

United States District Court
Northern District of California

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

RAMON ORTIZ PEREZ,
Petitioner,

v.

CLARK DUCART,
Respondent.

Case No. 17-cv-06398-RS

**ORDER DENYING PETITION FOR
WRIT OF HABEAS CORPUS**

INTRODUCTION

Ramon Ortiz Perez seeks federal habeas relief from his state convictions. For the reasons set forth below, the petition for such relief is DENIED.

BACKGROUND

In September 2009, 16 year-old Perez stabbed to death Adam Esparza, a member of the Norteño gang. At the time, Perez was a member of Sur Santos Pride (“SSP”), a local Sureño street gang.

On September 23, 2009, Perez was in a Jack-in-the-Box with fellow gang member Eduardo Yanez and gang affiliate, Felipe Luna. Perez had a three-dot tattoo next to his left eye identifying him as a Sureño. Perez and his friends were waiting for their food when Esparza, entered with his friend Robert Lee. Lee was a member of the “Crips” gang. Perez recognized Esparza was a member of the Norteño gang because of the amount of red he was wearing. Esparza saw Perez’s tattoo and started laughing. He proceeded to “throw the four” at Perez and his friends to represent he was a Norteño. (Ex. 14 (State Appellate Opinion) at 3.) Esparza

1 walked past Perez saying “[o]h scrap. Scrap,” a derogatory term for Sureños. (Id.) According to
2 Yanez, Perez and the group let the insults go because they thought “[h]e’s a little kid.” (Id.)
3 Esparza then left the restaurant, pulled something out of the trunk of Lee’s car, and returned to the
4 restaurant wearing a red hat. Esparza continued calling Perez derogatory names and “mad-
5 mugging” him. (Id.) Perez answered back calling Esparza “buster,” a derogatory term for a
6 Norteño. (Id.) Esparza continued calling Perez a “scrap.” Perez responded “Fuck that shit. Let’s
7 go outside dog.” (Id.) Perez and Esparza went outside with their respective friends. A fist-fight
8 ensued between Perez and Esparza. Esparza was larger and gave Perez a bloody nose.

9 After the fight, both parties claimed victory. Perez walked towards his friends who were
10 heading back inside the restaurant. Esparza walked toward his car while taunting Perez for losing
11 the fight. Perez then started walking toward the car, smiling despite bleeding from the face. Perez
12 reached through the passenger window and grabbed a pack of cigarettes, claiming they were now
13 his. Lee, who was now in front of the car, told Perez the cigarettes belonged to him. Yanez told
14 Perez to return the cigarettes because “some Crips are cool with us.” Perez tossed the cigarettes
15 on the front of the hood, appearing “kind of mad...and cool, just in between.” (Id. at 5.) Esparza
16 got into the passenger seat of the car and continued to shout insults through his window while
17 Perez started to head back towards the restaurant behind his friends.

18 Perez turned, walked back, and stabbed Esparza quickly and repeatedly through the car
19 window with a butterfly knife. Lee later told an investigating officer, Officer Dong, that Perez
20 shouted “sur” during the stabbing. (Id.) Officer Dong described this as a way of “proclaiming
21 who he’s affiliated with” while he was stabbing Esparza. (Id.)

22 Lee drove away as quickly as possible and found construction workers three-quarters of a
23 mile away who called an ambulance. Perez remained in the parking lot for a few seconds before
24 throwing the bloody knife onto the freeway and running away. Witnesses who saw Perez running
25 away described Perez as having “a really stupid grin, kind of laugh.” (Id. at 6.) Police detained
26 Perez the next day after a high-speed-mile-and-a-half vehicle chase through a residential
27 neighborhood. Perez crashed the car, ran away, and was found hiding in a closet of a house he had

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1 broken into.

2 Perez argued at trial he was guilty of the lesser offense of manslaughter, not murder.
3 During the trial, Officer Gallardo testified on behalf of the prosecution. He testified to general
4 background knowledge of SSP and the Sureños. Officer Gallardo also testified to case-specific
5 details including knowledge of Perez’s prior offenses based on hearsay not admitted by the court.
6 Perez called Dr. Minagawa to testify on his behalf. Dr. Minagawa assumed Perez was part of the
7 SSP street gang and testified to what Perez’s tattoos meant. Dr. Minagawa also testified to the
8 significance of symbols and phrases such as “Sur Trece.” Perez admitted at trial he was a member
9 of SSP. Yanez, a witness called by the state, testified to violent confrontations between Sureños
10 and Norteños.

11 On October 11, 2012, a jury found Perez guilty of second-degree murder and found true
12 enhancements for gang activity and personal use of a knife in commission of the offense. Cal.
13 Penal Code §§ 186.22(b)(1)(C), 187, 12022(b)(1); (Ex. 1 Clerk’s Transcript (“CT”) at 1 CT 282-
14 86.) In March 2015, Perez filed a petition for a writ of habeas corpus and a direct appeal of the
15 judgment with the state court of appeal. (Ex. 6.) The court denied the petition and affirmed the
16 conviction in September 2015. (Ex. 7.) Perez appealed both, and in January 2016, the California
17 Supreme Court denied review of the habeas petition, but granted review of the conviction,
18 deferring further consideration of the appeal pending its upcoming decision in *People v. Sanchez*,
19 63 Cal. 4th 665 (2016). (Exs. 9, 10.) After *Sanchez* was decided, the court remanded the case to
20 the court of appeal for reconsideration in light *Sanchez*. (Ex. 11.) In February 2017, the court of
21 appeal reversed the gang enhancement and affirmed the judgment in all other respects. (Ex. 14.)
22 The California Supreme Court denied review on May 10, 2017, and Perez filed this petition for
23 writ of habeas corpus on November 2, 2017. In this petition Perez argues the jury instructions
24 presented at trial were inadequate, and the Confrontation Clause violations during trial constituted
25 prejudicial error.¹

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27 ¹ Although Perez advanced a claim for ineffective assistance of counsel in his prior petition to the
28 state court, he does not do so here and so the state court’s ruling denying relief on that claim

STANDARD OF REVIEW

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2 Under the Antiterrorism and Effective Death Penalty Act of 1996 (“AEDPA”), this Court
3 may entertain a petition for writ of habeas corpus “in behalf of a person in custody pursuant to the
4 judgment of a State court only on the ground that he is in custody in violation of the Constitution
5 or laws or treaties of the United States.” 28 U.S.C. § 2254(a). The petition may not be granted
6 with respect to any claim that was adjudicated on the merits in state court unless the state court’s
7 adjudication of the claim: “(1) resulted in a decision that was contrary to, or involved an
8 unreasonable application of, clearly established Federal law, as determined by the Supreme Court
9 of the United States; or (2) resulted in a decision that was based on an unreasonable determination
10 of the facts in light of the evidence presented in the State court proceeding.” Id. § 2254(d).
11 “Under the ‘contrary to’ clause, a federal habeas court may grant the writ if the state court arrives
12 at a conclusion opposite to that reached by [the Supreme] Court on a question of law or if the state
13 court decides a case differently than [the] Court on a set of materially indistinguishable facts.”
14 Williams (Terry) v. Taylor, 529 U.S. 362, 412-13 (2000).

15 “Under the ‘unreasonable application’ clause, a federal habeas court may grant the writ if
16 the state court arrives at a conclusion opposite to that reached by [the] Court’s decisions but
17 unreasonably applies that principle to the facts of the prisoner’s case.” Id. at 413. “[A] federal
18 habeas court may not issue the writ simply because that court concludes in its independent
19 judgment that the relevant state-court decision applied clearly established federal law erroneously
20 or incorrectly. Rather, that application must also be unreasonable.” Id. at 411. A federal habeas
21 court making the “unreasonable application” inquiry should ask whether the state court’s
22 application of clearly established federal law was “objectively unreasonable.” Id. at 409.

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stands.

1 **DISCUSSION**

2 **A. Jury Instructions**

3 Perez claims the trial court violated his rights by (1) giving a flawed manslaughter
4 instruction, and (2) inadequately limiting consideration of gang evidence during jury instruction.
5 To obtain federal collateral relief for errors in the jury charge, a petitioner must show the disputed
6 instruction by itself so infected the entire trial that the resulting conviction violates due process.
7 See *Estelle v. McGuire*, 502 U.S. 62, 72 (1991). The instruction may not be judged in artificial
8 isolation but must be considered in the context of the instructions as a whole and the trial record.
9 *Id.* In other words, a federal habeas court must evaluate jury instructions in the context of the
10 overall charge to the jury as a component of the entire trial process. *United States v. Frady*, 456
11 U.S. 152, 169 (1982) (citing *Henderson v. Kibbe*, 431 U.S. 145, 154 (1977)).

12 1. Manslaughter Instruction

13 Perez admits his entire defense was he acted in the “heat-of-passion” and, therefore, is
14 guilty of voluntary manslaughter instead of second-degree murder. The trial court instructed the
15 jury on the elements of heat-of-passion manslaughter as a lesser included offense of murder
16 pursuant to CALCRIM No. 570.

17 Perez does not dispute this instruction. Instead, Perez argues the special instruction given
18 on provocation pursuant to CALCRIM No. 522B was erroneous and prejudicial. CALCRIM No.
19 522B, as given by the trial court, states, “a person who instigates a fight cannot claim the benefit
20 of provocation as to reduce murder to manslaughter.” (Ex. 14 at 9.) Perez believes this instruction
21 prevented the jury from deciding relevant factual questions raised by the evidence, namely,
22 whether Perez was culpably responsible for the fight in which he killed the victim.

23 Perez’s argument fails because CALCRIM No. 522B, as recited by the trial court, is a
24 correct statement of California law. The rule comes from *People v. Johnston*, 113 Cal. App. 4th
25 1299 (2003). In *Johnston*, the defendant arrived at a house containing his ex-girlfriend and the
26 victim. *Id.* at 1304. The defendant yelled at the victim inside, challenging him to a fight. *Id.* The
27 victim came outside, accepted the challenge, and was stabbed by the defendant in the fight. *Id.* at

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1 1305. The defendant was charged with second-degree murder. *Id.* When the defendant appealed
2 arguing he was only guilty of voluntary manslaughter because the victim charged at him, the
3 appellate court ruled that the defendant was culpably responsible because he was the one who
4 instigated the fight. *Id.* at 1313. The court continued stating, “[the defendant] cannot be heard to
5 assert that he was provoked when [the victim] took him up on the challenge. Defendant was
6 culpably responsible” for the altercation. *Id.* (emphasis in original). In other words, CALCRIM
7 No. 522B did not authorize the jury to find Perez guilty of murder only because Perez instigated
8 the fight, without finding Perez also culpable for the fight. Under California law, if the jury finds
9 a defendant instigated a fight, the defendant is culpably responsible for the fight. An additional
10 jury instruction is not needed.²

11 Even if the trial court did err when instructing the jury with CALCRIM No. 522B, the
12 instruction, evaluated in light of the instructions as a whole, did not so infect the entire trial that
13 Perez’s due process right was violated. *Estelle*, 502 U.S. at 72. Perez requested instruction
14 CALCRIM No. 522A, which was given to the jury, stating “[provocative] conduct may be
15 physical or verbal, and it may [be] comprised of [sic] a single incident or numerous incidents over
16 a period of time.” (Ex. 14 at 8.) The jury, therefore, could find that Perez instigated the initial
17 fight, cooled off, and was then provoked by another incident before stabbing Esparza. In other
18 words, Perez’s defense that he was provoked by Esparza’s actions, either before or after the first
19 fight, and killed in a “heat-of-passion” was not precluded. They jury simply did not agree.

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22 ² Perez’s citations to Supreme Court precedent are not to the contrary, as the jury here was not
23 relieved of its duty to find every fact necessary to establish guilt. In *United States v. Gaudin*, 515
24 U.S. 506, 522-23 (1995), the Court found a constitutional flaw where a trial judge decided on the
25 materiality of a defendant’s false statements, an element of the crime, and refused to allow the jury
26 to pass on the materiality element itself. In contrast, the court here did not make any decision on
27 an element of the crime, it only instructed the jury as to what California law required. Similarly,
28 Perez was not denied the opportunity to present the defense of voluntary manslaughter that would
run afoul of *Matthews v. United States*, 485 U.S. 58, 63 (1988). There, the Court ruled that even if
a defendant denies his or her culpability for any element of a crime, he or she is entitled to a jury
instruction as to any recognized defense for which there exists sufficient evidence for a reasonable
jury to find in the defendant’s favor. The state court proceedings were consistent with this
established federal precedent.

1 Additionally, “[t]he provocative conduct of the victim must be sufficiently provocative that
2 it would cause an ordinary person of average disposition to act rashly or without due deliberation
3 and reflection.” *People v. Manriquez*, 37 Cal. 4th 547, 583-84 (2005). Under California law,
4 slanderous words by themselves are not legally sufficient provocation that would cause an
5 ordinarily reasonable person to become sufficiently enraged to reduce murder to manslaughter.
6 See *Id.* at 586; *People v. Najera*, 138 Cal. App. 4th 212, 226 (2006) (“words of reproach, however
7 grievous they may be” are not grounds to reduce an unlawful killing to manslaughter (citing
8 *People v. Wells*, 10 Cal. 2d 610, 623 (1938))). Here, Esparza provoked Perez through derogatory
9 words as Perez started to walk back to the restaurant after the initial fight. A reasonable jury could
10 not find Perez acted in a heat-of-passion because even if the jury found Perez was provoked,
11 Esparza’s actions were not provocative enough to cause an ordinary person of average disposition
12 to kill him. *Manriquez*, 37 Cal. 4th at 585-86 (“Although the provocative conduct may be verbal .
13 . . . such provocation must be such that an average, sober person would be so inflamed that he or
14 she would lose reason and judgment.” (internal quotation marks omitted)). A reasonable jury,
15 therefore, could not agree with Perez’s argument that he was provoked into committing
16 manslaughter, not murder. The state court’s reasonable denial of Perez’s claim is therefore
17 entitled to AEDPA deference.

18 2. Instructions Involving Gang Evidence

19 Perez next argues the trial judge erred in giving CALCRIM No. 1403 to the jury.
20 CALCRIM No. 1403 instructs the jury they may only consider evidence of gang activity in
21 assessing whether the defendant acted with the intent, purpose, and knowledge required to prove
22 the gang related enhancement. (Ex. 14 at 36.) Further, CALCRIM No. 1403 states, “[a]lso, you
23 may consider evidence of gang activity to decide whether the defendant had or did not have a
24 motive to commit the crime charged and whether or not the defendant acted in the heat of
25 passion.” (*Id.*) Finally, CALCRIM No. 1403 precludes the jury from considering gang evidence
26 for any other purposes, specifically including whether the person is of bad character, or whether
27 they have the disposition to commit crime. (*Id.* at 36-37.) Perez submits that allowing the jury to
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1 consider evidence of gang activity while deciding whether Perez had a motive to commit the crime
2 or acted in the heat-of-passion permitted the jury to conclude Perez did not act in a heat-of-passion
3 because he was in a gang. Perez argues that this permissive inference violated his right to due
4 process.

5 The instruction must be examined as a whole, in conjunction with other instructions given
6 and the entire trial record. *Estelle*, 502 U.S. at 72. The end of CALCRIM No. 1403 tells jurors
7 they may not consider gang evidence for determining whether Perez is of bad character or has the
8 disposition to commit the crime. The instruction, therefore, does not allow the jury irrationally to
9 conclude gang members cannot kill in a heat-of-passion because they typically have violent
10 dispositions. The instruction only allows the jury to conclude that Perez’s gang membership was a
11 motive for his crime. Perez cites no authority that says gang evidence cannot be examined when a
12 jury determines motive or intent. Further, even if the instruction was erroneous, it did not infect
13 the entire trial so as to violate Perez’s right to due process. *Estelle*, 502 U.S. at 72. Perez’s own
14 evidence and the testimony of other witnesses showed Perez was a member of a violent gang. The
15 evidence was limited by an instruction that it was not to be used to evaluate Perez’s character or
16 criminal propensity. “Crimes” includes second-degree murder as opposed to manslaughter.
17 Perez, therefore, does not demonstrate the instruction “grievously” wronged him. *Brecht v.*
18 *Abrahamson*, 507 U.S. 619, 637 (1993). Since the trial judge properly limited the jury’s
19 consideration of gang evidence, the state court reasonably denied Perez’s claim and is entitled to
20 AEDPA deference.³

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22 ³ Perez’s invocation of Supreme Court precedent is again not to the contrary. Perez contends the
23 Court held in *Cty. Court of Ulster Cty. v. Allen*, 442 U.S. 140, 156-57 (1979) that a jury instruction
24 authorizing an irrational inference violates due process. While true, there is nothing in the record
25 to suggest the jury instruction at issue authorized such an inference. Indeed, the *Allen* Court found
26 constitutional the application of a New York statute that provided the permissive presumption that
27 presence of a firearm in an automobile was evidence of its illegal possession by all occupants
28 because the jury was instructed that they were free to ignore the presumption and that they were to
consider all the circumstances tending to support or contradict the permissive inference of firearm
possession by any of the defendants. *Id.* at 160-62. Here, the jury was given such a permissive
presumption as evidenced by the language “you may consider evidence[.]” (Ex. 14 at 36
(emphasis added).) The jury was free to credit or reject the inference from the gang evidence to
decide whether Perez had or did not have a motive to commit the crime charged and whether or

1 stabbed Esparza. (Id. at 45.) This rendered Officer Gallardo’s potential testimony cumulative by
2 presenting to the jury the essence of Perez’s statements to police. Perez also argues cross-
3 examination would have shown the jury that Officer Gallardo did not have a convincing reason to
4 credit Lee’s statements over those of Perez. Perez contends that without a reason for crediting
5 Lee’s statements over his, Officer Gallardo’s entire opinion that Perez killed to advance in his
6 gang would be discredited. Perez, however, did have the opportunity to cross-examine Officer
7 Gallardo with regard to Lee’s statements. Through this cross-examination, Perez had the
8 opportunity to discredit Lee’s account thereby discrediting Officer Gallardo, and later did present
9 Perez’s account through Dr. Minagawa. (Id. at 45.) Thus, Perez had the opportunity to discredit
10 Officer Gallardo’s belief in Lee’s statements.

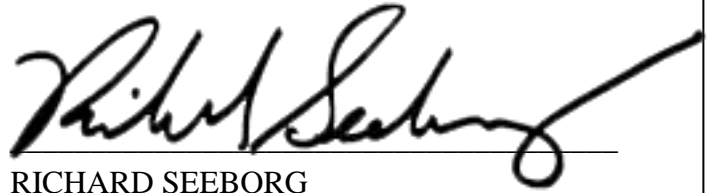
11 Finally, the state court reasonably concluded Perez did not show Officer Gallardo’s
12 credibility had an actual prejudicial effect on the jury. Dr. Minagawa testified that he believed
13 Perez killed Esparza because Perez was provoked, and he acted on an emotional level. (Id. at 45.)
14 As instructed, the jury was free to reject Officer Gallardo’s opinion regarding whether Perez had
15 fatally stabbed Esparza to benefit his gang, and to accept Dr. Minagawa’s testimony that the
16 stabbing was the result of Perez’s personal emotional reaction. Moreover, a reasonable jury could
17 reject Dr. Minagawa’s opinion in light of the copious amounts of evidence regarding the gang
18 context surrounding the stabbing. Perez and Esparza were strangers who only knew the other as a
19 member of a rival gang. They fought after calling each other derogatory gang terms. Any
20 reasonable jury could have decided that the explicit gang overtones were enough to show Perez
21 acted for his gang and not because of childhood trauma. Further, as discussed above, even if Dr.
22 Minagawa’s opinion was correct, a reasonable jury could still find Perez guilty of second-degree
23 murder because Esparza’s actions were not provocative enough to make a reasonable person kill in
24 the heat-of-passion under California law. See *Manriquez*, 37 Cal. 4th at 583-84. For these reasons
25 the state court’s denial of Perez’s claim was reasonable and entitled to AEDPA deference.

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IT IS SO ORDERED.

Dated: June 28, 2019



RICHARD SEEBORG
United States District Judge