use of judicial resources than
3 bifurcation” (ibid.), “a trial court’s discretion to deny bifurcation of a
4 charged gang enhancement is broader than its discretion to admit gang
5 evidence when the gang enhancement is not charged. (See People v.
6 Balderas, supra, 41 Cal.3d at p. 173.)” (Ibid.)

7 A defendant’s “not guilty” plea generally puts all elements of the charged
8 crime in issue for purposes of determining admissibility. (See People v.
9 Daniels (1991) 52 Cal.3d 815, 858.) “In general, ‘[t]he People are entitled
10 to “introduce evidence of gang affiliation and activity where such evidence
11 is relevant to an issue of motive or intent.” [Citation.]’ (People v. Gonzalez
12 (2005) 126 Cal.App.4th 1539, 1550.)” (People v. McKinnon (2011) 52
13 Cal.4th 610, 655.)

14 Defendants did not demonstrate that gang evidence would be irrelevant to
15 issues of defendants’ motive and intent or witness credibility. (See
16 Evid.Code, §§ 210, 351.) In addition, “[t]he rule is without exception that
17 evidence which tends to show preparation looking to the commission of a
18 crime, as well as acts and circumstances in pursuance of its
19 accomplishment ..., is admissible against one charged with crime. [¶] So,
20 too, the meeting together of persons, prior to the commission of a crime
21 with which they are charged, and the circumstances thereof may be
22 relevant to the questions of preparation and concert of action, and therefore
23 may be properly brought to the attention of the jury.” (People v. Arnold
24 (1926) 199 Cal. 471, 492.)

25 In this case, gang monikers connected defendants Hensley and Rodriguez
26 to the crimes. There was evidence that “J-Dog” participated in the
27 planning of the robbery, preparations involved contacting the SJU gang to
28 obtain cars, “Peanut” was the person who was going get the cars, and
defendant Rodriguez’s street name was “J-dog” and defendant Hensley’s
street name was “Peanut.” There was evidence that defendant Rodriguez
was wearing a black and white hat or cap while standing on the corner of
Jones Way and Smith Avenue and those colors are sometimes worn by
Shalu Gardens gang members. Also, some of the gang evidence was
relevant to show why certain witnesses were reluctant to testify or gave
testimony that was inconsistent with earlier statements to police. (See
Evid.Code, §§ 210, 351.) [Petitioner] recognizes that gang evidence was
relevant and admissible “to explain the testimony and conduct of
prosecution witnesses....”

As in Hernandez, “defendants did not meet their burden ‘to clearly
establish that there is a substantial danger of prejudice requiring that the
charges be separately tried[]’ ...” and the trial court “acted within its
discretion in denying bifurcation.” (People v. Hernandez, supra, 33 Cal.4th
at p. 1051.) Neither have defendants shown that the failure to bifurcate
violated due process.

In Spencer v. State of Texas (1967) 385 U.S. 554, the contention was that
“the Due Process Clause of the Fourteenth Amendment requires the
exclusion of prejudicial evidence of prior convictions even though limiting
instructions are given and even though a valid state purpose—enforcement
of the habitual-offender statute—is served.” (Id. at p. 563.) The court

1 rejected the argument: “To say that the two-stage jury trial in the
2 English–Connecticut style is probably the fairest, as some commentators
3 and courts have suggested, and with which we might well agree were the
4 matter before us in a legislative or rule-making context, is a far cry from a
5 constitutional determination that this method of handling the problem is
6 compelled by the Fourteenth Amendment. Two-part jury trials are rare in
7 our jurisprudence; they have never been compelled by this Court as a
8 matter of constitutional law, or even as a matter of federal procedure.” (*Id.*
9 at pp. 567–568, fns. omitted.) It also stated: “Cases in this Court have long
10 proceeded on the premise that the Due Process Clause guarantees the
11 fundamental elements of fairness in a criminal trial. [Citations.] But it has
12 never been thought that such cases establish this Court as a rule-making
13 organ for the promulgation of state rules of criminal procedure.” (*Id.* at pp.
14 563–564.)

15 The U.S. Supreme Court has also observed: “Beyond the specific
16 guarantees enumerated in the Bill of Rights, the Due Process Clause has
17 limited operation. We, therefore, have defined the category of infractions
18 that violate ‘fundamental fairness’ very narrowly.” (*Dowling v. U.S.*
19 (1990) 493 U.S. 342, 352 [110 S.Ct. 668] [evidence of prior crime of
20 which the defendant had been acquitted did not violate due process].) More
21 recently, the Supreme Court has stated: “Only when evidence ‘is so
22 extremely unfair that its admission violates fundamental conceptions of
23 justice,’ *Dowling v. United States*, 493 U.S. 342, 352, 110 S.Ct. 668, 107
24 L.Ed.2d 708 (1990) (internal quotation marks omitted), have we imposed
25 a constraint tied to the Due Process Clause. *See, e.g., Napue v. Illinois*, 360
26 U.S. 264, 269, 79 S.Ct. 1173, 3 L.Ed.2d 1217 (1959) (Due process
27 prohibits the State’s ‘knowin[g] use [of] false evidence,’ because such use
28 violates ‘any concept of ordered liberty.’)” (*Perry v. New Hampshire*
(2012) — U.S. —, — [132 S.Ct. 716, 723]; *see People v. Falsetta*
(1999) 21 Cal.4th 903, 913 [“The admission of relevant evidence will not
offend due process unless the evidence is so prejudicial as to render the
defendant’s trial fundamentally unfair. (*Estelle v. McGuire* (1991) 502 U.S.
62, 70, 112 S.Ct. 475, 116 L.Ed.2d 385; *Spencer v. Texas* (1967) 385 U.S.
554, 562–564, 87 S.Ct. 648, 17 L.Ed.2d 606.)”]; *cf. People v. Fuiava*
(2012) 53 Cal.4th 622, 695–700 [admission of evidence of defendant’s
violent character did not offend due process].)

Further, in this case, the jury was instructed not to conclude from the
evidence of gang activity that “the defendant is a person of bad character
or that he has a disposition to commit crime.” “We presume that jurors
comprehend and accept the court’s directions. (E.g., *People v. Bonin*
(1988) 46 Cal.3d 659, 699.)” (*People v. Mickey* (1991) 54 Cal.3d 612, 689,
fn. 17; *see People v. Waidla* (2000) 22 Cal.4th 690, 725 [“The presumption
is that limiting instructions are followed by the jury”].)

We are not persuaded that the trial court’s refusal to bifurcate trial of the
gang enhancements “so infused the trial with unfairness as to deny due
process of law.” (*Lisenba v. California* (1941) 314 U.S. 219, 228 [62 S.Ct.
280].)

People v. Albarran (2007) 149 Cal.App.4th 214, on which defendants
Hensley and [Petitioner] rely to argue that failure to bifurcate resulted in
a fundamentally unfair trial, is distinguishable. “Albarran filed a motion for

1 a new trial asserting sufficient evidence did not support the gang
2 allegations and that admission of irrelevant and prejudicial gang evidence
3 warranted a new trial on all charges. The trial court granted the new trial
4 motion with respect to the gang allegations based upon insufficiency of the
5 evidence, but denied it as to the charged offenses, finding the gang
6 evidence was relevant to issues of intent.” (Id. at p. 217.) In a split
7 decision, the majority agreed that the gang evidence was irrelevant and
8 extremely prejudicial as to the charged offenses and directed the trial court
9 to enter a new order granting the defendant’s motion for a new trial on all
10 charges because the gang evidence was “ ‘ ‘of such quality as necessarily
11 prevents a fair trial.’ ‘ [Citation.]” (Id. at pp. 217, 231–232.)

12 Albarran can be distinguished first because it did not concern a ruling on
13 a pretrial motion to bifurcate. Second, unlike the situation in Albarran,
14 defendants have not established that the evidence was insufficient to prove
15 the gang enhancement allegations or the gang evidence had no legitimate
16 evidentiary purpose.

17 Defendant Hensley additionally argues that the court’s refusal to bifurcate
18 trial compelled him “to select a strategy to minimize the damage that the
19 gang charges could cause” and to waive his privilege against self
20 incrimination and admit his involvement in the crimes but deny the gang
21 allegations. Like many defendants facing a strong prosecution case,
22 defendant Hensley was required to decide whether to exercise his
23 constitutional right to remain silent or testify in his own behalf. In People
24 v. Caro (1988) 46 Cal.3d 1035, abrogated on another ground as recognized
25 in People v. Whitt (1990) 51 Cal.3d 620, 657, fn. 29, the California
26 Supreme Court rejected a defendant’s argument that “by allowing the
27 introduction of evidence of killings for which he might at some future date
28 be tried, the court forced him to surrender his right to testify at penalty
phase in order to preserve his state constitutional privilege against
self-incrimination as to the uncharged offenses.” (Id. at p. 1055.) It stated:
“The forced choice of which defendant complains is permissible under the
federal Constitution. (See McGautha v. California (1971) 402 U.S. 183, 91
S.Ct. 1454, 28 L.Ed.2d 711.)” (Id. at p. 1056.) The same is true here. As
“the McGautha court observed: ‘The criminal process ... is replete with
situations requiring the “making of difficult judgments” as to which course
to follow. [Citation.] Although a defendant may have a right, even of
constitutional dimensions, to follow whichever course he chooses, the
Constitution does not by that token always forbid requiring him to choose.’
(402 U.S. at p. 213, 91 S.Ct. at p. 1470.)” (Ibid.)

Zavala, 2013 WL 5720149, at *23–25 (footnotes admitted).

This Court only has discretion to entertain a petition for a writ of habeas corpus when
an individual is imprisoned in violation of clearly established federal law. See § 2254. A
writ can only be granted when the state appellate court reaches a decision that is opposite to
the Supreme Court on a question of law, or if the state court decides a case differently than
the Supreme Court on a set of materially indistinguishable facts. See Williams, 529 U.S. at

1 412–13. The Supreme Court has very narrowly defined the category of infractions that
2 violate a defendant’s right to a fundamentally fair trial. Dowling v. U.S., 493 U.S. 342, 352
3 (1990).

4 The Court is aware of no Supreme Court decisions that instruct courts to bifurcate
5 trials in any specific scenario. Thus, Petitioner would need to demonstrate that his trial was
6 fundamentally unfair due to the evidence presented on the gang allegations in his case. See
7 Dowling, 493 U.S. at 352. The Court of Appeal found that Petitioner’s trial was not rendered
8 fundamentally unfair by the evidence presented to prove his gang affiliation. See Zavala,
9 2013 WL 5720149, at *25. The Court of Appeal thus determined that Petitioner’s case did
10 not fall into the narrow window of cases in which evidence admitted renders a trial
11 fundamentally unfair. The Court of Appeal again provides a detailed and thorough analysis
12 of federal law regarding the fundamental unfairness in trials, and the role of bifurcation in
13 trial. See Zavala, 2013 WL 5720149, at *23–25. The Court of Appeal’s finding does not
14 violate clearly established federal law, and therefore a writ is not warranted.
15

16 The court’s determination is not unreasonable or contradictory to clearly established
17 federal law, and a writ is not warranted under § 2254.
18

19 VII. The Testimony of the Prosecution’s Gang Expert Was Appropriate

20 Petitioner’s final claim states that the prosecution’s gang expert improperly relied on
21 testimonial hearsay in his report. See Pet. at 21–31. Additionally, Petitioner asserts that the
22 testimonial hearsay was used for the truth of what it asserts, in violation of Petitioner’s
23 confrontation clause rights.² See Traverse at 46–47. Petitioner’s claim fails because: (1)
24 experts are allowed to rely on testimonial hearsay, and (2) experts are allowed to describe the
25

26
27 ² Petitioner’s traverse also includes argument regarding whether or not Petitioner forfeited his
28 confrontation clause argument by failing to object to the prosecution expert’s statements at trial. See
Traverse at 42–46. This Court will not address concerns of claim forfeiture, and will assume the claim
is valid.

1 evidence they rely on in making their decision as long as they do not “parrot” out of court
2 testimonial hearsay.

3
4 The Court of Appeal addressed Petitioner’s confrontation clause, stating:

5 The trial court did overrule [Petitioner’s] confrontation objection to
6 Sergeant Livingston’s testimony explaining that his opinion that the crime
7 was gang-related was based in part on “prior contacts, speaking with other
8 people, reviewing police reports” indicating that the two primary people
9 planning the crime were [Petitioner] and Rodriguez, two influential
10 members of Shalu Gardens. The essence of [Petitioner’s] objection was
11 that Livingston’s testimony implied that other nontestifying witnesses had
12 made statements to police concerning their planning of the crime. The trial
13 court could properly reject that argument since R.B. had already testified
14 to the planning of the robbery and Sergeant Livingston did not allude to
15 any other statement by a nontestifying witness to the planning of the
16 robbery. Defendants have not pointed to any trial testimony recounting
17 Moneyhun’s or Stojkovic’s statements to police concerning that planning.

18 The trial court also overruled [Petitioner’s] express confrontation objection
19 to two out-of-court statements concerning the defendant’s membership in
20 the SLG gang, which were made to officers responding to a reported
21 disturbance on November 19, 2005. Sergeant Livingston was one of the
22 responding officers. The basis evidence was explicitly not admitted for its
23 truth.

24 In this case, the trial court permitted Sergeant Livingston to testify to the
25 extrajudicial basis of his opinion as a gang expert based on principles of
26 California law. (See People v. Gardeley, *supra*, 14 Cal.4th at pp. 617–619
27 [gang expert “could reveal the information on which he had relied in
28 forming his expert opinion, including hearsay”].) “Expert testimony may
... be premised on material that is not admitted into evidence so long as it
is material of a type that is reasonably relied upon by experts in the
particular field in forming their opinions. [Citations.]” (*Id.* at p. 618.)
“[B]ecause Evidence Code section 802 allows an expert witness to ‘state
on direct examination the reasons for his opinion and the matter ... upon
which it is based,’ an expert witness whose opinion is based on such
inadmissible matter can, when testifying, describe the material that forms
the basis of the opinion. [Citations.]” (*Id.* at pp. 618–619.)

29 An out-of-court statement is not “hearsay evidence” if it is not admitted for
30 the truth of the matter stated. (Evid.Code, § 1200, subd. (a); see Sen. Com.
31 on Judiciary com., 29B, Pt. 4 West’s Ann. Evid.Code (1995 ed.) foll. §
32 1200, p. 4 [A “statement that is offered for some purpose other than to
33 prove the fact stated therein is not hearsay. [Citations.]”]; cf. Fed. Rules of
34 Evid., rule 801(c).) The California Supreme Court has established that an
35 expert witness’s recitation of out-of-court statements for the nonhearsay
36 purpose of showing the basis of the expert’s opinion “does not transform
37 inadmissible matter into ‘independent proof’ of any fact. [Citations.]”

1 (People v. Gardeley, supra, 14 Cal.4th at p. 619.) Here, the trial court
2 repeatedly admonished the jury that the out-of-court statements relied upon
3 by Sergeant Livingston could not be considered for the truth of the matters
4 stated.

5 The California Supreme did not effectively overturn or limit Gardeley in
6 its 2012 trio of Sixth Amendment confrontation cases: People v.
7 Rutterschmidt (2012) 55 Cal.4th 650, 661 [any confrontation clause
8 violation in admitting toxicology analysis of victim’s blood was harmless
9 because evidence of guilt was overwhelming]; People v. Dungo (2012) 55
10 Cal.4th 608, 621 [no confrontation violation since criminal investigation
11 was not the primary purpose for the autopsy report’s description of victim’s
12 body]; People v. Lopez (2012) 55 Cal.4th 569, 582 [critical portions of
13 nontestifying analyst’s laboratory report on defendant’s blood alcohol
14 concentration were not made with the requisite degree of formality or
15 solemnity to be considered testimonial], 585 [notation in report linking
16 defendant’s name to blood sample was not prepared with the formality
17 required for testimonial statements]. “[O]nly the ratio decidendi of an
18 appellate opinion has precedential effect [citation]....” (Trope v. Katz
19 (1995) 11 Cal.4th 274, 287.) None of the above cases even mentioned
20 Gardeley.

21 We are well aware that Gardeley did not address a Sixth Amendment
22 confrontation issue. But it is important to recognize that under California
23 law, the mere admission of evidence of the basis for an expert’s opinion,
24 for the limited purpose of assessing the soundness of the expert’s
25 reasoning, does not transform the evidence into independent proof of any
26 fact. (People v. Gardeley, supra, 14 Cal.4th at p. 619.) We therefore remain
27 obliged to follow Gardeley until a U.S. or California Supreme Court
28 decision dictates a different result.

1 In Crawford, the U.S. Supreme Court confirmed, consistent with its prior
2 decision in Tennessee v. Street (1985) 471 U.S. 409, 414 (105 S.Ct.2078),
3 that evidence not admitted for the truth of the matter stated does not
4 implicate a defendant’s constitutional right of confrontation. (Crawford v.
5 Washington, supra, 541 U.S. at p. 60, fn. 9; see Williams v. Illinois, supra,
6 567 U.S. at p. 2228 (plur. opn. of Alito, J.) [“Out-of-court statements
7 related by the expert solely for the purpose of explaining the assumptions
8 on which that opinion rests are not offered for their truth and thus fall
9 outside the scope of the Confrontation Clause”]; but see id. at p. 2257 (opn.
10 of Thomas, J., concurring in judgment) [“statements introduced to explain
11 the basis of an expert’s opinion are not introduced for a plausible
12 nonhearsay purpose”]; id. at pp. 2268–2269 (dis. opn. of Kagan, J., joined
13 by Scalia, Ginsburg, and Sotomayor, JJ.) [admission of an out-of-court
14 statement to show the basis of an expert’s conclusion “has no purpose
15 separate from its truth”]. Post-Crawford, the California Supreme has stated:
16 “Out-of-court statements that are not offered for their truth are not hearsay
17 under California law (Evid.Code, § 1200, subd. (a); Smith v. Whittier
18 (1892) 95 Cal. 279, 293–294...), nor do they run afoul of the confrontation
19 clause. (See Crawford v. Washington (2004) 541 U.S. 36, 60, fn. 9, 124
20 S.Ct. 1354, 158 L.Ed.2d 177.)” (People v. Ervine (2009) 47 Cal.4th 745,
21 775–776.) A plurality of the U.S. Supreme Court has observed that “there
22 is simply no way around the proviso in Crawford that the Confrontation
23 Clause applies only to out-of-court statements that are ‘use[d]’ to
24 ‘establis[h] the truth of the matter asserted.’ 541 U.S., at 59–60, n. 9, 124

1 S.Ct. 1354...).” (Williams v. Illinois, *supra*, 132 S.Ct. at p. 2240 (plur. opn.
2 of Alito, J.).)

3 “The crucial assumption underlying our constitutional system of trial by
4 jury is that jurors generally understand and faithfully follow instructions.
5 [Citation.]” (People v. Mickey, *supra*, 54 Cal.3d at p. 689, fn. 17; *see*
6 Richardson v. Marsh (1987) 481 U.S. 200, 211 [107 S.Ct. 1702] [“The rule
7 that juries are presumed to follow their instructions is a pragmatic one,
8 rooted less in the absolute certitude that the presumption is true than in the
9 belief that it represents a reasonable practical accommodation of the
10 interests of the state and the defendant in the criminal justice process”].)
11 “[T]he assumption that jurors are able to follow the court’s instructions
12 fully applies when rights guaranteed by the Confrontation Clause are at
13 issue. [Citation.]” (Tennessee v. Street, *supra*, 471 U.S. 409, 415, fn. 6
14 [admission of accomplice’s confession for nonhearsay purpose
15 accompanied by limiting instruction raised no confrontation clause
16 concerns]; *see* Williams v. Illinois, *supra*, 132 S.Ct. at p. 2241 (plur. opn.
17 of Alito, J.) [jury instruction that “out-of-court statements cannot be
18 accepted for their truth” is safeguard against misuse].)

19 The Williams decision does not change our analysis. When the U.S.
20 Supreme Court issues an opinion, “it is not only the result but also those
21 portions of the opinion necessary to that result by which we are bound.
22 [Citations.]” (Seminole Tribe of Florida v. Florida (1996) 517 U.S. 44, 67
23 [116 S.Ct. 1114], []) “When a fragmented Court decides a case and no
24 single rationale explaining the result enjoys the assent of five Justices, ‘the
25 holding of the Court may be viewed as that position taken by those
26 Members who concurred in the judgments on the narrowest grounds....’
27 [Citation.]” (Marks v. U.S. (1977) 430 U.S. 188, 193 [97 S.Ct. 990], []
28 (Marks).) As Justice Chin observed in his concurring opinion in People v.
Dungo, *supra*, 55 Cal.4th 608, “neither the plurality opinion nor Justice
Thomas’s concurring opinion [in Williams] can be viewed as a logical
subset of the other. Indeed, to some extent they are contradictory.” (*Id.* at
p. 628 (conc. opn. of Chin, J.)) In Dungo, Justice Chin noted that “[i]t took
a combination of two opinions—each containing quite different
reasoning—to achieve the majority result.” (*Ibid.*) Further, as the California
Supreme Court has stated concerning Williams, “dissenting opinions are
not binding precedent. [Citations.]” (People v. Lopez, *supra*, 55 Cal.4th at
p. 585.) We do not believe that the common view expressed in Justice
Thomas’s concurring opinion (in which no other justice joined) and the
dissenting opinion in Williams, that the extrajudicial basis of an expert’s
opinion is necessarily considered for its truth, regardless of its admission
for a nonhearsay purpose with a limiting instruction, may be taken as a
holding of the U.S. Supreme Court since it is not yet the basis of any
judgment.

29 While dicta or a dissenting opinion may signal the future direction of a
30 court, especially when a view is subscribed to by a majority of justices
31 presently on the court, we must follow the U.S. Supreme Court’s binding
32 precedents and leave to that court the prerogative of overruling its own
33 decisions. (*See* Agostini v. Felton (1997) 521 U.S. 203, 237–238 [117 S.Ct.
34 1997].) Lower courts must be cognizant that the justices’ individual views
35 and court membership may change. As Justice Liu has stated:
36 “[N]ose-counting is a job for litigators, not jurists. As a court tasked with
37 applying an evolving line of jurisprudence, our role is not simply to

1 determine what outcome will likely garner five votes on the high court. Our
2 job is to render the best interpretation of the law in light of the legal text
3 and authorities binding on us.” (People v. Lopez, *supra*, 55 Cal.4th at pp.
4 593–594 (dis. opn. of Liu, J.).)

5 Every appellate justice is bound by controlling precedent, no matter how
6 vehemently or persuasively the justice disagrees with it and no matter how
7 accurately the justice can predict future high court decisions overturning
8 or qualifying that precedent. The doctrine of stare decisis “permits society
9 to presume that bedrock principles are founded in the law rather than in the
10 proclivities of individuals, and thereby contributes to the integrity of our
11 constitutional system of government, both in appearance and in fact.”
12 (Vasquez v. Hillery (1986) 474 U.S. 254, 265–266 [106 S.Ct. 617].)

13 “Courts exercising inferior jurisdiction must accept the law declared by
14 courts of superior jurisdiction. It is not their function to attempt to overrule
15 decisions of a higher court. [Citations.]” (Auto Equity Sales, Inc. v.
16 Superior Court (1962) 57 Cal.2d 450, 455.) “[Lower] [c]ourts are not at
17 liberty to set aside or disregard the decisions of [the California Supreme]
18 Court because it may seem to them that the decisions are unsound. Until
19 reversed or modified by [the] Court, its decisions must be accepted by all
20 inferior tribunals.” (People v. McGuire (1872) 45 Cal. 56, 57–58.)

21 Of course, this court, like all state courts, is duty bound to follow the
22 controlling precedent of the U.S. Supreme Court’s decisions. (see U.S.
23 Const., art. VI, cl. 2 [supremacy clause]; People v. Fletcher (1996) 13
24 Cal.4th 451, 469, fn. 6 [The U.S. Supreme Court’s “decisions on questions
25 of federal constitutional law are binding on all state courts under the
26 supremacy clause of the United States Constitution. [Citations.]”].) The
27 United States Supreme Court has “final authority to determine the meaning
28 and application” of the federal Constitution. (Pennekamp v. State of Fla.
(1946) 328 U.S. 331, 335 [66 S.Ct. 1029].) Its decisions on questions of
federal law are “binding upon the state courts, and must be followed, any
state law, decision, or rule to the contrary notwithstanding.” (Chesapeake
& O. Ry. Co. v. Martin (1931) 283 U.S. 209, 221 [51 S.Ct. 453].)

We will not try to count potential votes of the justices on either the U.S.
Supreme Court or the California Supreme Court. As of yet, there is no U.S.
or California Supreme Court case holding that testimony regarding the
basis for an expert’s opinion, which was not admitted for its truth at trial,
must nevertheless be regarded as admitted for its truth for purposes of the
Sixth Amendment’s right of confrontation even when a limiting instruction
is given. Presently, binding precedent is to the contrary.

Like the trial court, we are bound by the decisions of higher courts
regardless of our personal views. The trial court properly concluded that,
under presently controlling law, extrajudicial statements not admitted for
their truth, but only to show the basis for an expert’s opinion, do not
implicate the confrontation clause. (See Williams v. Illinois, *supra*, 132
S.Ct. at pp. 2228 (plur. opn. of Alito, J.) [It is “settled that the
Confrontation Clause does not bar the admission of statements not
admitted for their truth”], 2235 (plur. opn. of Alito, J.) [“Crawford, while
departing from prior Confrontation Clause precedent in other respects, took
pains to reaffirm the proposition that the Confrontation Clause ‘does not
bar the use of testimonial statements for purposes other than establishing

1 the truth of the matter asserted.’ [Citations.]”]; Crawford v. Washington,
2 supra, 541 U.S. at p. 60, fn. 9 [The confrontation clause “does not bar the
3 use of testimonial statements for purposes other than establishing the truth
4 of the matter asserted. [Citation.]”]; Tennessee v. Street, supra, 471 U.S.
5 409, 413–414, 417 [extrajudicial accomplice confession introduced not for
6 its truth, but for nonhearsay purpose of assessing defendant’s testimony
7 that his own confession was coerced, raised no confrontation clause
8 concerns]; People v. Ervine, supra, 47 Cal.4th at p. 776; People v. Thomas
9 (2005) 130 Cal.App.4th 1202, 1209–1210; cf. People v. Hill (2011) 191
10 Cal.App.4th 1104, 1127–1128, 1131.)

11 Zavala, 2013 WL 5720149, at *44-48 (footnotes omitted).

12 The Confrontation Clause of the Sixth Amendment provides that in criminal cases, the
13 accused has the right to “be confronted with the witnesses against him.” U.S. Const. amend.
14 VI. The federal confrontation right applies to the states through the Fourteenth Amendment.
15 See Pointer v. Texas, 380 U.S. 400, 403 (1965).

16 The ultimate goal of the Confrontation Clause is to ensure reliability of evidence, but
17 it is a procedural rather than a substantive guarantee. Crawford v. Washington, 541 U.S. 36,
18 61 (2004). When expert testimony relies on out-of-court statements by others that Crawford
19 would bar if offered directly, “[t]he question is whether the expert is, in essence, giving an
20 independent judgment or merely acting as a transmitter for testimonial hearsay. As long as
21 he is applying his training and experience to the sources before him and reaching an
22 independent judgment, there will typically be no Crawford problem.” United States v.
23 Gomez, 725 F.3d 1121, 1129–30 (9th Cir. 2013) (quoting United States v. Johnson, 587 F.3d
24 625, 635 (4th Cir. 2009)). But expert testimony that simply parrots the out of court
25 testimonial statements of others is barred by Crawford. Gomez, 725 F.3d at 1129 (citing
26 Johnson).

27 The Court of Appeal correctly applied clearly established federal law when it decided
28 Petitioner’s claim on appeal. The court examined the expert’s statements that Petitioner
objects to, and noted that the expert is allowed to rely on the statements under Crawford as
well as the relevant Ninth Circuit cases dealing with the issue of testimonial hearsay. See
Zavala, 2013 WL 5720149, at *44–48. Currently, Crawford is the sole controlling authority

1 on testimonial hearsay as it relates to expert testimony. Clearly established federal law
2 regarding expert testimony in the sphere of confrontation clause issues is therefore scant.
3 Thus, the Court of Appeal’s analysis is adequate because it takes Crawford into account. The
4 Court of Appeal, therefore, followed clearly established federal law in its analysis of
5 Petitioner’s claim by examining the claim under Crawford. See Zavala, 2013 WL 5720149,
6 at *44-48. Additionally, the expert testimony does not appear to “parrot” testimonial
7 hearsay, and thus the statements do not run afoul of the Ninth Circuit’s decision in Gomez.
8 See Gomez, 725 F.3d at 1129.

9
10 The Court of Appeal’s decision does not contradict clearly established federal law,
11 and is in accordance with the current Ninth Circuit authority. As a result, Petitioner’s claim is
12 denied.

13 **CONCLUSION**

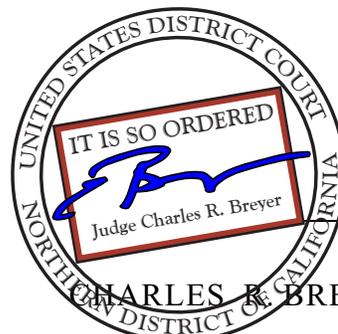
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15 After a careful review of the record and pertinent case law, the Court is satisfied that
16 the petition for a writ of habeas corpus under § 2254 must be DENIED.

17 Pursuant to Rule 11 of the Rules Governing Section 2254 Cases, a certificate of
18 appealability (COA) under 28 U.S.C. § 2253(c) also is DENIED because Petitioner has not
19 demonstrated that “reasonable jurists would find the district court’s assessment of the
20 constitutional claims debatable or wrong.” Slack v. McDaniel, 529 U.S. 473, 484 (2000).

21 The clerk shall enter judgment in accordance with this order and close the file.

22
23 **IT IS SO ORDERED.**

24
25
26 Dated: April 8, 2016



27
28 CHARLES R. BREYER
UNITED STATES DISTRICT JUDGE