Doc. 82

Dockets.Justia.com

Securities and Exchange Commission v. Erik K. Bardman et al

WHEREAS, with the assistance of Magistrate Judge Corley, the Securities and Exchange Commission Division of Enforcement ("SEC") and Defendant Erik Bardman have reached a settlement agreement in principle, and signed a term sheet outlining settlement terms (the "Bardman Settlement Agreement") (Dkt. No. 79);

WHEREAS, the close of fact discovery is currently set for February 15, 2018 (Dkt. No. 75);

WHEREAS, the SEC and defendants Erik K. Bardman and Jennifer F. Wolf ("Defendants" and, together with the SEC, the "Parties") have been diligently pursuing various forms of discovery, including requests for documents and depositions;

WHEREAS, as a result of the Bardman Settlement Agreement, the Parties have agreed to take certain depositions off calendar, specifically the depositions of Mr. Bardman, Jeffrey Shotts, Barbara McKee, Joseph Greenhalgh, Abhishek Maheshwari, Werner Heid, Michael Culver, Didier Hirsch, and Guerrino De Luca (the "Off-Calendar Depositions");

WHEREAS, the Parties have agreed to lift the fact discovery deadline to the extent that it may be necessary to reschedule the Off-Calendar Depositions to a later date, and agree to expressly waive any timeliness objections they may have in the event that any of the Off-Calendar Depositions are subsequently rescheduled and taken after the fact discovery deadline. At Judge Corley's suggestion, the Parties therefore respectfully submit this Stipulation and Order formalizing their agreement to so lift the fact discovery deadline, once again leaving all other dates set by the Court, including those for motions and trial, unchanged;

IT IS THEREFORE STIPULATED AND AGREED, by and between the attorneys for Plaintiff and the attorneys for Defendants, with the Court's permission, as follows:

- 1. The February 15, 2018, fact discovery deadline shall be lifted for the Off-Calendar Depositions.
- 2. The Parties will be permitted to reschedule any of the Off-Calendar Depositions after the fact discovery deadline if needed, and the Parties expressly waive any timeliness objections they may have in the event that any of the Off-Calendar Depositions are subsequently rescheduled and taken after the fact discovery deadline.

| 1      | 3. All other dates and deadlines in the Scheduling Order, including the May 1 2018 deadline for dispositive motions and the September 17, 2018 trial date, remain unchanged. |   |
|--------|--|---|
| 2      |  |   |
| 3      | Dated: February 2, 2018  | SECURITIES AND EXCHANGE COMMISSION  |
| 4      |  |   |
| 5      |  | By:Kevin C. Lombardi  |
| 6      |  | Paul W. Kisslinger (New Jersey Bar No. 6511995)   |
| 7      |  | kisslingerp@sec.gov<br>Kevin C. Lombardi (District of Columbia Bar No. 474114)<br>lombardik@sec.gov |
| 8<br>9 |  | 100 F Street, NE<br>Washington, DC 20549<br>Ph: (202) 551-4427                                      |
| 10     |  | Fax: (202) 772-9772   |
| 11     |  | Attorneys for Plaintiff Securities and Exchange Commission  |
| 12     |  |   |
| 13     | Dated: February 2, 2018  | SHEARMAN & STERLING LLP   |
| 14     |  | D   |
| 15     |  | By:Patrick D. Robbins   |
| 16     |  | 535 Mission Street, 25th Floor  |
| 17     |  | San Francisco, CA 94105<br>Ph: (415) 616-1100   |
| 18     |  | Fax: (415) 616-1199   |
| 19     |  | Attorneys for Defendant Erik K. Bardman   |
| 20     |  |   |
| 21     | Dated: February 2, 2018  | KANE+KIMBALL LLP  |
| 22     |  | By: /s/   |
| 23     |  | By:<br>William H. Kimball   |
| 24     |  | William H. Kimball (State Bar No. 242626)   |
| 25     |  | wkimball@kanekimball.com<br>803 Hearst Avenue<br>Berkeley, CA 94710                                 |
| 26     |  | Ph: (510) 704-1400<br>Fax: (877) 482-4749   |
| 27     |  | Attorneys for Defendant Jennifer F. Wolf  |
| 28     |  | Anomeys joi Dejenaam Jennjei F. Wolj  |
|        | 11   |   |

## ATTESTATION (CIVIL LOCAL RULE 5-1(i)(3))

In accordance with Civil Local Rule 5-1(i)(3), I attest that concurrence in the filing of this document has been obtained from each signatory. Dated: February 2, 2018 SHEARMAN & STERLING LLP Attorneys for Defendant Erik K. Bardman IT IS SO ORDERED. Dated: February 5 \_\_\_\_\_, 2018 United States District Judge