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United States District Court
For the Northern District of California

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

JAMES BEM,

Plaintiff,

v.

STRYKER CORPORATION; NORMAN
CHEUNG; SAINT ROSE MEDICAL
BUILDING, INC.; HAYWARD SISTERS
HOSPITAL; ALECTO HEALTHCARE
SERVICES, LLC; AND DOES 1 to 100,

Defendants.

No. C-15-2485 MMC

**ORDER GRANTING MOTION TO
DISMISS; DENYING PLAINTIFF LEAVE
TO AMEND**

Before the Court is defendant Stryker Corporation’s (“Stryker”) “Motion to Dismiss Plaintiff’s Third Amended Complaint,” filed August 26, 2015, pursuant to Rule 12(b)(6) of the Federal Rules of Civil Procedure. Plaintiff James Bem (“Bem”) has filed opposition, to which Stryker has replied. Having read and considered the papers filed in support of and in opposition to the motion, the Court rules as follows.¹

The operative complaint, Bem’s Third Amended Complaint (“TAC”), is based on an alleged defect in a hip replacement device manufactured by Stryker. In the TAC, Bem asserts causes of action for products liability, negligence, breach of implied warranty, and

¹By order filed October 14, 2015, the Court found the matter appropriate for decision on the parties’ respective written submissions, vacated the hearing scheduled for October 16, 2015, and took the matter under submission.

1 breach of express warranty, the latter two claims having been alleged for the first time in
2 the instant pleading.² Bem seeks both compensatory and punitive damages.

3 By the instant motion, Stryker seeks dismissal of the above-referenced four causes
4 of action, as well as the prayer for punitive damages, on the ground that the TAC fails to
5 plead sufficient facts to state a claim upon which relief can be granted or to support an
6 award of punitive damages. The Court, for the reasons set forth by Stryker, agrees.

7 The operative complaint represents Bem's fourth effort to plead one or more viable
8 claims, and, once again, he has failed to do so, despite having been expressly advised by
9 the Court as to the deficiencies that he has failed to cure. (See Order Granting Motion to
10 Dismiss ("Order"), filed July 29, 2015.)

11 As to products liability and negligence, Bem has failed to identify any defect in
12 Stryker's product, any particular warning that should have accompanied that product, or the
13 manner in which Stryker was negligent as to the manufacture or marketing of the product,
14 let alone how any such defect or negligence caused or contributed to any specified injury.
15 Rather, Bem relies exclusively on conclusory allegations, which, as a matter of law, are
16 insufficient. (See, e.g., TAC ¶ 9 (alleging Stryker "negligently manufactured, distributed,
17 owned, constructed, designed, assembled, sold, or caused to be sold" subject product "with
18 no warnings, or inadequate warnings"); ¶ 11 (alleging Stryker "failed to use reasonable care
19 in designing" subject product by "fail[ing] to properly and thoroughly test" product and
20 "analyze the data resulting from pre-market testing"); ¶ 13 (alleging "as a proximate result
21 of the foregoing," Bem had to seek medical treatment)); see Ashcroft v. Iqbal, 556 U.S.
22 662, 678 (2009) (holding complaint does not suffice "if it tenders 'naked assertion[s]' devoid
23 of 'further factual enhancement'" (quoting Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 557
24 (2007))).

25 Bem's causes of action for breach of warranty fail for similar reasons and for
26 additional reasons as well. To plead a claim for breach of implied warranty, a plaintiff must

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28 ²In his TAC, Bem also asserted a fifth cause of action for fraudulent representation,
which he has since withdrawn. (See Pl.'s Opp. at 9:16.)

1 allege facts showing how the subject product is not “fit for the ordinary purposes for which
2 such [products] are used,” see Cal. Commercial Code § 2314 (setting forth requirements
3 for creation of implied warranty of merchantability), or how the product is not “fit for” the
4 “particular purpose” of the buyer, see Cal. Commercial Code § 2315 (setting forth
5 requirements for creation of implied warranty of fitness for particular purpose). To plead a
6 claim for breach of express warranty, a plaintiff must allege facts showing how the product
7 does not conform to the seller’s “affirmation,” “description,” “sample,” or “model.” See Cal.
8 Commercial Code § 2313 (setting forth requirements for creation of express warranty).

9 Here, however, Bem has failed to allege facts to support a finding that there was any
10 breach. As discussed above, Bem has not identified a manufacturing or design defect, nor
11 has he identified any other failure of the product rendering it unusable for its ordinary or
12 particular purpose or contravening any representation made by Stryker, and Bem has failed
13 to allege any facts showing how the asserted breach caused or contributed to his injuries.
14 (See, e.g., TAC ¶ 28 (alleging product “is unreasonably dangerous and unfit for the
15 ordinary purpose for which it was used”); ¶ 35 (alleging Stryker “breached [its] duties to
16 [p]laintiff by providing false, incomplete, and/or misleading information regarding [its]
17 product”); ¶ 29, 36 (alleging “as a proximate result of the foregoing, [p]laintiff was required
18 to employ physicians to treat injuries”)).

19 Moreover, “privity of contract is required in an action for breach of either express or
20 implied warranty,” see Blanco v. Baxter Healthcare Corp., 158 Cal. App. 4th 1039, 1058-59
21 (2008), and Bem has failed to allege any facts to show any such privity existed between
22 himself and Stryker. Lastly, to plead a breach of express warranty, a plaintiff must “allege
23 the exact terms of the warranty.” See Williams v. Beechnut Nutrition Corp., 185 Cal. App.
24 3d 135, 142 (1986). Here, Bem has failed to plead the specific language allegedly used by
25 Stryker to create the express warranty. (See, e.g., TAC ¶ 31 (alleging “[d]efendant falsely
26 represented to [p]laintiff that the system was a safe and effective option to his care and
27 treatment”).)


28 Ordinarily, the Court would afford Bem an opportunity to plead, if he could do so,

1 facts to support a finding of privity and the terms of any express warranty. In this instance,
2 however, Bem has had multiple opportunities to cure the other deficiencies described
3 above, and contrary to Bem’s assertions, he cannot rely on discovery to supply the missing
4 elements of his claim. See Iqbal, 556 U.S. at 678-79 (noting Rule 8 “does not unlock the
5 doors of discovery for a plaintiff armed with nothing more than conclusions”). Indeed, as
6 Stryker points out, Bem was expressly advised that his TAC would be his “one final
7 opportunity” to plead a viable claim. (See Order, filed July 29, 2015); see also Chang v.
8 Chen, 80 F.3d 1293, 1301 (9th Cir. 1996) (affirming dismissal of second amended
9 complaint without leave to amend where “district court informed [plaintiffs] that only one
10 more amendment to the complaint would be permitted”; noting plaintiffs “had ample
11 opportunity to plead a cognizable” claim, and, under such circumstances, “there [was] no
12 reason to believe that any amendment would cure the deficiency”), rev’d on other grounds,
13 486 F.3d 541 (9th Cir. 2007).

14 Accordingly, Stryker’s motion is hereby GRANTED, and the above-titled action is
15 hereby DISMISSED.

16 **IT IS SO ORDERED.**

17 Dated: October 16, 2015


MAXINE M. CHESNEY
United States District Judge

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