

1 ROBERT T. SULLWOLD (SBN 88139)
 2 JAMES A. HUGHES (SBN 88380)
 3 SULLWOLD & HUGHES
 4 1999 Harrison Street, Suite 1800
 Oakland, CA 94612
 (510) 496-4616
 (415) 762-5338 FAX

5 Attorneys for Defendants
 6 WELLS FARGO ADVISORS, LLC
 and WELLS FARGO & COMPANY

7 UNITED STATES DISTRICT COURT
 8 NORTHERN DISTRICT OF CALIFORNIA
 9 OAKLAND DIVISION

11 SHARON ROBINSON, an individual,
 12 Plaintiff

13 v.

14 WELLS FARGO ADVISORS, LLC, a Delaware
 15 Limited Liability Company; WELLS FARGO
 & COMPANY, a Delaware corporation; and
 16 DOES 1-25, inclusive,
 17 Defendants.

Case No.: 3:15-cv-05304-HSG

**STIPULATION FOR ARBITRATION OF
 CLAIMS AGAINST WELLS FARGO
 ADVISORS, LLC; ORDER**

18 Plaintiff Sharon Robinson (“Robinson”) having executed written agreements when she
 19 opened her brokerage accounts with defendant Wells Fargo Advisors, LLC (“WFA”) providing that all
 20 controversies and disputes between her and WFA shall be determined by binding arbitration conducted
 21 before the Financial Industry Regulatory Authority (“FINRA”),

22 IT IS HEREBY STIPULATED by the parties hereto, through their respective counsel of
 23 record, as follows:

- 24 1. All of the claims alleged in the Complaint against WFA (the “WFA Claims”) are
- 25 referred to binding arbitration before FINRA;
- 26 2. Further judicial proceedings on the WFA Claims are stayed pending arbitration;
- 27 3. Robinson shall commence arbitration proceedings before FINRA within six
- 28 months of the date hereof; if she fails to do so, she consents to dismissal of this action against WFA with

1 prejudice.

2 4. This Stipulation does not apply to the claims in this case that are made against
3 defendant Wells Fargo & Company, which is not a FINRA member.

4 DATED: April 20, 2016

LAW OFFICES OF JAMES M. BRADEN

5
6 By: /s/ James M. Braden
7 James M. Braden
8 Attorneys for Plaintiff
SHARON ROBINSON

9
10 DATED: April 20, 2016

SULLWOLD & HUGHES

11
12 By: /s/ Robert T. Sullwold
13 Robert T. Sullwold
14 Attorneys for Defendants
15 WELLS FARGO ADVISORS, LLC
and WELLS FARGO & COMPANY

16 ORDER

17 Having considered the foregoing Stipulation for Arbitration of Claims against Wells
18 Fargo Advisors, LLC, and good cause appearing therefor, IT IS SO ORDERED.

19 DATED: 10/5/2016

20 Haywood S. Sullwold
21 United States District Judge