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28UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIAHUMBERTO MARTINEZ, et al.,  
Plaintiffs,  
v.  
CITY OF PITTSBURG, et al.,  
Defendants.Case No. [17-cv-04246-RS](#)**ORDER GRANTING IN PART AND  
DENYING IN PART DEFENDANTS'  
MOTION FOR SUMMARY  
JUDGMENT****I. INTRODUCTION**

This action arises from the death of Humberto Martinez during an arrest by the City of Pittsburg Police Department (“PPD”). Martinez fled during a traffic stop and later engaged in a physical struggle with officers which resulted in his death. Martinez’s family members subsequently filed suit, both individually and on behalf of the decedent, against the City of Pittsburg; Chief of Police Brian Addington, in both his individual and official capacities; several PPD officers;<sup>1</sup> and Does 1-10 (collectively, the “Pittsburg Defendants” or “Defendants”). Plaintiffs allege violations of the First, Fourth, and Fourteenth Amendments and advance state law claims for negligence, battery, and violation of the Bane Act, Cal. Civ. Code § 52.1. The Pittsburg Defendants now move for summary judgment or, alternatively, partial summary judgment.

For the reasons set forth below, the motion is granted in part and denied in part. Summary

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<sup>1</sup> The defendant officers are Patrick Berhan, Jonathan Elmore, Willie Glasper, Ernesto Mejia, Gabriel Palma, and Jason Waite.

1 judgment is granted with respect to Plaintiffs' First and Fourteenth Amendment theories for relief.  
2 Summary judgment is also granted to the extent that Plaintiffs' Fourth Amendment claim relies  
3 upon a lack of probable cause to arrest Martinez. Defendants' motion for summary judgment is  
4 otherwise denied.

5 **II. BACKGROUND<sup>2</sup>**

6 **A. Attempted Traffic Stop and Subsequent Pursuit**

7 While on patrol in a residential neighborhood, Officers Mejia, Glasper, and Waite  
8 observed a car parked on the street in a residential neighborhood. A person was leaning through  
9 the passenger side window, apparently talking to the driver of the car. Moments later, Martinez,  
10 who was the driver of the vehicle, pulled away from the curb and drove down the street. The  
11 officers followed. One of the officers called dispatch requesting a records check for the vehicle  
12 and was informed that the car's registration was expired. Mejia activated the patrol car's  
13 emergency lights and sirens; however, Martinez did not pull over. The subsequent car chase lasted  
14 approximately one to two minutes and covered an eighth of a mile.

15 The brief vehicle pursuit ended in front of the same house where the officers originally  
16 observed Martinez's vehicle. Martinez ran from his car toward the half-opened garage door of the  
17 house. Mejia yelled for Martinez to put his hands up and deployed his taser just before Martinez  
18 reached the garage. The taser had no effect, however, because only one of the probes reached  
19 Martinez. Mejia and Glasper followed Martinez into the garage, ducking under the half-opened  
20 door. Inside, they saw Martinez on the ground scooting backwards away from them. There were  
21 two other individuals in the garage as well. Mejia pulled out his firearm and commanded Martinez  
22 and the two other occupants not to move. Instead, Martinez dashed through the door leading into  
23 the house. Mejia re-holstered his firearm as he and Glasper gave chase.

24 **B. The Fight in the Kitchen**

25 A struggle ensued in the kitchen. Mejia and Glasper attempted to gain control of  
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27 <sup>2</sup> The following facts are undisputed, except where noted.

1 Martinez’s hands so they could handcuff him, but Martinez repeatedly pulled away. At some point  
2 all three of them ended up on the floor, where Martinez continued pulling away from the officers  
3 and trying to evade capture. Glasper testifies that at some point during the commotion, Martinez  
4 kicked him in the legs.

5 Unable to secure Martinez’s hands, Mejia punched him once or twice in the face. Glasper  
6 also struck Martinez three to five times in the torso with a closed fist. According to Mejia,  
7 Martinez then attempted to stand up—at which point Mejia wrapped his arms around Martinez’s  
8 neck and chest and pulled him back to the ground. According to Mejia, Martinez then head butted  
9 him in the chest and chin area using the back of his head.<sup>3</sup> Around this time, Mejia decided to  
10 attempt either a carotid hold or a choke hold. While Mejia testifies that he intended to perform a  
11 carotid hold, he initially described the move as a “choke hold” to his sergeant and subsequently  
12 described it during the coroner’s inquest as a maneuver designed to “cut off the airway to the  
13 person’s brain.” Mejia Dep. 104:16-105:25. Mejia also avers that Martinez bit his free hand. The  
14 alleged bite resulted in a small cut which caused some bleeding. Plaintiffs, however, dispute  
15 whether Martinez intentionally bit Mejia, noting that Mejia did not receive any medical treatment  
16 for the alleged bite.

17 The officers were eventually able to handcuff one of Martinez’s wrists, however they were  
18 unable to secure his other hand. At some point during the struggle, Glasper transitioned from  
19 striking Martinez with his fists to delivering strikes with his elbows and knees. Glasper testifies  
20 that he was forced to make this change because he injured his hand during the struggle,<sup>4</sup> however  
21 he previously stated during the coroner’s inquest that he started using his elbows to “raise my  
22 level of striking.” Coroner’s Inquest 61:21-62:18. Glasper also admitted in an interview with the  
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24 <sup>3</sup> Plaintiffs do not concede that Martinez either attempted to stand up or struck Mejia with his  
25 head. They argue that a reasonable jury could disbelieve Mejia’s testimony regarding this  
sequence of events.

26 <sup>4</sup> There is some dispute about the exact cause of this injury. While Glasper testified that he could  
27 not recall exactly how he injured his hand, he previously stated that he injured his hand while  
delivering strikes.

1 District Attorney’s investigator that he “delivered strikes with [his] foot” by “kicking in a  
2 downward motion at [Martinez’s] torso and leg area.” Glasper Dep, 106:21-25. Glasper eventually  
3 called for Waite, who was standing in the garage monitoring the two bystanders, to come help  
4 subdue Martinez. Upon arriving in the kitchen, Waite attempted to string multiple handcuffs  
5 together in order to handcuff Martinez’s free hands but did not strike Martinez.

6 **C. Arrival of Officers Elmore, Palma and Berhan**

7 Officers Elmore, Palma, and Berhan arrived on the scene within moments of each other,  
8 having received radio communications that officers were involved in a physical altercation with a  
9 suspect. Berhan immediately tased Martinez in the thigh while Palma attempted to secure one of  
10 Martinez’s arms. Elmore, Palma, and Berhan testify that they heard Mejia say Martinez was biting  
11 him, at which point Elmore struck Martinez’s torso seven times with a closed fist. Plaintiffs,  
12 however, dispute whether any such statement was made, pointing out that the statement is not  
13 audible in the body camera footage. Shortly thereafter, the officers succeeded in rolling Martinez  
14 onto his stomach and handcuffing his hands behind his back. Upon hearing from the other officers  
15 that Martinez was secured, Mejia released his hold on Martinez’s neck. The entire struggle,  
16 beginning when Mejia and Glasper pursued Martinez into the kitchen, lasted approximately two  
17 and a half minutes.

18 **D. Martinez’s Death**

19 Once Martinez was secured, Elmore radioed that no further back up was required, but  
20 continued to apply pressure to the side of Martinez’s head and kept his knee on Martinez’s upper  
21 back for approximately 30 seconds. At the same time, Palma was squatting next to Martinez and  
22 pressing his knees into Martinez’s back and lower buttocks. The parties agree that Palma remained  
23 in that position for at least thirty seconds, however Plaintiffs contend Palma in fact maintained this  
24 position for over two minutes. The parties also disagree about how much of the officers’ weight  
25 was placed on Martinez’s back while he was handcuffed. Eventually, one of the officers noticed  
26 that Martinez was turning purple, at which point they rolled him to his side and removed the  
27 handcuffs. After assessing Martinez’s condition, the officers performed CPR until the paramedics  
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1 arrived. The paramedics transported Martinez to the hospital where he was pronounced dead  
2 within an hour of his arrival.

3 **E. Coroner’s Report and Findings**

4 Dr. Ikechi Ogan, the coroner responsible for performing the autopsy, found evidence of  
5 blunt force injuries to Martinez’s head, neck, torso, and extremities. The examination revealed  
6 fractured cartilage at the top of the neck, hemorrhaging and bruising in the neck, sixteen fractured  
7 ribs, a fractured sternum, and bruising to the lungs and liver. The doctor also found that Martinez  
8 had “flail chest,” which is described as three or more consecutive ribs that are fractured in two or  
9 more places and can interfere with respiration. Although Dr. Ogan did not opine on the precise  
10 cause of the rib fractures, he did not believe they were caused by CPR. He ultimately concluded  
11 the cause of death was mechanical obstruction of respiration, complicated by carotid sinus reflex  
12 stimulation due to the use of a carotid hold. More specifically, the doctor believed Martinez’s neck  
13 injury obstructed his airway.<sup>5</sup> He estimated Martinez’s airways had been obstructed for four to six  
14 minutes and found that “the injuries on both sides of the neck, on the upper chest, the fractured  
15 ribs, [and] the hemorrhages into the airway” confirmed airway obstruction. Ogan Dep, 128:2-19.

16 **F. Municipal Policy**

17 PPD policy allows for the use of a carotid hold as an intermediate force option against a  
18 suspect who is resisting arrest. Accordingly, new officers generally receive one hour of training on  
19 carotid holds during their orientation. Officers also receive training in the police academy  
20 regarding the use of this hold. PPD’s carotid hold policy specifically instructs officers to ensure  
21 the hold does not slip into a “bar arm” or any hold that applies pressure to the front of the neck.  
22 Plaintiffs’ expert opines that this policy is contrary to “generally accepted [law enforcement]  
23 policies and practices” because it does not specify the appropriate duration or level of pressure for  
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27 <sup>5</sup> The Pittsburg Defendants do not dispute Dr. Ogan’s conclusion regarding cause of death for the  
28 purposes of this motion, however they reserve the right to dispute the cause of death at trial.

1 the maneuver. Ryan Report ¶ 227-33.<sup>6</sup> He also avers that the carotid hold is a perishable skill, that  
2 is, one that must be maintained through practice and training. Id. ¶ 230.

3 PPD officers are also advised to apply only a reasonable amount of weight when  
4 attempting to control a suspect to avoid interfering with the person’s ability to breath. While PPD  
5 provides some training about the dangers of interfering with a suspect’s breathing, none of the  
6 defendant officers were familiar with the terms restraint, positional, or compression asphyxia.<sup>7</sup>  
7 Chief Addington was familiar with these concepts but was unable to point to any specific policy  
8 addressing these types of asphyxiation. Plaintiffs’ expert ultimately concluded that PPD’s training  
9 on this issue is out of step with norms within the law enforcement community, which he contends  
10 involve specifically training officers about the danger of placing pressure on a person’s back while  
11 they are prone and handcuffed, particularly if the suspect is overweight.<sup>8</sup>

### 12 III. LEGAL STANDARD

#### 13 A. Summary Judgment Standard

14 Under the Federal Rules of Civil Procedure, a court must grant a motion for summary  
15 judgment if, viewing the evidence in the light most favorable to the nonmoving party, there is no  
16 genuine dispute of material fact and the movant is entitled to judgment as a matter of law. Fed. R.  
17 Civ. P. 56(a); *Valandingham v. Bojorquez*, 866 F.2d 1135, 1137 (9th Cir. 1989). Courts must  
18 ultimately decide “whether the ‘specific facts’ set forth by the nonmoving party, coupled with  
19 undisputed background or contextual facts, are such that a rational or reasonable jury might return  
20 a verdict in its favor based on that evidence.” *T.W. Elec. Serv. v. Pac. Elec. Contractors Ass’n*, 809  
21 F.2d 626, 631 (9th Cir. 1987). When making this evaluation, courts draw all reasonable inferences  
22 in favor of the nonmoving party. *Masson v. New Yorker Magazine, Inc.*, 501 U.S. 496, 520-21

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23 <sup>6</sup> The Pittsburg Defendants’ objection to this portion of the expert report is overruled.

24 <sup>7</sup> The parties refer to restraint, positional, and/or compression asphyxia throughout their briefing.  
25 For the purposes of this order, these associated terms will simply be shortened to “compression  
26 asphyxia.”

27 <sup>8</sup> The Pittsburg Defendants’ objections with respect to this portion of the Ryan Report is  
28 overruled.

1 (1991).

2 **B. Qualified Immunity Standard**

3 The doctrine of qualified immunity protects government officials “from liability for civil  
4 damages insofar as their conduct does not violate clearly established statutory or constitutional  
5 rights of which a reasonable person would have known.” *Harlow v. Fitzgerald*, 457 U.S. 800, 818  
6 (1982). The Supreme Court previously established a sequential two-step process for evaluating  
7 qualified immunity whereby a court must decide: (1) whether, viewing the facts in the light most  
8 favorable to the plaintiff, the government actor violated the plaintiff’s constitutional rights; and (2)  
9 whether these constitutional rights were clearly established at the time of the violation. *Saucier v.*  
10 *Katz*, 533 U.S. 194, 201 (2001). In *Pearson v. Callahan*, the Court reconsidered this process, and  
11 held that “judges of the district courts and the courts of appeals should be permitted to exercise  
12 their sound discretion in deciding which of the two prongs of the qualified immunity analysis  
13 should be addressed first.” 555 U.S. 223, 236 (2009).

14 With regard to the second prong of the inquiry, “clearly established law [is not to be  
15 defined] at a high level of generality.” *Ashcroft v. al-Kidd*, 563 U.S. 731, 742 (2011). In deciding  
16 whether a constitutional right was clearly established at the time of the alleged violation, courts  
17 must ask “whether the violative nature of particular conduct is clearly established.” *Id.* (emphasis  
18 added). This inquiry “must be undertaken in light of the specific context of the case, not as a broad  
19 general proposition.” *Saucier*, 533 U.S. at 201 (emphasis added). To be clearly established, “[t]he  
20 contours of the right must be sufficiently clear that a reasonable official would understand that  
21 what he is doing violates that right.” *Anderson v. Creighton*, 483 U.S. 635, 640 (1987). A case  
22 directly on point is not required, “but existing precedent must have placed the statutory or  
23 constitutional question beyond debate.” *al-Kidd*, 563 U.S. at 741.

24 **IV. DISCUSSION**

25 **A. Fourth Amendment: Unlawful Seizure and Excessive Force**

26 **1. Lawfulness of the Seizure**

27 Plaintiffs concede that the arresting officers had probable cause to stop Martinez.  
28 Accordingly, summary judgment is granted with respect to the lawfulness of the initial seizure.

1                   **2. Excessive Force**

2                   a. Integral Participant Standard

3                   In general, an officer who is merely a bystander to his colleague’s unlawful conduct may  
4 not be held liable for that conduct. *Hopkins v. Bonvicino*, 573 F.3d 752, 769-70 (9th Cir. 2009).  
5 By contrast, an officer who is an integral participant in unlawful conduct may be held liable even  
6 if the officer’s isolated actions do not rise to the level of a constitutional violation. *Blankenhorn v.*  
7 *Cty. of Orange*, 485 F.3d 463, 481 n.12 (9th Cir. 2007). For example, where multiple officers  
8 collectively beat an individual, all of them may be held liable for contributing to the overall use of  
9 excessive force. *Lolli*, 351 F.3d at 417-18. Similarly, an officer who stands outside a residence  
10 “armed with [a] gun, while other officers conduct [an unlawful] search” qualifies as an integral  
11 participant in that search. *Hopkins*, 573 F.3d at (quoting *Boyd v. Benton Cnty.*, 374 F.3d 773, 780  
12 (9th Cir. 2004).

13                   All the officers named in this suit were actively involved in the struggle to restrain  
14 Martinez. The undisputed facts show that each of the named officers struck, tased, or otherwise  
15 attempted to restrain Martinez during the confrontation. There is evidence that even Officer Waite,  
16 who was arguably the least involved in the scuffle, helped restrain Martinez’s arms while the other  
17 officers delivered strikes and, in the case of Officer Mejia, applied an alleged choke hold. The  
18 Pittsburg Defendants’ argument that “each Defendant Officer dealt with [Martinez’s] physical  
19 resistance independently of their fellow officers’ actions and at different times” is unpersuasive.  
20 The entire struggle lasted approximately two and a half minutes and body camera footage shows  
21 that the officers’ involvement overlapped substantially. Viewing the evidence in the light most  
22 favorable to Martinez, a reasonable fact-finder could conclude each officer was an integral  
23 participant in the overall use of force. Accordingly, for the purpose of this summary judgment  
24 order, the named officers will each be considered integral participants in the altercation.

25                   b. Collective Use of Force

26                   The central inquiry in a Fourth Amendment claim for excessive force is whether the  
27 defendant’s actions were “objectively reasonable in light of the facts and circumstances  
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1 confronting them.” *Graham v. Connor*, 490 U.S. 386, 397 (1989). Courts specifically consider the  
2 “(1) severity of the crime at issue, (2) whether the suspect poses an immediate threat to the safety  
3 of the officer or others, and (3) whether the suspect is actively resisting or attempting to evade  
4 arrest by flight.” *Id.* This calculus must take into account “the fact that police officers are often  
5 forced to make split-second judgments—in circumstances that are tense, uncertain, and rapidly  
6 evolving—about the amount of force that is necessary in a particular situation.” *Id.* at 396-97.  
7 Because this analysis requires a balancing of interests, however, summary judgment should be  
8 granted sparingly in excessive force cases. *Lolli v. Cty. of Orange*, 351 F.3d 410, 415–16 (9th Cir.  
9 2003) (quoting *Santos v. Gates*, 287 F.3d 846, 853 (9th Cir.2002)).

10 Considering the record as a whole, a fact-finder could reasonably conclude based on  
11 Martinez’s level of resistance, the rather minor nature of the underlying offense, and the overall  
12 circumstances of the struggle, that the officers’ use of force was excessive. Moreover, until a fact-  
13 finder determines how much force was actually used, a court cannot decide whether that particular  
14 use of force violated clearly established law. *Santos v. Gates*, 287 F.3d 846, 855 (9th Cir. 2002);  
15 See also *Neuroth v. Mendocino*, No. 15-cv-03226, 2018 U.S. Dist. LEXIS 149492, at \*28 (N.D.  
16 Cal. 2018).

17 Here, there is a dispute regarding the amount of pressure applied to Martinez’s back while  
18 he was lying handcuffed on the floor. *Drummond v. Cty. of Anaheim*, 343 F.3d 1052 (9th Cir.  
19 2003), and its progeny have held that pressing one’s body weight down on a prone and restrained  
20 suspect who is no longer resisting violates clearly established law. *Id.* at 1057; *Abston v. Cty. of*  
21 *Merced*, 506 Fed. Appx. 650, 653 (9th Cir. 2013) (“It is clearly established that defendants’ use of  
22 body compression to restrain a prone and bound suspect, who was in no position to offer any  
23 meaningful resistance, would violate the rule established by *Drummond*”). Therefore, the duration  
24 of any such compression and the amount of weight placed on Martinez’s back will ultimately  
25 determine whether the officers’ actions violated clearly established law. Accordingly, qualified  
26 immunity is not appropriate.

27 Material disputes of fact regarding Mejia’s alleged use of a prohibited choke hold and the  
28 degree to which Martinez resisted arrest also prevent the Pittsburg Defendants from obtaining the

1 benefit of qualified immunity. The parties dispute whether Mejia deployed a choke hold that cut  
2 off Martinez’s air supply, and duration of any such choke hold. They similarly contest the degree  
3 to which Martinez lashed out at the arresting officers during the struggle. The Pittsburg  
4 Defendants, however, make no attempt to argue that prolonged use of a choke hold that cut off  
5 Martinez’s air supply would have been reasonable under either version of events. Indeed, PPD’s  
6 own policies forbid this maneuver. Instead, they attempt to dispute whether Mejia in fact deployed  
7 the alleged choke hold. This dispute of fact cannot be resolved in Defendants’ favor at the  
8 summary judgment stage. A reasonable jury could conclude that, although he resisted arrest,  
9 Martinez did not deliberately strike the arresting officers, yet the officers delivered strikes that  
10 broke sixteen ribs and deployed a prohibited choke hold that crushed the cartilage in his neck  
11 resulting in his death. Accepting these facts as true, and taking into account the relatively minor  
12 underlying offense, no reasonable officer would believe this use of force complied with the Fourth  
13 Amendment. For this reason as well, the officers are not entitled to qualified immunity at the  
14 summary judgement stage.

15 **B. First and Fourteen Amendments: Right to Familial Association**

16 Plaintiffs argue that their First and Fourteenth Amendment rights to familial association  
17 with Martinez were violated by the defendant officers. See *Lee v. Cty. of Los Angeles*, 250 F.3d  
18 668, 685-86 (9th Cir. 2001). The parties agree that, to prevail on this claim, Plaintiffs must show  
19 the Pittsburg Defendants “acted with a purpose to harm [the decedent] that was unrelated to  
20 legitimate law enforcement objectives.”<sup>9</sup> *Porter v. Osborn*, 546 F.3d 1131, 1136-39 (9th Cir.  
21 2008). For example, officers who act with an intent to bully or “get even” with a suspect lack a  
22 legitimate law enforcement purpose. *Zion v. Cnty. Of Orange*, 847 F.3d 1072, 1077 (9th Cir.  
23 2017). Ultimately, the conduct at issue must be so egregious as to “shock the conscience.” *Id.* at  
24 1136-39.

25 Plaintiffs contend that both Mejia and Glasper engaged in conscience shocking behavior.

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27 <sup>9</sup> The parties agree that the “purpose to harm” standard rather than the “deliberate indifference”  
28 standard applies here. See *Porter*, 546 F.3d at 1137.

1 In their view, Mejia’s prolonged use of a forbidden choke hold was conscience shocking and  
2 suggests an intent to harm Martinez rather than merely subdue him. Plaintiffs’ expert further  
3 opines that “hard hand strikes” are considered a serious use of force and that strikes to the head  
4 and face are disfavored. He further contends that Glasper’s use of strikes escalating from closed  
5 fist punches to elbow and knee strikes was “far outside the bounds of law enforcement training  
6 and practice.” Ryan Report ¶ 224.

7 While Plaintiffs clear the summary judgment hurdle on the claim the officers’ use of force  
8 was excessive, they fail to adduce sufficient evidence to support the conclusion that the officers  
9 were motivated by a “purpose to harm” that was “unrelated to legitimate law enforcement  
10 objectives.” Porter, 546 F.3d at 1136-39. It is undisputed that the officers had probable cause to  
11 detain Martinez, but that he nonetheless fled and resisted arrest. Furthermore, the officers’ use of  
12 force—even if excessive—was at all times consistent with the aim of subduing and detaining a  
13 suspect who was resisting arrest. Once Martinez was subdued, the officer immediately stopped  
14 striking him and Mejia released his hold on Martinez’s neck. Even viewing the evidence in the  
15 light most favorable to Plaintiffs, there is simply not enough evidence for a fact-finder reasonably  
16 to conclude that Officers Mejia and Glasper were motivated by a nefarious purpose rather than a  
17 desire to subdue Martinez and end the struggle. Accordingly, the motion for summary judgment is  
18 granted with respect to these two officers. Because Plaintiffs appear to abandon their First and  
19 Fourteenth Amendment argument with respect to the remaining officer, summary judgment is  
20 granted with respect to those defendants as well.

21 **C. Monell Liability**

22 To establish liability against a municipality, plaintiffs must show (1) they were deprived of  
23 a constitutional right, (2) the municipality had a policy or custom that amounted to deliberate  
24 indifference to the violation of their rights, and (3) the policy was the “moving force” behind the  
25 constitutional violation. Long v. Cnty. of Los Angeles, 442 F.3 1178, 1186 (9th Cir. 2006). As  
26 previously discussed, Plaintiffs have adduced sufficient evidence to enable a reasonable jury to  
27 conclude the arresting officers violated Martinez’s Fourth Amendment rights. Therefore only the  
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1 second and third prongs of this test need be discussed here.

2 a. Municipal Policy Amounting to Deliberate Indifference

3 Plaintiffs attempt to establish a municipal policy based on PPD’s alleged failure to  
4 maintain adequate policies or provide proper training regarding the carotid hold and of the dangers  
5 of compression asphyxia. Inadequate police training may serve as the basis for municipal liability  
6 under section 1983 only if “the failure to train amounts to deliberate indifference to the rights of  
7 the person with whom the police come into contact.” *Cty. of Canton v. Harris*, 489 U.S. 378, 388  
8 (1989). While failure to train claims typically require plaintiffs to show a pattern of similar  
9 violations, this showing is not required where “a violation of federal rights may be highly  
10 predictable consequence of a failure to equip law enforcement officers with specific tools to  
11 handle recurring situations.” *Long*, 442 F.3d at 1188.

12 According to Plaintiffs, PPD’s policies and training regarding the use of the carotid hold  
13 and the dangers of compression asphyxia were inadequate. Plaintiffs point to testimony by Chief  
14 Addington that PPD has no written policy to prevent compression asphyxia and that PPD’s lead  
15 trainer was not familiar with the term. Plaintiffs also contend PPD’s training regarding the carotid  
16 hold was inadequate, as evidenced by Mejia’s apparent confusion about the difference between a  
17 carotid hold and a potentially deadly choke hold and the lack of any guidance about the proper  
18 duration and amount of pressure to use during a carotid hold. Officers typically receive training on  
19 this maneuver at the police academy and at new officer orientation. Plaintiffs’ expert opines,  
20 however, that the carotid hold is a perishable skill and that this limited training was therefore  
21 inadequate. Ryan Report ¶ 227-33. He also contends that PPD’s training is deficient because it  
22 does not specify the proper duration or amount of force to use when deploying a carotid hold. *Id.*

23 Based on these facts, a reasonable fact-finder could conclude that PPD’s failure to provide  
24 more robust policies and training regarding the use of the carotid hold and the dangers of  
25 compression asphyxia amounted to deliberate indifference. While the Pittsburg Defendants note  
26 Plaintiffs’ inability to point to any other serious incidents or death involving compression asphyxia  
27 or PPD’s use of the carotid hold, Plaintiffs need not make such a showing if the constitutional  
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1 violation was a “highly predictable consequence” of the failure to train. Long, 442 F.3d at 1188. It  
2 is beyond dispute that police officers are often required to subdue suspects and handle them while  
3 they are handcuffed. Therefore, a jury could reasonably conclude it is highly foreseeable that  
4 PPD’s failure to provide guidance on the proper duration and amount of force to apply during a  
5 carotid hold, or to teach officers not to apply force to the back of a prone and handcuffed suspect,  
6 would result in an excessive use of force.

7 b. Causation

8 As explained above, a plaintiff seeking to establish liability based on a failure to train must  
9 not only establish that the training was inadequate, but also that this deficiency caused the  
10 unconstitutional application of force in that particular instance. Chew v. Gates, 27 F.3d 1432, 1444  
11 n.12 (9th Cir. 1994). The Pittsburg Defendants argue that any improper use of the carotid hold was  
12 simply due to a lack of retention by Mejia rather than any deficiency in the training. Viewing the  
13 evidence in the light most favorable to Plaintiffs, however, a jury could easily reach the opposite  
14 conclusion. Therefore, the Pittsburg Defendants’ request for summary judgment with respect to  
15 Monell liability must be denied.

16 **D. State Law Claims**

17 The Pittsburg Defendants also seek summary judgment with respect to Plaintiffs’ three  
18 state law claims. First, they argue Plaintiffs’ Bane Act claim fails because it relies exclusively  
19 upon the alleged violation of the Fourth Amendment. As explained above, however, Plaintiffs  
20 have raised material disputes of fact that defeat Defendants’ motion for summary judgment with  
21 respect to the Fourth Amendment violation. Therefore, Defendants’ request for summary  
22 judgment with respect to the Bane Act claim must also fail. The Pittsburg Defendants similarly  
23 seek to defeat Plaintiffs’ negligence and battery claims by relying on their Fourth Amendment  
24 arguments regarding the reasonableness of the officers’ use of force. These arguments are  
25 unavailing for the reasons discussed in Part A. Accordingly, the motion for summary judgment is  
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1 denied with respect to Plaintiffs' state law claims.

2 **E. Motion to Seal**

3 The parties dispute whether portions of Officer Gasper's deposition testimony and  
4 Plaintiffs' opposition brief relating to the officer's medical records should be maintained under  
5 seal. While medical records are generally the proper subject of a sealing motion, the information at  
6 issue here relates solely to Officer Gasper's past statements regarding how he injured his hand  
7 during the altercation. The Pittsburg Defendants have provided no persuasive reason why this  
8 particular statement should be kept from the public. Accordingly, the limited portion of the  
9 deposition testimony and of Plaintiffs' brief that refer to this statement may not be sealed. All  
10 other information relating to Officer Gasper's medical records will, however, be maintained under  
11 seal.

12 **F. Compliance with Local Rules**

13 The Pittsburg Defendants have pointed out that Plaintiffs' briefing on this motion does not  
14 comply with the civil local rules. While Plaintiffs' use of a footnote to provide a string cite and  
15 summarization of information using a sparsely worded single-spaced table are not of great  
16 concern, Plaintiffs' inclusion of additional lines of text in the body of their brief was inappropriate.  
17 Going forward, Plaintiffs are expected to comply with all rules governing the length and  
18 formatting of briefs and to avoid any appearance that they are attempting to gain an unfair  
19 advantage in this litigation. Both parties are also reminded that e-filed documents must include  
20 text search capabilities unless the filer only has access to a paper, or otherwise nonsearchable,  
21 copy of the document. Civ. Local R. 5-1(e)(2). This helps conserve Court resources and increase  
22 the accuracy of decisions.

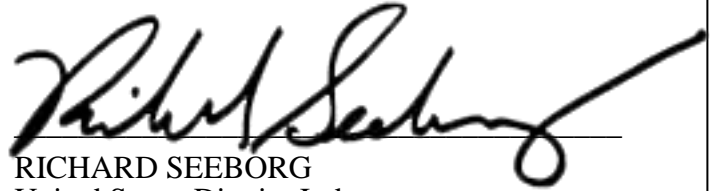
23 **V. CONCLUSION**

24 For the reasons set forth above, summary judgment is granted with respect to Plaintiffs'  
25 First and Fourteenth Amendment theories for relief. Partial summary judgment is also granted to  
26 the extent that Plaintiffs' Fourth Amendment claim relies upon a lack of probable cause to arrest  
27 Martinez. Summary judgment is denied with respect to all remaining claims and theories for relief.

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**IT IS SO ORDERED.**

Dated: March 8, 2019



RICHARD SEEBORG  
United States District Judge