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Full listing of Counsel can be found on Signature Page

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a California corporation,

Plaintiff,

v.

ROGER JINTEH ARRIGO CHEN, an individual; GENIA TECHNOLOGIES, INC., a Delaware corporation; and DOES 1-25,

Defendants.

Case No. 3:16-cv-07396

STIPULATED ORDER RE: DISCOVERY OF ELECTRONICALLY STORED INFORMATION FOR LITIGATION

1 **1. PURPOSE**

2 This Order will govern discovery of electronically stored information (“ESI”) in this case
3 as a supplement to the Federal Rules of Civil Procedure, this Court’s Guidelines for the
4 Discovery of Electronically Stored Information, and any other applicable orders and rules.

5 **2. COOPERATION**

6 The parties are aware of the importance the Court places on cooperation and commit to
7 cooperate in good faith throughout the matter consistent with this Court’s Guidelines for the
8 Discovery of ESI.

9 **3. LIAISON**

10 The parties agree that they will make counsel with knowledge of e-discovery issues
11 available to meet and confer as needed.

12 **4. PRESERVATION**

13 The parties have discussed their preservation obligations and needs and agree that
14 preservation of potentially relevant ESI will be reasonable and proportionate. To reduce the costs
15 and burdens of preservation and to ensure proper ESI is preserved, the parties agree that:

- 16 a) Only ESI created or received between May 1, 2005 and December 29, 2016 (to
17 the extent that it is in a party’s possession, custody, or control) will be preserved;
- 18 b) The parties will exchange a list of the types of ESI they believe should be
19 preserved and the custodians, or general job titles or descriptions of custodians,
20 for whom they believe ESI should be preserved, *e.g.*, “HR head,” “scientist,” and
21 “marketing manager.” The parties shall add or remove custodians as reasonably
22 necessary;
- 23 c) The parties will agree on the number of custodians per party for whom ESI will
24 be preserved;
- 25 d) These data sources are not reasonably accessible because of undue burden or cost
26 pursuant to Fed. R. Civ. P. 26(b)(2)(B) and ESI from these sources will be
27 preserved but not searched, reviewed, or produced: backup media of electronic
28 systems, systems no longer in use that cannot be accessed;
- e) Among the sources of data the parties agree are not reasonably accessible, the
 parties agree not to preserve the following: digital voicemail, instant messaging,
 automatically saved versions of documents;

1 **5. SEARCH**

2 The parties agree that in responding to an initial Fed. R. Civ. P. 34 request, or earlier if
3 appropriate, they will meet and confer about methods to search ESI in order to identify ESI that
4 is subject to production in discovery and filter out ESI that is not subject to discovery.

5 **6. PRODUCTION FORMATS**

6 The parties agree to produce documents in single-page Group IV B&W 300 DPI TIFF
7 file format, with standard delimited load files (DAT files) for metadata as available, and Opticon
8 format files (OPT files) with document breaks and page counts. Excel files and other database or
9 unimageable formats are agreed to be produced in native format, renamed by their Bates number,
10 for example, ABC00000002.xls, and the corresponding native file location referenced in DAT
11 files. Separate document level text files shall be provided for all documents produced, and the
12 corresponding text file location referenced in DAT files. If particular documents warrant a
13 different format, the parties will cooperate to arrange for the mutually acceptable production of
14 such documents. The parties agree not to degrade the searchability of documents as part of the
15 document production process. DAT files will include the following metadata fields as available:

- 16 a) Begin Bates
- 17 b) End Bates
- 18 c) Begin Bates Attach
- 19 d) End Bates Attach
- 20 e) Custodian All
- 21 f) Email From
- 22 g) Email To
- 23 h) Email CC
- 24 i) Email BCC
- 25 j) Email Subject
- 26 k) Email Date Sent
- 27 l) Email Time Sent
- 28 m) Time Zone

- 1 n) File Type
- 2 o) File Extension
- 3 p) File Name
- 4 q) Author
- 5 r) Date Created
- 6 s) Time Created
- 7 t) Date Modified
- 8 u) Time Modified
- 9 v) File Size
- 10 w) Page Count
- 11 x) Original File Path
- 12 y) MD5 Hash
- 13 z) Conversation Index
- 14 aa) Email ThreadID
- 15 bb) Document Title
- 16 cc) Native Link (Path to native file in production)
- 17 dd) Path (Path to extracted/OCR text file in production)

18

19 **7. PHASING**

20 The parties agree to phase the production of ESI, by first producing ESI held by a party
21 which is identified by search terms provided by the requesting party. After an initial production,
22 the parties shall identify custodians and search terms for the production of email. For ESI other
23 than email, the parties agree to 20 search terms per side. For email, the parties agree to 5
24 custodians per side and 10-15 keywords per custodian.

25

26 **8. DOCUMENTS PROTECTED FROM DISCOVERY**

27 a) Pursuant to Fed. R. Evid. 502(d), the production of a privileged or work-product-
28 or protection from discovery in this case or in any other federal or state
proceeding. For example, the mere production of privileged or work-product-

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protected documents in this case as part of a mass production is not itself a waiver in this case or in any other federal or state proceeding.

b) Both parties agree that any inadvertent inclusion of any privileged material shall not result in the waiver of any associated privilege nor result in a subject matter waiver of any kind. Both parties agree to return any privileged material inadvertently disclosed immediately upon notice of the disclosure.

c) Communications involving trial counsel need not be placed on a privilege log.

9. MODIFICATION

This Stipulated Order may be modified by a Stipulated Order of the parties or by the Court for good cause shown.

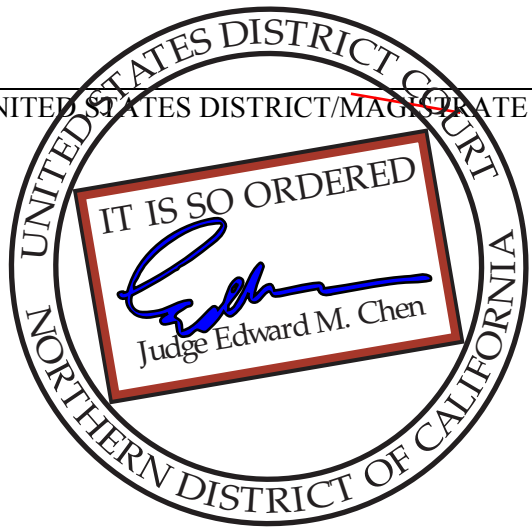
IT IS SO STIPULATED, through Counsel of Record.

Dated: January 26, 2018 _____ */s/ Stuart C. Plunkett*
Counsel for Plaintiff

Dated: January 26, 2018 _____ */s/ Sarah B. Petty*
Counsel for Defendant

IT IS ORDERED that the forgoing Agreement is approved.

Dated: 1/29/18 _____
UNITED STATES DISTRICT/MAGISTRATE JUDGE



1 Dated: January 26, 2018

2 **BAKER BOTTS LLP**

3
4 /s/ Stuart C. Plunkett

5 Stuart C. Plunkett (State Bar No. 187971)

6 stuart.plunkett@bakerbotts.com

7 Ariel D. House (State Bar No. 280477)

8 Ariel.house@bakerbotts.com

9 BAKER BOTTS LLP

10 101 California Street, Suite 3070

11 San Francisco, California 94111

12 Telephone: (415) 291-6200

13 Facsimile: (415) 291-6300

14 Paul R. Morico (*pro hac vice*)

15 paul.morico@bakerbotts.com

16 Elizabeth D. Flannery (*pro hac vice*)

17 liz.flannery@bakerbotts.com

18 BAKER BOTTS LLP

19 One Shell Plaza

20 901 Louisiana Street

21 Houston, Texas 77002

22 Telephone: (713) 229-1234

23 Facsimile: (713) 229-1522

24 *Counsel for Plaintiff*

**WILMER CUTLER PICKERING
HALE AND DORR LLP**

/s/ Sarah B. Petty

Robert J. Gunther, Jr.

Omar Khan

WILMER CUTLER PICKERING HALE AND
DORR LLP

7 World Trade Center

New York, NY 10007

Telephone: 212-230-8800

robert.gunther@wilmerhale.com

omar.khan@wilmerhale.com

Robert M. Galvin (State Bar No. 171508)

WILMER CUTLER PICKERING HALE AND
DORR LLP

950 Page Mill Road

Palo Alto, CA 94304

Telephone: 650-858-6000

robert.galvin@wilmerhale.com

Sarah B Petty

WILMER CUTLER PICKERING HALE AND
DORR LLP

60 State Street

Boston, MA 02109

Telephone: 617-526-6755

sarah.petty@wilmerhale.com

Nora Q.E. Passamaneck (*pro hac vice*)

WILMER CUTLER PICKERING HALE
AND DORR LLP

1225 17th Street, Suite 2600

Denver, CO 80202

Telephone: 720-274-3135

nora.passamaneck@wilmerhale.com

Counsel for Defendants

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ATTESTATION

I hereby attest that I have obtained concurrence of the above noted signatories as indicated by a “conformed” signatures within this e-filed document.

DATED: January 26, 2018

By: /s/ Stuart C. Plunkett
Stuart C. Plunkett (SBN 187971)
stuart.plunkett@bakerbotts.com
BAKER BOTTS L.L.P.
101 California Street, Suite 3600
San Francisco, CA 94111
Telephone: (415) 291-6204

Counsel for Plaintiff