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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE  
COMMISSION,  
  
Plaintiff,  
  
v.  
  
JOHN V. BIVONA, et al.,  
  
Defendants.

Case No. [16-cv-01386-EMC](#)

**CERTIFICATE OF SERVICE**

United States District Court  
Northern District of California

I, the undersigned, hereby certify that I am an employee in the Office of the Clerk, U.S. District Court, Northern District of California.

That on 11/14/2017, I SERVED a true and correct copy(ies) of the attached, by placing said copy(ies) in a postage paid envelope addressed to the person(s) hereinafter listed, by depositing said envelope in the U.S. Mail, or by placing said copy(ies) into an inter-office delivery receptacle located in the Clerk's office.

Frank Gregory Mazzola  
27 Dogwood Hill Drive  
Upper Saddle River, NJ 07458

Michele J. Mazzola  
27 Dogwood Hill Drive  
Upper Saddle River, NJ 07458

Dated: 11/14/2017

Susan Y. Soong  
Clerk, United States District Court

By: Leni Doyle-Hickman, Deputy Clerk to the  
Honorable EDWARD M. CHEN