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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

SHANA BECERRA,  
Plaintiff,  
v.  
DR PEPPER/SEVEN UP, INC.,  
Defendant.

Case No. [17-cv-05921-WHO](#)

**ORDER DENYING MOTION TO  
TRANSFER AND GRANTING MOTION  
TO DISMISS**

Re: Dkt. No. 30, 35

**INTRODUCTION**

Plaintiff Shana Becerra alleges that she is a consumer of the soft drink product Diet Dr Pepper. She believed that Diet Dr Pepper would assist in weight loss or healthy weight management due to the use of the term “diet” in the name of the product and on its label, but has since learned that studies and articles suggest that artificial sweeteners, including those used in Diet Dr Pepper, may instead cause weight gain. She brings this putative class action on behalf of herself and a California class of similarly-situated consumers for damages and equitable relief, including an injunction to stop defendant Dr Pepper/Seven Up, Inc. (“Dr Pepper”) from marketing its Diet Dr Pepper product as “diet.” While Dr Pepper moves to transfer this action to the United States District Court for the Eastern District of Texas pursuant to 28 U.S.C. § 1404(a), the case belongs here--it has a California plaintiff who was injured in California and chose this venue. Dr Pepper also moves to dismiss plaintiff’s Second Amended Complaint (“SAC”) in its entirety, and I agree with its contention that it is not plausible that a reasonable consumer would believe that drinking Diet Dr Pepper would assist in weight loss, beyond the fact that it has no calories. Accordingly, I DENY Dr Pepper’s motion to transfer venue but GRANT its motion to dismiss with leave to amend

1 **BACKGROUND**

2 Dr Pepper is a Delaware corporation with its principal place of business in Plano, Texas.  
3 SAC ¶ 7. It first introduced Diet Dr Pepper, a soft drink product, in 1962. SAC ¶ 11. It “uses the  
4 term ‘diet’ to market Diet Dr[] Pepper because the product is sweetened with a non-caloric  
5 artificial sweetener, aspartame, rather than sugar.” *Id.* ¶ 14. Plaintiff alleges that “[a]lthough  
6 aspartame does not contain calories, scientific research demonstrates that it, like other nonnutritive  
7 sweeteners, is likely to cause weight gain.” *Id.* ¶ 17. She cites a number of studies and articles  
8 discussing aspartame’s effects on the body and their findings in her SAC.

9 Plaintiff is a resident of Santa Rosa, California who has struggled with obesity since  
10 childhood. She has been a regular purchaser of Diet Dr Pepper for over thirteen years. SAC ¶¶  
11 46–47. She purchased the product believing that its prominent use of the term “diet” on its label  
12 and in its advertising indicated “that it would contribute to healthy weight management, and, due  
13 to its lack of calories, would not cause her to gain weight.” *Id.* ¶ 47. She alleges that she would  
14 not have purchased the product at the price she paid, or perhaps at all, had defendant not marketed  
15 the product as “diet.” *Id.* ¶ 48.

16 Plaintiff brings suit on behalf of herself and a California class alleging false and  
17 misleading advertising, in violation of California’s False Advertising Law (“FAL”), Consumers  
18 Legal Remedies Act (“CLRA”), and Unfair Competition Law (“UCL”), as well as breach of both  
19 express and implied warranty.<sup>1</sup> Defendant moves to transfer venue to its home state of Texas and  
20 to dismiss the action in its entirety.

21 **LEGAL STANDARD**

22 **I. Motion to Transfer**

23 Granting a transfer of venue pursuant to 28 U.S.C. § 1404(a) requires two findings. First,  
24 the district court must determine that the transferee court is one “where the action might have been  
25 brought.” *Hatch v. Reliance Ins. Co.*, 758 F.2d 409, 414 (9th Cir. 1985) (internal quotation marks

26 \_\_\_\_\_  
27 <sup>1</sup> On the same day this action was initiated, two New York consumers—also represented by  
28 plaintiff’s counsel—filed a similar suit against Dr Pepper in New York on behalf of that state’s  
consumers. *See Excevarria v. Dr Pepper Snapple Group, Inc.*, No. 1:17-cv-7957 (S.D.N.Y. filed  
on Oct. 16, 2017).

1 omitted). Second, as part of completing an “individualized, case-by-case consideration of  
2 convenience and fairness,” *Jones v. GNC Franchising, Inc.*, 211 F.3d 495, 498 (9th Cir. 2000), the  
3 district court must find “that the convenience of parties and witnesses in the interest of justice  
4 favor[s] transfer.” *Hatch*, 758 F.2d at 414 (internal quotation marks omitted). Importantly, the  
5 Ninth Circuit has held that a “defendant must make a strong showing of inconvenience to warrant  
6 upsetting the plaintiff’s choice of forum.” *Decker Coal Co. v. Commonwealth Edison Co.*, 805  
7 F.2d 834, 843 (9th Cir. 1986).

8 As part of its convenience analysis, the court must weigh a variety of “private and public  
9 interest factors affecting the convenience of the forum.” *Decker Coal*, 805 F.2d at 843. Courts in  
10 this district consider the following factors: (i) plaintiff’s choice of forum; (ii) convenience of the  
11 parties; (iii) convenience of the witnesses; (iv) ease of access to the evidence; (v) familiarity of  
12 each forum with the applicable law; (vi) feasibility of consolidation with other claims; (vii) any  
13 local interest in the controversy; and (viii) the relative court congestion and time to trial in each  
14 forum. *See, e.g., Lax v. Toyota Motor Corp.*, 65 F. Supp. 3d 772, 776 (N.D. Cal. 2014); *Barnes &*  
15 *Noble, Inc. v. LSI Corp.*, 823 F. Supp. 2d 980,993 (N.D. Cal. 2011); *Vu v. Ortho-McNeil Pharm.,*  
16 *Inc.*, 602 F. Supp. 2d 1151, 1156 (N.D. Cal. 2009).

## 17 **II. Motion to Dismiss**

18 Under Federal Rule of Procedure 12(b)(6), a district court must dismiss a complaint if it  
19 fails to state a claim upon which relief can be granted. To survive a Rule 12(b)(6) motion to  
20 dismiss, the plaintiff must allege “enough facts to state a claim to relief that is plausible on its  
21 face.” *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 570 (2007). A claim is facially plausible when  
22 the plaintiff pleads facts that “allow[] the court to draw the reasonable inference that the defendant  
23 is liable for the misconduct alleged.” *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009) (citation  
24 omitted). There must be “more than a sheer possibility that a defendant has acted unlawfully.” *Id.*  
25 While courts do not require “heightened fact pleading of specifics,” a plaintiff must allege facts  
26 sufficient to “raise a right to relief above the speculative level.” *Twombly*, 550 U.S. at 555, 570.

27 In deciding whether the plaintiff has stated a claim upon which relief can be granted, the  
28 court accepts the plaintiff’s allegations as true and draws all reasonable inferences in favor of the

1 plaintiff. *See Usher v. City of Los Angeles*, 828 F.2d 556, 561 (9th Cir. 1987). However, the court  
2 is not required to accept as true “allegations that are merely conclusory, unwarranted deductions of  
3 fact, or unreasonable inferences.” *In re Gilead Scis. Sec. Litig.*, 536 F.3d 1049, 1055 (9th Cir.  
4 2008).

5 Claims sounding in fraud or mistake are subject to the heightened pleading standard of  
6 Federal Rule of Civil Procedure 9(b), which requires that such claims “state with particularity the  
7 circumstances constituting fraud or mistake,” Fed. R. Civ. P. 9(b), including “the who, what,  
8 when, where, and how of the misconduct charged.” *Vess v. Ciba-Geigy Corp. USA*, 317 F.3d  
9 1097, 1106 (9th Cir. 2003) (internal quotation marks and citation omitted). “The plaintiff must set  
10 forth what is false or misleading about a statement, and why it is false.” *Id.* The allegations of  
11 fraud “must be specific enough to give defendants notice of the particular misconduct which is  
12 alleged to constitute the fraud charged so that they can defend against the charge and not just deny  
13 that they have done anything wrong.” *Swartz v. KPMG LLP*, 476 F.3d 756, 764 (9th Cir. 2007).

14 If the court dismisses a complaint, it “should grant leave to amend even if no request to  
15 amend the pleading was made, unless it determines that the pleading could not possibly be cured  
16 by the allegation of other facts.” *Lopez v. Smith*, 203 F.3d 1122, 1127 (9th Cir. 2000). In making  
17 this determination, the court should consider factors such as “the presence or absence of undue  
18 delay, bad faith, dilatory motive, repeated failure to cure deficiencies by previous amendments,  
19 undue prejudice to the opposing party and futility of the proposed amendment.” *See Moore v.*  
20 *Kayport Package Express*, 885 F.2d 531, 538 (9th Cir. 1989).

21 **DISCUSSION**

22 **I. Motion to Transfer**

23 Neither party disputes that this case is properly brought here but could also have been  
24 brought in the Eastern District of Texas, as defendant is headquartered there. The question is  
25 whether the relevant convenience and fairness factors weigh in favor of transfer or retention.  
26 While the strong presumption in favor of plaintiff’s choice of forum is somewhat dampened due to  
27 the class allegations, *see Lou v. Belzberg*, 834 F.2d 730, 739 (9th Cir. 1987), I nonetheless find  
28 that plaintiff’s choice is entitled to some deference, especially given the significant local interest in

1 the controversy. She, as well as each member of the proposed class, is either a resident of this  
2 district or a district within California. Moreover, her alleged injuries are the result of purchasing  
3 and consuming Diet Dr Pepper within this state’s boundaries, and this action is based on  
4 California consumers relying on California-specific marketing and sale efforts.

5 Defendant’s strongest argument in favor of transfer is that it will serve judicial economy,  
6 as defendant has also moved to transfer the New York-based *Excevarria v. Dr Pepper Snapple*  
7 *Group, Inc.*, No. 1:17-CV-07957 (S.D.N.Y. 2017) action to the Eastern District of Texas. That  
8 has not happened yet. Given that any such transfer is speculative at this stage, and considering the  
9 local interest in the controversy and deference to plaintiff’s choice of forum, defendant has failed  
10 to make the strong showing of inconvenience necessary to grant transfer. I DENY defendant’s  
11 motion to transfer.

12 **II. Motion to Dismiss**

13 **A. Express Preemption by the NLEA**

14 Dr Pepper moves to dismiss plaintiff’s claims on the grounds that they are expressly  
15 preempted by Congress and the FDA’s approval of the use of “diet” as part of a soft drink brand  
16 name, like “Diet Dr Pepper,” in the Nutrition Labeling and Education Act of 1990 (“NLEA”).  
17 The Supremacy Clause of Article VI of the Constitution empowers Congress to make laws that  
18 preempt state laws. U.S. Const. Art. VI, cl. 2; *Armstrong v. Exceptional Child Ctr., Inc.*, 135 S.Ct.  
19 1378, 1383 (2015). Congress may exert this power through express preemption or conflict  
20 preemption—here, defendant argues only that express preemption applies.

21 “In analyzing express preemption, we ‘start with the assumption that the historic police  
22 powers of the States were not to be superseded by the Federal Act unless that was the clear and  
23 manifest purpose of Congress.’” *Astiana v. Hain Celestial Grp., Inc.*, 783 F.3d 753, 757 (9th Cir.  
24 2015) (quoting *Rice v. Santa Fe Elevator Corp.*, 331 U.S. 218, 230 (1947)). This assumption  
25 applies not only “to the question whether Congress intended any pre-emption at all,” but also “to  
26 questions concerning the *scope* of its intended invalidation of state law . . . .” *Medtronic, Inc. v.*  
27 *Lohr*, 518 U.S. 470, 485 (1996) (emphasis in original). “Where there is an express preemption  
28 clause applicable to a provision of the FDCA, the Court must determine whether the state law at

1 issue falls within the scope of that preemption.” *Sciortino v. Pepsico, Inc.*, 108 F. Supp. 3d 780,  
2 798 (N.D. Cal. 2015) (citing *Altria Grp., Inc. v. Good*, 555 U.S. 70, 76 (2008)). Courts “have a  
3 duty to accept the reading that disfavors pre-emption” when such a reading is plausible. *Bates v.*  
4 *Dow Agrosciences LLC*, 544 U.S. 431, 449 (2005).

5 “The purpose of Congress is the ultimate touchstone in every pre-emption case.”  
6 *Medtronic*, 518 U.S. at 485 (internal quotation marks and citations omitted). Congress’s intent  
7 “primarily is discerned from the language of the pre-emption statute and the ‘statutory framework’  
8 surrounding it.” *Id.* at 486. “Also relevant, however, is the structure and purpose of the statute as a  
9 whole, as revealed not only in the text, but through the reviewing court’s reasoned understanding  
10 of the way in which Congress intended the statute and its surrounding regulatory scheme to affect  
11 business, consumers, and the law.” *Id.* (internal citations omitted).

12 Turning to the relevant statute, the NLEA amended the Food, Drug, & Cosmetic Act  
13 (“FDCA”) to “establish[] uniform food labeling requirements, including the familiar and  
14 ubiquitous Nutrition Facts Panel found on most food packages,” *Lilly v. ConAgra Foods, Inc.*, 743  
15 F.3d 662, 664 (9th Cir. 2014), as well as “to establish the circumstances under which claims may  
16 be made about nutrients in foods.” *Chacanaca v. Quaker Oats Co.*, 752 F. Supp. 2d 1111, 1116  
17 (N.D. Cal. 2010) (quoting H.R. Rep. No. 101-538, at 7 (1990), *reprinted in* 1990 U.S.C.C.A.N.  
18 3336, 3337). The many subsections of 21 U.S.C. § 343 establish the conditions under which food  
19 is considered “misbranded,” including “[i]f its labeling is false or misleading . . . .” 21 U.S.C.  
20 §343(a). Section 343(r) imposes specific labeling requirements governing “nutrition levels and  
21 health-related claims” made on product labels. Section 343(r)(2)(D), however, exempts from  
22 certain requirements a claim

23 which uses the term ‘diet’ and is contained in the label or labeling of a soft drink if (i) such  
24 claim is contained in the brand name of such soft drink, (ii) such brand name was in use on  
25 such soft drink before October 25, 1989, and (iii) the use of the term ‘diet’ was in  
26 conformity with section 105.66 of title 21 of the Code of Federal Regulations.

27 21 U.S.C. § 343(r)(2)(D). “Such a claim is subject to paragraph (a),” *id.*, which provides that a  
28 food shall be deemed misbranded “[i]f its labeling is false or misleading . . . .” 21 U.S.C. §  
343(a).

1 Section 343-1 of the NLEA expressly prohibits a state or local government from  
 2 establishing “any requirement respecting any claim . . . made in the label or labeling of food that is  
 3 not identical to the requirement of section 343(r) . . . .” 21 U.S.C. § 343-1(a)(5). “The phrase ‘not  
 4 identical to’ means ‘that the State requirement directly or indirectly imposes obligations or  
 5 contains provisions concerning the composition or labeling of food [that] . . . [a]re not imposed or  
 6 contained in the applicable [federal regulation] . . . or [d]iffer from those specifically imposed or  
 7 contained in the applicable [federal regulation].” *Lilly*, 743 F.3d at 665 (quoting 21 C.F.R. §  
 8 100.1(c)(4)).

9 Placed in the context of the statutory framework and the plain language of the statute,  
 10 defendant’s express preemption argument fails. The NLEA does not affirmatively approve of the  
 11 use of “diet” in soft drink brand names like “Diet Dr Pepper,” but instead exempts it from certain  
 12 labeling requirements to which other nutrition level and health-related claims are subjected. *See*  
 13 21 U.S.C. § 343(r)(2)(D).<sup>2</sup> Section 343-1 preempts state laws that are “not identical to the  
 14 requirement of section 343(r),” but Diet Dr Pepper is not subject to Section 343(r); it is  
 15 specifically exempt from Section 343-1 and is instead subject to Section 343(a). Section 343-1  
 16 does *not* address Section 343(a). Even if it did, the state laws under which plaintiff brings suit—  
 17 California’s UCL, FAL, CLRA, and Sherman Law—impose identical requirements to Section  
 18 343(a) in that they also prohibit false and misleading advertising. *See, e.g., Larsen v. Trader Joe’s*  
 19 *Co.*, 917 F. Supp. 2d 1019, 1023 (N.D. Cal. 2013) (“[W]hile federal law does prohibit state food  
 20 labeling requirements that are not identical to federal requirements, the FDCA and California law  
 21 contain *identical* prohibitions on false or misleading labeling.”); *Becerra v. Coca-Cola Co.*, No. C

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22  
 23 <sup>2</sup> Nor have the corresponding FDA regulations that defendant cites affirmatively approved the use  
 24 of “diet” in soft drinks such as “Diet Dr Pepper.” *See* Mot. at 12–13. The only regulation that  
 25 expressly addresses the use of the term “diet” is 21 C.F.R. § 101.13(q)(2), which provides: “A  
 26 soft drink that used the term *diet* as part of its brand name before October 25, 1989, and whose use  
 27 of that term was in compliance with 105.66 of this chapter as that regulation appeared in the Code  
 28 of Federal Regulations on that date, may continue to use that term as part of its brand name,  
 provided that its use of the term is not false or misleading . . . .” As discussed, however,  
 California law contains an identical prohibition of “false or misleading” advertising. The  
 remaining FDA regulations on calorie-free products (21 C.F.R. § 101.60(b)), sugar-free products  
 (21 C.F.R. § 101.60(c)), and products containing aspartame (21 C.F.R. § 172.804) do not address  
 the use of the term “diet” in conjunction with these types of products, which is the particular term  
 giving rise to plaintiff’s claims.

1 17-05916 WHA, 2018 WL 1070823, at \*2 (N.D. Cal. Feb. 27, 2018)<sup>3</sup>; *accord Ebner v. Fresh,*  
 2 *Inc.*, 838 F.3d 958, 965 (9th Cir. 2016) (finding no express preemption of California’s Sherman  
 3 Law by similar section of NLEA prohibiting “false or misleading” branding of cosmetics because  
 4 federal and state duties were identical). Given that California law is entirely consistent with and  
 5 indeed identical to the NLEA, there is no preemption of plaintiff’s claims.

6 **B. California’s Safe Harbor Doctrine**

7 Dr Pepper also moves to dismiss plaintiff’s FAL, CLRA, and UCL claims on the grounds  
 8 that they are barred by California’s safe harbor doctrine. “In California, unfair competition claims  
 9 are subject to the safe harbor doctrine, which precludes plaintiff[] from bringing claims based on  
 10 ‘actions the Legislature permits.’” *Ebner v. Fresh, Inc.*, 838 F.3d 958, 963 (9th Cir. 2016) (citing  
 11 *Cel-Tech Commc’ns, Inc. v. L.A. Cellular Tel. Co.*, 20 Cal. 4th 163, 184 (1999)). “To fall within  
 12 the safe harbor, the challenged conduct must be affirmatively permitted by statute—the doctrine  
 13 does not immunize from liability conduct that is merely not unlawful.” *Id.* This includes not only  
 14 California statutes, but also federal statutes and regulations. *See Davis v. HSBC Bank Nevada,*  
 15 *N.A.*, 691 F.3d 1152, 1164 (9th Cir. 2012).

16 California’s safe harbor doctrine does not apply because no statute or regulation  
 17 “affirmatively permit[s]” the use of the term “diet” in soft drink labels, as discussed above. *See*  
 18 *Becerra v. Coca-Cola Co.*, 2018 WL 1070823, at \*3. Instead, the NLEA makes it “merely not  
 19 unlawful” to use the term “diet” if certain conditions are met; compliance with a federal statute or  
 20 regulation does not establish express authorization. California’s safe harbor doctrine does not bar  
 21 plaintiff’s claims.

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22  
 23 <sup>3</sup> Defendant filed a Notice of Supplemental Authority in support of its Motion to Dismiss. *See*  
 24 Dkt. No. 46. The local rules permit a party to submit such a statement of recent decision, but  
 25 explicitly specify that such a statement should “contain[] a citation to and provid[e] a copy of the  
 26 new opinion—*without argument.*” Civil L-R 7-3(d)(2) (emphasis added). I agree with plaintiff  
 27 that defendant’s notice clearly violates this rule, as it not only summarizes the favorable portions  
 28 of the opinion only but also proceeds to apply the opinion’s reasoning to this case. The solution,  
 however, is not to grant plaintiff’s motion for leave to file an additional response, *see* Dkt. No. 47,  
 and welcome even further argument, including a reply from defendants, *see* Dkt. No. 48. In the  
 spirit of the rule, which indicates that there shall be no argument at all, I strike defendant’s  
 argument as contained in both the notice and reply, and I deny plaintiff’s motion for leave to file a  
 response. I consider the complete opinion issued in the *Becerra v. Coca-Cola Co.* case, but not  
 the parties’ argument, in this decision.



1                   **C. Plaintiff’s Unfair Competition Claims**

2                   Dr Pepper finally moves to dismiss plaintiff’s claims on the basis that her deception  
3 argument is implausible because no reasonable consumer would be misled by the term “diet” in  
4 the context of a diet soft drink, nor do the studies and articles referenced in the Complaint  
5 establish that Diet Dr Pepper actually causes weight gain. In opposition, plaintiff argues that she  
6 plausibly alleges that consumers understand “diet” to mean “useful in regulating healthy body  
7 weight,” Opp. at 19, and that it is not her burden at the pleadings stage to prove causation.

8                   Plaintiff’s FAL, CLRA, and UCL claims are governed by the “reasonable consumer” test,  
9 which requires that plaintiff “show that members of the public are likely to be deceived.” *Ebner*,  
10 838 F.3d at 965 (9th Cir. 2016) (internal quotation marks and citations omitted). “This requires  
11 more than a mere possibility that [the] label ‘might conceivably be misunderstood by some few  
12 consumers viewing it in an unreasonable manner.’” *Id.* (quoting *Lavie v. Procter & Gamble Co.*,  
13 105 Cal. App. 4th 496, 508 (2003)). “Rather, the reasonable consumer standard requires a  
14 probability ‘that a significant portion of the general consuming public or of targeted consumers,  
15 acting reasonably in the circumstances, could be misled.’” *Id.*

16                   While the Ninth Circuit has explained that “California courts[] have recognized that  
17 whether a business practice is deceptive will usually be a question of fact not appropriate for  
18 decision on demurrer,” “[d]ecisions granting motions to dismiss claims under the Unfair  
19 Competition Law have occasionally been upheld.” *Williams v. Gerber Prods. Co.*, 552 F.3d 934,  
20 938–39 (9th Cir. 2008). In *Ebner*, for example, a plaintiff brought suit under the unfair  
21 competition laws against a cosmetics company alleging that its lip product was deceptive because  
22 the net weight accurately indicated the included product, but the tube design’s screw mechanism  
23 only allowed 75% of the product to advance up the tube, leaving the remaining 25% inaccessible.  
24 838 F.3d at 961–62. The Ninth Circuit affirmed the district court’s dismissal because it was  
25 implausible that a rational consumer would make the assumption that there was no further product  
26 in the tube once using up the initial 75% when the remainder was plainly visible. *Id.* at 966. “And  
27 even if some consumers might hazard such an assumption, the [product] [wa]s not false and  
28 deceptive merely because the remaining product quantity m[ight have been] unreasonably

1 misunderstood by an insignificant and unrepresentative segment of the class of persons that may  
2 purchase the product.” *Id.* (internal quotation marks, alterations, and citation omitted).

3 In a recent memorandum disposition, the Ninth Circuit also affirmed the district court’s  
4 dismissal of a similar suit against Starbucks, the coffee company, alleging that its labeling of the  
5 volume of iced beverages was deceiving because they did not actually contain the stated volume  
6 of liquid, but rather contained some lesser amount of liquid, plus ice. *See Forouzesht v. Starbucks*  
7 *Corp.*, No. 16-56366, slip op. at 2 (9th Cir. Mar. 12, 2018). The panel reasoned that “[t]he  
8 statutory claims fail as a matter of law because no reasonable consumer would think (for example)  
9 that a 12-ounce ‘iced’ drink, such as iced coffee or iced tea, contains 12 ounces of coffee or tea  
10 and no ice.” *Id.*

11 And in a very similar case to this one alleged against the Coca-Cola Company by the same  
12 plaintiff here, Judge William Alsup dismissed plaintiff’s case, reasoning that “a reasonable  
13 consumer would simply not look at the brand name Diet Coke and assume that consuming it,  
14 absent any lifestyle change, would lead to weight loss.” *Becerra v. Coca-Cola Co.*, 2018 WL  
15 1070823, at \*3. Judge Alsup added that “Becerra further fails to allege facts showing how a  
16 reasonable consumer would infer from the example Diet Coke advertisements that consumption  
17 would lead to weight loss.” *Id.* at \*4.

18 As in the *Coca-Cola* action, plaintiff theorizes that the term “diet” leads “consumers [to]  
19 reasonably believe that [defendant’s] product will assist in weight loss, or at least healthy weight  
20 management, for example, by not causing weight gain.” SAC ¶ 15. Plaintiff’s argument does not  
21 pass muster. Nothing on the label, packaging, or advertising of Diet Dr Pepper makes the claim or  
22 even suggests that the product will assist in weight loss or healthy weight management. It is not  
23 marketed as a health food product, and plaintiff does not allege otherwise.

24 Plaintiff alleges only that defendant “tout[s] Diet Dr Pepper as ‘diet,’ and containing zero  
25 calories.” *Id.* ¶ 39. But Diet Dr Pepper is a “diet” product *relative to* regular Dr Pepper, because  
26 Diet Dr Pepper contains zero calories. A reasonable consumer knows that this is and always has  
27 been true of soft drinks generally—“diet” soft drinks are simply lower calorie or calorie-free  
28 versions of their sugar-laden counterparts. A reasonable consumer would have no basis to infer

1 anything more from Diet Dr Pepper’s label or advertising than that it is a calorie-free soft drink.  
2 Nor does plaintiff provide any evidence that a reasonable consumer understands “diet” in the  
3 context of soft drinks to mean otherwise. As in the *Starbucks* and *Coca-Cola* cases, that a small  
4 number of persons might unreasonably misunderstand the label does not render the product’s label  
5 deceptive within the meaning of California’s unfair competition laws. Plaintiff’s theory of  
6 deception fails to pass the “reasonable consumer” test. Accordingly, her unfair competition claims  
7 must be dismissed.

8 In the interest of thoroughness should plaintiff seek to amend, I address Dr Pepper’s  
9 second argument regarding the studies and articles cited in the SAC. It asserts that the studies and  
10 articles referenced fail to support plaintiff’s premise that aspartame actually causes weight gain.  
11 While plaintiff is correct that she need not scientifically prove causation at the pleading stage, she  
12 must nonetheless *plausibly* allege it. The problem with the studies she cites is not that they  
13 provide conflicting conclusions or create some factual dispute, as was the case in *Krommenhock v.*  
14 *Post Foods, LLC*, 255 F. Supp. 3d 938 (N.D. Cal. 2017), which plaintiff relies on. Instead, the  
15 studies do not allege causation at all—at best, they support merely a correlation or relationship  
16 between artificial sweeteners and weight gain, or risk of weight gain. *See, e.g.*, SAC ¶¶ 19  
17 (“[D]ata ... support the existence of an *association* between artificially-sweetened beverage  
18 consumption and weight gain . . . .”) (emphasis added); ¶ 21 (“[F]requent consumers of these  
19 sugar substitutes may also be at increased *risk* of excessive weight gain . . . .”) (emphasis added);  
20 ¶ 27 (“[A]rtificial sweeteners did not lead to any significant weight loss . . . .”). But correlation is  
21 not causation, neither for purposes of science nor the law.

22 If plaintiff provided credible studies that support that Diet Dr Pepper or aspartame *causes*  
23 weight gain, that showing would be sufficient to establish the plausibility of plaintiff’s theory even  
24 if Dr Pepper replied with many more that disclaimed it. But plaintiff’s SAC fails to cite even a  
25 single study that concludes that there is a causative link between aspartame and weight gain. This  
26 is a separate and independent reason to grant defendant’s motion to dismiss.

27 **D. Breach of Warranty Claims**

28 Finally, Dr Pepper moves to dismiss plaintiff’s claims for breach of express and implied

1 warranty on the grounds that plaintiff fails to allege that Diet Dr Pepper is unfit for consumption  
2 or otherwise breaches a promise made by the label. As I have already concluded that plaintiff's  
3 theory that the term "diet" indicates usefulness with weight loss is implausible, plaintiff's express  
4 warranty claim, which rests on the same theory, must also fail. The term "diet" as it appears on  
5 Diet Dr Pepper's label does not create an express warranty to consumers that the product will  
6 assist with weight loss. Because plaintiff acknowledges in opposition that "her implied warranty  
7 claims are coextensive with her express warranty claims," Opp. at 21, her implied warranty claim  
8 also fails. Plaintiff's express and implied warranty claims are DISMISSED.

9 **CONCLUSION**

10 For the foregoing reasons, the Motion to Transfer is DENIED and the Motion to Dismiss  
11 the Second Amended Complaint is GRANTED with leave to amend. Plaintiff may file an  
12 amended complaint within 20 days of the date of this Order.

13 **IT IS SO ORDERED.**

14 Dated: March 30, 2018

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17 William H. Orrick  
18 United States District Judge

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