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11 UNITED STATES DISTRICT COURT
 12 NORTHERN DISTRICT OF CALIFORNIA
 13 SAN FRANCISCO DIVISION

14 SECURITIES AND EXCHANGE COMMISSION,

15 Plaintiff,

16 v.

17 JOHN V. BIVONA; SADDLE RIVER
 18 ADVISORS, LLC; SRA MANAGEMENT
 ASSOCIATES, LLC; FRANK GREGORY
 19 MAZZOLA,

20 Defendants, and

21 SRA I LLC; SRA II LLC; SRA III LLC;
 22 FELIX INVESTMENTS, LLC; MICHELE
 J. MAZZOLA; ANNE BIVONA; CLEAR
 23 SAILING GROUP IV LLC; CLEAR
 SAILING GROUP V LLC,

24 Relief Defendants.
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Case No. 3:16-cv-01386-EMC (JCS)

**STIPULATION AND PROPOSED ORDER
 TO CONTINUE CASE MANAGEMENT
 CONFERENCE**

Date: March 9, 2017
 Time: 10:30 a.m.
 Courtroom: 5 (Seventeenth Floor)
 Judge: Edward M. Chen

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STIPULATION TO CONTINUE CASE MANAGEMENT CONFERENCE

Counsel for plaintiff Securities and Exchange Commission (“Commission”), for defendants John V. Bivona, Frank G. Mazzola and Saddle River Advisors, LLC (“Saddle River”) and for relief defendants Anne Bivona and Michele J. Mazzola, and for the receivership entities defendant SRA Management, LLC and relief defendants SRA I LLC, SRA II LLC, SRA III LLC, Clear Sailing Group IV LLC and Clear Sailing Group V LLC, as well as third party affiliated entities Felix Multi-Opportunity Fund I LLC, Felix Multi-Opportunity Fund II LLC, Felix Management Associates LLC, NYPA Fund I LLC, NYPA Fund II LLC and NYPA Management Associates LLC, hereby submit this Stipulation to continue, until after April 25, 2017, the case management conference that is now scheduled for Thursday, March 9, 2017.

The March 9th case management conference was scheduled for one month after the anticipated resumption on February 9, 2017 of the mandatory settlement conference before Chief Magistrate Judge Joseph Spero. Chief Magistrate Judge Spero recently agreed to continue the mandatory settlement conference until Tuesday, April 25, 2017. Because the settlement conference is being continued, the parties do not believe that a case management conference would be productive on March 9, 2017.

The parties therefore stipulate to continuing the case management conference until after the April 25th settlement conference, and are available for the continued case management conference on the mornings of Thursday, May 4, May 15, and June 1, 2017. The parties also agree that counsel for Frank Gregory Mazzola and Michele J. Mazzola may attend the case management conference by telephone.

Dated: February 22, 2017

SECURITIES AND EXCHANGE COMMISSION

John S. Yun
John S. Yun
Attorneys for Plaintiff
SECURITIES AND EXCHANGE COMMISSION

1 Jahan P. Raissi (by email authorization)

2 Jahan P. Raissi

3 SHARTSIS FRIESE LLP

4 Attorneys for Defendants JOHN V. BIVONA and SADDLE
5 RIVER ADVISORS, LLC and Relief Defendant ANNE
6 BIVONA

7 John W. Cotton (by email authorization)

8 John W. Cotton

9 GARTENBERG, GELFAND & HAYTON LLP

10 Attorney for the Receiver

11 SHERWOOD PARTNERS, INC. for Receivership Entities

12 SRA MANAGEMENT, LLC, SRA I LLC,

13 SRA II LLC, SRA III LLC, CLEAR SAILING GROUP IV

14 LLC, CLEAR SAILING GROUP V LLC, FELIX

15 MANAGEMENT ASSOCIATES LLC, FELIX MULTI-

16 OPPORTUNITY FUND I LLC, FELIX MULTI-

17 OPPORTUNITY FUND II LLC, NYPA MANAGEMENT

18 ASSOCIATES LLC, NYPA FUND I LLC, and NYPA FUND

19 II LLC

20 /s/ Richard Babnick (by email authorization)

21 Richard J. Babnick Jr., Esq.

22 SICHENZIA ROSS FRIEDMAN FERENCE LLP

23 Attorneys for Defendants FRANK G. MAZZOLA and Relief
24 Defendant MICHELE J. MAZZOLA

25 **ORDER CONTINUING CASE MANAGEMENT CONFERENCE**

26 Based upon the parties Stipulation, and good cause appearing, the case management
27 conference now calendared for Thursday, March 9, 2017, is hereby continued to Thursday,
28 May 5, 2017 at 10:30 a.m. The parties shall file an updated joint case management statement
at least seven calendar days before the case management conference. Counsel for Frank Mazzola and
Michele Mazzola may appear at the case management conference by telephone.

SO ORDERED.

Dated: February 27, 2017

