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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

ERICA Z WILLIG,
Plaintiff,
v.
NANCY A. BERRYHILL,
Defendant.

Case No. [16-cv-03041-MEJ](#)
**ORDER RE: CROSS-MOTIONS FOR
SUMMARY JUDGMENT**
Re: Dkt. Nos. 18, 25

INTRODUCTION

Plaintiff Erica Z. Willig (“Plaintiff”) brings this action pursuant to 42 U.S.C. § 405(g), seeking judicial review of a final decision of Defendant Nancy A. Berryhill (“Defendant”), the Acting Commissioner of Social Security, denying Plaintiff’s claim for disability benefits. Pending before the Court are the parties’ cross-motions for summary judgment. Dkt. Nos. 18, 25. Pursuant to Civil Local Rule 16-5, the Motions have been submitted on the papers without oral argument. Having carefully reviewed the parties’ positions, the Administrative Record (“AR”), and relevant legal authority, the Court hereby **GRANTS** Plaintiff’s Motion and **DENIES** Defendant’s Cross-Motion for the reasons set forth below.

SOCIAL SECURITY ADMINISTRATION PROCEEDINGS

Plaintiff has a long history of psychological disorders, including depression and borderline personality disorder; she also suffers from migraines. On April 30, 2012, Plaintiff filed a claim for Disability Insurance Benefits, alleging disability beginning on September 1, 2010. AR 21. Plaintiff’s date last insured was December 31, 2013. On November 14, 2012, the Social Security Administration (“SSA”) denied Plaintiff’s claim, finding that Plaintiff did not qualify for disability benefits. Plaintiff subsequently filed a request for reconsideration, which was denied on May 31, 2013. On June 20, 2013, Plaintiff requested a hearing before an Administrative Law Judge

1 (“ALJ”). ALJ K. Kwan conducted a hearing on May 27, 2014. Plaintiff testified in person at the
2 hearing and was represented by counsel, Richard P. Ziemann. The ALJ also heard testimony from
3 Vocational Expert (“VE”) Lynda Berkley. *See* AR 23 (describing procedural history).

4 **A. The ALJ’s Findings**

5 The regulations promulgated by the Commissioner of Social Security provide for a five-
6 step sequential analysis to determine whether a Social Security claimant is disabled.¹ 20 C.F.R. §
7 404.1520. The sequential inquiry is terminated when “a question is answered affirmatively or
8 negatively in such a way that a decision can be made that a claimant is or is not disabled.” *Pitzer*
9 *v. Sullivan*, 908 F.2d 502, 504 (9th Cir. 1990). During the first four steps of this sequential
10 inquiry, the claimant bears the burden of proof to demonstrate disability. *Valentine v. Comm’r*
11 *Soc. Sec. Admin.*, 574 F.3d 685, 689 (9th Cir. 2009). At step five, the burden shifts to the
12 Commissioner “to show that the claimant can do other kinds of work.” *Id.* (quoting *Embrey v.*
13 *Bowen*, 849 F.2d 418, 422 (9th Cir. 1988)).

14 The ALJ must first determine whether the claimant is performing “substantial gainful
15 activity,” which would mandate that the claimant be found not disabled regardless of medical
16 condition, age, education, and work experience. 20 C.F.R. § 404.1520(a)(4)(i), (b). Here, the ALJ
17 determined that Plaintiff had not performed substantial gainful activity since September 1, 2010.
18 AR 23.

19 At step two, the ALJ must determine, based on medical findings, whether the claimant has
20 a “severe” impairment or combination of impairments as defined by the Social Security Act. 20
21 C.F.R. § 404.1520(a)(4)(ii). If no severe impairment is found, the claimant is not disabled. 20
22 C.F.R. § 404.1520(c). Here, the ALJ determined that Plaintiff had the following severe
23 impairments: depressive disorder, borderline personality disorder, anxiety disorder, and migraine
24 headaches. AR 23.

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26 _____
27 ¹ Disability is “the inability to engage in any substantial gainful activity” because of a medical
28 impairment which can result in death or “which has lasted or can be expected to last for a
continuous period of not less than 12 months.” 42 U.S.C. § 423(d)(1)(A).

1 If the ALJ determines that the claimant has a severe impairment, the process proceeds to
2 the third step, where the ALJ must determine whether the claimant has an impairment or
3 combination of impairments that meet or equals an impairment listed in 20 C.F.R. Pt. 404, Subpt.
4 P, App. 1 (the “Listing of Impairments”). 20 C.F.R. § 404.1520(a)(4)(iii). If a claimant’s
5 impairment either meets the listed criteria for the diagnosis or is medically equivalent to the
6 criteria of the diagnosis, he is conclusively presumed to be disabled, without considering age,
7 education and work experience. 20 C.F.R. § 404.1520(d). Here, the ALJ determined that Plaintiff
8 did not have an impairment or combination of impairments that meets the listings. AR 24-26.

9 Before proceeding to step four, the ALJ must determine the claimant’s Residual Function
10 Capacity (“RFC”). 20 C.F.R. § 404.1520(e). RFC refers to what an individual can do in a work
11 setting, despite mental or physical limitations caused by impairments or related symptoms. 20
12 C.F.R. § 404.1545(a)(1). In assessing an individual’s RFC, the ALJ must consider all of the
13 claimant’s medically determinable impairments, including the medically determinable
14 impairments that are nonsevere. 20 C.F.R. § 404.1545(e). Here, the ALJ determined that Plaintiff
15 has the RFC to perform a full range of work at all exertional levels but non-exertionally would be
16 limited to unskilled tasks and should avoid dealing with the general public in performing her
17 primary duties of the job. AR 26.

18 The fourth step of the evaluation process requires that the ALJ determine whether the
19 claimant’s RFC is sufficient to perform past relevant work. 20 C.F.R. §§ 404.1520(a)(4)(iv);
20 404.1520(f). Past relevant work is work performed within the past 15 years that was substantial
21 gainful activity, and that lasted long enough for the claimant to learn to do it. 20 C.F.R. §
22 404.1560(b)(1). If the claimant has the RFC to do his past relevant work, the claimant is not
23 disabled. 20 C.F.R. § 404.1520(a)(4) (iv). Here, the ALJ determined that Plaintiff was not
24 capable of performing past relevant work through her date last insured. AR 30 (past relevant work
25 included medical assistant, emergency medical technician, lab technician, phlebotomist, and
26 veterinary technician).

27 In the fifth step of the analysis, the burden shifts to the Commissioner to prove that there
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1 are other jobs existing in significant numbers in the national economy which the claimant can
2 perform consistent with the claimant's RFC, age, education, and work experience. 20 C.F.R. §§
3 404.1520(g), 404.1560(c). The Commissioner can meet this burden by relying on the testimony of
4 a vocational expert or by reference to the Medical-Vocational Guidelines at 20 C.F.R. Pt. 404,
5 Subpt. P, App. 2. *Lounsbury v. Barnhart*, 468 F.3d 1111, 1114 (9th Cir. 2006). Here, based on
6 the testimony of the vocational expert, Plaintiff's age, education, work experience, and RFC, the
7 ALJ determined that Plaintiff could perform work as a janitor, laundry worker II,
8 cleaner/housekeeper, and that each of these positions existed in significant numbers in the national
9 economy. AR 30-31.

10 **B. Medical Evidence of Record**

11 Although there are voluminous treatment records from Plaintiff's treating physicians in the
12 AR, there is no evidence that any of Plaintiff's treating physicians submitted to the SSA medical
13 source statements or RFC Assessments regarding Plaintiff. Two SSA consultants reviewed
14 Plaintiff's medical records: one reviewed records through October 2012, and the second reviewed
15 records through May 2013. After Plaintiff attended her hearing before the ALJ, she underwent
16 psychological testing with SSA consulting examiner Dr. Janine Marinos, Ph.D. No medical expert
17 testified at the hearing.

18 **C. ALJ's Decision and Plaintiff's Appeal**

19 On November 3, 2014, the ALJ issued an unfavorable decision finding that Plaintiff was
20 not disabled. AR 18-36. This decision became final when the Appeals Council declined to review
21 it on April 4, 2016. AR 1-6. Having exhausted all administrative remedies, Plaintiff commenced
22 this action for judicial review pursuant to 42 U.S.C. § 405(g) on June 6, 2016. *See* Compl.
23 Plaintiff filed the present Motion for Summary Judgment on October 25, 2016. Mot., Dkt. No. 18.
24 On January 26, 2017, Defendant filed a Cross-Motion for Summary Judgment. Cross-Mot., Dkt.
25 No. 25.

26 **LEGAL STANDARD**

27 This Court has jurisdiction to review final decisions of the Commissioner pursuant to 42
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1 U.S.C. § 405(g). The ALJ’s decision must be affirmed if the findings are “supported by
2 substantial evidence and if the [ALJ] applied the correct legal standards.” *Holohan v. Massanari*,
3 246 F.3d 1195, 1201 (9th Cir. 2001) (citation omitted). “Substantial evidence means more than a
4 scintilla but less than a preponderance” of evidence that “a reasonable person might accept as
5 adequate to support a conclusion.” *Thomas v. Barnhart*, 278 F.3d 947, 954 (9th Cir. 2002)
6 (quoting *Flaten v. Sec’y of Health & Human Servs.*, 44 F.3d 1453, 1457 (9th Cir. 1995)). The
7 court must consider the administrative record as a whole, weighing the evidence that both supports
8 and detracts from the ALJ’s conclusion. *McAllister v. Sullivan*, 888 F.2d 599, 602 (9th Cir. 1989).
9 However, “where the evidence is susceptible to more than one rational interpretation,” the court
10 must uphold the ALJ’s decision. *Magallanes v. Bowen*, 881 F.2d 747, 750 (9th Cir. 1989).
11 Determinations of credibility, resolution of conflicts in medical testimony, and all other
12 ambiguities are to be resolved by the ALJ. *Id.*

13 Additionally, the harmless error rule applies where substantial evidence otherwise supports
14 the ALJ’s decision. *Curry v. Sullivan*, 925 F.2d 1127, 1131 (9th Cir. 1990). A court may not
15 reverse an ALJ’s decision on account of an error that is harmless. *Molina v. Astrue*, 674 F.3d
16 1104, 1111 (9th Cir. 2012) (citing *Stout v. Comm’r, Soc. Sec. Admin.*, 454 F.3d 1050, 1055-56
17 (9th Cir. 2006)). “[T]he burden of showing that an error is harmful normally falls upon the party
18 attacking the agency’s determination.” *Id.* (quoting *Shinseki v. Sanders*, 556 U.S. 396, 409
19 (2009)).

20 DISCUSSION

21 Plaintiff argues the ALJ erred in three ways. First, the ALJ erred in giving little weight to
22 the opinion of consulting expert Dr. Marinos. Second, the ALJ erred in finding Plaintiff’s
23 testimony not entirely credible. Finally, the ALJ erred in failing to consider all of Plaintiff’s
24 limitations at Step 5 of the sequential evaluation analysis.

25 A. Dr. Marinos

26 1. Applicable Standard

27 Physicians opining about a claimant’s condition “may render medical, clinical opinions, or
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1 they may render opinions on the ultimate issue of disability—the claimant’s ability to perform
 2 work.” *Reddick v. Chater*, 157 F.3d 715, 725 (9th Cir. 1998) (citation omitted). “Generally, the
 3 opinions of examining physicians are afforded more weight than those of non-examining
 4 physicians, and the opinions of examining non-treating physicians are afforded less weight than
 5 those of treating physicians.” *Orn v. Astrue*, 495 F.3d 625, 631 (9th Cir. 2007) (citing 20 C.F.R. §
 6 404.1527(d)(1)-(2)); *see also* 20 C.F.R. § 404.1527(d).

7 In order to reject the “uncontradicted opinion of a treating or examining doctor, an ALJ
 8 must state clear and convincing reasons that are supported by substantial evidence.” *Ryan v.*
 9 *Comm’r of Soc. Sec.*, 528 F.3d 1194, 1198 (9th Cir. 2008) (quotation and citation omitted). “If a
 10 treating or examining doctor’s opinion is contradicted by another doctor’s opinion, an ALJ may
 11 only reject it by providing specific and legitimate reasons that are supported by substantial
 12 evidence.” *Id.* (citation omitted). An ALJ can satisfy the “substantial evidence” requirement by
 13 “setting out a detailed and thorough summary of the facts and conflicting clinical evidence, stating
 14 his interpretation thereof, and making findings.” *Reddick*, 157 F.3d at 725. “The ALJ must do
 15 more than offer [] conclusions. He must set forth his own interpretations and explain why they,
 16 rather than the doctors’, are correct.” *Id.* (citation omitted).

17 An ALJ errs when he or she does not explicitly reject a medical opinion or set forth
 18 specific, legitimate reasons for crediting one medical opinion over another. *See Nguyen v. Chater*,
 19 100 F.3d 1462, 1464 (9th Cir. 1996). In other words, it is error for an ALJ not to offer a
 20 substantive basis before assigning little weight to the medical opinion. *See id.* Generally, the SSA
 21 will give greater weight to an opinion that is more consistent with the record as a whole. 20
 22 C.F.R. § 416.927(c)(4).

23 2. Analysis

24 During the May 2014 hearing, the ALJ and Plaintiff’s counsel discussed referring Plaintiff
 25 to an SSA examiner, and counsel asked whether the examiner would address the fact that
 26 Plaintiff’s date last insured (“DLI”) had expired on December 31, 2013. *See* AR 79-80. The ALJ
 27 stated “I don’t know if they would do it directly. It’s not – it’s four months. It’s not completely
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1 remote.” AR 79. The ALJ observed that “if it was a remote date I think I would be in agreement
2 that [the evaluation is] probably not fruitful for anyone [W]ith the background, there’s
3 enough. And getting some historic context from her. They can flesh things out. So it would still
4 have some value, I think.” AR 80.

5 Dr. Marinos, an SSA psychological consultant, examined Plaintiff on July 8, 2014. AR 29
6 (citing Exhibit 10F (AR 981-984)). Dr. Marinos states she received no records to review and her
7 evaluation is based on information obtained “solely” from Plaintiff. AR 981. Dr. Marinos
8 summarized Plaintiff’s personal, employment, medical, and psychiatric histories, and listed
9 approximately twenty medications Plaintiff was currently taking for her physical and mental
10 conditions. AR 981-82. Dr. Marinos observed that the evaluation “was limited in scope and
11 based on a single, time-limited session of client contact. With these significant limitations in
12 mind,” Dr. Marinos diagnosed Plaintiff with depressive disorder not otherwise specified (“NOS”),
13 bereavement (Plaintiff’s father passed away in February 2014), and “borderline personality
14 disorder, by history.” AR 71, 984. She noted Plaintiff’s scores on the evaluation “ranged from
15 high average to mildly impaired[;]” that Plaintiff’s “[i]mmediate recall for short stories fell in the
16 moderately/severely-impaired range; on delayed testing, she scored in the severely-impaired
17 range. Visual memory ranged from moderately impaired (immediate) to moderately-severely
18 impaired (delayed). She scored in the borderline range on Trails A and B.” AR 984. Dr. Marinos
19 assigned Plaintiff a GAF score of 41-50², and concluded that “[b]ased on the current evaluation, it
20 seems doubtful that the claimant would be able to maintain competitive employment at this time.
21 [Plaintiff] noted that she benefitted from DBT [dialectical behavior therapy] in the past and
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23 ² “A Global Assessment of Functioning [‘GAF’] score is the clinician’s judgment of the
24 individual’s overall level of functioning. It is rated with respect only to psychological, social, and
25 occupational functioning, without regard to impairments in functioning due to physical or
26 environmental limitations. See American Psychiatric Association, *Diagnostic and Statistical
27 Manual of Mental Disorders* (“DSM–IV”) at 32 (4th Ed. 2000). A GAF score of 41–50 indicates
28 ‘[s]erious symptoms (e.g., suicidal ideation, severe obsessional rituals, frequent shoplifting) OR
any serious impairment in social, occupational, or school functioning (e.g., no friends, unable to
keep a job).’ DSM–IV at 34.” *Thomas v. Astrue*, 2009 WL 151488, at *3 n.5 (C.D. Cal. Jan. 21,
2009) (capitalization in original).

1 recently started another program. Hopefully, her condition will improve with treatment, but she
2 will always be vulnerable to depressive episodes and have difficulty coping with stress and
3 interacting appropriately with others due to her personality structure.” AR 61-62, 984.

4 The ALJ gave little weight to Dr. Marinos’ opinion for a number of reasons: Dr. Marinos
5 examined Plaintiff approximately seven months after her DLI and did not review any of Plaintiff’s
6 medical records; many of Dr. Marinos’ findings did not support her conclusion that Plaintiff
7 would experience work-preclusive mental limitations; and her opinion was inconsistent with the
8 medical evidence as a whole, which demonstrates Plaintiff typically displayed no recurring
9 abnormalities on mental status examination aside from abnormal mood and affect that Plaintiff
10 realized benefited from medication and treatment. AR 30. The ALJ instead gave “great weight”
11 to the State agency psychologists who reviewed a “sizeable portion of the medical evidence”—but
12 significantly did not review Dr. Marinos’ opinions, and never examined Plaintiff. AR 29.

13 The ALJ erred in discounting Dr. Marinos’ opinion. First, the ALJ erred in not correcting
14 the SSA’s failure to provide Plaintiff’s medical records to Dr. Marinos. The ALJ explicitly
15 premised her belief about the utility of an examination on the examiner’s review of Plaintiff’s
16 records (AR 79-80), but did not ensure those records were transmitted to Dr. Marinos. Second,
17 the fact the examination took place after the DLI does not necessarily render the examination
18 irrelevant: “[M]edical evaluations made after the expiration of a claimant’s insured status are
19 relevant to an evaluation of the preexpiration condition.” *Taylor v. Comm’r of Soc. Sec. Admin.*,
20 659 F.3d 1228, 1232 (9th Cir. 2011) (quoting *Lester v. Chater*, 81 F.3d 821, 832 (9th Cir. 1996)).
21 The ALJ does not explain why an examination taking place seven months after the DLI was too
22 remote in time to be useful, especially when she stated an examination performed four months
23 after the DLI would “have some value” (AR 79-80). Third, the opinion of an examining physician
24 generally is entitled to greater weight than the opinion of a non-examining physician (*Ryan*, 528
25 F.3d at 1198), and the “opinion of a non-examining physician cannot by itself constitute
26 substantial evidence that justifies the rejection of the opinion of either an examining physician or a
27 treating physician (*Lester*, 81 F.3d at 831). Here, Dr. Marinos’ findings regarding Plaintiff’s
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1 underlying diagnoses were corroborated by Plaintiff’s treating psychologist Dr. James Goetz and
2 treating psychiatrist Dr. Guy Guillon (*see, e.g.*, AR 360, 486, 493), and were based on in-person
3 examination and psychological testing. The ALJ did not identify any other psychological
4 examination that contradicted Dr. Marinos’ findings; she only identified treatment notes
5 documenting routine mental status examinations (“MSE”). There is no evidence in the record that
6 MSE would document symptoms of borderline personality disorder that are likely to interfere with
7 an individual’s ability to work. On the contrary, Dr. Marinos also documented many normal
8 findings in the MSE she conducted, but still found it doubtful Plaintiff would be able to maintain
9 competitive employment. AR 982 (Plaintiff casually dressed and groomed; gait unremarkable;
10 eye contact fair to poor; alert and fully oriented; good recall; did well on digit span but
11 concentration fluctuated on other tasks; speech fluent and clear; comprehension grossly intact;
12 affect restricted and mood depressed; denied auditory or visual hallucinations; thinking slow but
13 linear and goal-directed; insight and judgment fair), 984. The SSA non-examining physicians on
14 whom the ALJ based her conclusion did not review the results of Dr. Marinos’ psychological
15 testing—they only reviewed Plaintiff’s medical records through October 2012 (Dr. Bradley, Ex.
16 1/A) and May 2013 (Dr. Weiss, Ex. 3/A). Fourth, the ALJ erred in “just counting ‘normal’
17 findings to hold up against the ‘abnormal ones’ without any explanation of relevance the
18 ALJ’s mere listing of other findings without any discussion of how or why they diminish
19 significant and abnormal findings noted by Dr. Marinos provides no substantive basis for the
20 ALJ’s conclusion” (Mot. at 4). The ALJ erred by not explaining how the normal findings were
21 inconsistent with the abnormal findings in light of Plaintiff’s underlying conditions, and how the
22 abnormal findings in and of themselves were insufficient to support Dr. Marinos’ conclusion that
23 Plaintiff had “work-preclusive mental limitations.” AR 29. As described above, Dr. Marinos also
24 made many “normal” findings when she conducted an MSE on Plaintiff, and still found Plaintiff
25 would be unlikely to hold competitive employment. An ALJ must do more than simply “identify
26 conflicting evidence;” she must explain how the normal findings conflicted with Dr. Marinos’
27 conclusion. *See Ann Cox v. Colvin*, 2015 WL 8596436, at *15 (N.D. Cal. Dec. 14, 2015) (citation

1 omitted). Finally, there was no medical opinion in the record supporting the ALJ’s weighing of
2 Dr. Marinos’ psychological testing, whether from an SSA consultant or a medical expert. The
3 ALJ may be able to articulate legally-sufficient reasons for giving little weight to Dr. Marinos’
4 opinion on remand, but the reasons she articulated in her decision are not supported by substantial
5 evidence.

6 In addition to finding the ALJ erred in giving little weight to the opinion of Dr. Marinos,
7 the undersigned notes the record was not sufficiently developed. There is no evidence Plaintiff’s
8 treating physicians provided medical source statements or completed RFC Assessments for
9 Plaintiff, and no medical expert testified at the hearing regarding the impact of borderline
10 personality disorder and depression on Plaintiff’s ability to perform work on a consistent basis.
11 An “ALJ should not be a mere umpire during disability proceedings, but must scrupulously and
12 conscientiously probe into, inquire of, and explore for all relevant facts.” *Widmark v. Barnhart*,
13 454 F.3d 1063, 1068 (9th Cir. 2006) (citation and quotation marks omitted); *see also Smolen v.*
14 *Chater*, 80 F.3d 1273, 1288 (9th Cir. 1996) (“[T]he ALJ has a special duty to fully and fairly
15 develop the record and to assure that the claimant’s interests are considered.” (internal quotation
16 marks omitted)). This duty is “especially important” in cases involving disabilities based on
17 mental health conditions. *See DeLorme v. Sullivan*, 924 F.2d 841, 849 (9th Cir. 1991).

18 **B. Plaintiff’s Credibility**

19 1. Applicable Standard

20 Where there is no showing that a claimant is malingering, and where the record includes
21 objective medical evidence establishing that a claimant suffers from an impairment that could
22 reasonably produce the symptoms complained of, an ALJ can only make an adverse credibility
23 finding based on substantial evidence under the “clear and convincing” standard. *See Carmickle v.*
24 *Comm’r, Soc. Sec. Admin.*, 533 F.3d 1155, 1160 (9th Cir. 2008) (citing *Lingenfelter v. Astrue*, 504
25 F.3d 1028, 1036 (9th Cir. 2007)). There ALJ did not indicate she believed Plaintiff was
26 malingering. The ALJ’s adverse credibility finding therefore must be based on clear and
27 convincing substantial evidence. In addition, “the ALJ must identify what testimony is not
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1 credible and what evidence undermines the claimant’s complaints.” *Lester*, 81 F.3d at 834; *see*
2 *also Brown-Hunter v. Colvin*, 806 F.3d 487, 489, 492-94 (9th Cir. 2015) (“To ensure that our
3 review of the ALJ’s credibility determination is meaningful, and that the claimant’s testimony is
4 not rejected arbitrarily, we require the ALJ to specify which testimony she finds not credible, and
5 then provide clear and convincing reasons, supported by evidence in the record, to support that
6 credibility determination.”).

7 An ALJ may consider numerous factors when weighing a claimant’s credibility, including
8 “inconsistencies either in [claimant’s] testimony or between [his] testimony and [his] conduct,
9 [claimant’s] daily activities, . . . and testimony from physicians . . . regarding the nature, severity,
10 and effect of the symptoms of which [claimant] complains.” *Thomas*, 278 F.3d at 958-59 (internal
11 quotation marks and citations omitted). If the ALJ’s credibility finding is supported by substantial
12 evidence in the record, the Court may not engage in second-guessing. *Id.*

13 2. Analysis

14 The ALJ found Plaintiff’s allegations regarding her limitations “to be less than fully
15 credible” based on: grossly normal mental status examination findings by Drs. Guillon, Joiner,
16 Goetz, and Holmberg (AR 26-27); Plaintiff’s noncompliance with recommended treatment despite
17 evidence that treatment helped symptoms (AR 28); Plaintiff’s activities of daily living that were
18 ostensibly inconsistent with claims she was totally disabled (AR 28); and Plaintiff’s testimony she
19 stopped working because she became dissatisfied and bored with jobs, rather than because she was
20 “unable” to perform these jobs (AR 29).

21 i. *Mental Status Examinations*

22 The ALJ erred in relying entirely on her own interpretation of treaters’ notes describing
23 mental status examinations. *See* 20 C.F.R. Pt. 404, Subpt. P, App. 1, § 12.00(C)(3) (“We must
24 exercise great care in reaching conclusions about your ability or inability to complete tasks under
25 the stresses of employment during a normal workday or work week based on a time-limited
26 mental status examination or psychological testing by a clinician . . .”). There is no evidence in
27 the record that a MSE would capture the symptoms that would preclude an individual with
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1 borderline personality disorder from working. As discussed above, the fact Dr. Marinis noted
2 many normal findings in Plaintiff’s MSE did not preclude her from concluding Plaintiff was
3 severely limited. The ALJ’s reliance on the mental status examinations thus does not constitute
4 clear and convincing substantial evidence.

5 *ii. Noncompliance with Recommended Treatment & Testimony*

6 The Ninth Circuit has remarked that “it is a questionable practice to chastise one with a
7 mental impairment for the exercise of poor judgment in seeking rehabilitation.” *Nguyen v. Chater*,
8 100 F.3d 1462, 1465 (9th Cir. 1996) (citation omitted); *see also Pates-Fires v. Astrue*, 564 F.3d
9 935, 945 (8th Cir. 2009) (“[A] mentally ill person’s noncompliance with psychiatric medications
10 can be, and usually is, the result of the mental impairment itself, and therefore neither willful nor
11 without a justifiable excuse.” (collecting cases; internal citations and quotation marks omitted)).
12 “[W]e do not punish the mentally ill for occasionally going off their medication when the record
13 affords compelling reason to view such departures from prescribed treatment as part of claimants’
14 underlying mental afflictions.” *Garrison v. Colvin*, 759 F.3d 995, 1018 n.24 (9th Cir. 2014)
15 (citing cases). The ALJ did not evaluate whether Plaintiff’s noncompliance with treatment was
16 the result of her diagnosed borderline personality disorder or depression. By failing to do so, the
17 ALJ improperly punished Plaintiff for exercising poor judgment in seeking rehabilitation.

18 *iii. Activities of Daily Living*

19 The ability to “perform various household chores such as cooking, laundry, washing
20 dishes, and shopping” may constitute a clear and convincing reason to discount a claimant’s
21 testimony. *Thomas*, 278 F.3d at 959; *see also Burch*, 400 F.3d at 681 (ALJ may consider
22 activities of daily living when evaluating claimant’s credibility). The ALJ found Plaintiff’s ability
23 to drive, reside alone, perform light housework, shop for groceries, and care for her elderly father
24 suggested that Plaintiff retained “a far greater degree of functionality that she alleged in
25 connection with her application for social security benefits.” AR 28. The ALJ does not
26 acknowledge the evidence that Plaintiff lived alone in a cabin on her mother’s property, that the
27 cabin lacked running water so that Plaintiff had to visit her mother’s house to shower, and that she

1 did so every couple of weeks when she was not working because she could not motivate herself to
 2 do it more frequently (AR 41-43, 72-73); that Plaintiff received a cooked meal from a charitable
 3 organization once a week and otherwise ate “crackers, cheese or candy” (AR 73-74; *see also* AR
 4 267 (Plaintiff eats sandwiches; does not cook often)); and that Plaintiff drove only once per week
 5 and performed all her errands at once (AR 67-68; *but see* AR 268 (Plaintiff drives to go shop
 6 every other day when she is able to do so)). The ALJ also fails to acknowledge Plaintiff’s
 7 testimony that she showered for the hearing, and does not acknowledge that Plaintiff also may
 8 have found the motivation to shower for periodic appointments at which she was noted to have
 9 proper hygiene. AR 28, 72-73; *see also* AR 266 (stating psychiatric symptoms often interfere with
 10 her ability to keep up with personal care, and that she has been treated for infections due to
 11 hygiene failures).

12 While activities of daily living “may be grounds for discrediting the claimant’s testimony
 13 to the extent that they contradict claims of a totally debilitating impairment” (*Molina*, 674 F.3d at
 14 1113), those activities the ALJ identified hardly contradict Plaintiff’s claims. As the Ninth Circuit
 15 has noted, “[t]he critical differences between activities of daily living and activities in a full-time
 16 job are that a person has more flexibility in scheduling the former than the latter, can get help from
 17 other persons . . . and is not held to a minimum standard of performance, as she would be by an
 18 employer. The failure to recognize these differences is a recurrent, and deplorable, feature of
 19 opinions by administrative law judges in social security disability cases.” *Garrison*, 759 F.3d at
 20 1016 (internal quotation marks and citation omitted). The ALJ failed to recognize that Plaintiff’s
 21 activities of daily living did not reflect Plaintiff’s ability to work on a consistent basis.

22 *iv. Testimony*

23 The ALJ discounted Plaintiff’s credibility because she testified she quit jobs because she
 24 was “bored” or “dissatisfied” rather than because she was “unable” to perform them. AR 29. But
 25 again, the ALJ failed to evaluate whether these reactions were caused by Plaintiff’s documented
 26 mental conditions. This was error, as it would punish Plaintiff for the very conditions she alleges
 27 are disabling. *See supra*.

1 **C. Failure to Account for Migraine Headaches**

2 The ALJ found Plaintiff’s headaches more than minimally limited Plaintiff’s ability to
3 perform basic work-related tasks, including interacting with the public (AR 30), but found that, on
4 balance, the evidence was inconsistent with Plaintiff’s allegations her migraines caused entirely
5 work-preclusive restrictions as of December 31, 2013 (AR 28). In reaching this conclusion, the
6 ALJ relied on the fact Plaintiff admitted appreciable benefits from steroid injections on multiple
7 occasions, Plaintiff made no regular complaints of headaches between December 2010 and mid-
8 June 2012, and her treaters did not recommend neurological care until October 2012. AR 28.
9 This reasoning does not address the severity of Plaintiff’s migraines between mid-June 2012 and
10 her DIL of December 2013—well over one year. Between June 2012 and her DIL, Plaintiff was
11 diagnosed as suffering from migraines and prescribed a number of medications to address her
12 symptoms, including oxycodone and morphine injections. *See* AR 408-09 (April 2013), 415
13 (March 2013), 441-42 (January 2013), 594-95 (May 2013), 609 (April 2013), 612 (April 2013),
14 744-46 (June 2012). There is evidence Plaintiff’s migraine medication exacerbate her depression.
15 AR 63-64, 70. If migraines caused Plaintiff to be absent “two or more” days per month, the VE
16 testified that Plaintiff would not be employable. *See* AR 91-92. The brief reasoning the ALJ
17 articulated for not including absenteeism based on Plaintiff’s migraines (including side effects
18 from the medications) in her RFC is not based on substantial evidence.

19 **CONCLUSION**

20 For the reasons stated above, the Court finds the ALJ erred in giving little weight to Dr.
21 Marinos’ opinion, in failing to develop the record, in finding Plaintiff not entirely credible, and in
22 failing to fully consider the impact of Plaintiff’s migraines after June 2012. “Remand for further
23 proceedings is appropriate where there are outstanding issues that must be resolved before a
24 disability determination can be made, and it is not clear from the record that the ALJ would be
25 required to find the claimant disabled if all the evidence were properly evaluated.” *Taylor*, 659
26 F.3d at 1235 (reversing and remanding for the consideration of new evidence instead of awarding
27 benefits). For these reasons, and because the ALJ failed to fully and fairly develop the record

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when evaluating Plaintiff's disability claim, the Court **GRANTS** Plaintiff's Motion for Summary Judgment, **DENIES** Defendant's Cross-Motion for Summary Judgment, and **REVERSES** the ALJ's decision. This case is **REMANDED** for further administrative proceedings in accordance with this Order.

IT IS SO ORDERED.

Dated: May 12, 2017



MARIA-ELENA JAMES
United States Magistrate Judge