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 JOHN VARIAN, PAUL D. RUBIN, PATRICK J. SCANNON,
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 JOSEPH M. LIMBER, W. DENMAN VAN NESS,
 8 TIMOTHY P. WALBERT, AND JACK L. WYSZOMIERSKI
 and Nominal Defendant XOMA CORPORATION
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 11
 12 UNITED STATES DISTRICT COURT
 13 NORTHERN DISTRICT OF CALIFORNIA
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15 JEFFREY CSOKA, derivatively on
 behalf of XOMA CORPORATION,

16 Plaintiff,

17 v.

18 JOHN VARIAN, PAUL D. RUBIN,
 19 PATRICK J. SCANNON, WILLIAM K.
 BOWES, JR., PETER BARTON HUTT,
 20 JOSEPH M. LIMBER, W. DENMAN VAN
 NESS, TIMOTHY P. WALBERT,
 21 AND JACK L. WYSZOMIERSKI,

22 Defendants,

23 And

24 XOMA CORPORATION,

25 Nominal Defendant.
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Case No. 3:15-CV-05429-JST

**JOINT STIPULATION AND ~~PROPOSED~~
 ORDER OF DISMISSAL PURSUANT TO
 FEDERAL RULE OF CIVIL PROCEDURE
 41(a)(1)(A)(ii)**

Judge: Honorable Jon S. Tigar

1 WHEREAS, Joseph Markette (“Markette”) filed a securities class action lawsuit against
2 XOMA Corporation (“XOMA”), John W. Varian, and Paul D. Rubin relating to XOMA’s
3 EYEGUARD-B study in the United States Court for the Northern District of California,
4 captioned *Markette v. XOMA Corp., et. al.*, 3:15-CV-3425-HSG, on July 24, 2015 (the
5 “Securities Action”);

6 WHEREAS, Plaintiff Deborah A. Fieser (“Fieser”) filed a related shareholder derivative
7 action, captioned *Fieser v. W. Denman Van Ness, et. al.*, Case No. 3:15-CV-05236-JST, on
8 November 16, 2015 (“*Fieser* Derivative Action”);

9 WHEREAS, Plaintiff Jeffrey Csoka (“Cskoka”) filed this related shareholder derivative
10 action in the United States Court for the Northern District of California, captioned *Csoka v. John*
11 *Varian, et. al.*, Case No. 3:15-CV-05429-JST, on November 25, 2015 (“*Csoka* Derivative
12 Action”), naming John W. Varian, Paul D. Rubin, Patrick J. Scannon, William K. Bowes Jr.,
13 Peter Barton Hutt, Joseph M. Limber, W. Denman Van Ness, Timothy P. Wilbert, and Jack L.
14 Wyszomierski, as Defendants (collectively, “Individual Defendants), and XOMA as Nominal
15 Defendant (together with Plaintiff Csoka, the “Parties”);

16 WHEREAS, as of April 25, 2016, both the *Fieser* Derivative Action and the *Csoka*
17 Derivative Action are before Hon. Jon S. Tigar;

18 WHEREAS, on May 18, 2016, the Parties filed a joint stipulation to stay the *Csoka*
19 Derivative Action, which stated: “the Parties agree that the ruling on any anticipated motions to
20 dismiss in the Securities Action may help inform the manner in which the Derivative Action
21 proceeds;”

22 WHEREAS, on May 9, 2016, the Court stayed the *Fieser* Derivative Action pending
23 future developments in the Securities Action;

24 WHEREAS, on May 19, 2016, the Court stayed the *Csoka* Derivative Action pending
25 future developments in the Securities Action;

26 WHEREAS, on August 19, 2016, this Court ordered the *Fieser* and *Csoka* Derivative
27 Actions related;

28 WHEREAS, on September 2, 2016, Defendants filed a motion dismiss the Securities

1 Action;

2 WHEREAS, on October 7, 2016, Plaintiff Markette filed an opposition to Defendants’
3 motion to dismiss;

4 WHEREAS, on October 21, 2016, Defendants filed a reply in support of their motion to
5 dismiss;

6 WHEREAS, on December 14, 2016, the Court in the Securities Action took the pending
7 motion to dismiss filings under submission;

8 WHEREAS, on May 26, 2017, the Court in the Securities Action ordered the parties in
9 that action to submit simultaneous supplemental briefing in light of the Ninth Circuit’s recent
10 opinion in *City of Dearborn Heights Act 345 Police & Retirement Sys. v. Align Tech., Inc.*, No.
11 14-16814, 2017 WL 1753276 (9th Cir. May 5, 2017);

12 WHEREAS, on June 9, 2017, both parties in the Securities Action filed supplemental
13 briefing in support of their respective motion to dismiss filings;

14 WHEREAS, on September 28, 2017, the Court in the Securities Action granted
15 Defendants’ Motion to Dismiss the Amended Class Action Complaint without prejudice and
16 entered an order requiring Plaintiff to file and serve an amended class action complaint by
17 October 26, 2017 (Dkt. No. 113 in the Securities Action);

18 WHEREAS, on October 25, 2017, the Court in the Securities Action granted the parties’
19 Stipulation and Order of Dismissal Pursuant to Federal Rule of Civil Procedure 41(a)(1)(A)(ii),
20 in which Plaintiff Markette voluntarily dismissed the Securities Action with prejudice as to his
21 individual claims, and without prejudice as to the unnamed class members (Dkt. No. 115 in the
22 Securities Action);

23 WHEREAS, the Parties have met and conferred in good faith, and Plaintiff Csoka has
24 agreed to voluntarily dismiss the above-captioned action without prejudice;

25 WHEREAS, the Parties agree that each party shall bear its own fees and costs related to
26 this action.

27 WHEREAS, given this stipulation of dismissal, the Parties agree that the order regarding
28 ADR issued on November 27, 2017 is moot.

1 NOW THEREFORE, THE FOLLOWING IS HEREBY STIPULATED by and between
2 the Parties, through their respective counsel:

- 3 1. Plaintiff Csoka voluntarily dismisses the above-captioned action without prejudice
4 pursuant to Rule 41(a)(1)(A)(ii) of the Federal Rules of Civil Procedure; and
5 2. The Parties shall each bear their own fees and costs related to this action.

6 IT IS SO STIPULATED.

7 Dated: December 4, 2017

COOLEY LLP

8
9 /s/ Jessica Valenzuela Santamaria

10 Jessica Valenzuela Santamaria (220934)

11 Attorneys for Defendants JOHN VARIAN, PAUL D.
12 RUBIN, PATRICK J. SCANNON, WILLIAM K.
13 BOWES, JR., PETER BARTON HUTT, JOSEPH M.
14 LIMBER, W. DENMAN VAN NESS, TIMOTHY P.
15 WALBERT, AND JACK L. WYSZOMIERSKI and
16 Nominal Defendant XOMA CORPORATION

17 Dated: December 4, 2017

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18 /s/ Adam Bernstein

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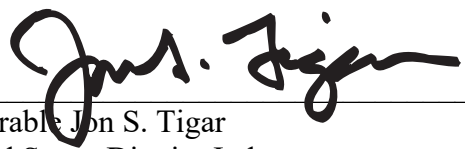
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PURSUANT TO STIPULATION, IT IS SO ORDERED

DATED: December 6, 2017



Honorable John S. Tigar
United States District Judge