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16	NORTHERN DISTRICT OF CALIFORNIA	
17		
18	150 SPEAR STREET ASSOCIATES,	CASE NO. 3:17-cv-03246-JST
19	L.P.,	STIPULATED PROTECTIVE ORDER
20	Plaintiff,	Judge: Hon. Jon S. Tigar
21	V.	Complaint Filed: June 6, 2017
22	VWR INTERNATIONAL, LLC; UNIVAR USA INC.,	
23	Defendants.	
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25		
26		
27		-1- CASE NO.: 3:17-CV-03246-JST
28		-1- STIPULATED PROTECTIVE ORDER

STIPULATED PROTECTIVE ORDER

Pursuant to Rule 26(c) of the Federal Rules of Civil Procedure, all parties have stipulated
and agreed to the terms and entry of, and the Court hereby orders the parties to abide by this
Protective Order. Information subject to this Protective Order may be used only for the purposes
of this litigation.

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1.

PURPOSES AND LIMITATIONS

Disclosure and discovery activity in this action are likely to involve production of 7 confidential, proprietary, or private information for which special protection from public 8 disclosure and from use for any purpose other than prosecuting this litigation may be warranted. 9 10 Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this Order does not confer blanket protections on 11 all disclosures or responses to discovery and that the protection it affords from public disclosure 12 and use extends only to the limited information or items that are entitled to confidential treatment 13 under the applicable legal principles. The parties further acknowledge, as set forth in Section 14 12.3, below, that this Stipulated Protective Order does not entitle them to file confidential 15 information under seal; Civil Local Rule 79-5 sets forth the procedures that must be followed and 16 the standards that will be applied when a party seeks permission from the court to file material 17 under seal. The parties acknowledge that this Protective Order is not intended as a concession that 18 any type or category of information is discoverable in this action. 19

The parties further acknowledge, as outlined in United States District Court Northern
District of California – Guidelines for the Discovery of Electronically Stored Information ("ESI
Guidelines"), that this Protective Order will govern discovery of electronically stored information
("ESI") in this case as a supplement to the Federal Rules of Civil Procedure.

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DEFINITIONS

25 2.1 <u>Challenging Party</u>: a Party or Non-Party that challenges the designation of
 26 information or items under this Order.

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2.2 <u>"CONFIDENTIAL" Information or Items</u>: information (regardless of how it is generated,
 stored or maintained) or tangible things that qualify for protection under Federal Rule of Civil
 Procedure 26(c) and the terms of this Protective Order.

4 2.3 <u>Counsel (without qualifier)</u>: Outside Counsel of Record and House Counsel (as
5 well as their support staff).

2.4 <u>Designating Party</u>: a Party or Non-Party that designates information or items that
 it produces in disclosures or in responses to discovery as "CONFIDENTIAL" or "HIGHLY
 CONFIDENTIAL – ATTORNEYS' EYES ONLY."

- 9 2.5 Disclosure or Discovery Material: all items or information, regardless of the
 10 medium or manner in which it is generated, stored, or maintained (including, among other things,
 11 testimony, transcripts, and tangible things), that are produced or generated in disclosures or
 12 responses to discovery in this matter.
- 2.6 <u>Expert</u>: a person with specialized knowledge or experience in a matter pertinent to
 the litigation who has been retained by a Party or its counsel to serve as an expert witness or as a
 consultant in this action.

162.7House Counsel: attorneys who are employees of a party to this action. House17Counsel does not include Outside Counsel of Record or any other outside counsel.

18 2.8 <u>Non-Party</u>: any natural person, partnership, corporation, association, or other legal
 19 entity not named as a Party to this action.

2.9 <u>Outside Counsel of Record</u>: attorneys who are not employees of a party to this
 action but are retained to represent or advise a party to this action and have appeared in this action
 on behalf of that party or are affiliated with a law firm which has appeared on behalf of that party.

23 2.10 Party: any party to this action, including all of its officers, directors, employees,
 24 consultants, retained experts, and Outside Counsel of Record (and their support staffs).

25 2.11 <u>Producing Party</u>: a Party or Non-Party that produces Disclosure or Discovery
 26 Material in this action.

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CASE NO.: 3:17-CV-03246-JST STIPULATED PROTECTIVE ORDER 2.12 <u>Professional Vendors</u>: persons or entities that provide litigation support services
 (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and
 organizing, storing, or retrieving data in any form or medium) and their employees and
 subcontractors.

2.13 <u>Protected Material</u>: any Disclosure or Discovery Material that is designated as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY."

2.14 <u>Receiving Party</u>: a Party that receives Disclosure or Discovery Material from a Producing Party.

3. <u>SCOPE</u>

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The protections conferred by this Stipulation and Order cover not only Protected Material 10 (as defined above), but also (1) any information copied or extracted from Protected Material; (2) 11 all copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony, 12 conversations, or presentations by Parties or their Counsel that might reveal Protected Material. 13 However, the protections conferred by this Stipulation and Order do not cover the following 14 information: (a) any information that is in the public domain at the time of disclosure to a 15 Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as a 16 result of publication not involving a violation of this Order, including becoming part of the public 17 record through trial or otherwise; and (b) any information known to the Receiving Party prior to 18 the disclosure or obtained by the Receiving Party after the disclosure from a source who obtained 19 the information lawfully and under no obligation of confidentiality to the Designating Party. Any 20 use of Protected Material at trial shall be governed by a separate agreement or order. 21

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4. <u>DURATION</u>

Even after final disposition of this litigation, the confidentiality obligations imposed by this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and defenses in this action, with or without prejudice; and (2) final judgment herein after the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action,

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including the time limits for filing any motions or applications for extension of time pursuant to
 applicable law.

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5.

DESIGNATING PROTECTED MATERIAL

5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party
or Non-Party that designates information or items for protection under this Order must take care
to limit any such designation to specific material that qualifies under the appropriate standards.
The Designating Party must designate for protection only those parts of material, documents,
items, or oral or written communications that qualify – so that other portions of the material,
documents, items, or communications for which protection is not warranted are not swept
unjustifiably within the ambit of this Order.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber or retard the case development process or to impose unnecessary expenses and burdens on other parties) expose the Designating Party to sanctions.

If it comes to a Designating Party's attention that information or items that it designated
for protection do not qualify for protection, that Designating Party must promptly notify all other
Parties that it is withdrawing the mistaken designation.

5.2 <u>Manner and Timing of Designations</u>. Except as otherwise provided in this Order
 (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered,
 Disclosure or Discovery Material that qualifies for protection under this Order must be clearly so
 designated before the material is disclosed or produced.

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Designation in conformity with this Order requires:

(a) for information in documentary form (e.g., paper or electronic documents, but
excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing Party
affix the legend "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES
ONLY" to each page that contains protected material. If only a portion or portions of the material
on a page qualifies for protection, the Producing Party also must clearly identify the protected

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portion(s) (e.g., by making appropriate markings in the margins) and must specify, for each portion, the level of protection being asserted.

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A Party or Non-Party that makes original documents or materials available for 3 inspection need not designate them for protection until after the inspecting Party has indicated 4 which material it would like copied and produced. During the inspection and before the 5 designation, all of the material made available for inspection shall be deemed "HIGHLY 6 CONFIDENTIAL – ATTORNEYS' EYES ONLY," until a different designation is provided by 7 the Producing Party. After the inspecting Party has identified the documents it wants copied and 8 produced, the Producing Party must determine which documents, or portions thereof, qualify for 9 protection under this Order. Then, before producing the specified documents, the Producing Party 10 must affix the appropriate legend ("CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – 11 ATTORNEYS' EYES ONLY") to each page that contains Protected Material. If only a portion or 12 portions of the material on a page qualifies for protection, the Producing Party also must clearly 13 identify the protected portion(s) (e.g., by making appropriate markings in the margins) and must 14

specify, for each portion, the level of protection being asserted.

(b) for testimony given in deposition or in other pretrial or trial proceedings, that the 16 Designating Party identify on the record, before the close of the deposition, hearing, or other 17 proceeding, all protected testimony and specify the level of protection being asserted. When it is 18 impractical to identify separately each portion of testimony that is entitled to protection and it 19 appears that substantial portions of the testimony may qualify for protection, the Designating 20 Party may invoke on the record (before the deposition, hearing, or other proceeding is concluded) 21 a right to have up to 21 days from the date the deposition is completed or from the date the 22 deponent or party reviews and corrects the transcript to identify the specific portions of the 23 testimony as to which protection is sought and to specify the level of protection being asserted. 24 Only those portions of the testimony that are appropriately designated for protection within the 21 25 days shall be covered by the provisions of this Protective Order. Alternatively, a Designating 26 Party may specify, at the deposition or up to 21 days afterwards if that period is properly invoked, 27

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that the entire transcript shall be treated as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY."

Parties shall give the other parties notice if they reasonably expect a deposition, hearing or other proceeding to include Protected Material so that the other parties can ensure that only authorized individuals who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A) are present at those proceedings. The use of a document as an exhibit at a deposition shall not in any way affect its designation as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY."

Transcripts containing Protected Material shall have an obvious legend on the title 9 page that the transcript contains Protected Material, and the title page shall be followed by a list 10 of all pages (including line numbers as appropriate) that have been designated as Protected 11 Material and the level of protection being asserted by the Designating Party. The Designating 12 Party shall inform the court reporter of these requirements. Any transcript that is prepared before 13 the expiration of a 21-day period for designation shall be treated during that period as if it had 14 been designated "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" in its entirety 15 unless otherwise agreed. After the expiration of that period, the transcript shall be treated only as 16 actually designated. 17

(c) for information produced in some form other than documentary and for any other 18 tangible items, that the Producing Party affix in a prominent place on the exterior of the container 19 or containers in which the information or item is stored the legend "CONFIDENTIAL," 20 "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY." If only a portion or portions of 21 the information or item warrant protection, the Producing Party, to the extent practicable, shall 22 identify the protected portion(s) and specify the level of protection being asserted. During the 23 inspection and before the designation, all of the material made available for inspection shall be 24 deemed "HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY," until a different 25 designation is provided by the Producing Party. Should Producing Party object to the production, 26 prior to production the Producing Party shall have fourteen (14) days to request judicial 27

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intervention of the objection as set forth below in section 7.3, or withdraw its objection and produce the requested information.

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Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to 5.3 designate qualified information or items does not, standing alone, waive the Designating Party's 4 right to secure protection under this Order for such material. In the event a Designating Party 5 inadvertently fails to designate qualified material as "CONFIDENTIAL" or "HIGHLY 6 CONFIDENTIAL - ATTORNEYS' EYES ONLY" in accordance with the foregoing procedures, 7 the Designating Party shall provide supplemental written notice designating the material under the 8 appropriate confidentiality designation as soon as practicable and shall also transmit to the 9 Receiving Party the qualified material with the appropriate designation as soon as practicable. 10 Upon receipt of such supplemental written notice, the Receiving Party must make reasonable 11 efforts to assure that the material is treated in accordance with the provisions of this Order. 12

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6.

CHALLENGING CONFIDENTIALITY DESIGNATIONS

6.1 <u>Timing of Challenges</u>. Any Party or Non-Party may challenge a designation of
confidentiality at any time. Unless a prompt challenge to a Designating Party's confidentiality
designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic
burdens, or a significant disruption or delay of the litigation, a Party does not waive its right to
challenge a confidentiality designation by electing not to mount a challenge promptly after the
original designation is disclosed.

Meet and Confer. The Challenging Party shall initiate the dispute resolution 6.2 20 process by providing written notice of each designation it is challenging and describing the basis 21 for each challenge. To avoid ambiguity as to whether a challenge has been made, the written 22 notice must recite that the challenge to confidentiality is being made in accordance with this 23 specific paragraph of the Protective Order. The parties shall attempt to resolve each challenge in 24 good faith and must begin the process by conferring directly (in voice to voice dialogue; other 25 forms of communication are not sufficient) within 14 days of the date of service of notice. In 26 conferring, the Challenging Party must explain the basis for its belief that the confidentiality 27

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CASE NO.: 3:17-CV-03246-JST STIPULATED PROTECTIVE ORDER designation was not proper and must give the Designating Party an opportunity to review the
designated material, to reconsider the circumstances, and, if no change in designation is offered,
to explain the basis for the chosen designation. A Challenging Party may proceed to the next stage
of the challenge process only if it has engaged in this meet and confer process first or establishes
that the Designating Party is unwilling to participate in the meet and confer process in a timely
manner.

6.3 Judicial Intervention. If the Parties cannot resolve a challenge without court 7 intervention, the Designating Party shall file and serve a motion to retain confidentiality under 8 Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if applicable) within 21 days 9 of the initial notice of challenge or within 14 days of the parties agreeing that the meet and 10 confer process will not resolve their dispute, whichever is earlier. Each such motion must be 11 accompanied by a competent declaration affirming that the movant has complied with the meet 12 and confer requirements imposed in the preceding paragraph. Failure by the Designating Party 13 to make such a motion including the required declaration within 21 days (or 14 days, if 14 applicable) shall automatically waive the confidentiality designation for each challenged 15 designation. In addition, the Challenging Party may file a motion challenging a confidentiality 16 designation at any time if there is good cause for doing so, including a challenge to the 17 designation of a deposition transcript or any portions thereof. Any motion brought pursuant to 18 this provision must be accompanied by a competent declaration affirming that the movant has 19 complied with the meet and confer requirements imposed by the preceding paragraph. The 20 Court may indicate a different procedure and the parties are required to follow the protocol set 21 by the Court. 22

The burden of persuasion in any such challenge proceeding shall be on the Designating Party. Frivolous challenges, and those made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions. Unless the Designating Party has waived the confidentiality designation by failing to file a motion to retain confidentiality as described above, all parties shall continue to afford the

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material in question the level of protection to which it is entitled under the Producing Party's designation until the court rules on the challenge.

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ACCESS TO AND USE OF PROTECTED MATERIAL

7.1 <u>Basic Principles</u>. A Receiving Party may use Protected Material that is disclosed or
produced by another Party or by a Non-Party in connection with this case only for prosecuting,
defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to
the categories of persons and under the conditions described in this Order. When the litigation has
been terminated, a Receiving Party must comply with the provisions of section 13 below (FINAL
DISPOSITION).

Protected Material must be stored and maintained by a Receiving Party at a location and in
 a secure manner that ensures that access is limited to the persons authorized under this Order.

12 7.2 <u>Disclosure of "CONFIDENTIAL" Information or Items</u>. Unless otherwise ordered
 13 by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any
 14 information or item designated "CONFIDENTIAL" only to:

(a) the Receiving Party's Outside Counsel of Record in this action, as well as
employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the
information for this litigation and who have signed the "Acknowledgment and Agreement to Be
Bound" that is attached hereto as Exhibit A;

(b) the officers, directors, and employees (including House Counsel) of the Receiving
Party to whom disclosure is reasonably necessary for this litigation and who have signed the
"Acknowledgment and Agreement to Be Bound" (Exhibit A);

(c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is
reasonably necessary for this litigation and who have signed the "Acknowledgment and
Agreement to Be Bound" (Exhibit A);

(d) the court and its personnel;

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(e) court reporters and their staff, professional jury or trial consultants, mock jurors, 1 and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who 2 have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A); 3 (f) during their depositions, witnesses in the action to whom disclosure is reasonably 4 necessary and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A), 5 unless otherwise agreed by the Designating Party or ordered by the court. Pages of transcribed 6 deposition testimony or exhibits to depositions that reveal Protected Material must be separately 7 bound by the court reporter and may not be disclosed to anyone except as permitted under this 8 Stipulated Protective Order; and 9 (g) the author or recipient of a document containing the information or a custodian or 10 other person who otherwise possessed or knew the information. 11 7.3 Disclosure of "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" 12 Information or Items. Unless otherwise ordered by the Court or permitted in writing by the 13 Designating Party, a Receiving Party may disclose any information or item designated "HIGHLY 14 CONFIDENTIAL – ATTORNEYS' EYES ONLY" only to: 15 (a) the Receiving Party's Outside Counsel of Record in this action, as well as 16 employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the 17 information for this litigation and who have signed the "Acknowledgment and Agreement to Be 18 Bound" that is attached hereto as Exhibit A; 19 (b) Experts of the Receiving Party (1) to whom disclosure is reasonably necessary for 20 this litigation, (2) who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit 21 A), and (3) as to whom the procedures set forth in paragraph 7.4(b), below, have been followed; 22 (c) the Court and its personnel; 23 (d) court reporters and their staff, professional jury or trial consultants, and 24 Professional Vendors to whom disclosure is reasonably necessary for this litigation and who have 25 signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A); and 26 /// 27 CASE NO.: 3:17-CV-03246-JST -11-28 STIPULATED PROTECTIVE ORDER

(e) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

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7.4 Procedures for Approving or Objecting to Disclosure of "HIGHLY
CONFIDENTIAL – ATTORNEYS' EYES ONLY" Information or Items to Counsel or Experts.
(a) Unless otherwise ordered by the Court or agreed to in writing by the Designating

Party, a Party that seeks to disclose to House Counsel of the Receiving Party any information or 6 item that has been designated "HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY" first 7 must make a written request to the Designating Party that (1) sets forth the full name of the House 8 Counsel and the city and state of his or her residence, (2) describes the House Counsel's current 9 and reasonably foreseeable future primary job duties and responsibilities in sufficient detail to 10 determine if House Counsel is involved, or may become involved, in any competitive decision-11 making, (3) identifies the general categories of "HIGHLY CONFIDENTIAL – ATTORNEYS' 12 EYES ONLY" information that the Receiving Party seeks permission to disclose to House 13 Counsel, and (4) the reason why such disclosure is reasonably necessary for the litigation. The 14 notice must be provided to the Designating Party seven (7) days prior to the disclosure of the 15 designated material. 16

(b) Unless otherwise ordered by the Court or agreed to in writing by the Designating 17 Party, a Party that seeks to disclose to an Expert (as defined in this Protective Order) any 18 information or item that has been designated "HIGHLY CONFIDENTIAL – ATTORNEYS" 19 EYES ONLY" pursuant to paragraph 7.3(c) first must make a written request to the Designating 20 Party that (1) identifies the general categories of "HIGHLY CONFIDENTIAL – ATTORNEYS" 21 EYES ONLY" information that the Receiving Party seeks permission to disclose to the Expert, 22 (2) sets forth the full name of the Expert and the city and state of his or her primary residence, (3) 23 attaches a copy of the Expert's current resume, (4) identifies the Expert's current employer(s), (5) 24 identifies each person or entity from whom the Expert has received compensation or funding for 25 work in his or her areas of expertise or to whom the expert has provided professional services, 26 including in connection with a litigation, at any time during the preceding five years, and (6) 27

identifies (by name and number of the case, filing date, and location of court) any litigation in connection with which the Expert has offered expert testimony, including through a declaration, report, or testimony at a deposition or trial, during the preceding five (5) years. The notice must be provided to the Designating Party seven (7) days prior to the disclosure of the designated material.

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(c) Unless otherwise ordered by the Court or agreed to in writing by the Designating 6 Party, a Party that seeks to disclose "HIGHLY CONFIDENTIAL ATTORNEY – EYES ONLY" 7 material to an officer or director of the Receiving Party first must make a written request to the 8 Designating Party that (1) identifies the general categories of "HIGHLY CONFIDENTIAL – 9 ATTORNEYS' EYES ONLY" information that the Receiving Party seeks permission to disclose 10 to the officer or director, (2) sets forth the full name of the officer or director and the city and state 11 of his or her primary residence, (3) identifies the officer's or director's current employer(s) and 12 any other boards upon which he or she sits, (4) identifies each person or entity from whom the 13 officer or director has received compensation at any time during the preceding five years, and (5) 14 the reason why such disclosure is reasonably necessary for the litigation. Further, counsel for the 15 Receiving Party must provide confirmation that the officer or director has signed the 16 "Acknowledgment and Agreement to Be Bound" (Exhibit A) with agreement to limit the use of 17 this information to discussion with their counsel and for no other purpose. The notice must be 18 provided to the Designating Party seven (7) days prior to the disclosure of the designated 19 material. Furthermore, the number of officers and directors of the Receiving Party to whom 20 disclosure is reasonably necessary for this litigation will not exceed three (3) individuals, and the 21 information will not be disseminated or transmitted within the organization either directly or 22 indirectly. 23

(d) A Party that makes a request and provides the information specified in the 24 preceding respective paragraphs may disclose the subject Protected Material to the identified 25 officer or director, House Counsel, or Expert unless, within seven (7) days of delivering the 26 request, the Party receives a written objection from the Designating Party. Any such objection 27

must set forth in detail the grounds on which it is based.

(e) A Party that receives a timely written objection must meet and confer with the 2 Designating Party (through direct voice to voice dialogue) to try to resolve the matter by 3 agreement within seven (7) days of the written objection. If no agreement is reached, the Party 4 seeking to make the disclosure to the officer or director, House Counsel, or the Expert may file a 5 motion as provided in Civil L.R. 7 (and in compliance with Civil L.R. 79-5, if applicable) and in 6 accordance with the Judge's Standing Order, if applicable, seeking permission from the Court to 7 do so. Any such motion must describe the circumstances with specificity, set forth in detail the 8 reasons why the disclosure to officer or director, House Counsel, or the Expert is reasonably 9 necessary, assess the risk of harm that the disclosure would entail, and suggest any additional 10 means that could be used to reduce that risk. In addition, any such motion must be accompanied 11 by a competent declaration describing the parties' efforts to resolve the matter by agreement (i.e., 12 the extent and the content of the meet and confer discussions) and should set forth the reasons 13 advanced by the Designating Party for its refusal to approve the disclosure. 14

In any such proceeding, the Party opposing disclosure to an officer or director, House
Counsel, or Expert shall bear the burden of proving that the risk of harm that the disclosure would
entail (under the safeguards proposed) outweighs the Receiving Party's need to disclose the
Protected Material to its officer or director, House Counsel, or Expert.

Failure to object to access to an officer or director, House Counsel, or an Expert shall not preclude any party from later objecting to continued access by that officer or director, House Counsel, or Expert where facts suggest a basis for objecting could not have been discovered by the objecting party within the time for making timely objection. If a later objection is made, no further Protected Matter shall be disclosed to the officer or director, House Counsel, or Expert until the matter is resolved by the parties through the meet and confer process, by the Court, or the objecting Party withdraws its objection.

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1	8. <u>PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER</u>
2	LITIGATION
3	If a Party is served with a subpoena or a court order issued in other litigation that compels
4	disclosure of any information or items designated in this action as "CONFIDENTIAL" or
5	"HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" that Party must:
6	(a) promptly notify in writing the Designating Party. Such notification shall include a
7	copy of the subpoena or court order;
8	(b) promptly notify in writing the party who caused the subpoena or order to issue in
9	the other litigation that some or all of the material covered by the subpoena or order is subject to
10	this Protective Order. Such notification shall include a copy of this Stipulated Protective Order;
11	and
12	(c) cooperate with respect to all reasonable procedures sought to be pursued by the
13	Designating Party whose Protected Material may be affected.
14	If the Designating Party timely seeks a protective order, the Party served with the
15	subpoena or court order shall not produce any information designated in this action as
16	"CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" before a
17	determination by the court from which the subpoena or order issued, unless the Party has obtained
18	the Designating Party's permission. The Designating Party shall bear the burden and expense of
19	seeking protection in that court of its confidential material – and nothing in these provisions
20	should be construed as authorizing or encouraging a Receiving Party in this action to disobey a
21	lawful directive from another court.
22	9. <u>A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS</u>
23	LITIGATION
24	(a) The terms of this Order are applicable to information produced by a Non-Party in
25	this action and designated as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL –
26	ATTORNEYS' EYES ONLY." Such information produced by Non-Parties in connection with
27	this litigation is protected by the remedies and relief provided by this Order. Nothing in these

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provisions should be construed as prohibiting a Non-Party from seeking additional protections. 1 (b) In the event that a Party is required, by a valid discovery request, to produce a 2 Non-Party's confidential information in its possession, and the Party is subject to an agreement 3 with the Non-Party not to produce the Non-Party's confidential information, then the Party shall: 4 (1) promptly notify in writing the Requesting Party and the Non-Party that some 5 or all of the information requested is subject to a confidentiality agreement with a Non-Party; 6 (2) promptly provide the Non-Party with a copy of the Stipulated Protective Order 7 in this litigation, the relevant discovery request(s), and a reasonably specific description of the 8 information requested; and 9 (3) make the information requested available for inspection by the Non-Party. 10 (c) If the Non-Party fails to object or seek a protective order from this court within 14 11 days of receiving the notice and accompanying information, the Receiving Party may produce the 12 Non-Party's confidential information responsive to the discovery request. If the Non-Party timely 13 seeks a protective order, the Receiving Party shall not produce any information in its possession 14 or control that is subject to the confidentiality agreement with the Non-Party before a 15 determination by the court. Absent a court order to the contrary, the Non-Party shall bear the 16 burden and expense of seeking protection in this court of its Protected Material. 17 10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL 18 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected 19 Material to any person or in any circumstance not authorized under this Stipulated Protective 20 Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the 21 unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the 22 Protected Material, (c) inform the person or persons to whom unauthorized disclosures were made 23 of all the terms of this Order, and (d) request such person or persons to execute the 24 "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A. 25 /// 26 /// 27 CASE NO.: 3:17-CV-03246-JST -16-28 STIPULATED PROTECTIVE ORDER

11.

INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL

When a Producing Party gives notice to Receiving Parties that certain inadvertently 3 produced material is subject to a claim of privilege or other protection, the obligations of the 4 Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This 5 provision is not intended to modify whatever procedure may be established in an e-discovery 6 order that provides for production without prior privilege review. Pursuant to Federal Rule of 7 Evidence 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a 8 communication or information covered by the attorney-client privilege or work product 9 protection, the parties may incorporate their agreement in the stipulated protective order 10 submitted to the court. 11

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12. MISCELLANEOUS

13 12.1 Right to Further Relief. Nothing in this Order abridges the right of any person to
14 seek its modification by the court in the future.

12.2 Right to Assert Other Objections. By stipulating to the entry of this Protective
Order no Party waives any right it otherwise would have to object to disclosing or producing any
information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no
Party waives any right to object on any ground to use in evidence any of the material covered by
this Protective Order.

12.3 Privilege Logs. Counsel for a party may withhold documents from production as
exempt from discovery because such documents are protected from disclosure under the attorneyclient privilege or work product doctrine of Fed. R. Civ. P. 26(b), or any other applicable
privilege or immunity. For documents so withheld, the party withholding the documents shall
prepare a log consistent with Fed. R. Civ. P. 26(b)(5) identifying each document withheld, the
protection claimed, and the basis for the protection, which shall be produced within fourteen (14)
days of the date responses to Request for Production are required by Fed. R. Civ. P. 34. Counsel

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for either party need not list in any such log any privileged documents or communications between their firm and their client created after the filing of this action.

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12.4 Filing Protected Material. Without written permission from the Designating Party 3 or a court order secured after appropriate notice to all interested persons, a Party may not file in 4 the public record in this action any Protected Material. A Party that seeks to file under seal any 5 Protected Material must comply with Civil Local Rule 79-5. Protected Material may only be filed 6 under seal pursuant to a court order authorizing the sealing of the specific Protected Material at 7 issue. Pursuant to Civil Local Rule 79-5, a sealing order will issue only upon a request 8 establishing that the Protected Material at issue is privileged, protectable as a trade secret, or 9 otherwise entitled to protection under the law. If a Receiving Party's request to file Protected 10 Material under seal pursuant to Civil Local Rule 79-5(d) is denied by the court, then the 11 Receiving Party may file the information in the public record pursuant to Civil Local Rule 79-5(e) 12 unless otherwise instructed by the court. 13

14 12.5 This Protective Order shall be binding upon the parties and their attorneys,
15 successors, executors, personal representatives, administrators, heirs, legal representatives,
16 assigns, subsidiaries, divisions, employees, agents, independent contractors, or other persons or
17 organizations over which they have control.

18 12.6 The party or parties receiving Protected Material shall not under any circumstances
 19 sell, offer for sale, advertise, or publicize Protected Material or any information contained therein.
 20 13. <u>FINAL DISPOSITION</u>

Within 60 days after the final disposition of this action, as defined in paragraph 4, each
Receiving Party must return all Protected Material to the Producing Party or destroy such
material. As used in this subdivision, "all Protected Material" includes all copies, abstracts,
compilations, summaries, and any other format reproducing or capturing any of the Protected
Material. Whether the Protected Material is returned or destroyed, the Receiving Party must
submit a written certification to the Producing Party (and, if not the same person or entity, to the
Designating Party) by the 60 day deadline that (1) identifies (by category, where appropriate) all

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1	the Protected Material that was returned or destroyed and (2) affirms that the Receiving Party has
2	not retained any copies, abstracts, compilations, summaries or any other format reproducing or
3	capturing any of the Protected Material. Notwithstanding this provision, Counsel are entitled to
4	retain an archival copy of all pleadings, motion papers, trial, deposition, and hearing transcripts,
5	legal memoranda, correspondence, deposition and trial exhibits, expert reports, attorney work
6	product, and consultant and expert work product, even if such materials contain Protected
7	Material. Any such archival copies that contain or constitute Protected Material remain subject to
8	this Protective Order as set forth in Section 4 (DURATION).
9	IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.
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27	10 CASE NO.: 3:17-CV-03246-JST
28	-19- CASE NO.: 3:17-CV-03246-JS1 STIPULATED PROTECTIVE ORDER

1	Dated: December 19, 2017	DRINKER BIDDLE & REATH LLP
2		
3		By: <u>/s/ Bonnie Barnett</u> BONNIE BARNETT
4		ADAM J. THURSTON Attorneys for Defendant VWR INTERNATIONAL, LLC
5		
6	Dated: December 19, 2017	ROPERS, MAJESKI, KOHN & BENTLEY
7		
8		By: /s/ Kathleen Strickland KATHLEEN STRICKLAND
9		STEPHAN CHOO Attorneys for Defendant
10		VWR INTERNATIONAL, LLC
11	Dated: December 19, 2017	VERIS LAW GROUP PLLC
12		
13		By: <u>/s/ Michelle Rosenthal</u>
14		MICHELLE ROSENTHAL GREGORY HIXSON
15		Attorneys for Defendant UNIVAR USA INC.
16	Dated: December 19, 2017	NIXON PEABODY LLP
17	,	
18		By: /s/ Jennifer Kuenster
19		JENNIFER KUENSTER
20		ANTHONY BARRON Attorneys for Defendant
21		UNIVAR USA INC.
22		
23		
24		
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25 26		
20 27		
28		-20- CASE NO.: 3:17-CV-03246-JST STIPULATED PROTECTIVE ORDER

1	Dated: Dec	cember 19, 2017	PILI LLP	LSBURY WINTHROP SHAW PITTMAN
2				
3			By:	/s/ Philip S. Warden
4				PHILIP S. WARDEN VIJAY K. TOKE
5				Attorneys for Plaintiff 150 SPEAR STREET ASSOCIATES,
6				L.P.
7				
8	PURSUAN	T TO STIPULATION, IT	IS SO ORDERF	۲D.
9				
10	DATED:	December 19, 2017	(Jun. Jegen
11			HON UNIT	ORABLE JON S. TIGAR ED STATES DISTRICT JUDGE
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28			-21-	CASE NO.: 3:17-CV-03246-JST STIPULATED PROTECTIVE ORDER

1	EXHIBIT A
2	ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND
3	I, [print or type full name], of [print or
4	type full address], declare under penalty of perjury that I have read in its entirety and understand
5	the Stipulated Protective Order that was issued by the United States District Court for the
6	Northern District of California on in the case of <u>150 Spear Street Associates, L.P. v. VWR</u>
7	International, LLC, et al, CASE NO. 3:17-cv-03246-JST. I agree to comply with and to be bound
8	by all the terms of this Stipulated Protective Order and I understand and acknowledge that failure
9	to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly
10	promise that I will not disclose in any manner any information or item that is subject to this
11	Stipulated Protective Order to any person or entity except in strict compliance with the provisions
12	of this Order.
13	I further agree to submit to the jurisdiction of the United States District Court for the Northern
14	District of California for the purpose of enforcing the terms of this Stipulated Protective Order,
15	even if such enforcement proceedings occur after termination of this action.
16	I hereby appoint [print or type full name] of
17	[print or type full address and telephone
18	number] as my California agent for service of process in connection with this action or any
19	proceedings related to enforcement of this Stipulated Protective Order.
20	
21	Date:
22	City and State where sworn and signed:
23	
24	Printed name:
25	
26	Signature:
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28	-22- CASE NO.: 3:17-CV-03246-JST STIPULATED PROTECTIVE ORDER

I

1	ATTESTATION PURSUANT TO CIVIL LOCAL RULE 5-1(i)(3)
2	I, N. Kathleen Strickland, am the ECF user whose identification and password are being
3	used to file the foregoing Stipulated Protective Order. I hereby attest that the above-referenced
4	signatories to this Stipulated Protective Order have concurred in this filing.
5	signatories to this supulated i foteenve order have concurred in this fining.
6	Dated: December 19, 2017 By: /s/N. Kathleen Strickland
7	N. KATHLEEN STRICKLAND
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27	CASE NO.: 3:17-CV-03246-JST
28	-23- CASE NO.: 3:17-CV-03246-JST STIPULATED PROTECTIVE ORDER