1 2 3 4 5	Rosemary M. Rivas (Bar No. 209147) rrivas@finkelsteinthompson.com FINKELSTEIN THOMPSON LLP 1 California Street, Suite 900 San Francisco, California 94111 Telephone: (415) 398-8700 Facsimile: (415) 398-8704				
6	Liaison Counsel for Plaintiff and the Proposed Class				
7					
8	UNITED STATES DISTRICT COURT				
9	NORTHERN DISTRICT OF CALIFORNIA				
10	KENNETH BRISTOW, Individually	Case No. 16-cv-04710-RS			
11	and On Behalf of All Others Similarly				
12	Situated,	STIPULATION AND [PROPOSED] ORDER (1) ESTABLISHING			
13	Plaintiff,	MASTER CASE FILE; (2) SETTING DATE FOR FILING OF			
14	V.	CONSOLIDATED COMPLAINT			
15	SUNPOWER CORPORATION, et al.,	AND BRIEFING SCHEDULE; AND (3) CONTINUING INITIAL CASE			
16	Defendants.	MANAGEMENT CONFERENCE			
17	JAY PATEL, Individually and On	Case No. 16-cv-04915-RS			
18	Behalf of All Others Similarly Situated,	Case 110. 10-07-04913-KS			
19	Plaintiff,				
20	VS.				
21					
22	SUNPOWER CORPORATION, et al.,				
23	Defendants.				
24		-			
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	Case No. 16-cv-04710-RS	STIPULATION & [PROPOSED] ORDER Dockets.Justia			

1	WHEREAS, on December 9, 2016, the Court entered an order consolidating the above				
2	actions ("Consolidated Action") and appointing Brower Priven, A Professional Corporation, as				
3	lead counsel, and Finkelstein Thompson LLP, to serve as liaison counsel;				
4	WHEREAS, the Parties believe that a Master File should be established for the				
5	consolidated proceedings, all previously filed documents in either of the consolidated cases should				
6	be incorporated into the record, and any subsequently filed actions pertaining to or arising from				
7	the same facts and circumstances as those set forth in the above-captioned actions should be				
8	consolidated with the Consolidated Action;				
9	WHEREAS, the Parties have met and conferred about scheduling the filing of a				
10) consolidated complaint, the briefing schedule for Defendants' anticipated motion to dismiss, and				
11	the Initial Case Management Conference ("CMC"), which is currently scheduled for January 26,				
12	2017;				
13	WHEREAS, the Parties believe that due to the legal issues presented in the case, the				
14	briefing schedule set forth in Local Rule 7-3 should be extended;				
15	WHEREAS, pursuant to the Private Securities Litigation Reform Act, 15 U.S.C. § 78u-				
16	4(b)(3), discovery in this action is stayed pending the resolution of Defendants' motion to dismiss;				
17	WHEREAS, the Parties believe that it is in the interests of judicial efficiency and economy				
18	that the Initial CMC should be continued pending the resolution of Defendants' motion to dismiss;				
19	WHEREAS, subject to the approval of the Court, the parties respectfully request that the				
20	January 26, 2017 CMC and related CMC and ADR deadlines be vacated and continued until after				
21	a ruling on Defendants' motion to dismiss;				
22	ACCORDINGLY, SUBJECT TO THE APPROVAL OF THE COURT, THE PARTIES				
23	HEREBY STIPULATE AND AGREE as follows:				
24	1. A Master File shall be established for the consolidated proceedings in the				
25	Consolidated Action. The docket number for the Master File shall be Master File No. 3:16-cv-				
26	04710-RS. The original of this Order shall be filed by the Clerk in the Master File.				
27	2. Every pleading filed in the Consolidated Action shall bear the following caption:				
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	Case No. 3:16-cv-04710-RS -2- STIPULATION & [PROPOSED] ORDER				

1 2 3		NPOWER CORPORATION TES LITIGATION	Master File No. 3:16-cv-04710-RS	
4	3.	All documents previously filed	to date in any of the cases consolidated herein are	
5	deemed a part of the record in the Consolidated Action.			
6	4.	This Order shall govern all sub	sequently filed actions pertaining to or arising from	
7	the same facts and circumstances as those set forth in the above-captioned actions and that			
8	properly belong as part of the Consolidated Action.			
9	5.	Plaintiffs shall file the consolid	lated complaint by March 9, 2017.	
10	6.	Defendants shall file a response	e to the complaint by May 8, 2017.	
11	7.	Should Defendants filed a moti	ion to dismiss on or before May 8, 2017, Plaintiffs	
12	shall have 60 days to file an opposition, and Defendants shall have 30 days to file a reply brief.			
13	8.	The Parties shall meet and cont	fer as to an appropriate hearing date.	
14	9.	The Initial Case Management (Conference shall be continued until after a ruling on	
15	Defendants' motion to dismiss, to such date and time as the Court may order.			
16	DATED: Ja	anuary 11, 2017 H	FINKELSTEIN THOMPSON LLP	
17		I	By: <u>/s/ Rosemary M. Rivas</u>	
18			Rosemary M. Rivas	
19		I	iaison Counsel for Plaintiff	
20	DATED: Ja	anuary 11, 2017	WILSON SONSINI GOODRICH & ROSATI	
21		I	By: <u>/s/ Diane Marie Walters</u>	
22			Steven Mark Schatz Diane Marie Walters	
23		(Counsel for Defendants	
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Case No. 3:16-cv-04710-RS

STIPULATION & [PROPOSED] ORDER

1	[PROPOSE D] ORDER					
2	Based on the foregoing stipulation and good cause being shown, the Court hereby					
3	GRANTS the parties' Stipulation. The Court hereby orders as follows:					
4	1. A Master File shall be established for the consolidated proceedings in the					
5	Consolidated Action. The docket number for the Master File shall be Master File No. 3:16-cv-					
6	04710-RS. The original of this Order shall be filed by the Clerk in the Master File.					
7	2. Every pleading filed in the Consolidated Action shall bear the following caption:					
8 9 10	IN RE SUNPOWER CORPORATION SECURITIES LITIGATION					
11	3. This Order shall govern all subsequently filed actions pertaining to or arising from					
12	the same facts and circumstances as those set forth in the above-captioned actions and that					
13 14	properly belong as part of the Consolidated Action.					
15	4. When a case that properly belongs as part of the Consolidated Action is hereafter					
16	filed in the Court, this Court requests the assistance of counsel in calling to the attention of the					
17	Court the filing of any case which might properly be consolidated as part of the Consolidated					
18	Action, and counsel are to assist in assuring that counsel in subsequent actions receive notice of					
19	this Order.					
20	5. Plaintiffs shall file the consolidated complaint by March 9, 2017.					
21 22	6. Defendants shall file a response to the complaint by May 8, 2017.					
22	7. Should Defendants file a motion to dismiss on or before May 8, 2017, Plaintiffs					
24	shall have 60 days to file an opposition, and Defendants shall have 30 days to file a reply brief.					
25	8. The Parties shall meet and confer as to an appropriate hearing date.					
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	Case No. 3:16-cv-04710-RS -4- STIPULATION & [PROPOSED] ORDER					

1	9. The Initial CMC and related CMC and ADR deadlines are continued until after
2	the Court's ruling on Defendants' motion(s) to dismiss, to such date and time as the Court may
3	order.
4	IT IS SO ORDERED.
5	Date: 1/13/17 Richardson
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7	HON. RICHARD SEEBORG United States District Court Judge
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9	FILER'S ATTESTATION
10	Pursuant to Civil Local Rule 5-1 regarding signatures, I attest under penalty of perjury that
11	the concurrence in the filing of this document has been obtained from all signatories.
12	<u>/s/ Rosemary M. Rivas</u> Rosemary M. Rivas
13	Roseniary IVI. Rivas
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	Case No. 3:16-cv-04710-RS -5- STIPULATION & [PROPOSED] ORDER